


MEMORANDUM

TO: Council, SSC and AP Members

FROM: Clarence G. Pautzke
Executive Director 

DATE: April 3, 2000

SUBJECT: Habitat Areas of Particular Concern

ESTIMATED TIME 4 HOURS

ACTION REQUIRED

Final Review of Habitat Areas of Particular Concern: Part 1.

BACKGROUND

Habitat areas of particular concern (HAPC) are those areas of special importance that may require additional protection from adverse effects. HAPC is defined on the basis of its ecological importance, sensitivity, exposure, and rarity of the habitat. Several habitat types have been already identified as HAPC as part of the essential fish habitat amendments. These HAPC's included:

1. Living substrates in shallow waters (e.g., eelgrass, kelp, rockweed, mussel beds, etc.)
2. Living substrates in deep waters (e.g., sponges, coral, anemones, etc)
3. Freshwater areas used by anadromous fish (e.g., migration, spawning, and rearing areas)

In October 1998, the Council approved for analysis several proposals regarding habitat areas of particular concern (HAPC). These proposals requested that a gap analysis be prepared, and additional habitat types and areas be designated as HAPC. Proposed HAPC habitat types included seamounts and pinnacles, the ice edge, the shelf break, and biologically-consolidated fine-grained sediments. Proposed specific HAPC areas included a deep basin in Prince William Sound, the Chrikov Basin north of St. Lawrence Island, and the red king crab bycatch areas around Kodiak Island.

In February 2000, the Council reviewed an initial draft of a proposed amendment that would consider identifying additional HAPC, and two management measures to protect HAPC from fishing effects. The first measure considered would potentially prohibit directed fishing for certain HAPC biota (corals, sponges, kelp, rockweed, and mussels). The second measure would establish several marine protected areas where Gorgonian corals are found in abundance. Gorgonian corals have been shown to be important shelter for rockfish and other fish species, are very long lived, easily damaged by fishing gear, and slow to recover from damage.

Based on public testimony, and input from its advisory committees, the Council voted to split the amendment and associated analysis into two parts. Part one, which was to be ready for final action in April, would allow for control on the harvest of HAPC biota, based on the following problem statement.

The Council recognizes that some invertebrates (corals, sponges, mussels, rockweed and kelp), which provide important habitat for fish have the potential to be developed into large-scale commercial fisheries. The Council currently has little or no controls on the harvesting of these invertebrates. Adopting management measures as a precautionary approach would allow the Council to control any commercial fishery that might develop.

At this meeting, the Council is scheduled to take final action on Part 1. The analysis was distributed for public review on March 6. An executive summary is attached as Agenda Item C-7a. The alternatives to the status quo would either make HAPC biota a prohibited species, or would reclassify HAPC biota as a new category.

This proposed amendment flows from existing regulations that limit directed fisheries and gear types to those defined in regulations. Although these regulations prevent a new fishery from developing without NMFS approval, regulations do allow for bycatch to be taken in the specified fisheries using specified gear type. So the proposed amendment would allow for added control on bycatch of HAPC biota in the EEZ. Staff will be on hand to discuss the merits and limitations of each alternative.

Part two of the HAPC amendments, which will require a longer time line, will be to develop a more comprehensive and iterative process for HAPC identification and habitat protection involving researchers, stakeholders, and management agencies. A scientific committee will be tasked to develop a discussion paper that identifies possible management approaches to meet habitat protection objectives and the pros and cons of each. Council staff will expand the analysis of HAPC categories, and define the process initiated by submission of a HAPC proposal, through the steps of evaluation, identification, stakeholder involvement and, where indicated, management actions. Once these actions have been taken, the stakeholder process would be initiated to better define high density Gorgonian coral areas and develop appropriate management alternatives. Staff will be working on part two of the HAPC amendments over the summer, for initial review possibly in October.

Executive Summary

This Environmental Assessment/Regulatory Impact Review (EA/RIR) addresses alternatives to protect and conserve essential fish habitat (EFH) of finfish, mollusks, and crustaceans. The Magnuson-Stevens Act mandates that any fishery management plan (FMP) must include a provision to minimize to the extent practicable adverse effects on such habitat caused by fishing, and identify other actions to encourage the conservation and enhancement of such habitat. The action identified in this EA/RIR implements management measures to minimize potential adverse impacts of fishing on HAPC to the extent practicable. These HAPC areas and management measures would be included in the BSAI groundfish and GOA groundfish FMPs. The alternatives analyzed in the EA/RIR are highlighted in the following table.

Alternative 1: Status Quo. The FMPs would not be amended and no additional measures would be taken to protect HAPC from potential effects caused by fishing and non-fishing activities.

Alternative 2: Amend the groundfish FMPs to classify selected HAPC biota as a prohibited species. This would specifically prohibit retention of all corals, sponges, kelp, rockweed, and mussels, all of which have commercial potential. These species are currently either not covered by the FMP (i.e., BSAI coral), or are categorized in the BSAI and GOA groundfish plans as non-specified species (and hence have no catch limits or reporting requirements).

Alternative 3: Amend the groundfish FMPs classify HAPC biota as a new category. This would allow specific management measures to be implemented to protect selected HAPC biota (corals, sponges, kelp (including rockweed), and mussels) by controlling the harvest. Although a prohibition on retention may be adopted to start with, this would not preclude the possibility of a future target fishery to develop in a controlled manner. These species are currently either not covered by the FMP (i.e., BSAI coral), or are categorized in the BSAI and GOA groundfish plans as non-specified species (and hence have no catch limits or reporting requirements).

Option 1: Prohibit the retention, sale, barter, trade, or processing of corals. Sponges, kelp (including rockweed), and mussels would not be subject to additional management regulations at this time.

Option 2: Prohibit the retention, sale, barter, trade, or processing of corals, sponges, kelp (including rockweed), and mussels.

Option 3: Prohibit the retention, sale, barter, trade, or processing of corals and sponges. Kelp (including rockweed), and mussels would not be subject to additional management regulations at this time.

The goal of these FMP amendments is to provide additional protection of EFH from potential adverse effects due to fishing related activities. The alternatives to the status quo would be expected to benefit fish populations and their habitats, provide for improved long-term productivity of the fisheries, and benefit the vulnerable marine ecosystems.

The alternatives to control or prohibit harvest of some HAPC species would constitute a precautionary approach, in that it would control or prevent a commercial fishery for these HAPC species from developing. These HAPC invertebrates, which provide important habitat for fish have the potential to be developed into large-scale commercial fisheries. Large amounts of coral have been commercially harvested in Alaska in the past for jewelry, but recent catch records show that none has been reported in recent years. There are currently no controls on the harvesting of HAPC biota. To control or prohibit harvest of HAPC biota in all

areas, the Alaska Board of Fisheries would need to pass complementary regulations for State waters (0-3 miles).

None of the alternatives are expected to have a significant impact on endangered, threatened, or candidate species, and none of the alternatives would affect takes of marine mammals. Actions taken to define or protect HAPC are not likely to alter the total harvest amounts of groundfish, crab, scallops, or salmon.

None of the alternatives is expected to result in a "significant regulatory action" as defined in E.O. 12866. However, this analysis will be conducted if appropriate for each FMP amendment.

None of the alternatives are likely to significantly affect the quality of the human environment, and the preparation of an environmental impact statement for the proposed action is not required by Section 102(2)(C) of the National Environmental Policy Act or its implementing regulations.

Habitat Areas of Particular Concern (HAPC)

Part I: Harvest Controls

proposed amendments 65/65

Prepared by Coon & Withereil

with contributions from Ackley,
Hanga-Heifez, Krueger, MacIntosh,
McConaughy, and Nebzahl



Purpose and Need for Action

- EFH Identification was phase 1 of tasking plan to address EFH mandate
- HAPC is phase 2
 - 1. Identify additional HAPC
 - 2. Establish additional measures to minimize, to the extent practicable, adverse impact from fishing and non-fishing activities on EFH
 - interim rule notes that HAPC can be focus of conservation and research efforts.

Background: HAPC measures split at February Meeting

- Part I would prohibit directed fishing on HAPC biota (includes corals, sponges, mussels, and kelp)
- Part II would develop a more comprehensive and iterative process for HAPC identification and habitat protection involving researchers, stakeholder, and management agencies.

Harvest Controls for HAPC Biota (Part 1)

Problem Statement: The Council recognizes that some invertebrates (corals, sponges, mussels, rockweed and kelp), which provide important habitat for fish, have the potential to be developed into large-scale commercial fisheries. The Council currently has little or no controls on the harvesting of these invertebrates. Adopting management measures as a precautionary approach would allow the Council to control any commercial fishery that might develop.

Harvest Controls for HAPC Biota (Part 1)

Alternative 1: Status Quo

Alternative 2: Reclassify HAPC biota as Prohibited species.

Alternative 3: Add an additional HAPC category

Alternative 1: Status quo

HAPC remains as a non-specified species category: Retention allowed, no reporting required and no limitations on catch.

- HAPC biota covered by existing rule: List of fisheries and gear and notification guidelines with Magnuson-Stevens Act Provisions: need to apply for new fishery or gear type, then goes through council NMFS-RA process.

- *Potential* threat in that there's increasing worldwide fisheries for both kelp and mussels. Limited potential for harvest of curios for corals, and pharmaceuticals for sponges and corals.

Alternative 2: HAPC biota as a Prohibited Species

- No harvest at all; retention is prohibited
- Return to ocean
- Doesn't count against Optimal Yield
- Reporting required to group (i.e. coral, sponge)
- Could target outside of groundfish FMP or as bycatch for a directed fishery)

Alternative 3: HAPC as a new category

- Allows flexibility to allow for a controlled fishery to develop
- Need to define EFH for HAPC biota
- May count towards OY cap unless otherwise specified
- If defined as a subgroup then need to define overfishing for them and determine annually if they've been overfished.
- May require reporting

Alternative 3: Options

•**Option 1:** Prohibit the retention, sale, barter, trade or processing of corals. Sponges, kelp (including rockweed), and mussels would not be subject to additional management regulations at this time.

•**Option 2:** Prohibit the retention, sale, barter, trade or processing of corals, sponges, kelp (including rockweed), and mussels.

•**Option 3:** Prohibit the retention, sale, barter, trade or processing of corals and sponges. Kelp (including rockweed), and mussels would not be subject to additional management regulations at this time.

Summary of Proposed Amendment 65/65 Harvest Controls for HAPC Biota

Alternatives: Status quo, PSC, or new category

•Status quo provides minimum protection, the FMP would not be amended and no additional measure would be taken to protect HAPC from potential effects caused by fishing and non-fishing activities.

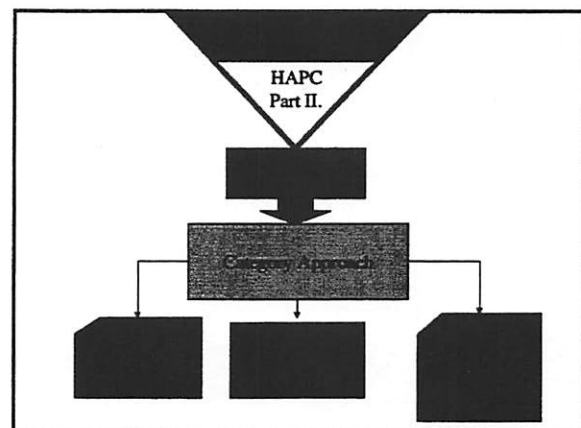
•PSC is a simple answer but allows no retention.

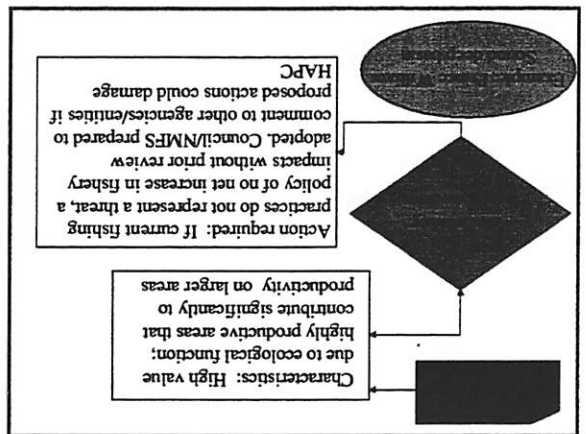
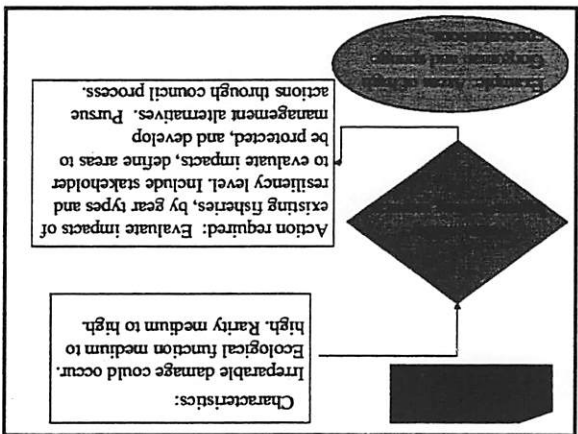
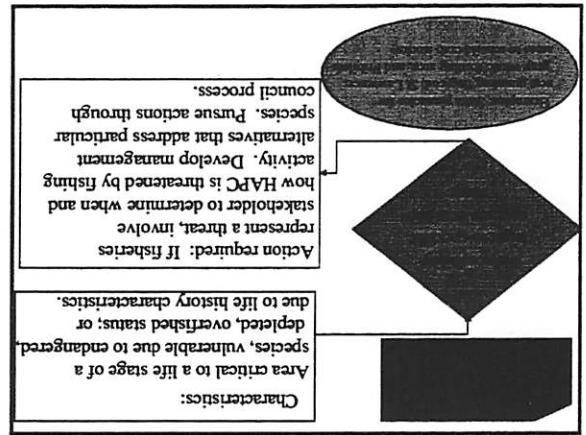
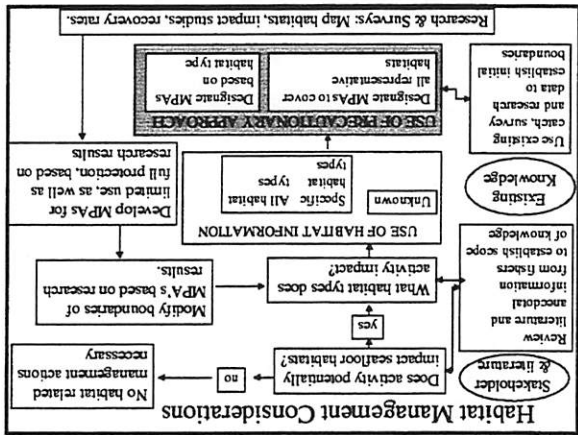
•New category would allow for a developed fishery but the trade off is completing an EFH description, defining overfishing levels, and may require fishers to report.

What's next in HAPC ? :

•Part II would develop a more comprehensive and iterative process for HAPC identification and habitat protection involving researchers, stakeholder, and management agencies.

- ✓ Discussion paper by scientific community for possible management approaches for habitat protection objectives
- ✓ Expanded analysis of HAPC categories
- ✓ Timeline: Staff will work on part two this summer, for initial review potentially this fall.







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AGENDA C-7
APRIL 2000
Supplemental

TO: Rick Lauber, Chair
FR: Dorothy Childers
DT: April 4, 2000
RE: Essential Fish Habitat, Agenda Item C-7

At the February meeting, the Council split the two components of the draft essential fish habitat analysis into two separate actions. At this meeting the Council is taking final action on Part 1, Harvest Controls for Habitat Areas of Particular Concern (HAPC) Biota. AMCC is submitting comments on this management action under separate cover.

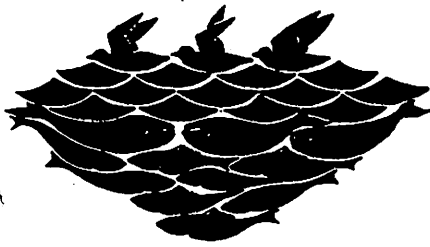
Part 2 pertains to the development of FMP amendments to protect habitat areas of particular concern from adverse effects of fishing. In February, AMCC recommended that Part 2 be carried out through a more expanded public process so that 1) more scientific support could be mobilized to establish clear conservation objectives, and 2) fishermen could be directly involved in designing management options that the Council would consider. We also recommended that the Council establish a timeframe for conducting this process so that it moves along in a timely manner.

We urge the Council to provide clear direction for the public process so that community involvement could go forward smoothly in the fall and schedules for involvement by other sectors of industry could be accommodated. We recommend something along the lines of the Local Area Management Plan system for coastal communities.

AMCC recognizes and appreciates that North Pacific fishery management plans already contain certain habitat protection measures. The Magnuson-Stevens Act, however, requires that the Council take a more comprehensive approach to addressing habitat needs because of the fundamental role habitat plays in overall fisheries sustainability. The purpose must be to consider conservation of a range of habitat types to ensure their ecological function is sufficiently maintained across an appropriate geographic range. We have high expectations that concentrated attention by Council and agency scientists combined with an expanded community and industry process will generate a creative, well-grounded, and effective outcome.

To assist the Council in developing the next steps for Part 2 of EFH implementation, we have put together the attached informational items:

- Frequently Asked Questions about EFH
- Memo on the need for community and stakeholder involvement & paper entitled: Lindeboom, H. J. The Need for Closed Areas as Conservation Tools. *In*: Kaiser and deGroot, 2000. (Provided by Council staff to the Ecosystem Committee)
- Auster and Langton. 1999. The Effects of Fishing on Fish Habitat. American Fisheries Society Symposium 22:150-187.



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Essential Fish Habitat: Frequently Asked Questions

Why all the hubbub around essential fish habitat (EFH)?

Scientific research shows that alteration of seafloor habitat changes the diversity and relative abundance of species present, creates environments for opportunistic species and may reduce the resilience of original species (Draft Problem Statement, NPFMC, 2-14-00). Maintaining sensitive ocean habitat is critical to sustaining productive fisheries for the future. Marine life on the ocean floor (such as coral, anemones and sponges) and physical structures such as boulder fields, and shells provide important habitat for fish and invertebrates (like cod, halibut, rockfish, and crab). Fish, especially young ones, hide among the corals, anemones, tubeworms and mussels to escape predators. Marine life such as brittle stars, sea anemones and other species perch on corals to reach food that flows in the faster water currents just above the sea floor.

When parts of these sensitive sea floor habitats are crushed, upended or removed by fishing practices, the habitat is changed and can no longer support all the species that once lived there. Damage to habitat threatens the diversity and integrity of marine ecosystems, the sustainability of fisheries, and ultimately, the well-being of our coastal communities.

The NPFMC's draft problem statement for EFH, adopted on February 14, 2000, states:

The primary objective for HAPC conservation is to establish a habitat conservation regime to ensure natural habitat complexity and biological diversity important for productive fisheries, a healthy marine ecosystem, and stable, flexible fishing economies.

What does the Magnuson-Stevens Fishery Conservation and Management Act require regarding essential fish habitat?

The 1996 Magnuson-Stevens Act amendments define essential fish habitat to include "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." §3 (10) The law sets forth the requirement that Fishery Management Plans (FMPs) must:

describe and identify essential fish habitat (EFH) for the fishery ...and minimize, to the extent practicable, adverse effects on such habitat caused by fishing, and identify other actions to encourage the conservation and enhancement of such habitat. §303(a)(7)

The Councils were required to amend their fishery management plans by October 1998 to:

- Identify and describe EFH for species managed under a FMP;
- Describe adverse impacts to that habitat from fishing activities;
- Describe adverse impacts to that habitat from non-fishing activities (the NPFMC has the option to comment to other agencies on activities such as oil traffic or underwater cable laying, for example, that may negatively affect important marine habitat;
- Include conservation measures necessary to minimize to the extent practicable, adverse impacts from fishing on EFH. (NPFMC, 2000, p. 4)

Thus far, the NPFMC has:

- 1) adopted amendments to its FMPs that describe EFH for managed species, and
- 2) adopted several habitat types that meet the criteria for a habitat area of particular concern (see below).

Why did the National Marine Fisheries Service (NMFS) designate the entire Exclusive Economic Zone of the North Pacific as essential fish habitat?

