

MEMORANDUM

TO: Council, SSC and AP Members

FROM: Chris Oliver *Chris*  
Executive Director

DATE: September 26, 2006

SUBJECT: Steller sea lion management

ESTIMATED TIME 4 HOURS
---------------------------

**ACTION REQUIRED**

- (a) Review revised Proposal Ranking Tool (SSC only).
- (b) Receive report on proposals received by SSL Mitigation Committee.

**BACKGROUND**

(a) Proposal Ranking Tool

At its June 2006 meeting, the Council received a report from its Steller Sea Lion Mitigation Committee (SSLMC) on the Committee's efforts to prepare for receiving proposals for changes in commercial fishing regulations that may affect the Steller sea lion (SSL). The SSLMC recommended to the Council that a Call for Proposals be announced, and the Council approved issuing the Call. This Call for Proposals, issued immediately after the June Council meeting, notified the public that the Council intends to consider proposals to change SSL protection measures in the Pacific cod, Atka mackerel, and pollock fisheries in the GOA and BSAI. Additional information about the proposals we have received is provided in the next part of this report.

In preparation for the proposal review process, the SSLMC has been working on a Proposal Ranking Tool (PRT) to use as a method for reviewing and ranking proposals for changes in SSL protection measures. The SSC recommended developing a tool that incorporates a multi criteria analysis process, and the SSLMC has been working with Dr. Peggy Merritt of Research Decision Support to develop a tool that incorporates SSC recommendations. The SSLMC will need a model that takes into account knowledge of SSL behavior and feeding ecology as well as information on commercial fishery interactions with SSLs. The model the SSLMC is developing is based on the Analytic Hierarchy Process (AHP). AHP is a technique for examining an issue by structuring the problem into a hierarchy and prioritizing the elements of that problem. In the case of reviewing proposals, the process involves identification of the goal of the process, identification of the factors that influence SSLs and factors that benefit the fishery, and then use software to combine rankings to determine a score for each proposal. AHP provides a transparent process for conducting this ranking.

The SSLMC met July 25-27 to develop a draft PRT (minutes of that meeting are Item C-1(a)). The tool was then presented to the SSC during the SSC's August 15-16 meeting in Juneau. At that meeting the SSC made suggestions for improving the model; the SSLMC met August 28-30 to review the SSC comments and to build a revised PRT that incorporates the SSC recommendations. The tool was further

refined and a series of sensitivity tests were conducted in the September 12-14 SSLMC meeting. Several test proposals were run through the model to familiarize the Committee with its operation. A revised draft report on the PRT is attached as Item C-1(b).

At this meeting, the SSLMC will present the tool as it is currently configured to the SSC for their review and comment. Once the Committee receives SSC input and concurrence with this approach, the Committee will begin using the PRT in its process of reviewing proposals.

(b) Proposals Received by SSL Mitigation Committee

As described above, the Council issued a Call for Proposals and placed a deadline for receiving proposals of August 18, 2006. The Council received 29 proposals. The Council may receive additional proposals from the Alaska Board of Fisheries (BOF). The State of Alaska notified the Council that the BOF will consider a series of proposals for regulatory change in the groundfish fisheries in State waters, some of which may affect adjacent Federal fisheries and Federal SSL protection measures. The BOF will meet October 12-13 in a work session and October 14-15 to take up a group of groundfish fishery proposals for the Cook Inlet and Aleutian Islands areas. Another group of groundfish fishery proposals for the Alaska Peninsula area may be taken up by the BOF at a future Board meeting. The SSLMC plans to meet immediately after the BOF's October 14-15 meeting to review the Board's action and to incorporate into the proposal review process any proposals that the BOF intends to move forward.

The package of proposals was sent to the Council in a mailing early in September; a listing of those proposals is attached as Item C-1(c). Staff will present a brief overview of the proposals. The SSLMC will eventually review and analyze these proposals, once the Proposal Ranking Tool is approved and the SSLMC receives the draft BiOp. Currently the schedule calls for SSLMC recommendations on the proposals to be presented to the Council at its February 2007 meeting.