When the National Marine Fisheries Service (NMFS) sat down to identify essential fish habitat in the North Pacific, they ran into the problem of a severe lack of basic information. As stated in the NPFMC analysis on Essential Fish Habitat,

"Alaska leads the nation in fish habitat and in the value of fish harvested, yet we lack the most basic information on distribution and habitat utilization for most early life stages of commercially valuable groundfish and shellfish." (NPFMC, 1998, p. 338)

Given this lack of information, it was a wise decision for the NPFMC to take a precautionary approach and broadly designate essential fish habitat as the EEZ in the North Pacific. This broad designation, however, is not useful for managers in making decisions about how to protect vulnerable areas. Fortunately, the federal rules provide a way for the NPFMC to focus management attention on target areas of habitat (see below).

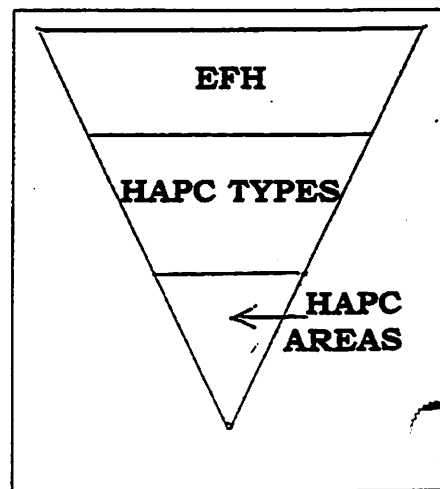
What is a "habitat area of particular concern" (HAPC)?

Habitat areas of particular concern, or HAPCs, are a subset of essential fish habitat. HAPCs are intended to focus fishery management decisions on areas within EFH which are in need of higher standards of protection than other areas." (NPFMC, 2000, p. 6)

How are habitat areas of particular concern chosen?

HAPCs are identified after an analysis of whether a type or area of EFH meets one or more of the following criteria:

- The importance of the ecological function provided by



the habitat.

- The extent to which the habitat is sensitive to human-induced environmental degradation.
- Whether, and to what extent, development activities are, or will be, stressing the habitat type.
- The rarity of the habitat type.

These factors determine a habitat's vulnerability, or in other words, how susceptible the habitat is to damage from natural or human events or activities.

What is a HAPC type?

HAPC types help managers identify the kinds of habitat they should pay attention to, such as Gorgonian coral forests or seamounts and pinnacles, for example.

What is a HAPC area?

After identifying HAPC types, fishery managers can then focus on identifying specific places on the chart where that HAPC type is located. Once a HAPC area is identified, then fishery managers must decide whether the habitat is vulnerable to damage from fishing practices or some other kind of activity. Based on the assessment of vulnerability, managers must decide if protection measures are needed.

At what point in this process is the NPFMC required to take a management action?

After a place has been identified as a habitat area of particular concern and that HAPC has been determined to be vulnerable to damage by fishing practices, then it is the NPFMC's responsibility to determine appropriate and effective management actions to protect it.

Does designating an area as a HAPC mean the NPFMC must close it to all fishing?

No—the regulations state clearly that fishery management options may include, but are not limited to: gear restrictions, time/area closures, and harvest limits. As stated in the NPFMC's HAPC draft problem statement, "Habitat protection does not require a prohibition on all fishing but rather a prohibition or modification of fishing practices that are most likely to harm essential habitats."

What is the value of a community and stakeholder process?

A public process that involves local people and fishermen in designing management measures will streamline the discussion when it reaches the NPFMC for discussion, will add to the knowledge level about the habitat, and will involve people as allies. A recent article about closed and multiple-use marine protected areas, states:

Get as much input from stakeholders as possible. The involvement of the stakeholders, in this case the fishermen, is crucial for different reasons. Stakeholders have traditional knowledge about resource dynamics and ecosystems that will be important in determining levels of

sustainable use. Stakeholders can increase the public awareness and promote good marine stewardship, including use, responsibility and protection. (Lindeboom, 2000, p. 298)

Is the NPFMC currently considering all of the important HAPC types and areas in need of protection?

Maybe... and maybe not. Over time, scientists, the fishing industry, subsistence harvesters, and others may reveal new information that points to important HAPC types or areas that need to be included in a conservation plan. In the same vein, fishery managers may learn in the future that HAPCs being protected today may not be vulnerable to threat under future conditions, and the NPFMC may decide to lift management measures. New information will continue to inform the process of protecting important fish habitats in the North Pacific.

Do you have other questions?

Please contact the Alaska Marine Conservation Council for more information.

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Resources:

Lindeboom, H.J. 2000. *The need for closed areas as conservation tools*. In Kaiser and deGroot. Pages 290-301.

Magnuson-Stevens Fishery Conservation and Management Act. 1996. Public Law 94-265.

North Pacific Fishery Management Council. May 12, 1998. *Essential Fish Habitat. Draft for Public Review.*

North Pacific Fishery Management Council. January 12, 2000. *Habitat Areas of Particular Concern. Draft for Council Review.*

Alaska Marine Conservation Council: *We are people throughout Alaska working to protect the health and diversity of our marine ecosystem.*

Our goals are to: * *Minimize bycatch* * *Conserve seafloor habitats from damaging fishing practices* * *Prevent overfishing* * *Promote community-based fishing opportunities.*

We are a community-based, membership organization.



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The Need for Community and Stakeholder Involvement in Designing Habitat Conservation Measures

The attached article discusses the scientific rationale and benefits of marine protected areas. The article describes different management options including gear restrictions or modification, reduced harvest rates, and no-fishing zones. The appropriateness of these and other types of management options in the North Pacific is a larger discussion that the NPFMC will likely have in the future. AMCC believes there is probably a broader range of management options for habitat protection that would emerge from a deliberative process involving fishermen, scientists, and others. As this article suggests, management measures should fit a clear need and different measures are suitable for different needs.

NOTE: Please note the highlighted portions of the article about the need for an expansive public process for establishing the purpose and design of habitat conservation measures.

AMCC believes this type of process will aide the NPFMC in developing a reasonable and effective habitat conservation regime that meets the intent of the provisions in the Magnuson-Stevens Act for identification and protection of essential fish habitat.

Chapter 19

The need for closed areas as conservation tools

H.J. LINDEBOOM

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Summary

1. A large body of evidence indicates that the long-term changes in benthic communities observed in the North Sea have been caused to a large extent by the direct and indirect effects of fishing activities and not solely by eutrophication, climatic fluctuations and/or pollution.
2. In order to minimise the effects of fisheries, and to move towards the sustainable use and protection of the marine ecosystem, it is necessary to reduce fishing effort, modify gear design and create areas closed to fisheries.
3. The rationale for the creation of closed areas includes: protection of specific species, habitats or juvenile fish, creation of a more natural population age-structure, and the prevention of continuous heavy impacts of certain fishing techniques slowly changing the entire ecosystem. An example for the North Sea is worked out in the text.
4. Closed areas are also needed for scientific and monitoring purposes. Without them it will be very difficult to study the natural trends in the marine ecosystem or to ascertain which human activity has influenced the ecosystem the most. Furthermore, there may be no value in data that have been collected from areas with an unknown level of fishing disturbance.
5. The size of protected areas should be determined by the objectives of the closure and by the behaviour of species that are characteristic to that area. In such areas, where fisheries and inputs of pollutants will be prohibited or restricted, scientific research into the species composition, abundance and age distribution of different populations should be carried out and trends established.
6. The successful implementation of protected or closed areas requires the definition of clear objectives for the closure. In addition, stakeholders should be included from the beginning of the planning process to design proper, manageable and legally controllable boundaries. Regular monitoring and evaluation programmes should be executed to see if the objectives are met, and to redesign the areas if necessary.

Keywords: closed areas, conservation, management objectives.

Introduction

From the previous chapters, it has become clear that there are many signals that fishing activities affect the marine ecosystem on local and sometimes regional scales. Stocks of economically important species and populations of non-target species have declined. In the Dutch sector of the North Sea, at least 25 species have decreased considerably in numbers or have disappeared completely (Bergman *et al.*, 1991;

Philippart, 1998). In contrast, populations of opportunistic species have increased in numbers.

However, it is not clear that all these changes relate to fisheries (Frid & Clark, this volume, Chapter 13). On the Dutch continental shelf, fishing activities are now so intensive that every square metre on average is trawled at least once to twice a year (Rijnsdorp *et al.*, 1998). Results of a recently completed international research programme (IMPACT II) led to the conclusion that changes observed in the North Sea ecosystem over the past 100 years can, to a large extent, be attributed to the activities of fisheries (Lindeboom & De Groot, 1998). If the present-day fishing activities continue as they have done over recent decades, it is likely that certain species will disappear completely from the seabed in the Dutch part of the North Sea, as has already occurred for the common whelk in the Wadden Sea.

Sustainable use and the precautionary principle are frequently invoked with reference to management of the marine ecosystem. However, it is necessary to be clear about the objectives of environmental management. For example, should all species be protected in all areas, do we wish to restore the system to its former condition, or do we accept that man has caused permanent change? The biggest challenge of all may be to find a workable compromise between the aims of sustainable fisheries and protection of the marine environment. In this chapter, I discuss the long-term impacts of fisheries in more detail, and then address the issue of why and how closed areas might be established, and their role in future management of marine systems.

Long-term effects of fisheries

Long-term shifts in the infaunal benthic invertebrate communities found in the North Sea have been suggested by several studies reviewed by Frid & Clark in Chapter 13 of this book. Several studies indicated a dominance of opportunistic short-lived species and a decrease of long-lived senile organisms such as large bivalve species. Perhaps one of the most revealing studies to date was an investigation of long-term records of deliveries of by-catch species that were supplied by fishermen in exchange for payment (Philippart, 1998). These specimens were delivered by fishermen to the Zoological Station in Den Helder between 1947 and 1981. A fish catchability model was applied to the occurrence of several demersal fish and invertebrate by-catch species in the south-eastern North Sea and revealed declining trends in the occurrence of certain species, which could be attributed to the introduction and use of different fishing gears (Philippart, 1998). The catchability estimates for otter trawls were higher for fish than for invertebrate species. According to the model results, otter trawling resulted in a c. 95% decline of roker (*Raja clavata*) and greater weever (*Trachinus draco*) in the sampling area between 1947 and 1960. Smooth hound (*Mustelus mustelus*), common skate (*Raja batia*) and angler (*Lophius piscatoris*) decreased by more than 75%, whilst the lesser spotted dogfish (*Scyllorhinus canicula*), stingray (*Dasyatis pastinaca*), European lobster

(*Homarus gammarus*) and edible crab (*Cancer pagurus*) decreased by more than 50% during this 14-year period. The slender spindle shell (*Colus gracilis*), velvet swimming crab (*Necora puber*) and the dahlia anemone (*Urticina [Tealia] felina*) were hardly affected by otter trawling, but declined rapidly from 1960 onwards, and reached less than 20% of their original population size by the end of the study period. These declines coincided with an increase in beam trawling effort and resulted in a further reduction of smooth hound, roker, stingray, angler, red whelk (*Neptunea antiqua*) and lesser octopus (*Eledone cirrosa*) to less than 5% of their original abundance as recorded in 1947.

The observed variation in annual numbers of fish and invertebrates delivered to the Zoological Station were found to be related to the changes in gear and fishing effort of bottom trawlers. Otter trawlers caught relatively more fish than invertebrates, whilst beam trawlers caught invertebrate species (i.e. velvet swimming crabs and slender spindle shell) that were hardly ever caught by otter trawlers. The results of the catchability model implied that bottom fisheries had a considerable impact on the marine ecosystem by reducing several demersal fish and benthic invertebrate species to very low levels of abundance within 35 years. Beam trawls had a far more adverse effect on populations of non-target sessile species than otter trawls.

Analyses of trends in the log-transformed relative abundance of demersal fish as derived from different surveys between 1969 and 1993 in the south-eastern North Sea indicated that, on average, the relative species composition appeared to have changed during the last decades. A decrease in the abundance of several flatfish species such as plaice (*Pleuronectes platessa*) and sole (*Solea solea*) and benthic invertebrates such as the sea potato (*Echinocardium cordatum*) and common whelk was observed, whilst other species such as grey gurnard (*Eutrigla gurnardus*), dab (*Limanda limanda*), starfish (*Asterias rubens*) and, in particular, dragonet (*Callionymus lyra*) increased in numbers. The observed changes concur with the hypothesis that demersal fisheries affect mainly commercial flatfish species and vulnerable benthic invertebrate species.

It is clear that changes in population sizes and distribution have occurred for mammal, avian, fish and invertebrate species in the North Sea (Daan, 1989; Daan *et al.*, 1990; Dunnet *et al.*, 1990; Reijnders & Lankester, 1990; de Vooys *et al.*, 1991; Camphuysen *et al.*, 1995; Walker, 1998). Although other factors such as a rise in sea temperature, eutrophication, wind force and direction, and intra- and interspecific interactions may play a role, the observed changes seem to be explained to a great extent by increasing fisheries mortality for some species and improved circumstances for growth and survival for others.

Fishing intensity

The actual long-term effects of fisheries depend on the fishing intensity and the techniques used in the area of concern. Monitoring data indicate that, since the early

1980s, on average every square metre of the Dutch sector of the North Sea was trawled at least once or twice a year. However, not every area is trawled with the same intensity. Rijnsdorp *et al.* (1998) described the spatial distribution of fishing efforts on a micro-scale and concluded that vessels do not trawl at random, but concentrate their efforts on restricted fishing grounds. They estimated that during their 4-year study period conducted in eight of the most heavily fished ICES rectangles of the North Sea, 5% of the surface area was trawled less than once in 5 years and 29% less than once per year. Thirty per cent of the surface area of the seabed was trawled between once and twice year, while 9% was trawled five times per year. The surface area trawled more than five times in a year was estimated at 9%. It is clear that the distribution of beam trawl effort is patchy; however, the conclusion that significant areas of the North Sea remain untrawled, giving refuge to the benthic species vulnerable to trawling, remains premature.

For example, we were unable to find unfished reference areas in the Dutch sector. This was illustrated by our experiences in the Borkum Riff (BE:ON, 1992). The Borkum Riff is an area regarded by Dutch fisherman as one of the few places in the southern North Sea where beam trawling is seriously hampered by the presence of rocks on the seabed. However, when a side-scan sonar recording of the area was made, 70% of a 3-km transect was covered with trawl tracks rendering the area unsuitable for the planned study on the long-term effects of beam trawling. Attempts to discover other 'untrawled' areas in the Dutch sector were also unsuccessful. With present-day fishing techniques, it is very likely that all areas in the Dutch part of the North Sea where exploitable amounts of fish are found will be trawled regularly by the Dutch fishing fleet. Bergman *et al.* (1991) concluded that there is virtually nowhere in the Dutch sector of the North Sea where benthic communities can develop undisturbed.

Marine protected areas for conservation purposes

The majority of recent marine management documents focus on the concept of a sustainable use of marine resources. As stated by Agardy (1997):

It [the sustainable use of marine resources] is now touted the world over as the solution to real and prospective global, regional and local environmental problems. However, sustainable use as a concept is rarely defined. Prolonged economical gain, ecologically sound development, low-level use of renewable resources, or parity among all resource users, are terms often expressed. The most common meaning of ecological sustainability has to do with the ecosystem function. For an activity to be sustainable within the functional limits of an ecosystem, that activity must not interfere with the workings of that system and its ability to keep critical parameters within homeostatic limits. That is, the activity must not cause environmental degradation in the systems sense. Removing organisms from an ecosystem or interfering with its critical processes

can only be sustained over time if the system's functioning is not adversely impacted.

However, a major problem is to define the way in which these systems function. Both a pristine environment with many trophic levels that might include marine mammals, and an anaerobic mudflat with phototrophic bacteria can be perfectly functional and natural ecosystems. In turn, human activities can result in alternative marine systems that could fall anywhere between these two extremes. This implies that there is no general recipe of sustainability that applies to every marine area. Sustainability needs to be defined for specific areas, depending on their original and current status, the use of the area and the desired ecosystem functioning of that area, including the occurrence of individual species or groups of species. This includes clear definitions of sustainable protection of non-target species and the definition of thresholds beyond which the risk of changes in the coastal environment are considered unacceptable. One of the great challenges is to set these definitions for the marine environment on local, regional and global scales. Fishing mortality of both target and non-target species must be limited to levels that do not cause a decline in and eventual collapse of the defined ecosystem properties.

Planning and management for sustainable use requires basic knowledge about the functioning of the system, as well as about the actual and potential uses of its components and the effects of exploitation. This is especially true because ecosystems are not static, unchanging entities, but rather a complex and dynamic web of interactions, which are affected by cumulative impacts (Agardy, 1997). In order to tackle effectively the substantial marine conservation problems we face today, we need to ask clear questions about the mechanism by which we undermine ecosystem function and biodiversity, how we can continue to use living resources sustainably and how we can modify our behaviour to achieve that goal.

This goal may be reached in part by creating specific areas where the constant pressure of human activities is minimised by creating no-take zones or closed areas. The so-called 'precautionary principle' may be important in this context. Although an often misused term, it implies that actions that produce irreversible change to ecosystems (e.g. extinction and permanent restructuring of food webs) must be avoided, and risks and uncertainties must be taken into account. As long as we are not certain about the long-term effects of fisheries, the creation and maintenance of relatively undisturbed areas may be an important part of a precautionary approach. Following the approach on land, the time has come seriously to consider the creation of real nature conservation areas in the open sea, where the marine ecosystem may develop without continuous human harvesting pressures.

Creation of closed areas

There may be different reasons to create protected or closed areas (see also Horwood, this volume, Chapter 20).

To protect specific species or groups of species

Species for which it may be important to establish protected or closed areas include: species in imminent danger of extinction; species that play a central role in ecological communities, often called 'keystone species'; species that may serve as indicators of the ecological condition of a system; and species that may help to raise public awareness (Agardy, 1997). In the Dutch sector of the North Sea, rays have disappeared from the coastal zone, most likely as a result of fishing (Walker, 1998). Even if fisheries did not cause their complete disappearance, present-day fishing practices make it very unlikely that rays will ever be able to re-establish their populations. Using tagging experiments, Walker (1998) showed that rays do not range throughout the North Sea but remain mostly within 20 km of their point of release. She recommended closed areas about the size of ICES rectangles in which local ray populations might re-establish themselves. Such closed areas might also have additional benefits for species such as oysters and lobsters.

Protection of juvenile fish

The 'plaice box' is a good example of a closed area designed to protect juvenile fish (Piet & Rijnsdorp, 1998). This area along The Netherlands, German and Danish coast, established in 1989, was closed from 1 April until 30 September to beam and otter trawlers with engines exceeding 300 hp (221 kW). The 'box' was intended to cover the major distribution area of the main commercial demersal fish species such as plaice, sole and, to a lesser extent, cod. A reduction of fishing mortality for the juveniles of these species was expected. In 1997, the box was closed to trawlers > 300 hp for the whole year. Comparing the 'box' with a reference area, Piet & Rijnsdorp (1998) showed that the overall size structure of the commercially exploited fish species was affected by the change in trawling effort unlike that of the non-target species. The marketable size range of commercial fish increased. The species composition was not significantly affected. Other trends that were observed both within and outside the 'box' included a general increase in species richness due to the influx of southerly species, and a decrease in the relative abundance of plaice. The latter led to the fishermen's opinion that the 'plaice box' did not function effectively as a tool for protecting fish stocks. However, it is possible that other causes such as natural variation led to a decrease in the plaice population during the 10-year life span of the 'box'. Lindeboom *et al.* (1996) indicated large changes in the Wadden Sea and North Sea ecosystem in the late 1980s, leading to smaller biomasses of shellfish in the Wadden Sea and possibly plaice in the North Sea. Lessons that can be learned from the plaice box are that the removal of fishing pressure leads to measurable changes in the marine ecosystem. However, initial 'positive' effects may be completely overshadowed by other trends that take place in the natural system. To overcome this problem, long periods of closure and continuous monitoring are needed.