**North Pacific Fishery Management Council  
Steller Sea Lion Mitigation Committee Meeting  
July 25-27, 2006  
Talaris Conference Center, Seattle**

**Minutes**

The Steller Sea Lion Mitigation Committee (SSLMC) convened at the Talaris Conference Center on July 25-27, 2006. Committee members present were: Larry Cotter (Chairman), Jerry Bongen, Kevin Duffy, John Gauvin, John Henderschedt, Dan Hennen, Sue Hills, Terry Leitzell, Dave Little, Max Malavansky, Steve MacLean, Art Nelson, and Earl Krygier (alternate for Ed Dersham). Also present were Bill Wilson (Council staff), Doug DeMaster and Lowell Fritz (NMFS AFSC), Kristin Mabry (NMFS AK Region staff), John LePore (NOAA General Counsel AKR), and several other NMML and AFSC staff and members of the public. The primary focus of this meeting was development of a proposal ranking tool and was moderated and facilitated by Dr. Peggy Merritt of Resource Decision Support, Fairbanks, Alaska.

Chairman Cotter reviewed the agenda (attached), the work schedule for the coming several days, and Bill Wilson reviewed the handout materials provided to each committee member. Dr. Merritt reviewed the process the Committee will use in the next few days to develop a proposal ranking tool. Kristin Mabry operated the software used to compile the weighting criteria used in the proposal ranking tool.

Proposal Ranking Tool

Dr. Merritt provided an overview of the mission of this meeting: to develop a decision tool for evaluating proposals regarding changes to SSL protection measures in the Atka mackerel, Pacific cod, and pollock fisheries in the BSAI and GOA. The tool the Committee will develop will be based on the Analytic Hierarchical Process; Dr. Merritt walked the group through a demonstration of how this process works (using a Greek versus Antarctic vacation scenario), defined the issues before the SSLMC, presented a draft hierarchy for the problem, and listed the criteria that could be used to score proposals (based on a survey of Committee members and additional work from a subgroup [DeMaster, Fritz, Wilson] completed this past week). This information served as a starting point for the Committee's deliberation and debate during the next three days.

The SSLMC reviewed how rating factors are scaled on a 1 to 9 scale with 9 equal to an extreme effect and 1 a slight effect. The Committee discussed how this kind of scale compares with a "normal" 1 to 9 rating scale. Dr. Merritt noted it is important that the Committee members use the same scale during this week so results are comparable. She also described how the model uses criteria to help judge the importance of each element among a group.

The group discussed a first-cut at a mission statement: "to build upon previous efforts in developing a rational approach to evaluate proposed changes to regulations that encompasses relevant and observable dimensions of the SSL and its prey field". The Committee edited several parts of this statement and arrived at an initial consensus

statement: "To build upon previous efforts in developing a rational approach to evaluating proposed changes in regulations (relative to existing mitigation measures) that encompass relevant and observable dimensions of the prey field of SSLs".

The mission has two main parts, both relating to the SSL prey field. The two main parts are: how fisheries affect prey items for SSLs, and how fisheries may affect SSL foraging ecology. The Committee discussed each and whether to add a third – effects of fisheries that may directly injure or kill SSLs or disturb SSLs. This aspect would relate particularly to proposals that might ask for fishing within the 0-3 n mi zone where such direct interactions (e.g., harassment) with SSLs could occur. The Committee did not concur on this but agreed to revisit this during a later part of the meeting. Dr. DeMaster reiterated that the last two Section 7 consultations regarding groundfish fisheries in Alaska focused on the potential for adverse impacts on SSLs mediated through competitive interactions. This also comports with the main issues defined in the Draft Revised SSL Recovery Plan.

The Committee reviewed the hierarchical list of how fisheries may affect SSL's ability to obtain prey items through effects on 1) prey fields or 2) the SSL's ability to forage. For the fishery effects on the prey field element, the dimensions are fishery effects on prey availability and fishery depletion of prey. And for the fishery effects on SSL foraging ecology element, the dimensions are fishery competition with adult SSLs and fishery competition with weanling/juvenile SSLs. The Committee suggested that these elements be explained – i.e. what assumptions are implied? After some discussion, it was agreed that the two primary assumptions were that (1) more aggregated prey are easier or more efficient for SSLs to capture and (2) reduced fish abundance diminishes the value of a prey field for a SSL.

Dr. DeMaster noted that the primary concern regarding an ESA consultation would either be competition between the groundfish fisheries and adult SSLs or competition between groundfish fisheries and juvenile SSLs (or both). The Committee discussion led to a more specific statement of the issues: fishery effects on adult females (because males are able to forage further and more independently because they do not care for young – and do not need to convert food to maternal milk) and fishery effects on weanling SSLs (recognizing that weaning is a gradual process that would typically take place anytime between 1-3 years in this species). The Committee believed that these were the two principal categories of concern.

The next levels in the analytic hierarchy are seven variables: gear, vessel size, geographic area, fish species, season, SSL site type, and distance from SSL site. A subgroup of the Committee developed a straw man ranking of these variables in three pair wise comparisons: area by species harvested, vessel size by vessel type, and SSL location type by proximity. Each of these comparisons was ranked by the subgroup based on the best available information on SSL ecology.

For the area by species harvested rankings, data used to develop rankings included the most recent SSL food habits data (including particularly the Sinclair and Zeppelin 2004 paper). No rankings of 9 (on a scale of 1 to 9) were made because the SSL diet is diverse and not wholly comprised of just P. cod or Atka mackerel or pollock, but rather a

combination of prey items; thus a fishery that harvested these species would still leave unharvested many other prey items. Each geographic area was judged of equal importance to the others because the draft SSL recovery plan requires an increasing trend in five of the six subareas used to describe subpopulations of the wSSL DPS. Therefore, all areas are considered important (and it was further noted that if the recovery plan criteria change, than this supposition may well have to be changed). It was suggested that perhaps the Pribilof Islands should be a separate region (i.e., a seventh region). It was recognized that the main SE Bering Sea fishing area is considered part of the eastern Aleutian Islands subarea. A concern was raised over the subgroup's ratings that give an equally high level of concern over P. cod and pollock removals in the eastern GOA given the known increasing trends of SSLs in this region and the general lack of any large cod or pollock fishery in that area.

For the gear type by vessel size rankings, the rationale for initial rankings by the subgroup was based on fishing power of a given gear type. The 2003 BiOp Supplement provided a rationale for evaluating impacts of trawl versus longline versus pot or jig in terms of fishing power. New data from 2004 on catch rates for trawl, longline, and pot fishing provided additional rationale (see figures that Lowell Fritz distributed). In this data set, it was noted that trawl gear harvested a large fraction of catch from small areas while longline gear harvested large catches spread out proportionately across geographic areas. Pot and jig gear were intermediate. Based on these new data, the subgroup developed ratings of severity of effect from various gear types. Some Committee members noted that the number of vessels in each gear category was not considered by the subgroup; multiple vessels in a gear type greatly increases fishing power. Some were also concerned that these rankings did not include recognition that in many cases fisheries occur on large schools of target species, and when targets are so abundant the catch levels in a specific geographic area will logically be high, but this is a function of schooling, not particularly a function of that specific gear type; and when targets are not aggregated these fisheries often are not prosecuted. In addition to the above comments on the subgroup's initial rankings, some suggested breaking fisheries into smaller sector groups. Dr. DeMaster, speaking for the subgroup, reported that the attempt was to simplify and not add redundancy across the seven variables.

For the SSL location type and season by proximity rankings, the subgroup's primary rationale was that sensitivity of the SSL to location is different for rookery and haulout and further sensitivity is also different in summer vs. winter. Highest weightings were for the 0 to 3 n mi zone and least for areas outside 20 n mi (outside critical habitat). Winter, in the 0 to 3 n mi area, is most sensitive since prey are more dispersed and pups are still nursing. The 3 to 10 n mi zone is similarly sensitive for the same reasons based on new telemetry data. Some noted that the weightings by the subgroup didn't appear to accurately match the rankings discussed at the beginning of this meeting. It was recognized that the subgroup did the scoring prior to the start of the SSLMC meeting. Therefore, the subgroup was asked during a break to rescore the various subunits. Another question was how to address a proposal that would affect multiple areas; one option would be to develop a weighted average of scores for those areas that are affected. The Committee discussed the issue of redundancy and how to include in the hierarchy all necessary variables without introducing double counting.