Restore natural age structure of fish populations

One of the features of overexploited fish populations is a shift in the age distribution towards younger specimens. In the past, fish such as cod could grow to an age of 40 years or more; presently specimens older than 6 or 7 years are rare. Similar age shifts have been recorded in non-target species (van der Veer *et al.*, 1990). These age shifts may influence the capability of populations to sustain sudden collapses caused by, for example, cold winters or diseases. Within closed areas, fishes that stay in the area can grow until their natural death, thus increasing the mean age of the population, which may render the population less vulnerable to natural variations.

Habitat protection

The best examples of reserves designed to preserve marine habitats occur in the tropics, e.g. Great Barrier Reef in Australia, the Galapagos Islands and the Saba Natural Reserve in the Dutch Antilles. Often these parks are multi-user protected areas where certain functions, such as fisheries, anchoring, diving etc., are either permitted with strict regulation or prohibited in certain areas. Craik *et al.* (1990) states that 'the selection of sites usually owes more to the fact that they are not in demand for more obvious economic priorities than the intrinsic nature of the ecosystem'. In the North Sea, potential sea-grass fields and stony areas may require protection from bottom-fishing activities.

Prevention of the effects of chronic disturbance

In many areas, we should give up our traditional preoccupation with conserving structures or specific species, and instead direct ourselves towards safeguarding the critical ecological processes and properties that are responsible for maintaining the desired ecosystem. In this approach, we take the direct impact of the fisheries as the starting point. Depending on the fisheries intensity and the direct effects on target and non-target species, managers may decide that this is not tolerable. As part of a 'precautionary approach', the creation of areas where the impact of fisheries is negligible may then be a good conservation option.

Scientific research and monitoring purposes

There are various reasons for establishing protected areas for marine research (Lindeboom, 1995). Ten years ago, a biological monitoring programme was started in the Dutch sector of the North Sea (Duineveld, 1992). Benthic samples are taken annually at 100 sites and analysed for infauna and small epifauna. The aim is to establish possible trends in the development of this fauna during a period of

5–10 years. But what do these data mean if beam trawls ploughed the sampling area an unknown amount of times prior to the sampling? For example, what would happen when in one year the area remained untouched by fisheries, whereas in another year fishermen made it their favourite fishing area? As fisheries cause detectable short-term changes in benthic communities, they may also influence the data collected in monitoring programmes, rendering these data useless for establishing possible trends caused by, for example, eutrophication or pollution. If trends caused by actions other than fisheries are to be monitored in the Dutch sector of the North Sea, the sampling sites should be off-limits to fisheries. The results of several other scientific programmes knowingly, or more often unknowingly, may have been influenced by fisheries. Studies of the settlement and survival of benthic organisms, studies of sediment-water exchange or the transport of suspended matter, and even the benthic mapping carried out by ICES members in 1986 are possible examples (Künitzer *et al.*, 1992).

The comparison of the effects of fisheries with the effects of other anthropogenic influences will be a major task of applied scientific research. This will be especially true when an economic recession forces governments to direct the available money to measures that will be most effective for the sustainable development of the marine ecosystem, and questions of what measures are most effective are being raised. Studies to answer these questions are becoming more and more important. However, as discussed before, it is almost impossible to estimate quantitatively the individual effects of fisheries, eutrophication and pollution in a certain marine area. The establishment of a protected region in such an area may provide the practical means of studying the effects of different anthropogenic activities.

Dutch North Sea: an example

In the 1990s, we conducted a study into the necessity and feasibility of the designation of protected areas in the Dutch sector of the North Sea as a contribution to the conservation and, where possible, rehabilitation of a natural diversity of ecologically valuable areas (Bergman *et al.*, 1991; Lindeboom, 1995). The objectives of such a designation would be (1) to preserve, rehabilitate and develop natural values by limiting the effects of human activities that cause detectable changes, and (2) to protect animals that are an integral part of the Dutch sector of the North Sea.

First, we developed four criteria that may be used for the designation and selection of areas that qualify for protected status. The first criterion addresses the extent to which specific activities have developed into a threat to the existence or normal functioning of groups of animals or species. The second criterion addresses the question of whether a prohibition or restriction of certain human activities would reduce this threat. The third criterion is the use of ecological criteria, such as diversity, integrity and vulnerability, to identify the areas most suitable for protected status. Finally, the fourth criterion addresses the question of whether there are adequate legal instruments to ensure effective protection of the selected areas.

Taking into account the effects of different human activities described earlier in this chapter and on the basis of the above criteria, it was concluded that an area directly north-west of the Frisian Islands qualifies for protected status. This area contains coastal waters, sandy bottoms, the Frisian Front area, muddy areas and limited stony areas, hence it will be possible to protect different types of benthic communities, including both invertebrates and fish. As a result, the following protective measures have been proposed for the area: (1) close the area to all types of fisheries throughout the year; (2) prevent or minimise oil-containing discharges from offshore mining installations; (3) take area-specific measures with respect to offshore mining, shipping, military activities, sand extraction, dumping and the laying of cables and pipelines whenever the situation in the area calls for such measures; and (4) consider additional measures if the area is to be used as a reference area for scientific research.

Following the publication of Bergman *et al.* (1991), the Dutch government debated the initiatives to establish a protected area in the Dutch sector of the North Sea in order to study the actual beneficial effects of such an area. However, owing to strong opposition from the fisheries sector, lack of political motivation and the lack of support at the European level, the idea was temporarily abandoned. We now realise that it was a mistake not to involve all stakeholders in the discussion from the start. The media presentation of the ideas behind the protected area provoked a very hostile response from fishermen who, in turn, influenced the politicians. Although one wonders whether the politicians would have reacted at all if we had used another approach, involving the fishing community from the onset could have avoided many of the antagonistic reactions. However, recently these discussions have resumed on a new footing.

Successful protected areas

Closed areas and multiple-use marine protected areas are two possible tools that move marine management away from largely ineffective sectoral control towards true conservation that benefits both humans and the natural environment. The principles for the success of marine protected areas are listed as follows (after Agardy, 1997).

1. Clearly define specific objectives for marine protected or closed areas at the onset.
2. Get as much input from stakeholders as possible. The involvement of the stakeholders, in this case the fishermen, is crucial for different reasons. Stakeholders have traditional knowledge about resource dynamics and ecosystems that will be important in determining levels of sustainable use. Stakeholders can increase the public awareness and promote good marine stewardship, including use, responsibility and protection.
3. Make the planning process truly participatory, as opposed to allowing user groups to comment on a plan developed by a single stakeholder (usually a government agency).

4. Design zoning to maximise protection for ecologically critical areas, while allowing sustainable use in less sensitive, vulnerable or important areas. If non-destructive fishing techniques are a feasible alternative, they could be allowed in (part of) the area. It may even be possible that more environmentally friendly fishing techniques may become economically profitable if destructive techniques are banned in larger areas.
5. Design marine protected area boundaries so that they reflect ecological reality as much as possible (avoid squares and other 'unnatural' shapes, encompass estuaries and landward sides of coastal zones, etc.). To enforce the protection of the area, the positions of fishing vessels could be monitored by the use of 'black box' position recorders.
6. It should be possible to alter the design or the management regime in light of new ecological and sociological information.
7. Design the marine protected area and develop its management plan with feasibility in mind, and look for ways of self-financing the management operation from the onset.
8. Obtain international recognition of the protected area, and assure a world-wide adopted legal status. Important instruments in this context include: United Nations Convention on Law of the Sea (UNCLOS); United Nations Environment Programme (UNEP); UNESCO's Biosphere Reserve Programme; Agreements from Agenda 21 of the Rio Meeting; and the RAMSAR Convention on Wetlands of International Importance.
9. Develop monitoring and evaluation methodologies that are appropriate to the specific objectives and include these in design criteria. Hereby, both the monitoring of biological, economical and social parameters and the prioritisation of research needs should be closely linked to the management objectives (FAO, 1998).
10. Form an independent, non-partisan or multi-user group to manage the marine protected area and to monitor its effectiveness using established benchmarks.
11. Undertake valuation exercises periodically under a broader public to ensure that the full value of the marine protected area is being realised.
12. Use the marine protected area as a way of raising awareness and stimulating education.

Conclusions

There are undoubtedly many potential benefits that might be derived from the creation of protected areas in the marine environment. Nature conservation calls for them, scientific research desperately needs them and even fisheries might benefit from them. However, the establishment of such areas in the open seas of Europe will demand the approval of the European Community. In addition, local economies may be adversely affected by the creation of fishing-free areas; hence, a long and difficult political process lies ahead, during which sociocultural issues will have to be

taken into account (Fiske, 1992). Only an approach that integrates the needs and priorities of all managers, users and the scientists involved will facilitate the successful creation of protected and closed areas.

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The Effects of Fishing on Fish Habitat

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Abstract.—The 1996 Magnuson–Stevens Fishery Conservation and Management Act mandates that regional fishery management councils must designate essential fish habitat (EFH) for each managed species, assess the effects of fishing on EFH, and develop conservation measures for EFH where needed. This synthesis of fishing effects on habitat was produced to aid the fishery management councils in assessing the impacts of fishing activities. A wide range of studies was reviewed that reported effects of fishing on habitat (i.e., structural habitat components, community structure, and ecosystem processes) for a diversity of habitats and fishing gear types. Commonalities of all studies included immediate effects on species composition and diversity and a reduction in habitat complexity. Studies of acute effects were found to be a good predictor of chronic effects. Recovery after fishing was more variable depending on habitat type, life history strategy of component species, and the natural disturbance regime. The ultimate goal of gear impact studies should not be to retrospectively analyze environmental impacts but ultimately to develop the ability to predict outcomes of particular management regimes. Synthesizing the results of these studies into predictive numerical models is not currently possible. However, conceptual models can coalesce the patterns found over the range of observations and can be used to predict effects of gear impacts within the framework of current ecological theory. Initially, it is useful to consider fishes' use of habitats along a gradient of habitat complexity and environmental variability. Such considerations can be facilitated by a model of gear impacts on a range of seafloor types based on changes in structural habitat values. Disturbance theory provides the framework for predicting effects of habitat change based on spatial patterns of disturbance. Alternative community state models and type 1–type 2 disturbance patterns may be used to predict the general outcome of habitat management. Primary data are lacking on the spatial extent of fishing-induced disturbance, the effects of specific gear types along a gradient of fishing effort, and the linkages between habitat characteristics and the population dynamics of fishes. Adaptive and precautionary management practices will therefore be required until empirical data become available for validating model predictions.

Habitat alteration by the fishing activities themselves is perhaps the least understood of the important environmental effects of fishing.—National Research Council (1994)

Stationary fishing gear (e.g., traps, gill nets, and longlines) and small-scale mobile gear (i.e., beam trawls and shellfish dredges) towed from sailing vessels were used in the 19th century to harvest living marine resources. The widespread use of mobile fishing gear beyond nearshore regions and the use of larger vessels for all gear types became possible only after the development of motorized propulsion and the steam capstan and winch. This widespread and critical change in fishing technology began in England with the launch of the steam trawler *Berta* in the late 1800s. Fishing effort and the range of technologies that support the industry have increased greatly during the last century. For a large number of harvested species, catch per unit effort has greatly

decreased, and the populations of those species have also declined (FAO 1997). Many species are targeted throughout their geographic range, and the wide array of harvesting systems (e.g., traps, gill nets, longlines, trawls, scallop dredges, hydraulic clam dredges) allow fishing to occur over the widest range of habitat types.

A lack of understanding of the ecological consequences of removals of fish, and the direct effects of fishing and fishing gear on community and ecosystem functions, have produced questions about the sustainability of current levels of fishing. The number of reviews on this topic that have been produced during the past decade is perhaps the best indicator of this concern (ICES 1988, 1992, 1996; Hutchings 1990;

Part Three: Fishing Impacts on Fish Habitat

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As ocean researchers and commercial harvesters increasingly acknowledge the importance of habitat in building sustainable fisheries, questions about the effects of man's activities on marine biodiversity, fisheries productivity, and ecosystem health will become of even greater concern. In their examination of the impact of commercial harvesting on fish habitat, the speakers in this session demonstrated the value of diverse perspectives and approaches to questions of environmental policy.

Peter Auster and Richard Langton discussed the use of conceptual models, based on ecological disturbance theory, for needed predictive assessments of gear impacts on fish habitat. The models are particularly useful to understand impacts on fish population dynamics, species composition, and diversity for a variety of gear types used in a variety of habitats. An examination (by Judith Pederson and Madeline Hail-Arber) of fishermen's perspectives on fishing gear impacts on habitat represented an important—and usually ignored—aspect of this issue. This preliminary study makes clear the need for more extensive and carefully designed methods of seeking information on the attitudes, opinions, and knowledge base of commercial fishermen. Michel Kaiser et al. compared diverse benthic communities in shallow and deep water in the southern North Sea and eastern English Channel to infer the communities' vulnerability to disturbance and their topographic complexity—and to hypothesize about the importance of these habitat characteristics for various fish species. Joseph DeAlteris et al. provided a synthesis of comprehensive side-scan sonar survey data from Narragansett Bay, Rhode Island and provided a valuable comparison of anthropogenic impacts and natural disturbance.

The studies included in this section demonstrated the need for the integration of physical, biological, and social science perspectives in the examination of any issue in marine resource use. Integration of these perspectives is particularly important when the results of scientific inquiry have profound import for the environment, the health of marine ecosystems, the economic viability of coastal communities, and the preservation of a traditional way of life.

Messieh et al. 1991; Jones 1992; Langton 1994; National Research Council 1994, 1995; Dayton et al. 1995; Roberts 1995; Jennings and Kaiser 1998). In the United States, the need for information leading to predictive capabilities and precautionary approaches to this topic will only increase as a result of the legal requirement to manage essential fish habitat (Langton et al. 1996; Auster et al. 1997a).

The 1996 reauthorization of the Magnuson-Stevens Fishery Conservation and Management Act (the Magnuson-Stevens Act) requires the regional fishery management councils and the National Marine Fisheries Service (NMFS) to identify and designate essential fish habitat (EFH) for each managed species, identify adverse impacts to EFH (including those caused by fishing activities), and develop actions to conserve and enhance EFH. The Magnuson-Stevens Act defines EFH as "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." For the purpose of interpreting the definition (and for defining the scope of this report), "waters" is interpreted by NMFS as "aquatic areas and their associated physical, chemical, and biological properties that are used by fish, and may include areas historically used by fish where appropriate," and "substrate" is defined to include sediment, hard bottom, structures, and associated biological communities. These definitions provide substantial flexibility in defining EFH based on our knowledge of the different species and allow EFH to be interpreted within a broad ecosystem perspective. "Disturbance" has been defined as "any discrete event in time that disrupts ecosystem, community, or population structure and changes resources, substrate availability, or the physical environment" (Pickett and White 1985). Disturbance can be caused by many natural processes including currents, predation, and iceberg scour (Hall 1994). Human-caused disturbance can result from activities such as harbor dredging and fishing with fixed and mobile gear. Disturbance can be gauged by both intensity (as a measure of the force of disturbance) and severity (as a measure of impact on the biotic community). Table 1 summarizes the relative effects of the range of agents that produce disturbances in marine communities. From an ecological perspective, fishing is the most widespread form of direct disturbance in marine systems below depths that are affected by storms (Watling and Norse 1998).

One of the most difficult aspects of estimating the extent of fishing impacts on habitat is the lack of high-resolution data on the distribution of fishing effort. Fishers are often resistant to reporting effort based on locations of individual tows or sets (for the obvious reason of divulging productive locations to competitors and regulators). Effort data in many fisheries are therefore apportioned to particular statistical areas for monitoring purposes. Using this type of data it has been possible to obtain averages of effort, and subsequent extrapolations of area impacted, for larger regions. For eight of the most heavily fished areas in the southern North Sea, for example, Rijnsdorp et al. (1996) estimated that between 1993 and 1996 a mean of 51% of the area was trawled one to five times per year, 33% was trawled less than once per year, and 4% was trawled 10–50 times per year. Trawling effort in the Middle Atlantic Bight off the northeast United States was summarized by Churchill (1989). Trawled area estimates were extrapolated from fishing effort data in 30' latitude \times 30' longitude blocks. The range of effort was quite variable but the percent area impacted in some blocks off southern New England in 1985 was more than 200% with one block reaching 413%. Estimating the spatial impact of fixed gears is even more problematic. For example, during 1996 there were 2,690,856 lobster traps fished in the state of Maine (Maine Department of Marine Resources, unpublished data). These traps were hauled on average every 4.5 d, or 81.4 times per year. Assuming a 1-m² footprint for each trap, the area impacted was 219 km². If each trap was dragged across an area three times the footprint during set and recovery, the area impacted was 657 km². A lack of data on the extent of the area actually disturbed makes analysis of the impacts of fishing on habitat in those fisheries difficult.

The overall impact of fishing on the North American continental shelf is unknown despite research efforts in the United States spanning nearly 80 years. Alexander et al. (1914) reported that the effect of trawling on the bottom was negligible and stated that "otter trawls do not seriously disturb the bottom over which they are fished nor materially denude it of organisms which directly or indirectly serve as food for commercial fishes." Their conclusion was based on data from the catches, discounting the lack of data on organisms that passed through the trawl meshes. They also attributed shifts in species composition and abundance only to harvesting by the fishery with no connection to

TABLE 1.—Comparisons of intensity and severity of three types of sources of physical disturbance to the seafloor (based on Hall 1994; Watling and Norse 1998). Intensity is a measure of the force of physical disturbance, and severity is the impact on the benthic community.

Source	Intensity	Severity
Abiotic		
Waves	Low during long temporal periods but high during storm events (to 70–80 m depth)	Low over long temporal periods because taxa adapted to these events but high locally depending on storm behavior
Currents	Low because bed shear normally lower than critical velocities for large volume and rapid sediment movement	Low because benthic stages rarely lost due to currents
Iceberg scour	High locally because scouring results in significant sediment movement but low regionally	High locally due to high mortality of animals but low regionally
Biotic		
Bioturbation	Low because sediment movement rates are small	Low because infauna have time to repair tubes and burrows
Predation	Low on a regional scale but high locally due to patchy foraging	Low on a regional scale but high locally due to small spatial scales of high mortality
Human		
Dredging	Low on a regional scale but high locally due to large volumes of sediment removal	Low on a regional scale but high locally due to high mortality of animals
Land alteration (causing silt-laden runoff)	Low because sediment-laden runoff per se does not exert a strong physical force	Low on a regional scale but high locally where siltation over coarser sediments causes shifts in associated communities
Fishing	High due to regionwide fishing effort	High due to regionwide disturbance of most types of habitat

changes in habitat structure or the benthic community. This conclusion is not surprising given the state of ecological knowledge at the time (Auster 1988). Many more studies, using a wide range of gear types, have been conducted since that time at locations around the world.

Herein we summarize and interpret the current scientific literature on fishing impacts as they relate to fish habitat. We discuss these studies within three broad subject areas: effects on structural components of habitat, effects on benthic community structure, and effects on ecosystem-level processes. The interpretation is based on commonalities and differences between studies. Fishing gear types are discussed as general categories (e.g., trawls, dredges, fixed gear). The necessity for these generalizations is based on two overriding issues: (1) many studies do not specify the exact type and configuration of fishing gear used, and (2) each study reports on a limited range of habitat types. We recognize that individual units of fishing effort with different gears will produce a gradient of results (e.g., a scallop dredge or beam trawl will produce a greater force on the seafloor than a small whiting trawl, tickler chains will produce a different

effect than rock-hopper or "street-sweeper" gear on the groundline of a trawl, king crab *Paralithodes camshaticus* pots are larger and heavier than pots used for American lobster *Homarus americanus*). However, our interpretation of the wide range of studies is based on the type and direction of impacts, not absolute levels of impacts. We do not address the issues of bycatch (Alverson et al. 1994), mortality of gear escapees (Chopin and Arimoto 1995), or ghost-fishing gear (Jennings and Kaiser 1998) as these issues do not directly relate to fish habitat and because recent reviews have been published that address these subjects.

Effects on Structural Components of Habitat

Interpretation of Results

The environmental characteristics that define species distributions can be found at a variety of spatial and temporal scales (e.g., Langton et al. 1995). At regional scales, the seasonal variations in

TABLE 2.—Studies of the impacts of mobile fishing gear on the structural components of fish habitat.