## DRAFT

Dr. Merritt then described the next steps. The Committee will continue to fill in the variables and subunits in the hierarchy matrix, debate the straw man scores developed by the subgroup, and come to consensus. Some still question the heavy emphasis on prey depletion as the primary issue. Dr. DeMaster noted that the subgroup's scoring factors were relative to each other and based on findings in the last two Biological Opinions (included the 2003 Supplement). Mr. Cotter stated that more discussion will occur and that eventually all on the Committee will be involved in setting the weighting factors; the subgroup's goal was to get this process started. Others noted that they want to see an example of this model, using a realistic fishery scenario, to better understand how the weights are factored into the final scoring process.

The Committee discussed how a group of proposals, when scored using this model, will be compared with the status quo. Will status quo be run through the model also? Will only differences in management measures between a proposal and the status quo be scored? How will a group of proposals be judged compared to the jeopardy bar? It was agreed that these kinds of questions need to be explored further.

### Scoring Process Discussion – Wednesday, July 26

The working group that worked on the straw man hierarchy reported on options for structuring the elements. An idea surfaced to divide the issues into two parts: fishery effects on SSL prey (which would include prey fields and prey items) and fishery effects on SSLs themselves. Some noted that missing in this array is consideration of how much food is harvested in a fishery relative to how much is there – an exploitation rate issue. John Gauvin noted that the two main effects of a fishery on the prey field are how much is harvested relative to standing biomass, and how fast the fish are harvested. He asked that the Committee consider the question: will prey be 'measurably' depleted?

Discussion focused on the removal rate issue. Could a rating be assigned to a fishery based on the percentage of the TAC that was taken? Do we have the data to make an exploitation rate calculation for small areas (smaller than the GOA and BSAI)?

Lowell Fritz reviewed the data used to develop the straw man rankings for the area, zonal, and fishing gear effects rankings discussed the previous day. These data are on handout charts provided by Mr. Fritz. The data include new information on SSL diet composition by region and season. It was noted that summer was defined as the period May through October and winter the period November through April. After discussion, the periods were thought to be better characterized as May through September for summer and October through April for winter.

It was recognized that temporal aspects of fishery effects on SSL prey fields are difficult to rate because of poor data. Diet data for winter are limited. The two seasons described above were felt to best characterize the available data. The Committee reached consensus on the months allocated to each as above.

Prey removal rate was discussed, primarily focusing on how to calculate or rate a fishery effect on target species that are prey for SSLs. This may be complicated by seasonal behavior of fish prey items; for example, pollock aggregate for spawning in winter and a

## DRAFT

fishery targeting these would have an exploitation rate that is affected to a large extent by the schooling behavior of the prey species. Fish migratory behavior also could affect exploitation rate and introduce a seasonal element that might be hard to capture in a single rating scheme. Mr. Gauvin suggested that perhaps using a proportion of TAC could work as long as geographic area was factored in as well.

Mr. Fritz continued (after the public comment period – see below) with a review of data used to develop rankings on importance of zones around SSL sites. The telemetry data presented to the SSLMC at its last meeting from juvenile SSL telemetry studies show a continued importance of the 0 to 10 n mi zone; Mr. Fritz noted that data were binned in the 0 to 10 n mi zone, as was done in the 2003 Supplement. DeMaster noted that a finer scale breakout of the telemetry data would be difficult to support given the scale of uncertainty in the position data derived from the satellite tags placed on the animals. In addition, Mr. Fritz noted that the data bear out an equal importance of both – that the 0 to 10 n mi zones around haulouts and rookeries are important and that telemetry studies show greater use of the 3 to 10 n mi zone than previously observed.

Mr. Fritz also reported that the data show less of a distinction in terms of importance between haulouts and rookeries – that both kinds of sites are important and haulouts are used for longer periods of time by a more diverse group of SSLs than previously observed. Telemetry and diet data bear this out. Thus the recommended weighting factors reflect this increased importance of haulouts. These new data (i.e., since the publication of the 2003 Supplement) will be included in the new BiOp. The Committee discussed this issue at length, and concluded a consensus that both rookeries and haulouts be retained as separate kinds of sites to allow flexibility in application to proposal rankings.

### Public Comment

Mr. Cotter invited public comment. Dave Fraser noted that for the SSL diet data, the older Sinclair and Zeppelin data are similar to the newer data developed for the new BiOp. However, he felt that different ratings for pollock and cod in the Aleutians than those developed by the subgroup would better reflect the available data (Mr. Fraser suggested a pollock rating of 1 to 1.5 and a cod rating of 2 to 2.5). Mr. Fraser also noted that many other species are taken in high proportion in SSL diets (Irish lords, salmon, cephalopods) and should be factored into the rating schemes.

### Weighting Factors Discussion – Continued

The Committee reviewed data provided by Mr. Fritz that support the subgroup's recommended weighting factors for gear type and removal rates. These are based on the 2004 annual catch rate distribution for EBS pollock, BSAI Atka mackerel, BSAI cod trawl, BSAI cod pot, and BSAI cod longline fisheries. The data are displayed as catch from 100 sq km cells, by gear type. The data show the potential removal rate capacity of the gear types. It was recognized that this analysis does not include a measure of the available biomass or such factors as gear preemption and agreements between sectors to avoid fishing conflicts.

The Committee discussed the issue of how to account for fish removal relative to available biomass. There are inadequate data on fish abundance and seasonal movement patterns to estimate biomass in small geographic areas for a given season. Another concern is how to measure duration of a fishery harvest. One idea is to score the fishery such that a high harvest in an area of low fish abundance would be rated high in terms of impact on the prey field. Some desire to include a seasonal element – and perhaps break summer into two sub time periods and winter into two sub time periods. This could provide the flexibility to evaluate fisheries that occur in different time periods or different parts of the summer. The Committee decided to retain quarters of the year as the smallest time span.

Exploitation rate relates to the amount of harvest to available biomass; the Committee recommended including this concept, but was uncertain how it could be achieved. As noted above, there is a general lack of biomass data for specific geographic areas and seasons. Ideas considered included using the biomass data for the GOA and BSAI from the 2003 BiOp Supplement (Tables III-7a-f), or use percent of TAC as a proxy for biomass. Another concern was how to ensure SSC approval of either method; it may be unlikely that any attempt at calculating an exploitation rate that requires biomass data for small areas would pass SSC muster. The Committee concluded that a qualitative weighting could be assigned – does the proposal increase or decrease the catch to biomass rate, and if yes it gets a higher score, and if no it gets a lower score. There was agreement to adopt this approach.

The Committee reviewed fishing duration; to a SSL would it be better if a fishery harvested small amounts incrementally over long periods of time versus high harvests in a short period of time. Harvests over a 1 to 3 day period, even if high, likely can be tolerated by SSLs but longer duration harvests could be more detrimental to SSLs; data suggest that SSLs likely can deal with low food abundance for a few days but not longer than 8-10 days. The Committee was not convinced they could meaningfully or accurately describe or weight duration. Therefore, as a proxy for duration, the SSLMC decided to use a yes/no decision related to whether the fishery was rationalized. If rationalized, the Committee felt that its prosecution would be more measured, spread out in time, and there wouldn't be a race for fish occurring. Dr. Hennen suggested also retaining a two-way metric which includes a yes/no for rationalized and a yes/no rating for whether the proposal would spread out the fishery in time. The Committee consensus was to accept this two-way decision component.

### Hierarchical Ratings

Dr. Merritt led the Committee through a process of voting on each of the weighting factors for each element in the hierarchy that has been developed so far. This process included using the subgroup's recommended weighting factors, as modified by the subgroup's recommended weighting factors. As previously noted, these factors were based on data that were provided to the Committee. These subgroup ratings would be a starting point and allow the Committee members a point of reference from which to base their recommended weighting factors.



## DRAFT

This process started with revisiting the two main elements or “mothers” identified as the start of the hierarchy the previous day. After some discussion and a bit of confusion, the Committee agreed to the following two:

1. Fishery Actions on the Potential Prey Field – including catch/biomass; seasons; gear/vessels; duration of the fisheries – and
2. SSL Needs – including food preferences, by area; zones around rookeries and haulouts

The Committee voted on a relative importance weighting for these two elements – scores are in the AHP model.

### Effects of Fishing on SSL Needs – Scoring

Under SSL Needs, the Committee discussed a rationale for how to weight the relative concerns over two sub elements: proximity and removal of target species by location. Rationale for removals included a measure of how deleterious a level or location of harvest would be to SSLs. The ranking scores recommended by the subgroup were taken from the 2003 BiOp Supplement as a starting point. It was noted that the target species (Atka mackerel, pollock, and P. cod) are only a portion of the SSL diet, and many other diet components are not harvested by commercial fisheries and thus will remain available to SSLs when the three target species are harvested.