Habitat	Gear type	Location	Results	Reference(s)
Eelgrass	Scallop dredge	North Carolina	Comparison of reference quadrats with treatments of 15 and 30 dredgings in hard sand and soft mud substrates within eelgrass meadows. Eelgrass biomass was significantly greater in hard sand than soft mud sites. Increased dredging resulted in significant reductions in eelgrass biomass and number of shoots.	Fonseca et al. (1984)
Eelgrass and shoalgrass	Clam rake and "clam kicking"	North Carolina	Comparison of effect of two fishing methods. In raking and "light" clam-kicking treatments, biomass of seagrass was reduced approximately 25% below reference sites but recovered within 1 year. In "intense" clam-kicking treatments, biomass of seagrass declined approximately 65% below reference sites. Recovery did not begin until more than 2 years after impact, and biomass was still 35% below the level predicted from controls to show no effect.	Peterson et al. (1987)
Eelgrass and shoalgrass	Clam rakes (pea digger and bull rake)	North Carolina	Compared impacts of two clam rake types on removal of seagrass biomass. The bull rake removed 89% of shoots and 83% of roots and rhizomes in a completely raked 1 m ² area. The pea digger removed 55% of shoots and 37% of roots and rhizomes.	Peterson et al. (1983)
Sea grass	Trawl	Western Mediterranean	Noted loss of <i>Posidonia</i> meadows due to trawling (45% of study area). Monitored recovery of the meadows after installing artificial reefs to stop trawling. After three years plant density has increased by a factor of six.	Guillen et al. (1994)
Sponge-coral hard-bottom	Roller-rigged trawl	Off Georgia coast	Assessed effect of single tow. Damage to all species of sponge and coral observed: 31.7% of sponges, 30.4% of stony corals, and 3.9% of octocorals. Only density of barrel sponges (<i>Cliona</i> spp.) significantly reduced. Percent of stony coral damage high because of low abundance. Damage to other sponges, octocorals, and hard corals varied but changes in density not significantly different. No significant differences between trawled and reference sites after 12 months.	Van Dolah et al. (1987)
Sponge-coral hard-bottom	Roller-frame shrimp trawl	Biscayne Bay, Florida	Damage to approximately 50% of sponges, 80% of stony corals, and 38% of soft corals.	Tilmant (1979) (cited in Van Dolah et al. 1987)
Various tropical emergent benthos	Trawl	Northwest shelf, Australia	Catch rates of all fish and large and small benthos show that in closed areas, fish and small benthos abundance increased over 5 years while large benthos (>25 cm) stayed the same or increased slightly. In trawled areas all groups of animals declined. Found that settlement rate and growth to 25 cm was on the order of 15 years for the benthos.	Sainsbury et al. (1997)

TABLE 2.—(Continued.)

Habitat	Gear type	Location	Results	Reference(s)
Gravel pavement	Scallop dredge	Georges Bank	Assessed cumulative impact of fishing. Undredged sites had significantly higher percent cover of the tube-dwelling polychaete <i>Filograna implexa</i> and other emergent epifauna than dredged sites. Undredged sites had higher numbers of organisms, biomass, species richness, and species diversity than dredged sites. Undredged sites were characterized by bushy epifauna (bryozoans, hydroids, worm tubes), while dredged sites were dominated by hard-shelled molluscs, crabs, and echinoderms.	Collie et al. (1996, 1997)
Gravel-boulder	Assumed roller-rigged trawl	Gulf of Maine	Comparison of site surveyed in 1987 and revisited in 1993. Initially, mud-draped boulders and high-density patches of diverse sponge fauna. In 1993, evidence of moved boulders, reduced densities of epifauna, and extreme truncation of high-density patches.	Auster et al. (1996)
Cobble-shell	Assumed trawl and scallop dredge	Gulf of Maine	Comparison of fished site and adjacent closed area. Statistically significant reduction in cover provided by emergent epifauna (e.g., hydroids, bryozoans, sponges, serpulid worms) and sea cucumbers.	Auster et al. (1996)
Gravel	Beam trawl	Irish Sea	An experimental area was towed 10 times. Density of epifauna (e.g., hydroids, soft corals, <i>Alcyonium digitatum</i>) was decreased approximately 50%.	Kaiser and Spencer (1996a)
Boulder-gravel	Roller-rigged trawl	Gulf of Alaska	Comparisons of single-tow trawled lane with adjacent reference lane. Significant reductions in density of structural components of habitat (two types of large sponges and anthozoans). No significant differences in densities of small sponge and mobile invertebrate fauna. However, 20.1% of boulders moved or dragged, and 25% of ophiuroids (<i>Amphiophiura ponderosa</i>) in trawled lanes were crushed or damaged compared to 2% in reference lanes.	Freese et al. (in press)
Gravel over sand	Scallop dredge	Gulf of St. Lawrence	Assessed effects of single tows. Suspended fine sediments and buried gravel below the sediment-water interface. Overtumed boulders.	Caddy (1973)
Bryozoan beds (on sand and cobble)	Otter trawl and roller-rigged trawl	New Zealand	Qualitative comparison of closed and open areas. Two bryozoans produce "coral-like" forms and provide shelter for fishes and their prey. Comparisons of fished site with reference sites and prior observations from fishers show reduced density and size of bryozoan colonies.	Bradstock and Gordon (1983)
Mussel bed	Otter trawl	Strangford Lough, Northern Ireland	Comparison of characteristics of trawled and untrawled <i>Modiolus modiolus</i> beds as pre- and post-impacts of a trawl. Trawled areas, confirmed with sidescan sonar, showed mussel beds disconnected with reductions in attached epibenthos.	Magorrian (1995)

TABLE 2.—(Continued.)

Habitat	Gear type	Location	Results	Reference(s)
			The most impacted sites were characterized by few or no intact clumps, mostly shell debris, and sparse epifauna. Trawling resulted in a gradient of complexity with flattened regions at the extreme. Immigration of <i>Nephtys</i> into areas previously dominated by <i>Modiolus</i> may result in burial of new recruits due to burrowing activities, precluding a return to a functional mussel bed habitat.	
Sand-mud	Trawl and scallop dredge	Hauraki Gulf, New Zealand	Comparisons of 18 sites along a gradient of fishing effort (i.e., heavily fished sites through unfished reference sites). A gradient of increasing large epifaunal cover correlated with decreasing fishing effort.	Thrush et al. (in press)
Soft sediment	Scallop dredge	Port Phillip Bay, Australia	Compared reference and experimentally towed sites. Bedforms consisted of cone-shaped callianasid mounds and depressions prior to impact. Depressions often contained detached sea grasses and macroalgae. Only dredged plot changed after dredging. Eight days after dredging the area was flattened; mounds were removed and depressions filled. Most callianasids survived, and density did not change in three months following dredging. One month post impact, seafloor remained flat and dredge tracks distinguishable. Six months post impact mounds and depressions were present, but only at 11 months did the impacted plot return to control plot conditions.	Currie and Parry (1996)
Sand	Beam trawl	North Sea	Observations of effects of gear. As pertains to habitat, trawl removed high numbers of the hydroid <i>Tubularia</i> .	de Groot (1984)
Gravel-sand-mud	Trawl	Monterey Bay	Comparison of heavily trawled (HT) and lightly (LT) sites. The seafloor in the HT area had significantly higher densities of trawl tracks while the LT area had significantly greater densities of rocks >5 cm and mounds. The HT area had shell debris on the surface while the LT area had a cover of flocculent material. Emergent epifauna density was significantly higher for all taxa (anemones, sea pens, sea whips) in the LT area.	Engel and Kvitek (1998)
Sand	Otter trawl	North Sea	Observations of direct effects of gear. Well-buried boulders removed and displaced from sediment. Trawl doors smoothed sand waves. Penetrated seabed 0–40 mm (sand and mud).	Bridger (1970, 1972)
Sand-shell	Assumed trawl and scallop dredge	Gulf of Maine	Comparison of fished site and adjacent closed area. Statically significant reduction of habitat complexity based on reduced cover provided by biogenic depressions and sea cucumbers. Observations at another site showed multiple scallop dredge paths resulting in smoothed bedforms. Scallop dredge paths removed cover provided by hydrozoans, which reduced local densities of associated shrimp species. Evidence of shell aggregates dispersed by scallop dredge.	Auster et al. (1996)

TABLE 2.—(Continued.)

Habitat	Gear type	Location	Results	Reference(s)
Sand-silt to mud	Otter trawl with chain sweep and roller gear	Long Island Sound	Diver observations showed doors produced continuous furrows. Chain gear in wing areas disrupted amphipod tube mats and bounced on bottom around mouth of net, leaving small scoured depressions. In areas with drifting macroalgae, the algae draped over net groundgear during tows and buffered effects on the seafloor. Roller gear also created scoured depressions. Spacers between discs lessened impacts.	Smith et al. (1985)

seawater temperature can explain annual variations in the distribution of fishes (e.g., Murawski 1993). Within regions, temporally stable associations of species have been found and tend to follow isotherms and isobaths (Gabriel and Tyler 1980; Colvocoresses and Musick 1984; Overholtz and Tyler 1985; Phoel 1986; Gabriel 1992). Species groups are sometimes seasonal and may split or show changes in composition that correlate with temperature patterns. Nested within regional scale patterns are small-scale variations in abundance and distribution of demersal fishes that can be partially attributed to variation in topographic structure. In contrast, habitat associations for coral reef fishes, kelp bed fishes, sea grass fishes, and rock reef fishes are relatively clear (e.g., Heck and Orth 1980; Ebeling and Hixon 1991; Sale 1991). The entire demersal stage of the life history of many species associated with these unique habitats have obligate habitat requirements or demonstrate recruitment bottlenecks. Without the specific structural components of habitat, the populations of fishes with these habitat requirements would not persist. However, a gradient of habitat dependence can be found in the range of demersal fish species globally. For example, early benthic phase Atlantic cod *Gadus morhua* require cobble or similar complex bottom for survival but have a refuge in size, and habitat associations are more facultative as size increases (Lough et al. 1989; Gotceitas and Brown 1993; Tupper and Boutilier 1995). Other species, however, have facultative habitat associations throughout their life (e.g., Auster et al. 1991, 1995, 1997b; Sogard and Able 1991; Able et al. 1995; Langton et al. 1995; Szedlmayer and Howe 1997). These associations may increase survivorship of individuals and may contribute to wide variations in recruitment, but they are not obligate for the survival of populations (e.g., Lindholm et al. 1998).

"Habitat" has been defined as "the structural component of the environment that attracts organisms and serves as a center of biological activity" (Peters and Cross 1992). Habitat in this case includes the range of sediment types (i.e., mud through boulders), bed forms (e.g., sand waves and ripples, flat mud) as well as the co-occurring biological structures (e.g., shell, burrows, sponges, sea grass, macroalgae, coral). A review of 22 studies (Table 2) all show measurable impacts of mobile gear on the structural components of habitat (e.g., sand waves, emergent epifauna, sponges, coral) when defining habitat at this spatial scale. Results of each of the studies show similar classes of impacts despite the wide geographic range of the studies (i.e., tropical to boreal). In summary, mobile fishing gear reduced habitat complexity by: (1) directly removing epifauna or damaging epifauna leading to mortality, (2) smoothing sedimentary bedforms and reducing bottom roughness, and (3) removing taxa that produce structure (i.e., taxa that produce burrows and pits). Studies that have addressed both acute and chronic impacts have shown the same types of effects (Figure 1).

Little has been written about the recovery of seafloor habitat from fishing gear effects. Recovery of storm-caused sedimentary features depends primarily on grain sizes of sediment and depth to which storm-generated surge and currents occur. Some features can be reformed after seasonal or annual storm events, while others will depend on larger meteorological events that occur on decadal time scales or longer. Recovery of biogenic features will depend on recruitment or immigration, depending on the spatial extent of impacts. Recovery will also depend on whether impacts are short term or chronic. For example, on coral-sponge hard bottom off the coast of Georgia, Van Dolah et al. (1987) found no long-

term effects of trawling on the benthic community. After one year the sponges and octocorals that were experimentally trawled recovered with densities reaching or exceeding pretrawling levels at the study site. However, it is important to note that this study did not address chronic effects but rather a single tow of a roller-rigged trawl.

Few accounts of the impacts of fixed gears on habitat have been published. Eno et al. (1996) studied the effects of crustacean traps in British and Irish waters. One experiment assessed the effects of setting and hauling pots on emergent epifaunal species (sea pens) on soft bottom. Both impacts from dragging pots across the bottom and pots resting for extended periods on sea pens showed that the group was able to mostly recover from such disturbances. Limited qualitative observations of fish traps, longlines, and gill nets dragged across the seafloor during set and recovery showed results similar to mobile gear such that some types of epibenthos were dislodged, especially emergent species such as erect sponges and corals (SAFMC 1991; W. L. High, Alaska Fisheries Science Center, unpublished data). Although the area impacted per unit of effort is smaller for fixed gear than for mobile fishing gear, the types of damage to emergent benthos appear to be similar (but not necessarily equivalent per unit effort). Quantitative studies of fixed-gear effects, based on acute and chronic impacts, have not been conducted.

The issue of defining pelagic habitats and elucidating effects of fishing is difficult because these habitats are poorly described at the scales that allow for measurements of change based on gear use. Although pelagic habitat can be defined based on temperature, light intensity, turbidity, oxygen concentration, currents, frontal boundaries, and a host of other oceanographic parameters and patterns, there are few published data that attempt to measure change in any of these types of parameters or conditions concurrently with fishing activity and associations of fishes. Kroger and Guthrie (1972) showed that menhaden (*Brevortia patronus* and *B. tyrannus*) were subjected to greater predation pressure, at least from visual predators, in clear versus turbid water, suggesting that turbid habitats were a greater refuge from predation. This same type of pattern was found for menhaden in both naturally turbid waters and in the turbid plumes generated by oyster shell dredging activities (Harper and Hopkins 1976). However, no work has been published that addresses the effects of variation in time and space of the plumes or the effects of turbid water refugia

on feeding and growth. There are also examples of small-scale aggregations of fishes with biological structures in the water column and at the surface. Aggregations of fishes may have two effects on predation patterns by: (1) reducing the probability of predation on individuals within the aggregation, and (2) providing a focal point for the activities of predators (a cue that fishermen use to set gear). For example, small fishes aggregate under mats of *Sargassum* (e.g., Moser et al. 1998), and high-density vessel traffic may disaggregate mats. Also, fishes have been observed to co-occur with aggregations of gelatinous zooplankton and pelagic crustaceans (Auster et al. 1992; Brodeur, in press). Gelatinous zooplankton are greatly impacted as they pass through the mesh of either mobile or stationary gear (P. J. Auster, unpublished observations), which may reduce the size and number of zooplankton aggregations and disperse associated fishes. These changes could reduce the value of aggregating, resulting in increased mortality or reduced feeding efficiency.

Implications for Management

Commonalities in gear impact studies on habitat structure allow for the production of a conceptual model to visualize the patterns in gear impacts across a gradient of habitat types. Auster et al. (1998) developed a hierarchical, categorical approach for classifying habitats on the cold temperate and boreal continental shelf of the northwest Atlantic. This type of classification scheme has proven very useful in habitat management for freshwater fisheries. The range of habitat types was condensed into eight habitat categories increasing from simple to complex (Table 3). For example, currents form sand wave fields that provide shelter for fish from high current speeds. This shelter reduces the energy needed to maintain position on the bottom and permits ambush predation of drifting demersal zooplankton. Storm currents sort loose sediments and deposit shells and cobbles in the troughs of sand waves. These small crevices provide an ephemeral habitat for small fishes and crustaceans. Cobble bottoms provide interstices for shelter sites but also provide a hard surface for epibenthic organisms such as sponges and bryozoans to attach. These emergent epifauna provide additional cover value. Scattered boulders also provide shelter from currents, and boulder piles provide deep crevices for shelter required by some species such as redfish *Sebastes* spp.

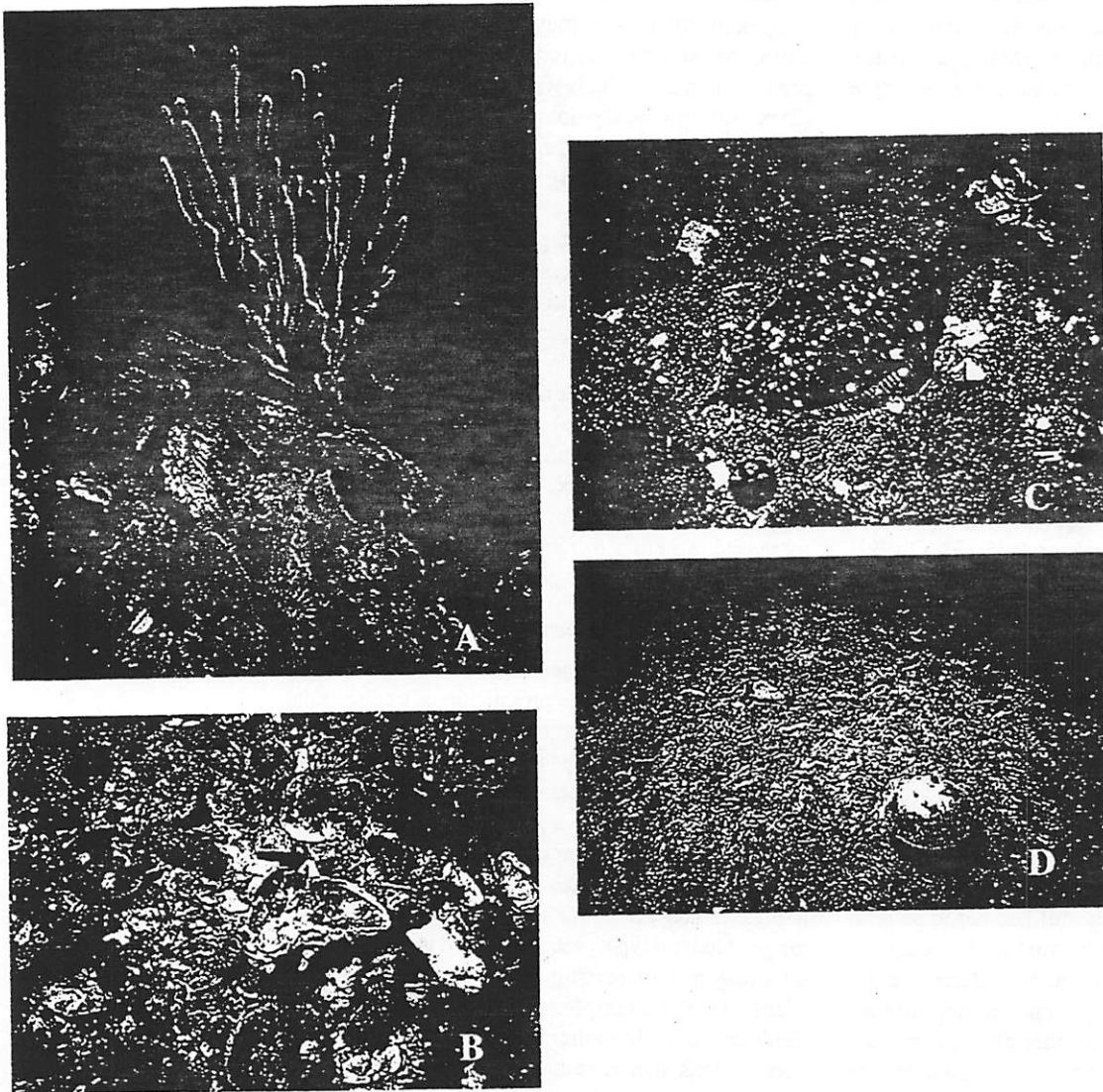


FIGURE 1.—Photographs A–G compare impacts at sites with acute and chronic disturbance by fishing gear. Acute disturbance by a single pass of a scallop dredge at a coastal site in the Gulf of Maine (ca. 20 m depth). Photographs were taken within hours after the pass of the scallop dredge. Photographs A and B represent before-and-after images from a cobble–shell habitat. Note that the sponge colonies that stabilize the shell aggregates are removed in the impacted state. Photographs C and D represent before-and-after images from a sand–shell habitat. Note that the worm tube mats are severely disrupted in the impacted state (Auster, in press). Photographs E–G show chronic disturbance due to continued fishing on the northeast peak of Georges Bank. All photographs taken in July 1997. Photograph E shows an undisturbed area on the Canadian side of the bank which has been closed to fishing (84 m depth). Photograph F shows a site closed to fishing since December 1994. Photograph G shows a site still impacted by fishing gear. (Georges Bank images courtesy of Page Valentine, U.S. Geological Survey).