For the proximity element, the Committee broke season into two main periods: winter and summer, and considered breaking each of those into two sub seasons. This would create a winter season which extends from the last quarter of the year to the first quarter of the next, or “DA” and a summer season denoted as “BC”. Summer is a period when SSLs breed, give birth, and females are lactating and closely attend pups on the rookery and thus are more tied to rookeries. The use of space around rookeries and haulouts by SSLs is different for each season. Winter is the non breeding season, and SSLs tend to forage greater distances from rookeries and haulouts. Ratings were for the following categories: summer rookery (most important, 7), summer and winter haulouts (5), winter ‘other’ (‘other’ are sites that are not used by enough animals to qualify as either a rookery or haulout but are still of concern, 5), summer ‘other’ (less concern due to low numbers, 2) and winter rookery (lower use than summer, 2).

The Committee voted on the relative importance of these categories to SSLs – scores are in the AHP model. Justification for scoring included differing views about higher importance of rookeries because of SSL breeding activity.

Removals by season were discussed and scored. Dr. DeMaster noted that in the draft recovery plan, all areas are important from a recovery standpoint. Some seasonal differences were recorded by the subgroup. Those recommended scores were: summer (5) and winter (4); SSL data now suggest there is little difference in importance of feeding by SSLs between seasons. For regions, the following were recommended: EGOA, CGOA, WGOA, EAI, CAI, WAI (with a weighting factor of 5 for each) and Pribilof Islands (weighted 3). The lower Pribilofs score is because the haulouts are not identified in the recovery plan. Some members felt the Pribilofs should rank the same

DRAFT

because of its growing population of SSLs; other Committee members suggested that a special area for the Pribilofs was just as important as the other six areas, even though this area is not specifically mentioned in the draft recovery criteria [dd2].

The Committee voted on the weighting factors for geographic regions – scores are in the AHP model.

The next category was prey species removed by geographic area. The Committee reviewed the subgroup's recommended rankings. Mr. Fritz noted that these scores represent the frequency of occurrence of the three target species in SSL diets by geographic area. There was some discussion about the pollock scores in the WAI. Others described their views of how their suggested scores better matched the data provided in the handouts.

The Committee voted on the importance of the three target species – scores are in the AHP model.

This ended the first part of the rankings process. The next step is to score the various sub elements under Fishery Actions on the Potential Prey Field.

#### Public Comment – Thursday Morning

Dave Fraser asked how the number of SSLs on a site would be addressed in the model. Dr. Merritt recounted that the agency feels each region is similar and of equal importance, and abundance is implicit in weighting the regions equally. Mr. Fraser noted that if a proposal would harvest a large number of prey items in an area of few SSLs, then how would that be weighted versus a proposal that did the opposite?

Chuck McCallum asked that the public continue to be provided information about the ranking tool so the public can track how the model is developed and ultimately used to score proposals.

Thorn Smith noted that copies of the handouts provided to the Committee members also help the public understand this process.

#### Fishery Actions on the Potential Prey Field – Scoring

Dr. Merritt revisited the work accomplished the previous day. The Committee revisited some of the elements in the hierarchy. The Committee discussed how the status quo might be ranked in the tool, noting the great difficulty of running all the myriad elements of status quo through the model. Some suggested running through the model examples of the various elements of status quo. The Committee agreed that more discussion is needed to resolve this question.

The Committee reviewed the four elements under Fishery Actions: is the fishery rationalized, duration, catch/biomass, and removals (what, where, when).

## DRAFT

The category of rationalization was discussed in depth. Some felt it was an appropriate variable, others felt it was not. If rationalized, it was noted that such a fishery would have some capacity to reduce practices that could affect SSLs, but it also was noted that this capacity might not always be exercised. Is this category necessary or appropriate, then? Some suggested it be removed. One concern was that a proposal for a fishery that is not rationalized could be “penalized” for not being rationalized. After much discussion, the consensus was to not include this variable in the hierarchy.

Another issue is what to do with other benefits of a proposal that are not judged in this model – e.g. a proposal that improves safety, or has a large economic benefit, or one that improves the ability to manage a fishery – measures that don’t impact SSLs. Dr. Merritt suggested that such benefits could be aggregated in a separate list during the proposal screening process and evaluated after the model runs are completed.