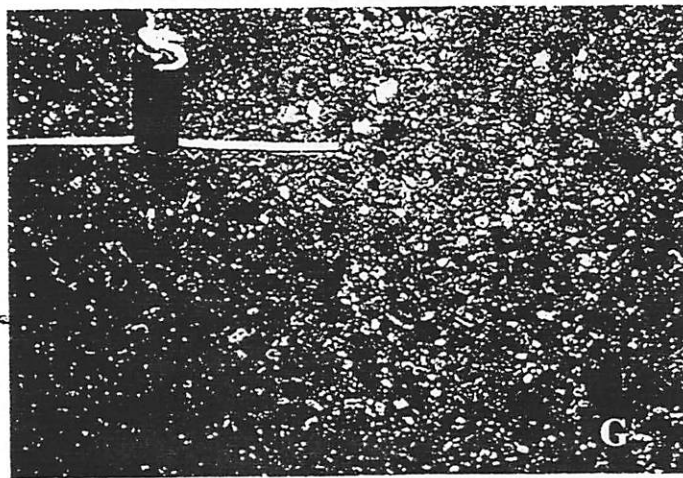
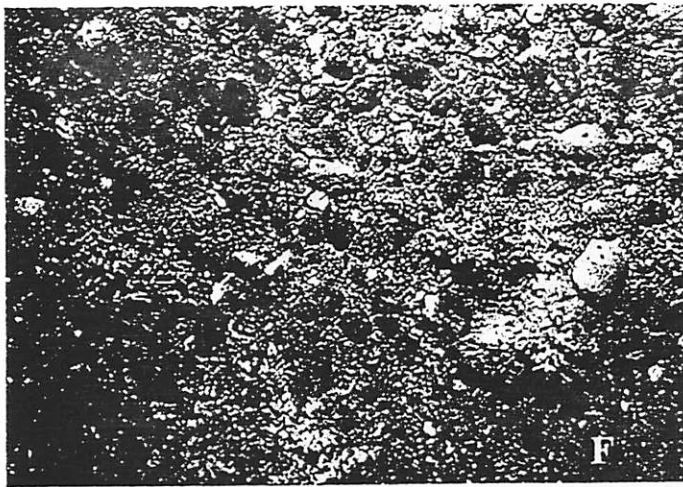
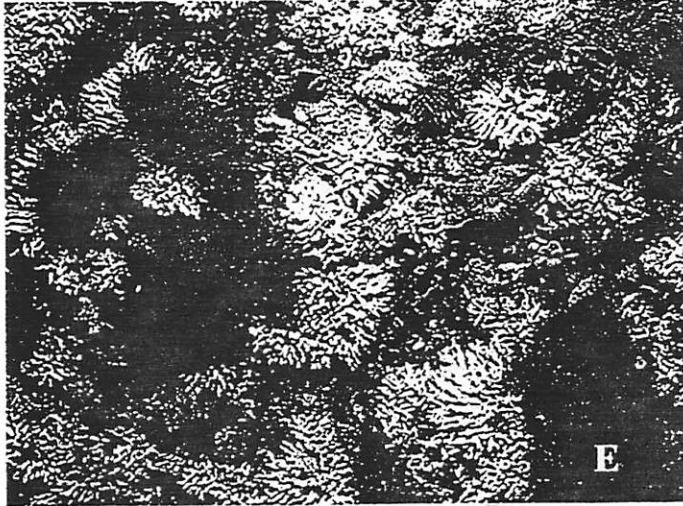


TABLE 3.—Hierarchical classification of fish habitat types (from Auster 1998; Auster et al. 1998) on the outer continental shelf of the cold temperate and boreal northwest Atlantic. (Categories are based on Auster et al. 1995; Langton et al. 1995; Auster et al. 1996; and unpublished observations).

Category	Habitat type	Description and rationale	Complexity score
1	Flat sand and mud	Areas such as depressions, ripples, or epifauna that provide no vertical structure.	1
2	Sand waves	Troughs that provide shelter from currents. Previous observations indicate that species such as silver hake <i>Merluccius bilinearis</i> keep on the down-current sides of sand waves and ambush drifting demersal zooplankton and shrimp.	2
3	Biogenic structures	Features such as burrows, depressions, cerianthid anenomes, and hydroid patches that are created and used by mobile fauna for shelter.	3
4	Shell aggregates	Areas that provide complex interstitial spaces for shelter. As an aside, shell aggregates also provide a complex high-contrast background that may confuse visual predators.	4
5	Pebble-cobble	Areas that provide small interstitial spaces and may be equivalent in shelter value to shell aggregate. However, shell is a more ephemeral habitat.	5
6	Pebble-cobble with sponge cover	Attached fauna such as sponges provide additional spatial complexity for a wider range of size classes of mobile organisms.	10
7	Partially buried or dispersed boulders	Although not providing small interstitial spaces or deep crevices, partially buried boulders do exhibit high vertical relief, and dispersed boulders on cobble pavement provide simple crevices. The shelter value of this type of habitat may be less or greater than previous types based on the size class and behavior of associated species.	12
8	Piled boulders	Areas that provide deep interstitial spaces of variable sizes.	15

Habitat value for each habitat type does not increase linearly. Each category was assigned a numerical score based on its level of physical complexity (note that this model does not include effects of fishing on biodiversity *per se*). Categories 1 through 5 increase linearly. Starting at category 6, the score of 10 is based on a score of 5 (i.e., the score for cobble) from the previous category plus a score of 5 for dense emergent epifauna that is assumed to double the cover value of small interstices alone. Category 7 is scored for cobble and emergent epifauna (i.e., 10) plus 2 more points for shallow boulder crevices and refuges from current. Finally, category 8 is scored as 15 because of the presence of shallow crevices and current refuges (previously scored as 12), plus deep crevices scored as 3. These scores are therefore the starting points representing unimpacted habitats.

A pictorial representation of the model, shown in Figure 2, indicates the response of the range of seafloor habitat types to increases in fishing effort (Auster, *in press*). The range of fishing effort in-

creases from left to right along the *x*-axis with 0 indicating no gear impacts and 4 indicating the maximum effort required to produce the greatest possible change in habitat complexity. The numbers at present are dimensionless because better data are needed on the effects of various gear types at various levels of effort over specific habitats. The *y*-axis is a comparative index of habitat complexity. Each habitat type starts near the *y*-axis at the value of the habitat in an unimpacted condition. The habitat categories are representative of the common types of habitat found across the northeast U.S. continental shelf and are likely to be found on most other continental shelf areas of the world. The responses to different types of bottom-contact fishing gear are assumed to be similar.

This model shows a range of changes in habitat complexity based on gear impacts. It predicts reductions in the complexity provided by bedforms from direct smoothing by gear. Biogenic structures are reduced by a number of mechanisms such as direct gear impacts as well as removal of organisms

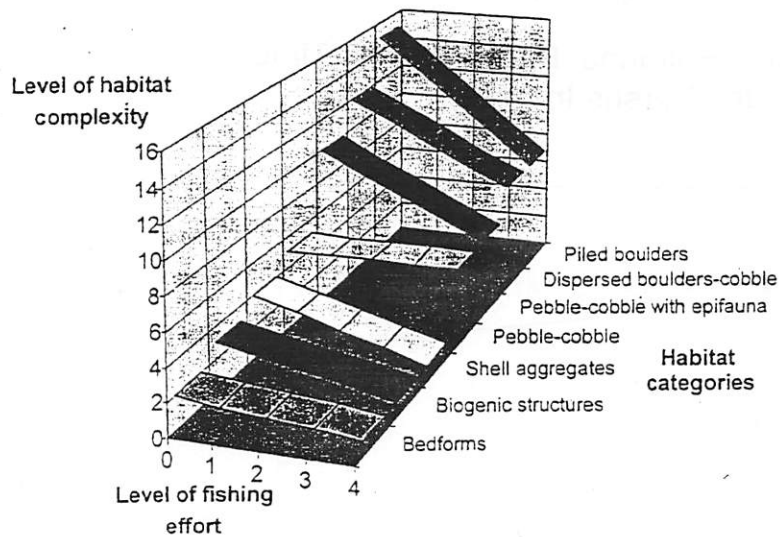


FIGURE 2.—Conceptual fishing gear impact model. The range of fishing effort increases from left to right along the *x*-axis with 0 as a pristine condition and 4 as a maximally impacted state. The *y* and *z* axes are based on information in Table 3. The *y*-axis is a comparative index of habitat complexity. The *z* axis shows the range of habitat categories from simple (bedforms) to complex (piled boulders).

that produce structures (e.g., crabs that produce burrows). There are some habitats where the model shows no significant reductions, such as gravel areas with very little epifaunal settlement. Although mobile gear would overturn pebbles and cobbles, the actual structural integrity of the habitat would not be reduced (although organisms on the undersides of cobbles are exposed to predation). However, the value of cobble pavements are greatly reduced when epifauna are removed, as biogenic structures provide additional cover. Gear can move boulders and still provide some measure of hydraulic complexity to the bottom by providing shelter from currents. On the other hand, piles of boulders can be dispersed by large trawls, and this reduces the cover value for crevice dwellers. The model should be widely applicable as the habitat types are widely distributed worldwide and the impacts are consistent with those described in the literature.

This conceptual model serves two purposes. First, it provides a holistic summary of the range of gear impacts across a range of habitat types. The end points in the model are based on empirical data and observations and should be useful for considering management actions for the conservation of fish habitat. The second purpose for developing the model is to provide a basis for future research. Although it is possible to ascribe the endpoints of habitat complexity at both unimpacted and fully impacted states, the slope of the line remains unknown, and the level of fishing effort

required to produce specific rates of change is also unknown for all gear types. Responses may be linear or nonlinear (e.g., logarithmic). Perhaps there are thresholds of disturbance beyond which some habitat types exhibit a response. Regardless, responses will most likely be habitat specific.

The impact model does not have an explicit time component. Here we add such a conceptual framework to the discussion. Cushing's match-mismatch hypothesis (Cushing 1975) has served as one of several hypotheses that explain annual variation in larval recruitment dynamics and has been the focus of large amounts of research effort for several decades. Here we propose a similar type of match-mismatch paradigm for linking variation in the survivorship of early benthic-phase fishes with the abundance of epibenthic organisms, particularly those with annual life histories, that may serve as habitat. Figure 3 shows the pattern in percent cover for an idealized benthic species that produces emergent structure (e.g., hydroid stalk, amphipod tube, mussel). This type of species has widespread settlement and occurs at high densities. At the time of settlement, large areas of the seafloor are occupied by this species. Over the course of time, predation and senescence reduce the cover provided by such taxa. The timing of settlement of early benthic-phase fish will greatly effect the cover value provided by the benthic taxa. In addition to natural processes, fishing gear impacts further reduce the cover value over time and can

Decline in Cover (Epifaunal Density) Over Time: Natural Versus Impacted

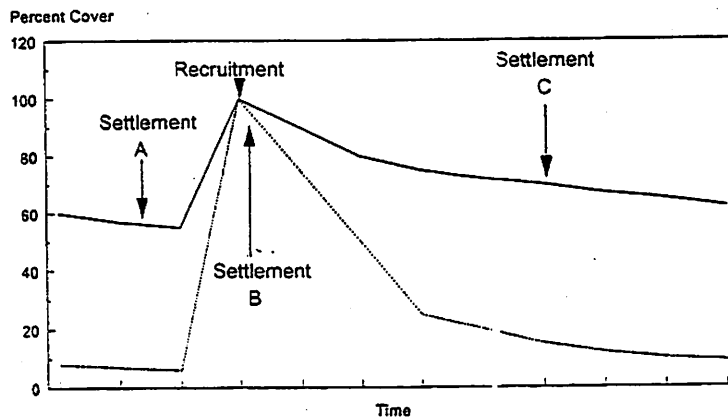


FIGURE 3.—Habitat match–mismatch paradigm that links variation in the survivorship of early benthic-phase fishes with abundance of epibenthic organisms. The illustration shows a temporal pattern in percent cover for an “idealized” benthic species with emergent structure (e.g., hydroid, amphipod tubes) under conditions of natural variation (solid line) and when impacted by fishing activities (dotted line). The habitat value of such areas is dependent on the timing of recruitment of fishes in relation to settlement and subsequent mortality of epibenthos from natural and human-caused sources. For example, at the time period marked A, settlement into unimpacted benthos provides greater cover for fishes than an area impacted by fishing. However, at the settlement period marked B, recruitment of epibenthos has recently occurred and the cover provided under either state is nearly identical. The settlement period marked C is similar to A and reflects the dichotomy of natural versus fishing-enhanced changes in a dynamic habitat.

narrow the window in which particular patches of epibenthos serve as effective cover for newly settled fishes. The time scale (x-axis) and patterns in the figure were developed to show an annual pattern representative of many taxa with such life history strategies, but this pattern can also be extended in time for longer-lived organisms. Like the conceptual impact model above, the timing and changes in slope of these lines are critical for understanding the dynamics of this interaction.

Ultimately, it will be necessary to develop models that include sensitivity indices for specific habitats, communities, and key taxa based on the effects of specific gear types, levels of effort, and life history patterns (of both fish and taxa that serve a habitat function). MacDonald et al. (1996) has developed such a sensitivity index to quantify the impact of fishing on particular epifaunal taxa in the North Sea region. The index is a function of recovery time after damage, fragility of the animal, and intensity of the impact.

Lack of information on the small-scale distribution and timing of fishing makes it difficult to ascribe the patterns of impacts observed in field studies to specific levels of fishing effort. Auster et al. (1996) estimated that between 1976 and 1991, Georges Bank was impacted by mobile gear (e.g., otter trawl,

roller-rigged trawl, scallop dredge) on average between 200 and 400% of its area on an annual basis, and the Gulf of Maine was impacted 100% annually. Fishing effort, however, was not homogeneous. Sea sampling data from NMFS observer coverage demonstrated that the distribution of tows was non-random (Figure 4). Although these data represent less than 5% of overall fishing effort, they illustrate that the distribution of fishing gear impacts is quite variable.

Recovery of habitat following trawling is difficult to predict as well. Timing, severity, and frequency of the impacts all interact to mediate processes that lead to recovery (Watling and Norse 1998). For example, sand waves may not be reformed until storm energy is sufficient to produce bedform transport of coarse sand grains (Valentine and Schmuck 1995), and storms may not be common until a particular time of year or may infrequently reach a particular depth, perhaps only on decadal time scales. Sponges are particularly sensitive to disturbance because they recruit aperiodically and are slow growing in deeper waters (Reiswig 1973; Witman and Sebens 1985; Witman et al. 1993). However, many species such as hydroids and ampelescid amphipods reproduce once or twice an-

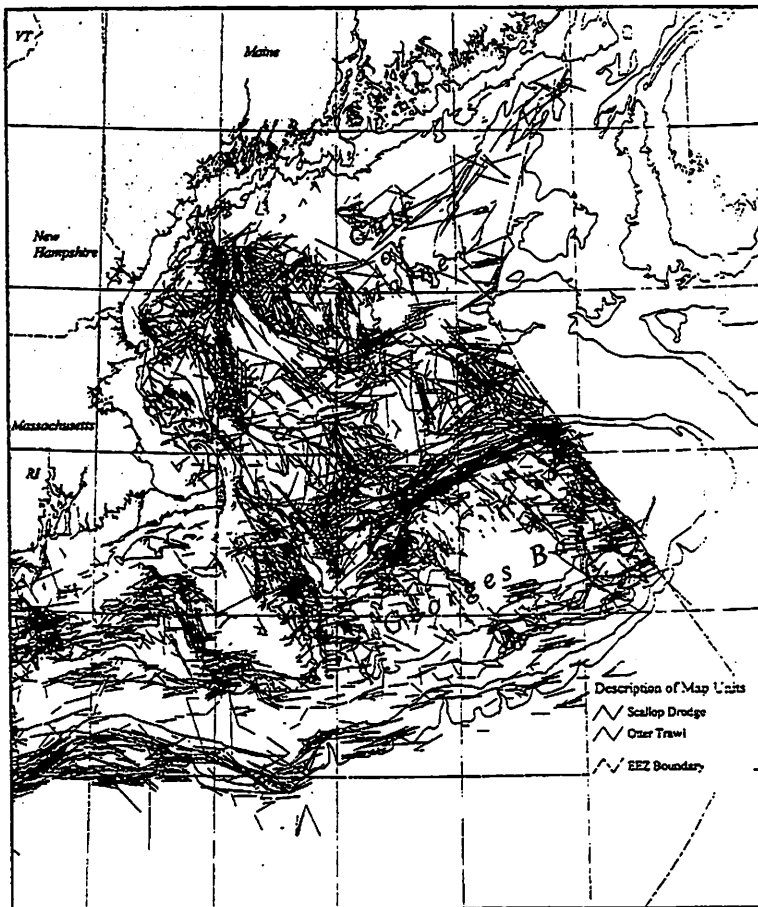


FIGURE 4.—Spatial distribution of trawl and scallop dredge tows from the National Marine Fisheries Service sea sampling database for 1989–1994 (April). This illustration represents a total of 14,908 tows. Note that the spatial distribution of effort is not homogeneous but aggregated in productive fishing areas.

nually, and their stalks and tubes provide cover for the early benthic phases of many fish species and their prey (e.g., Auster et al. 1996, 1997b). Where fishing effort is constrained within particular fishing grounds, and where data on fishing effort are available, studies that compare similar sites along a gradient of effort have produced the types of information on effort impact that will be required for effective habitat management (e.g., Collie et al. 1996, 1997; Thrush et al., in press).

The role these impacts on habitat have on harvested populations is unknown in most cases. However, a growing body of empirical observations and modeling demonstrates that effects can be seen in population responses at particular population levels. For example, Lindholm et al. (1998) have modeled the effects of habitat alteration on the survival of 0-year cohorts of Atlantic cod. The model results

indicate that a reduction in habitat complexity has measurable effects on population dynamics when the adult stock is at low levels (i.e., when spawning and larval survivorship does not produce sufficient recruits to saturate available habitats). At high adult population levels, when larval abundance may be high and settling juveniles would greatly exceed habitat availability, predation effects would not be mediated by habitat, and no effect in the response of the adult population to habitat change was found.

Empirical studies that most directly link changes due to gear impacts on habitat structure to population responses are being carried out in Australia. Sainsbury (1987, 1988, 1991) and Sainsbury et al. (1997) have shown a very tight coupling between a loss of emergent epifauna and fish productivity along the northwest continental shelf. In these studies there was a documented decline in the

bycatch of invertebrate epifauna in trawl catches, from 500 kg hr⁻¹ to only a few kg hr⁻¹, and replacement of the most commercially desirable fish associated with the epifaunal communities by less valuable species associated with more open habitat. By restricting fishing the decline in the fish population was reversed. This corresponded to an observed recovery in the epifaunal community, although the recovery for the larger epifaunal invertebrates showed a considerable lag time after trawling ceased. This work is based on a management framework developed to test hypotheses regarding the habitat dependence of harvested species. The hypotheses, described in Sainsbury (1988, 1991), assessed whether population responses were the result of:

1. independent single-species (intraspecific) responses to fishing and natural variation;
2. interspecific interactions such that as specific populations are reduced by fishing, nonharvested populations experienced a competitive release;
3. interspecific interactions such that as nonharvested species increase from some external process, their population inhibits the population growth rate of the harvested species; and
4. habitat mediation of the carrying capacity for each species, such that gear-induced habitat changes alter the carrying capacity of the area.

This is a primary example of adaptive management in which regulations were developed to test hypotheses and were the basis for modifying subsequent management measures. This type of management process exemplifies management of fisheries based primarily on an understanding of ecological relationships.

Effects on Community Structure

Interpretation of Results

Studies on the effects of fishing on benthic communities have often produced variable results regarding the impact on community structure. The reasons for these differences may include sampling strategies, use of different metrics, different methods of fishing, different functional groups of species that compose the community, and subtle differences in habitat type. Furthermore, studies have often been conducted in areas that have a history of fishing activity and therefore may not have truly undisturbed reference areas for comparison, despite the efforts of the investigator (see Hall

et al. 1993; M. J. Kaiser, University of Wales-Bangor, unpublished data). Changes in benthic community structure also have to be understood against a background of natural disturbance and variability (Thrush et al., in press). Bearing in mind these caveats, the literature on fishing gear impacts can be divided into short-term and long-term studies that reveal some common characteristics and patterns resulting from fishing on the seafloor.

An immediate reduction in the density of non-target species is often reported following impacts from mobile gear (Table 4). In assessing this effect it is common to compare numbers and densities for each species before and after fishing and with an undisturbed reference site. Kaiser and Spencer (1996a), for example, found a reduction in diversity and abundance of some taxa at one location in the Irish Sea where sediments were relatively stable. They reported a 58% decrease in mean abundance and 50% reduction in the mean number of species per sample. In contrast, at a location where the sediments were more mobile the impact of beam trawling was not as substantial. In other European studies, Bergman and Hup (1992) and Santbrink and Bergman (1994) have documented species- and size-specific differences in macrofaunal abundance and mortality, with densities decreasing for some species, and mortality increasing, after trawling. However, in other cases there were no observable effects. In a scallop-dredging study in New Zealand, two experimentally fished sites showed an immediate decrease in macrofaunal densities in comparison to corresponding reference sites (Thrush et al. 1995). In an 88-d study of scallop dredging in Australia, Currie and Parry (1994) found that the number of individuals at the dredged sites was always lower than at the reference sites despite an overall increase in animal numbers due to amphipod recruitment to both the experimental and reference areas.

Time series data sets that allow for a direct long-term comparison of sites before and after fishing are essentially nonexistent, primarily because the extent to which the world's oceans are currently fished was not foreseen, or because time series data collection focused on the fish themselves rather than the impact of fishing on the environment. Nevertheless, there are several benthic data sets that allow for an examination of observational or correlative comparisons before and after fishing (Table 5). Perhaps the longest time series comparisons of long-term impact of fishing on benthic community structure are

TABLE 4.—Studies of short-term impacts of fishing on benthic communities.

Taxa	Gear: sediment type	Location	Results	Reference
Infauna	Beam trawl: megaripples and flat substrate	Irish Sea	Assessed the immediate effects of beam trawling and found a reduction in diversity and abundance of some taxa in the more stable sediments of the northeast sector of the experimental site but could not find similar effects in the more mobile sediments. Out of the top 20 species, 19 had lower abundance levels at the fished site, and 9 showed a statistically significant decrease. Coefficient of variation for numbers and abundance was higher in the fished area of the northwest sector, supporting the hypothesis that heterogeneity increases with physical disturbance. Measured a 58% decrease in mean abundance and a 50% reduction in the mean number of species per sample in the sector resulting from removal of the most common species. Less dramatic change in the sector where sediments are more mobile.	Kaiser and Spencer (1996a)
Starfish	Beam trawl: coarse sand, gravel and shell, muddy sand, mud	Irish Sea	Evaluated damage to starfish at three sites in the Irish Sea that experienced different degrees of trawling intensity. Used International Council for the Exploration of the Sea data to select sites and used side scan to confirm trawling intensity. Found a significant correlation between starfish damage (arm regeneration) and trawling intensity.	Kaiser (1996)
Horse mussels	Otter trawl: horse mussel beds	Strangford Lough: N. Ireland	Used video and remotely operated vehicle, side-scan sonar, and benthic grabs to characterize the effect of otter trawling and scallop dredging on the benthic community. There was special concern over the impact on <i>Modiolus</i> beds in the Lough. Plotted the known fishing areas and graded impacts based on a subjective six-point scale. Found significant trawl impacts. Side-scan sonar supported video observations and showed areas of greatest impact. Found that in otter trawl areas, the otter boards did the most damage. Side scan suggested that sediment characteristics had changed in heavily trawled areas.	Industrial Science Division (1990)
Benthic fauna	Beam trawl; mobile mega- ripple structure and stable uniform sediment	Irish Sea	Sampled trawled areas 24 hours after trawling and 6 months later. On stable sediment found significant difference immediately after trawling, specifically, a reduction in polychaetes but increase in hermit crabs. After six months there was no detectable impact. On megaripple substrate no significant differences were observed immediately after trawling or six months later.	Kaiser et al. (in press)

TABLE 4.—(Continued.)

Taxa	Gear: sediment type	Location	Results	Reference
Bivalves. sea scallop, surf clams. ocean quahog	Scallop dredge, hydraulic clam dredge; various substrate types	Mid-Atlantic Bight, USA	Submersible study of bivalve harvest operations. Scallops harvested on soft sediment (sand or mud) had low dredge-induced mortality rates for uncaught animals (<5%). Culling mortality (discarded bycatch) was low, approximately 10%. Over 90% of the quahogs that were discarded reburrowed and survived whereas 50% of the surf clams died. Predators, crabs, starfish, fish, and skates moved in on the quahogs and clams with predator density 10 times control-area levels within eight hours post dredging. Noted numerous "minute" predators feeding in trawl tracks. Non-harvested animals, sand dollars, crustaceans, and worms significantly disrupted, but sand dollars suffered little apparent mortality.	Murawski and Serchuk (1989)
Ocean quahog	Hydraulic clam dredge: sand-silt	Long Island, NY, USA	Evaluated clam dredge efficiency over a transect and changes up to 24 hours later. After dredge filled it created a "windrow of clams." Dredge penetrated up to 30 cm and pushed sediment into track shoulders. After 24 hours track looked like a shallow depression. Clams can be cut or crushed by dredge with mortality ranging from 7 to 92%, which is dependent on size and location along dredge path. Smaller clams survived better and were capable of reburrowing in a few minutes. Predators, crabs, starfish, and snails moved in rapidly and departed within 24 hours.	Meyer et al. (1981)
Macro- benthos	Scallop dredge: coarse sand	Mercury Bay, New Zealand	Benthic community composed of small short-lived animals at two experimental and adjacent control sites. Sampling before and after dredging and three months later. Dredging caused an immediate decrease in density of common macrofauna. Three months later some populations had not recovered. Immediately after trawling, snails, hermit crabs, and starfish were feeding on damaged and exposed animals.	Thrush et al. (1995)
Scallops and asso- ciated fauna	Scallop dredge: "soft sediment"	Port Phillip Bay, Australia	Sampled twice before dredging and three times afterwards, up to 88 days later. The mean difference in species number increased from 3 to 18 after trawling. The total number of individuals increased over the sampling time on both experimental and control sites primarily as a result of amphipod recruitment, but the number of individuals at the dredged sites was always lower than the control. Dissimilarity increased significantly as a result of dredging because of a decrease in species numbers and abundance.	Currie and Parry (1994)

TABLE 4.—(Continued.)

Taxa	Gear; sediment type	Location	Results	Reference
Sea scallops and associated fauna	Otter trawl and scallop dredge; gravel and sand	Gulf of St. Lawrence, Canada	Observed physical change to seafloor from otter doors and scallop dredge and lethal and nonlethal damage to the scallops. Noted an increase in the most active predators within the trawl tracks compared to outside, specifically, winter flounder, sculpins, and rock crabs. No increase in starfish or other sedentary forms within an hour of dredging.	Caddy (1973)
Macrofauna	Beam trawl; hard-sandy substrate	North Sea, coast of Holland	Sampling before and after beam trawling (*hours, 16 hours, and 2 weeks) showed species-specific changes in macrofaunal abundance. Decreasing density ranged from 10 to 65% for species of echinoderms (starfish and sea urchins but not brittle stars), tube-dwelling polychaetes, and molluscs at the two-week sampling period. Density of some animals did not change. Other animals' densities increased, but these increases were not significant after two weeks.	Bergman and Hup (1992)
Benthic fauna	Beam trawl and shrimp trawl; hard sandy bottom, shell debris, and sandy substrate-mud	North Sea, German coast	Preliminary report using video and photographs comparing trawled and untrawled areas. Presence and density of brittle stars, hermit crabs, other "large" crustaceans, and flatfish was higher in the controls than the beam trawl site. Difference in sand ripple formation in trawled areas was also noted. Formations looked disturbed, not round and well developed. Found a positive correlation with damage to benthic animals and individual animal size. Found less impact with the shrimp trawl; diver observations confirmed low level of impact although the net was "festooned" with worms. Noted large megafauna, mainly crabs, in trawl tracks.	Rumohr et al. (1994)
Soft bottom macrofauna	Beam trawl; very fine sand	North Sea, Dutch sector	Compared animal densities before and after trawling and looked at fish stomach contents. Found that total mortality due to trawling varied among species and size class of fish, ranging from 4 to 139% of pretrawling values. (Values >100% indicate animals moving into the trawled area.) Mortality for echinoderms was low (3 to 19%) and undetectable for some molluscs (especially solid shells or small animals), while larger molluscs had a 12 to 85% mortality. Burrowing crustaceans had low mortality, but epifaunal crustaceans approximated 30% mortality and ranged as high as 74% mortality. Annelids were generally unaffected except for <i>Pectinaria</i> , a tube-building animal.	Santbrink and Bergman (1994)

TABLE 4.—(Continued.)

Taxa	Gear: sediment type	Location	Results	Reference
Hermit crabs	Beam trawl	Irish Sea	Generally, mortality increased with number of times the area was trawled (once or twice). Dab <i>Limanda limanda</i> were found to be the major scavenger, immigrating into the area and eating damaged animals. Compared the catch and diet of two species of hermit crab on trawled and control sites. Found significant increases in abundance on the trawl lines two to four days after trawling for both species but also no change for one species on one of two dates. Found a general size shift toward larger animals after trawling. Stomach-contents weight was higher post-trawling for one species. Diets of the crabs were similar, but proportions differed.	Ramsay et al. (1996)
Sand macro-fauna and infauna	Scallop dredge	Irish Sea	Compared experimental treatments based on frequency of tows (i.e., 2, 4, 12, 25). Bottom topography changes did not change grain size distribution, organic carbon content, or chlorophyll content. Bivalve molluscs and peracarid crustaceans did not show significant changes in abundance or biomass. Polychaetes and urchins showed significant declines. Large molluscs, crustaceans, and sand eels were also damaged. In general, there was selective elimination of fragile and sedentary components of the infauna as well as large epifaunal taxa.	Eleftheriou and Robertson (1992)

the studies of Reise (1982) and Riesen and Reise (1982) in the Wadden Sea. In reviewing change for 101 species in the benthic community over 100 years, Reise (1982) noted no long-term trends in abundance for 42 common species but found 11 of these species showed considerable variation. Sponges, coelenterates, and bivalves suffered the greatest losses while polychaetes showed the biggest gains. Subtidally there was a decrease in the most common species from 53 to 44 while intertidally the opposite was observed, an increase from 24 to 38. Riesen and Reise (1982) examined a 55-year data set and documented increases in mussel beds and the associated fauna. They noted a loss of oysters due to overexploitation and a loss of *Sabellaria* reefs because they were systematically targeted by trawlers, as well as the loss of sea grass from disease. In another European study, Pearson et al. (1985) compared changes in the Kattegatt (an arm of the

North Sea) following a 73-year hiatus in sampling. In this case, community composition had changed to the extent that there was only a 30% similarity between stations over time, with the primary shift being a decrease in sea urchins and an increase in brittle stars. They observed a general decline in deposit feeders and an increase in suspension feeders and carnivores as well as a decline in animal size. Holme (1983) also made some comparisons from data collected over an 85-year time span in the English Channel and noted changes in the benthic community that he speculated might relate to the queen scallop fishery. The results of these long-term studies are consistent with the patterns found in short-term studies of habitat and community structure.

Data sets on the order of months to a few years are more typical of the longer-term studies on fishing impacts on benthic community structure. The impact of experimental trawling has been monitored

TABLE 5.— Studies of long-term impacts of fishing on benthic communities.

Habitat type: taxa	Time period	Location	Results	Reference
Sand: macro-benthos and meiofauna	2-7 months	Bay of Fundy	Experimental trawling in high-energy area. Otter trawl doors dug up to 5 cm deep, and marks were visible for 2 to 7 months. Initial significant effects on benthic diatoms and nematodes but no significant impact on macrofauna. No significant long-term effects.	Brylinsky et al. (1994)
Quartz sand: benthic infauna	5 months	South Carolina estuary	Compared benthic community in two areas, one open to trawling and one closed, before and after shrimp season. Found variation with time but no relationship between variations and trawling per se.	Van Dolah et al. (1991)
Sandy: ocean quahogs		Western Baltic	Observed otter board damage to bivalves, especially ocean quahogs, and found an inverse relation between shell thickness and damage and a positive correlation between shell length and damage.	Rumohr and Krost (1991)
Subtidal shallows and channel: macrobenthos	100 years	Wadden Sea	Reviewed changes in benthic community documented over 100 years. Considered 101 species. No long-term trends in changing abundance for 42 common species, with 11 showing considerable variation. Sponges, coelenterates, and bivalves suffered greatest losses while polychaetes showed the largest gains. Decrease subtidally for common species from 53 to 44 species and increase intertidally for common species from 24 to 38 species.	Reise (1982)
Intertidal sand; lug worms	4 years	Wadden Sea	Studied impact of lugworm harvesting versus control site. Machine dug 40-cm gullies. Immediate impact was a reduction in several benthic species and slow recovery for some of the larger long-lived species like soft-shelled clams. With one exception, a polychaete, the shorter-lived macrobenthic animals showed no decline. It took several years for the area to recover to prefishing conditions.	Beukema (1995)
Various habitat types: all species		North Sea	Review of fishing effects on the North Sea based primarily on International Council for the Exploration of the Sea North Sea Task Force reports. Starfish, sea urchins, and several polychaetes showed a 40 to 60% reduction in density after beam trawling, but some less-abundant animals showed no change, and one polychaete increased. At the scale of the North Sea, the effect of trawling on the benthos is unclear.	Gislason (1994)

TABLE 5.— (Continued.)

Habitat type: taxa	Time period	Location	Results	Reference
Sand; macrofauna	73 years	Kattegat, coast of Sweden and Denmark	Compared benthic surveys from 1911 to 1912 with surveys from 1984. Community composition changed with only approximately 30% similarity between years at most stations. Primary change was a decrease in sea urchins and increase in brittle stars. Animals were also smaller in 1984. Deposit feeders decreased while suspension feeders and carnivores increased.	Pearson et al. (1985)
Subtidal shallows and channels; macrofauna	55 years	Wadden Sea	Documented increase in mussel beds and associated species such as polychaetes and barnacles when comparing benthic survey data. Noted loss of oyster banks, <i>Sabellaria</i> reefs, and subtidal sea grass beds. Oysters were overexploited and replaced by mussels; <i>Zostera</i> were lost to disease. Concluded that major habitat shifts were the result of human influence.	Riesen and Reise (1982)
Various habitats; ocean quahogs		Southern North Sea	Arctica valves were collected from 146 stations in 1991, and the scars on the valve surface were dated using internal growth bands, as an indicator of the frequency of beam trawl damage between 1959 and 1991. Numbers of scars varied regionally and temporally and correlated with fishing.	Witbaard and Klein (1994)
Various habitats; macrofauna	85 years	Western English Channel	Discussed change and causes of change observed in benthic community based on historic records and collections. Discussed role of fishing gear in dislodging hydroid and bryozoan colonies and speculated that gear effects reduce settlement sites for queen scallops.	Holme (1983)
Gravel and sand; macrofauna	3 years	Central California	Compared heavily trawled area with lightly trawled (closed) area using Smith MacIntyre grab samples and video transect data collected over three years. Trawl tracks and shell debris were more numerous in heavily trawled area, as were amphinomid polychaetes and oligochaetes in most years. Rocks, mounds, and flocculent material were more numerous at the lightly trawled station. Commercial fish were more common in the lightly trawled area as were epifaunal invertebrates. No significant differences were found between stations in terms of biomass of most other invertebrates.	Engel and Kvitek (1998)
Fine sand; razor clams		Barrinha, Southern Portugal	Evaluated disturbance lines in the shell matrix of the razor clam and found an increase in number of disturbance lines with length and age of the clams. Sand grains were often incorporated into the shell, suggestive of a major disturbance such as trawling damage and subsequent recovery and repair of the shell.	Gaspar et al. (1994)

TABLE 5.— (Continued.)

Habitat type; taxa	Time period	Location	Results	Reference
Fine to medium sand; ocean quahogs		Southern New Jersey	Compared areas unfished, recently fished, and currently fished for ocean quahogs using hydraulic dredges. Sampled invertebrates with a Smith MacIntyre grab. Few significant differences in numbers of individuals or species were noted, and no pattern suggesting any relationship to dredging was found.	MacKenzie (1982)
Gravel, shell debris, and fine mud; horse mussel community	8 years	Strangford Lough, Northern Ireland	Review paper of effects of queen scallop fishery on the horse mussel community. Compared benthic survey from the 1975–1980 period with work in 1988. Scallop fishery began in 1980. <i>Modiolus</i> community remained unchanged essentially from 1857 to 1980. The scallop fishery has a large benthic faunal bycatch, including horse mussels. Changes in the horse mussel community were directly related to the initiation of the scallop fishery, and there was concern about the extended period it would take for this community to recover.	Brown (1989)
Shallow muddy sand; scallops	6 months	Maine	Sampled site before, immediately after, and up to six months after trawling. Loss of surficial sediments and lowered food quality of sediments, measured as microbial populations, enzyme hydrolyzable amino acids, and chlorophyll <i>a</i> , were observed. Variable recovery by benthic community. Correlation with returning fauna and food quality of sediment.	L. Watling, R. H. Findlay, L. M. Mayer, and D. F. Schick (unpublished data)
Sand and sea grass; hard shelled clams and bay scallops	4 years	North Carolina	Evaluated effects of clam raking and mechanical harvesting on hard clams, bay scallops, macroinvertebrates, and sea grass biomass. In sand, harvesting adults showed no clear pattern of effect. With light harvesting, sea grass biomass dropped 25% immediately but recovered in a year. In heavy harvesting, sea grass biomass fell 65%, recovery did not start for >2 years, and sea grass had not recovered up to 4 years later. Clam harvesting showed no effect on macroinvertebrates. Scallop densities correlated with sea grass biomass.	Peterson et al. (1987)
Gravel pavement; benthic megafauna	Not known	Northern Georges Bank, USA	Used side-scan sonar, video, and naturalist dredge sampling to characterize disturbed and undisturbed sites based on fishing activity records. Documented a gradient of community structure from deep undisturbed to shallow disturbed sites. Undisturbed sites had more individual organisms, greater biomass, greater species richness, and greater diversity and were characterized by an abundant bushy epifauna. Disturbed sites were dominated by hard-shelled molluscs, crabs, and echinoderms.	Collie et al. (1997)

TABLE 5.— (Continued.)

Habitat type; taxa	Time period	Location	Results	Reference
Sand: epifauna	3 years	Grand Banks, Canada	Experimentally trawled site 12 times each year within 31 to 34 hours for 3 years. Total invertebrate bycatch biomass in trawls declined over the three-year study. Epibenthic sled samples showed lower biomass, averaging 25%, in trawled areas versus reference sites. Scavenging crabs were observed in trawl tracks after first six hours, and trawl damage to brittle stars and sea urchins was noted. No significant effects of trawling were found for four dominant species of mollusc.	Prena et al. (1996)
Sand: shrimp and macrobenthos	7 months	New South Wales, Australia	Sampled macrofauna before trawling, after trawling, and after commercial shrimp season using Smith McIntyre grab at experimental and control sites. Underwater observations of trawl gear were also made. No detectable changes in macrobenthos were found or observed.	Gibbs et al. (1980)
Soft sediment: scallops and associated fauna	17 months	Port Phillip Bay, Australia	Sampled 3 months before trawling and 14 months after trawling. Most species showed a 20 to 30% decrease in abundance immediately after trawling. Dredging effects generally were not detectable following the next recruitment within 6 months, but some animals had not returned to the trawling site 14 months post trawling.	Currie and Parry (1996)
Bryozoans: fish and associated fauna		Tasman Bay, New Zealand	Review of ecology of the coral-like bryozoan community and changes in fishing gear and practices since the 1950s. Points out the interdependence of fish within this benthic community and that the area was closed to fishing in 1980 because gear had developed that could fish in and destroy the benthic community, thereby destroying the fishery.	Bradstock and Gordon (1983)
Various habitat types diverse tropical fauna	5+ years, ongoing	Northwest Shelf, Australia	Describes a habitat-dependent fishery and an adaptive management approach to sustaining the fishery. Catch rates of all fish and large and small benthos show that in closed areas, fish and small benthos abundance increased over 5 years while large benthos (>25 cm) stayed the same or increased slightly. In trawled areas all groups of animals declined. Found that settlement rate and growth to 25 cm was on the order of 15 years for the benthos.	Sainsbury et al. (1997)

TABLE 5.— (Continued.)

Habitat type: taxa	Time period	Location	Results	Reference
Mudflat; commercial clam cultivation and benthos	7 months	Southeast England	Sampled benthic community on a commercial clam culture site and control area at the end of a two-year growing period, immediately after sampling, and again seven months later. Infaunal abundance was greatest under the clam culture protective netting, but species composition was similar to controls. Harvesting with a suction dredge changed the sediment characteristics and reduced the numbers of individual animals and species. Seven months later the site had essentially returned to the unharvested condition.	Kaiser et al. (1996)
Sand; razor clam and benthos	40 days	Loch Gairloch, Scotland	Compared control and experimentally harvested areas using a hydraulic dredge at 1 day and 40 days after dredging. On day 1 a nonselective reduction in the total numbers of all infaunal species was apparent, but no differences were observed after 40 days.	Hall et al. (1990)
Sand and muddy areas; macrozoobenthos	3 years. ongoing	German Bights	Investigated macrozoobenthos communities around a sunken ship that had been "closed" to fishing for three years. Compared this site with a heavily fished area. Preliminary results showed an increase in polychaetes and the bivalve <i>Tellina</i> in the fished, sandy area. The data did not allow for a firm conclusion regarding the unfished area, but there was some (nonsignificant) increase in species numbers, and some delicate, sensitive species occurred within the protected zone.	Arntz et al. (1994)

over a series of months, for example, in the Bay of Fundy at a high-energy sandy site (Brylinsky et al. 1994; L. Watling, R. H. Findlay, L. M. Mayer, and D. F. Schick, unpublished data). Trawl door marks were visible for 2–7 months, but no sustained significant impact on the benthic community was noted. However, Watling, Findlay, Mayer, and Schick (unpublished data) measured community-level changes caused by scallop dredging at a lower-energy muddy sand location in the Gulf of Maine. They detected a loss in surficial sediments and lowered sedimentary food quality. The subsequent variable recovery of the benthic community over the following 6 months correlated with sedimentary food quality, which was measured as microbial populations, abundance of chlorophyll *a*, and enzyme-hydrolyzable amino acid concentrations. Although some taxa recolonized the impacted areas quickly, the abundances of other taxa

(i.e., cumaceans, phoxocephalid and photid amphipods, nephtyid polychaetes) did not recover until food quality also recovered.

The most consistent pattern in fishing impact studies at shallow depths is the resilience of the benthic community to fishing. Two studies in intertidal depths that involved harvesting worms and clams using suction and mechanical harvesting gear demonstrated a substantial immediate effect on the macrofaunal community. However, from 7 months to 2 years later, the study sites had recovered to prefished conditions (Beukema 1995; Kaiser and Spencer 1996a). Peterson et al. (1987) and Hall et al. (1990) harvested at nearshore subtidal depths bay scallops in a North Carolina sea grass bed and razor clams in a Scottish sea loch (respectively) and found little long-term impact on the benthic community structure except at the most intense level of fishing.

After 40 d, the loch showed no effect of fishing, and in the lightly harvested sea grass bed, with <25% sea grass biomass removal, recovery occurred within a year. In the sea grass bed where harvesting was most extensive, with 65% of the sea grass biomass removed, recovery was delayed for 2 years, and after 4 years preharvesting biomass levels were still not obtained. In a South Carolina estuary, Van Dolah et al. (1991) found no long-term effects of trawling on the benthic community. The study site was assessed before and after the commercial shrimp season and demonstrated variation over time but no trawling effects per se. Other studies of pre and post impacts from mobile gear on shallow sandy to hard bottoms have generally shown similar results (Gibbs et al. 1980; MacKenzie 1982; Currie and Parry 1996) with either no or minimal long-term impact detectable.

Other benthic communities show clear effects that can be related to fishing. Collie et al. (1997) have, for example, characterized disturbed and undisturbed sites on Georges Bank, based on fishing records, and found more individuals, a greater biomass, and greater species richness and diversity in the undisturbed areas. Engel and Kvitek (1998) also found more fish and epifaunal invertebrates in a lightly trawled area compared to a more heavily trawled site over a 3-year period off Monterey, California. Perhaps the most convincing cases of fishing-related impacts on the benthic community are from studies in Northern Ireland, Australia, and New Zealand. Brown (1989) has reported the demise of the horse mussel community in Strangford Loch with the development of the queen scallop fishery. The horse mussel beds were essentially unchanged from 1857 until 1980 when the trawl fishery for scallops was initiated. Along the northwest Australian shelf Bradstock and Gordon (1983); Sainsbury (1987, 1988, 1991); and Sainsbury et al. (1997) describe a habitat-dependent fishery with fish biomass related to the coral-like byzoan community. With the demise of this epifaunal community, there was a shift in fish species composition to less commercially desirable species. In experimentally closed areas there has been a recovery of fish and an increase in the small benthos but, based on settlement and growth of larger epifaunal animals, it may take 15 years for the system to recover. Finally, sampling of fishing grounds along a gradient of fishing effort in the Hauraki Gulf of New Zealand has shown that 15–20% of the variability in the macrofauna com-

munity could be attributed to fishing (Thrush et al., in press). As fishing effort decreased there were increases in the density of large epifauna, in long-lived surface dwellers (with a decrease in deposit feeders and small opportunistic species), and in the Shannon–Weiner diversity index. These results validated most predictions made from small-scale studies, suggesting that there is value in continuing such work. However, where data are available to determine patterns of fishing effort at the scale of fishing grounds, large-scale studies such as this are beneficial for validating predictions from limited experimental work and, most importantly, establishing the range of ecological effects along a gradient of disturbance produced by resource extraction and the variable intensity of impacts from particular harvesting methods. Ultimately, such data can be used to develop strategies for the sustainable harvest of target species while maintaining ecosystem integrity.

Implications for Management

Clearly the long-term effects of fishing on benthic community structure are not easily characterized. The pattern that does appear to be emerging from the available literature is that communities that are subject to variable environments and are dominated by short-lived species are fairly resilient. Depending on the intensity and frequency of fishing, the impact of such activity may well fall within the range of natural perturbations. In communities that are dominated by long-lived species in more stable environments, the impact of fishing can be substantial and longer term. Studies of Strangford Loch and the Australian shelf show that recovery from trawling will be on the order of decades. In many areas, these two patterns correlate with shallow and deep environments. However, water depth is not the single variable that can be used to characterize fishing impacts. Few studies describe fishing impacts on shallow mud-bottom communities or on deep areas at the edge of the continental shelf. Such sites would be expected to be relatively low-energy zones, similar to areas in Strangford Loch, and might not recover rapidly from fishing disturbances. Studies in these relatively stable environments are required to pattern fishing impacts over the entire environmental range, but, in anticipation of such results, it is suggested that one should expect a tighter coupling between fish production and benthic community structure in the more stable marine environments.

Effects on Ecosystem Processes

Interpretation of Results

A number of studies indicate that fishing has measurable effects on ecosystem processes, but it is important to compare these effects with natural process rates at appropriate scales. Both primary production and nutrient regeneration have been shown to be affected by fishing gear. These studies are small in scope, and it is difficult to apply small-scale studies at the level of entire ecosystems. Understanding that processes are affected confirms the need to understand the relative changes in vital rates caused by fishing and the spatial extent of the disturbances.

Disturbance by fishing gear in relatively shallow depths (i.e., 30–40 m) can reduce primary production by benthic microalgae. Recent studies in several shallow continental shelf habitats have shown that primary production by a distinct benthic microflora can be a significant portion of overall primary production (i.e., water column plus benthic primary production) (Cahoon et al. 1990, 1993; Cahoon and Cooke 1992). Benthic microalgal production supports a variety of consumers, including demersal zooplankton (animals that spend part of each day on or in the sediment and migrate regularly into the water) (Cahoon and Tronzo 1992). Demersal zooplankton include harpacticoid copepods, amphipods, mysids, cumaceans, and other animals that are eaten by planktivorous fishes and soft-bottom foragers (Thomas and Cahoon 1993).

The effects of fishing were elucidated at Stellwagen Bank in the northwest Atlantic during 1991 and 1994. Measurements showed that a productive benthic microflora existed on the crest of the Bank (Cahoon et al. 1993; Cahoon et al., unpublished data) but that demersal zooplankton was low in comparison to the other shelf habitats and lower than would be expected given the available food supply (Cahoon et al. 1995). Several explanations can be advanced for this anomalously low zooplankton abundance. These include competitive or predatory interactions with meiofauna or the holozooplankton, disturbance by macrobenthos, intense predation by planktivorous fishes, and physical disturbance by mobile fishing gear. Many demersal zooplankters appear to construct and inhabit small burrows or capsules made of accreted or agglutinated sand. These formations provide shelter for demersal zooplankters in a habitat otherwise devoid of structure.

Many small biogenic structures were observed on the sediment surface, and even gentle handling by divers destroyed them easily. Movement by divers and a remotely operated vehicle caused demersal zooplankters to exhibit escape responses. Events that disturb the bottom, particularly such relatively powerful events as storms and towing mobile fishing gear along the sediment surface, must destroy these delicate habitat features. Disturbance of demersal zooplankters may result in increased predation that reduces local populations of zooplankters. Juvenile fish that feed on these taxa may require greater times and longer distances away from benthic shelter sites to forage in the water column to capture prey, exposing themselves to greater predation risk (Walters and Juanes 1993).

Recovery rates of populations of benthic primary producers are not well known. Brylinsky et al. (1994) showed that trawling had significant effects on benthic diatoms, but recovery occurred at all stations after about 30 d. The experimental sites that were trawled were in the intertidal zone in the Bay of Fundy. Trawling occurred during high tides and sampling at low tide. It is important to note that light intensity (and spectral composition) in this experiment was much greater than at sites where trawling normally occurs, that is, where seawater constantly overlays the substrate.

Experimental measurements from scallop dredge and otter trawl impacts off coastal Maine showed that dragging can both resuspend and bury labile organic matter (Mayer et al. 1991). Burial shifts organic matter decomposition and availability from aerobic eucaryotic-microbial pathways to anaerobic pathways. Short-term effects may include shifts from metazoan communities that support harvested species (e.g., meiofauna, polychaetes, flounders) toward anaerobic microbial respiration. Studies by Watling, Findlay, Mayer, and Schick (unpublished data) empirically demonstrate these short-term trends. Longer-term effects of chronic dragging and burial are difficult to predict.

Riemann and Hoffmann (1991) measured the short-term effects of mussel dredging and bottom trawling off Denmark in a shallow coastal marine system. Dredging and trawling increased suspended particulates immediately to 1,361% and 960–1,000%, respectively, above background. Oxygen decreased and nutrients such as ammonia and silicate increased. Dyekjaer et al. (1995) calculated the annual effects of mussel dredging in the same region. The total annual release of suspended particles

during dredging is relatively minor when compared with total wind-induced resuspension. Similarly, the release of nutrients is minor when compared with the nutrient loading from land runoff. However, local effects may be significant when near-bottom dissolved oxygen concentrations are low and reduced substances are resuspended, depending upon the depth of stratification, water flow rates, and the number of dredges operating simultaneously.

Direct movement of fishing gear over and through the sediment surface can change sediment grain size characteristics, change suspended load, and change the magnitude of sediment transport processes. Churchill (1989) showed that trawling could resuspend sediments on the same magnitude as storms and can be the primary factor regulating sediment transport over the outer continental shelf in areas where storm-related currents and bottom stresses are weak. Gear-induced resuspension of sediments can potentially have important impacts on nutrient cycling (Pilska et al. 1998). Open continental shelf environments typically receive approximately half of their nutrients for primary production from sediment resuspension and pore water exchange. The nutrients are produced from the microbial-based decay of organic matter and remineralization within sediments. Changes in rates of resuspension from periodic to steady pulses of nutrients (e.g., nitrate fluxes) caused by gear disturbance to the seafloor can shift phytoplankton populations from picoplankton towards diatoms, which may ultimately be beneficial for production of harvested species, although changes in nutrient ratios may stimulate harmful algal blooms.

Implications for Management

The disturbances caused by fishing to benthic primary production and organic matter dynamics are difficult to predict. Semiclosed systems such as bays, estuaries, and fjords are subject to such effects at relatively small spatial scales. Open coastal and outer continental shelf systems can also experience perturbations in these processes. However, the relative rates of other processes (e.g., natural processes) may minimize the effects of fishing disturbances depending upon the level of fishing effort.

Mayer et al. (1991) discuss the implications of organic matter burial patterns in sediments versus soils. Their results are similar to organic matter patterns found in terrestrial soils. Sediments are essen-

tially part of a burial system while soils are erosional. Although gear disturbance can enhance remineralization rates by transforming surficial fungal-dominated communities into subsurface communities with dominant bacterial decomposition processes, burial caused by gear disturbance might also enhance preservation if material is sequestered in anaerobic systems. Given the importance of carbon cycling in estuaries and on continental shelves to the global carbon budget, understanding the magnitude of effects caused by human disturbances on primary production and organic matter decomposition will require long-term studies like those conducted on land.

Discussion

Direct Alteration of Food Webs

In heavily fished areas of the world, it is undebatable that fishing has ecosystem-level effects (Gislason 1994; Fogarty and Murawski 1998) and that shifts in benthic community structure have occurred. The data to confirm that such shifts have taken place are limited at best (Riesen and Reise 1982), but the fact that it has been documented at all is highly significant. If benthic communities change, what are the ecological processes that might bring about such change?

One of these processes involves enhanced food supply resulting from trawl-damaged animals and the discarding of both nonharvested species and offal from fish gutted at sea. The availability of this food source might affect animal behavior and influence survival and reproductive success. There are numerous reports of predatory fishes and invertebrate scavengers foraging in trawl tracks after a trawl passes through an area (Medcof and Caddy 1971; Caddy 1973; Kaiser and Spencer 1994; Evans et al. 1996; Ramsay et al. 1997a, 1997b). The prey available to scavengers is a function of the ability of animals to survive the capture process, which can involve being discarded as unwanted bycatch or passed through or over by the gear (Meyer et al. 1981; Fonds 1994; Rumohr et al. 1994; Santbrink and Bergman 1994; Kaiser and Spencer 1995). Studies in both the Irish and North Seas on the reaction of scavengers to a trawling event, usually involving beam trawling, are the most comprehensive. In the Irish Sea studies focused on the movement of animals over time into experimentally trawled areas at locations that ranged in sediment type from mud to gravel. Results

were found to be habitat dependent (Ramsay et al. 1997a, 1997b) and not always consistent (Kaiser and Ramsay 1997), although the general trends are that the rate of movement of scavengers into a trawled area reflects the mobility of the animals, their sensory abilities, and their behavior (Kaiser and Spencer 1996b). Fish were usually the first to arrive, and slower-moving invertebrates like whelks and starfish, which were also attracted to the area, required a longer time to respond to the availability of damaged or dead prey. That the scavengers are feeding has been documented both by direct diver observations and analysis of stomach contents (see Caddy 1973; Rumohr et al. 1994). Stomach-contents data demonstrate that fish not only feed on discarded or damaged animals and often eat more than their conspecifics at control sites, but they also consume animals that were not damaged but simply displaced by the trawling activity, or even those invertebrates that have themselves responded as scavengers (Kaiser and Spencer 1994; Santbrink and Bergman 1994). Hence the biomass available for consumption from discards and offal are not effecting the community equally but selectively providing additional food resources for those taxa that differentially react to the disturbance created by fishing.

Kaiser and Spencer (1994) make the comment, as others have before them, that it is common practice for fishermen to re-fish recently fished areas to take advantage of the aggregations of animals attracted to the disturbed benthic community. The long-term effect of opportunistic feeding following fishing disturbances is an area of speculation. In the North Sea, for example, the availability of "extra" food, either from discarded bycatch or as a more direct result of trawling-induced mortality, has been suggested as one reason why the population of dab *Limanda limanda* has increased. Kaiser and Ramsay (1997) argue that the combination of predator and competitor removal by fishing together with an increased food supply has resulted in the increase in the dab population. Obviously the negative effects on the prey organisms themselves are also important and may have an equal but opposite effect on their density. Faunal changes in the North Sea have been noted with major shifts in the composition of the benthic community that can be correlated with trawling. The general decline in populations of hard-bodied animals such as bivalves and heart urchins has been suggested to be the direct result of trawl damage with, one might speculate, this hard-bodied food becoming available to scavengers.

Another process that can indirectly alter food webs is the removal of keystone predators. Removal of herbivorous fishes and invertebrates produced a shift in coral reef communities from coral-invertebrate-dominated systems to filamentous and fleshy algae-dominated systems. (Roberts 1995 provides a synoptic review.) The removal of sea otters from kelp-bed communities in the western Pacific has also had cascading effects on urchin populations and the dynamics of kelp (Duggins 1980; Estes 1996). In the northwest Atlantic, Witman and Sebens (1992) showed that onshore-offshore differences in cod and wolffish *Anarhichas lupus* populations reduced predation pressure on cancrid crabs and other megafauna in deep coastal communities. They suggest that this regional difference in predation pressure is the result of intense harvesting of cod, a keystone predator, with cascading effects on populations of epibenthos (e.g., mussels, barnacles, urchins), which are prey of crabs.

American lobsters have also been considered a keystone predator because they control urchin populations, which in turn control the distribution of kelp (e.g., Mann and Breen 1972; Mann 1982). Communities shifted from kelp dominated to coralline algae dominated under the influence of intense urchin predation, with concomitant shifts in the mobile species that use such habitats. A hypothesis about this shift in communities focused on the role of lobster removals by fishing. Urchins, which are a primary prey of lobsters, had large population increases resulting in greater herbivory on kelp. However, Elnor and Vadas (1990) brought the keystone predation hypothesis into question as urchins did not react to lobster predation by forming defensive aggregations and lobster diets were not dominated by urchins. Although understanding the ultimate control of such shifts remains elusive, recent harvesting of urchins has coincided with a return of kelp-dominated habitats. Other processes (e.g., annual variation in physical processes affecting survivorship of recruits, climate change, El Niño, recruitment variability of component species caused by predator-induced mortality) can also result in food web changes. Although it is important to understand all the underlying causes of food web shifts, precautionary management approaches should be considered given the strong inference of human-caused effects in studies focusing on identifying causes of food web shifts.

Predicting the Effects of Disturbance

This review of the literature indicates that fishing, using a wide range of gear, produces measurable impacts. However, most studies were conducted at small spatial scales, and it is difficult to apply such information at regional levels where predictive capabilities would allow fisheries management at an ecosystem scale (Jennings and Kaiser 1998). Studies can be divided into those focused on acute impacts (caused by a single or a small number of tows) and those focused on chronic effects. Although the former type of study is most common and amenable to experimental manipulation, the latter type is most directly applicable in the arena of habitat management. Unfortunately, few long-term monitoring programs allow for an analysis of all the appropriate metrics needed to ascertain the effects of fishing on EFH. Additionally, although there are clear effects on local and regional patterns of biodiversity—an obvious metric needed to monitor the effects of ecosystem-level management—we do not have a good understanding of how communities respond to large-scale disturbances. This level of knowledge is needed to separate responses due to natural variability from responses due to human-caused variability.

Our current understanding of ecological processes related to the chronic disturbances caused by fishing makes results difficult to predict. Disturbance has been widely shown to be a mechanism that shifts communities (Dayton 1971; Pickett and White 1985; Witman 1985; Suchanek 1986). Although a full discussion of this area of ecology is beyond the scope of this review, general models produced from such work are useful for understanding fishing as an agent of disturbance from an ecological perspective. Assumptions regarding the role of fishing in the dynamics of marine communities generally assert that the cessation or reduction of fishing will allow populations and communities to recover to a climax community state, as is the case in long-lived terrestrial plant communities. Succession of communities implies a predictable progression in species composition and abundance (Connell 1989; Bell et al. 1991). Such knowledge of successional patterns would allow managers to predict future marine community states and directly manage EFH. Although direct successional linkages have been found in some communities, others are less predictable.

Two types of patterns in shifts in community states due to disturbance are illustrated in Figure 5. The first model is the traditional successional model where communities change from type A to B to C

and so forth. There are empirical examples of this type of succession in soft substrate benthic communities (e.g., Rhoads et al. 1978). Succession is based on one community of organisms producing a set of local environmental conditions (e.g., enriching the sediments with organic material) that make the environment unsuitable for continued survival and recruitment but are favorable for another community of organisms. Disturbance can move succession back in single or multiple steps, depending on the types of conditions that prevail after the disturbance. The successional stages are predictable based on conditions that result from the organisms themselves or from conditions after a perturbation. The second model of community states is disturbance mediated and lottery based (based on Horn 1976). Empirical studies of such relationships generally examine hard substrate communities (e.g., Dayton 1971; Horn 1976; Sebens 1986; Witman 1987). Shifts in community type are produced by competition and disturbance (e.g., predation, grazing, storms, fishing gear), which can result in shifts toward community types that are often unpredictable because they are based on the pool of recruits available in the water column at the time that niche space is available.

The spatial extent of disturbed and undisturbed communities is a concern in designing and interpreting studies (Pickett and White 1985; Barry and Dayton 1991; Thrush et al. 1994). Single, widely

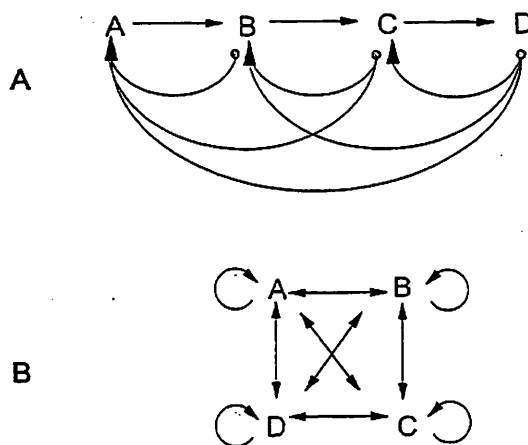


FIGURE 5.—Models of alternative community states. Arrows indicate direction of community shifts. Model A is the successional model, which has relatively predictable shifts in community type. Model B is a lottery-based model, which has more stochastic, nonlinear responses to disturbance.

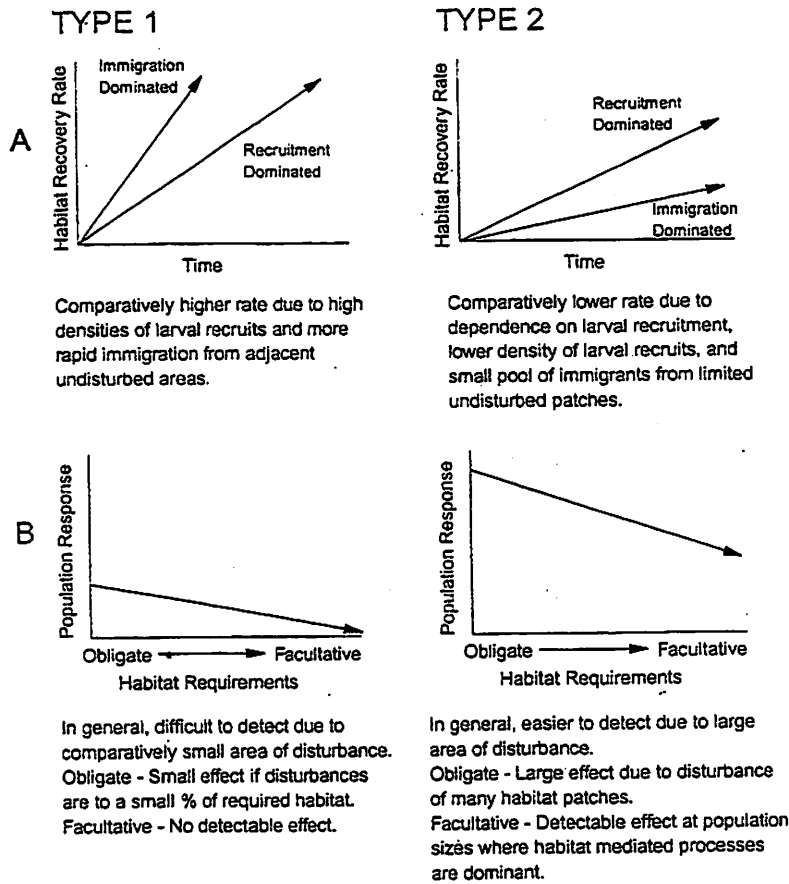


FIGURE 6.—Comparison of biogenic habitat structure and population responses to type-1 and type-2 habitat disturbances.

spaced disturbances may have little overall effect on habitat integrity and benthic communities, and these disturbed areas may show reduced recovery times as a result of immigration of mobile taxa (e.g., polychaetes, gastropods). In the ecological literature this is a type-1 disturbance, where a small patch is disturbed but surrounded by a large unimpacted area. In contrast, type-2 disturbances are those in which small patches of undisturbed communities are surrounded by large areas of disturbed communities. Immigration into such disturbed patches requires large-scale transport of propagules from outside source patches, or significant reproductive output (and high planktonic survival and larval retention) from the small undisturbed patches. Making predictions about the outcome of disturbances even where spatial extent is known is difficult because transport of colonizers (i.e., larvae, juveniles, and adults) depends on oceanographic conditions, larval period, movement rates of juveniles and adults, time of year, and dis-

tance from source. However, as an example of disturbance effects given specific sets of conditions, it is possible to illustrate general trends in the response of biogenic habitat structure to type-1 and type-2 disturbances and population responses based on characteristics of obligate and facultative habitat users (Figure 6). Type-1 disturbances generally have faster recovery rates because they are subject to immigration-dominated recovery in contrast to type-2 disturbances, which are dependent on larval recruitment for recovery. Population responses to such disturbances also are variable. Obligate habitat users have a much greater response to habitat disturbance such that type-1 disturbances would produce substantial small-scale effects but overall population responses would be small. Comparatively, it would be difficult to detect responses from populations of facultative habitat users because of the large areas of undisturbed habitat in type-1 disturbances. However, type-2 disturbances would produce large responses in obligate habitat users

in that a large percentage of required habitat would be affected. Facultative habitat users would have a measurable response at population levels where habitat-mediated processes are important.

The dependence of fish communities on particular habitat features is well represented in the literature on coral reef, kelp forest, and sea grass fish communities (e.g., Heck and Orth 1980; Ebeling and Hixon 1991; Sale 1991). Studies at this particular scale are generally lacking for most harvested taxa on outer continental shelves. One problem in interpreting existing studies is the tendency to compartmentalize the processes that structure these communities and not apply our general knowledge of habitat-mediated processes to other fish assemblages using other habitats. In reality, fish assemblages occur in a continuum along two gradients: one of habitat complexity and the other of environmental variation (Figure 7). Only limited numbers of species and communities have hard (limited) linkages between parts of the food web where gear impacts on prey communities would have obvious and easily measurable effects. Large temperate and boreal marine ecosystems are characterized by soft (flexible) linkages with most species having flexible prey requirements. Measuring effects that can be linked to changes in prey availability and ultimately back to effects of fishing gear will be challenging in these situations. New molecular and stable-isotope techniques offer the possibility for better tracking of trophic transfer of carbon and labeling of the role of particular prey taxa in secondary and tertiary production. The same can be said for effects of structural habitat change. It is difficult to detect signal changes because variability in populations is the cumulative result of many factors. Small-scale field studies producing information on the patterns of survivorship and predator-prey interactions in particular habitats, laboratory tests to determine relative differences in habitat-mediated survivorship under constant predator-prey densities, and numerical modeling to link the small-scale approaches with population-level responses provide the bridge to link small-scale studies to large-scale patterns.

Further Considerations for Management

Fishing is one of the most widespread human impacts to the marine environment. The removal of fish for human consumption from the world's oceans has effects not only on the target species but also on associated communities. Although the size-specific

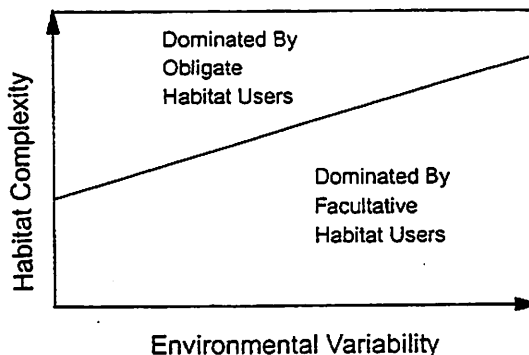


FIGURE 7.—Habitat complexity and environmental variability domain of fish assemblages as it relates to obligate and facultative habitat users. Fish assemblages occur in a continuum along the two gradients.

and species-specific removal of fish can change the system structure, the regions of the continental shelf that are normally fished appear to be fairly resilient. The difficulty for managers is defining the level of resilience—in the practical sense of time and area closures, mesh regulations, or overall effort limits—that will allow for the harvest of selected species without causing human-induced alterations of ecosystem structure to the point that recovery is unduly retarded or community and ecosystem support services are shifted to an alternate state (Steele 1996). Natural variability forms a backdrop against which managers must make such decisions, and, unfortunately, natural variability can be both substantial and unpredictable. The preceding discussion of the impact of fishing on marine communities does not address the role of natural variability directly, but it is apparent that in many of the systems studied there is an inherent resistance to biological change. In the very long term one can expect natural variability to generate regime shifts, but the challenge for natural resource managers is not to precipitate these shifts prematurely or in unintended directions.

Much of the research described herein is not at a scale that directly relates to effects on fish populations and therefore does not link directly to fishery management decisions. The research on fishing gear impacts does offer an indication of the types and direction of changes in benthic communities over large spatial scales as well as confirmation that benthic communities are dynamic and will ultimately compensate for perturbations. However, as observations show, shifts in communities are not necessarily beneficial to the harvested species. The scale of fishing is a confounding factor in management

because systems are being fished to the point where recovery is delayed so long that the economic consequences are devastating. We are currently seeing this pattern in many U.S. fisheries (and many other fisheries worldwide for that matter). Because our knowledge of ecosystem dynamics is still rather rudimentary, managers bear the responsibility of adopting a precautionary approach when considering the environmental consequences of fishing rather than assuming that the extraction of fish has no ecological price and therefore no feedback loop to our nonecologically based economic system.

This review has revealed that primary information is lacking for us to strategically manage fishing impacts on EFH without invoking precautionary measures. The following list identifies three areas where primary data are lacking; improved primary data would allow better monitoring and improved experimentation leading to improved predictive capabilities:

1. *The spatial extent of fishing-induced disturbance.* Although many observer programs collect data at the scale of single tows or sets, fisheries reporting systems often lack this level of spatial resolution. The available data make it difficult to make observations along a gradient of fishing effort to assess the effects of fishing effort on habitat, community, and ecosystem-level processes.
2. *The effects of specific gear types, along a gradient of effort, on specific habitat types.* These data are the first-order needs to allow an assessment of how much effort produces a measurable level of change in structural habitat components and associated communities. Second-order data should assess the effects of fishing disturbance in a gradient of type-1 and type-2 disturbance treatments.
3. *The role of seafloor habitats in the population dynamics of fishes.* Although good time series data often exist for late-juvenile and adult populations and larval abundance, there is a general lack of empirical information (except perhaps for coral reef, kelp bed, and sea grass fishes) on linkages between habitat and survival that would allow modeling and experimentation to predict outcomes of various levels of disturbance.

These data and research results should allow managers to better strategically regulate where, when, and how much fishing will be sustainable in regards to EFH. Conservation engineering should

play a large role in developing fishing gears that are economical to operate and minimize impacts to environmental support functions.

The ultimate goal of research on fishing impacts is not to retrospectively evaluate what fishing does to the environment but to predict cause and effect given a particular management protocol. This requires applying the conceptual models introduced in this discussion to actual management decisions and, at the same time, increasing our understanding of ecological mechanisms and processes at the level of the fish populations and associated communities. This demands in particular an appreciation of the importance of both the intensity and frequency of fishing impacts. If the objective is maintenance of habitat integrity, fishing should be conducted with an intensity that does not create isolated patches of communities whose progeny are required to recolonize impacted areas. Similarly, the habitat requirements of the harvested species must be taken into account to ensure that harvesting strategies do not disturb habitats more frequently than is required to balance economic as well as ecological sustainability.

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Fish Habitat: A Focus on New England Fishermen's Perspectives

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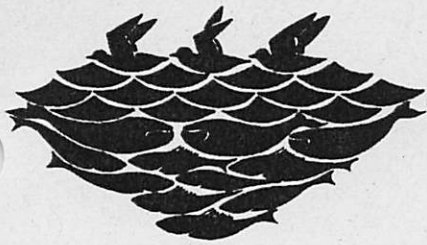
Abstract.—This study sought input from fishermen on their knowledge of fish habitat and the effects of fishing gear to fill some gaps in the science. We looked for any documentation of habitats and effects to habitats from fishing gear or other causes that fishermen could or were willing to provide. This report summarizes documentation provided by fishermen of fish habitat, changes to habitat observed over time, and fishing gear effects. In addition, the report evaluates the effectiveness of different approaches to identify fishermen's knowledge and document their observations. To better represent fishermen and provide accurate information, we were interested in fishermen's responses to two questions: (1) How can we better solicit fishermen's knowledge of habitat, and (2) what would make it possible for fishermen to share that information? The results of this study were influenced by several factors, including the fact that methodologies for integrating fishermen's knowledge into fisheries scientific literature and fisheries management are at an embryonic stage. In addition, for this initial study, resources were limited, which gave the survey a strong New England bias. We also found that fishermen are reluctant to get involved in essential fish habitat identification for several reasons, including the perceived proprietary nature of their habitat information. This review represents an important first step toward making the crucial linkage between fisheries management and fishermen's local knowledge. This study and future similar studies will provide opportunities to bring fishermen's knowledge to the forefront as essential fish habitat management plans are being developed. The contribution of fishermen's knowledge should help managers design a balanced regulatory system that will lead to sustainable fisheries and fisheries communities.

The 1996 reauthorization of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) required the regional fishery management councils (Councils) and the Secretary of Commerce to describe and identify essential fish habitat (EFH), identify negative impacts to EFH from fishing and nonfishing activities, and identify means to conserve and enhance EFH in each of their fishery management plans by October 1998. This was a daunting requirement given the lack of systematic, long-term, scientific research on habitat—as well as the length of time Councils usually need to propose, discuss, present at public hearing, and vote on management proposals.

The new rule reflects a change in fisheries management by specifically requiring the inclusion of habitat definitions in fishery management plans. Congress has mandated that fisheries managers should move toward an ecosystem approach rather than rely exclusively on stock assessment-based management. The concern with habitat and effects on fish productivity is not new, but fisheries management relies heavily on stock-assessment approaches (Ryther 1969; Russell-Hunter 1970; Cushing 1975; Holt 1981; NEFSC 1998). Habitat and the relationship between habitat and fish popu-

lation dynamics has not been integrated into stock-assessment approaches. Nor is the functioning of complex ecosystems at an individual species level well understood.

Although stock-assessment methods offer managers a tool for estimating fish productivity, few assessment models account for uncertainty, directional environmental change, impacts of recreational fisheries, and changes in catchability, selectivity, and mortality over time (NRC 1998). Yet with constant changes in navigational equipment, larger and faster boats, and improved fishing gear, for example, fishermen are able to harvest more fish and to fish in regions previously unexploited and unexplored. Data on such changes in fisheries practices are rarely reflected in stock-assessment models. Incomplete or inaccurate information, coupled with high-grading and misreporting of landings, can skew assessment results (NRC 1998). Furthermore, some models have a lag time that tends to overestimate exploitable biomass when stocks are declining (NRC 1998). Although a report by the National Research Council (NRC 1998) stressed the need to train stock-assessment and fisheries scientists, we further recommend that fishermen be trained to col-



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April 15, 2000

Chairman Richard Lauber
North Pacific Fishery Management Council
605 West 4th Avenue
Anchorage, Alaska 99501

RE: Harvest Controls for HAPC Biota, Agenda Item C-7

Dear Mr. Lauber,

The Alaska Marine Conservation Council wishes to thank the North Pacific Fishery Management Council for its attention to the marine life that comprise the living seafloor, or living substrate. These species, now referred to as "Habitat Areas of Particular Concern (HAPC) biota," are a key component of the marine ecosystem and fisheries productivity.

We agree that the Council needs to establish a policy that prohibits the development of new fisheries on HAPC biota such as corals, sponges, and other important invertebrates in federal waters. We are pleased that this analysis recognizes the imperative to maintain these biological components of the seafloor. In at least six locations in the document, the authors recognize the importance and significance of healthy seafloor life to support healthy fisheries:

p. 1: "The alternatives to control or prohibit harvest of some HAPC species would constitute a precautionary approach, in that it would control or prevent a commercial fishery for these HAPC species from developing. These HAPC invertebrates, *which provide important habitat for fish* have the potential to be developed into large-scale commercial fisheries."

p. 4: in quoting Auster, et al, "Its (management for habitat complexity) premise is that maintaining habitat complexity increases the survivorship of all species."

p. 20: "It is important to note that FMP-managed species were observed in association with the three-dimensional relief structures such as sponges and boulders."

p. 21: "The proposed actions are likely to have a beneficial effect on vulnerable fish habitat and the associated ecosystems because these actions would allow for control of fishing impacts."

p. 21: "The increased protection of the quality and quantity of EFH through the identification of HAPC should increase survival potential of managed fishery species, and increase biological productivity of both the ecosystem and the stocks of managed species dependent on the components of that ecosystem."

p. 22: "[Management alternatives to protect HAPC biota] would be expected to benefit commercially important fish populations, and provide for improved long-term productivity of the fisheries."

Recommendations

AMCC recommends the following:

- Adopt Alternative 3, Option 3, as modified by the Advisory Panel at its April 2000 meeting, which enables HAPC biota to be added to the Groundfish FMP as a new category: "Prohibit the sale, barter, trade or processing of corals and sponges. Kelp (including rockweed) and mussels would not be subject to additional management regulations at this time."
- ~~Prohibit the development of fisheries on all HAPC biota, with the exclusion of kelp and mussels that are currently harvested in state waters:~~
- Request the Alaska Board of Fisheries to adopt parallel management measures in state waters. Although this does not include changes to kelp and mussels, we suggest the Council recommend the Board of Fisheries consider their important habitat function when making management decisions regarding these species.
- AMCC recognizes the need to better understand the role of the living marine seafloor in sustaining our commercial fisheries. To the extent possible, HAPC biota should be generally identified (by genus, where practical) and accounted for in commercial catches of fish and crab. A long-term goal should be to establish a sampling protocol for HAPC invertebrates in an improved observer program. This could include a means for identification and study of invertebrates by an outside laboratory.

Because scientific knowledge of seafloor life and their role in habitat function is in its infancy in the North Pacific, we ask the Council to recognize that in the future, new information may lead to the addition of other species to the HAPC biota category.

We support the Council's movement towards protecting important habitats in the North Pacific, and look forward to participating in "Part 2" of the Council's work to identify and protect HAPC.

Sincerely,



Karen Wood Dibari
Program Director