Fishery duration was discussed again. Some believed a fishery of short duration would have minimal effects on SSLs, while a fishery of longer duration could have adverse effects. Some believed spreading out a fishery was better for SSLs. Art Nelson suggested setting up a matrix that contrasted fishery harvest against abundance and scoring combinations of these two variables. For example, a proposal for a high harvest in an area of low target species abundance would be rated high (more adverse). Terry Leitzell suggested a modification that added scores for each of these two variables, then divide by two, to provide a sum ranking for a combination of the factors. This scoring would be done region by region. Dr. DeMaster added that the Agency could provide to the Committee a qualitative statement of biomass in each of the six subareas (and perhaps for the area around the Pribilofs, as well). This would allow the Committee to have data on how to address the issue of harvest vs. abundance. Catch to biomass comparisons could be provided by developing a ratio between TAC (or ABC) for a region with the estimated biomass in that region. The Agency could provide those estimates for at least six of the seven regions for the upcoming year (in our case, fishing year 2008) from the next stock assessments and SAFE reports. Thus, the Committee would have the ratio for expected harvest (TAC or ABC) to biomass for each geographic area. This would be for the three target species for a total of 21 data points (fewer given Atka mackerel do not occur in harvestable amounts in the EGOA or CGOA nor the Pribilofs). NMFS would use their best judgment to estimate regional biomass for these three species. The SSLMC would then use these ratios to score proposals for catch/biomass effects.

Dr. DeMaster was asked why the Agency believes all areas are of equal importance to SSLs. Why aren’t separate haulouts and rookeries to be evaluated against each other? He noted that, as has been expressed before in BiOps III and IV, the non-pup count data were not designed to be used to evaluate trends in abundance at individual rookeries or haulouts. The data, as collected (e.g., biennial counts), are simple too noisy for this purpose. Therefore, DeMaster cautioned the SSLMC regarding the establishment of metrics that were not robust to known uncertainty or data quality. He added that this was an issue the SSC would consider carefully in their review of the recommendations of the SSLMC. Dr. Hills noted that the SSC would likely be comfortable with such an approach as long as the assumptions about those data are clearly stated up front.

## DRAFT

The Committee questioned how to deal with a proposal that would affect only a single SSL site or a small number of sites and not an entire region? Dr. Merritt indicated that to do so we'd have to incorporate such a variable in the model now and weight the variables. It was suggested that an element be included in the model that addresses the number of SSL sites that could be affected by a proposal: 1 site, 2-5 sites, 5-10 sites, 10-50 sites, or more than 50 sites. The consensus was to include this variable. The Committee also requested that regional trends (i.e., by one of the six subregions used to characterize trends in abundance in the draft Recovery Plan) in SSL abundance be included as well.

More discussion continued about how to include fishery duration; Committee concerns focused on whether a proposal would spread out the harvest, condense it, or leave it unaffected. It was generally agreed to include as a variable the degree to which a fishery would spread out the catch. The Committee felt that by doing so it would include the issue of fishery duration.

### Public Comment – Thursday Afternoon

Dave Fraser noted the willingness of fishermen to help spread out harvest, minimize impacts, etc. but that he was uncertain that this model would have the ability to give credit to these kinds of actions.

### Hierarchy Rating Process

The Committee continued to work through the development of ratings for hierarchy elements. TAC/biomass estimates could be provided by the Agency which would be used by the SSLMC to agree a score ranging from 1 to 9 (assumption that 9 means high TAC for a low biomass situation in that region, and 1 the opposite). Then the Committee would determine a rating for whether the proposed fishery measure would result in a pulsed fishery or a fishery spread out in time. Much discussion ensued on the meaning of these two terms. The Committee decided that to score the relative impact of a pulsed or spread out fishery, the TAC to biomass ratio would need to be considered. If there is a large TAC for a small biomass species, then a pulsed fishery might have more impact than a pulsed fishery on a species where TAC was low relative to available biomass.

Mr. Nelson suggested that the key issue is whether the fishery is pulsed, because the impact of a spread out fishery would be similar regardless of TAC/biomass ratio. Then followed considerable discussion about how to rate these factors.

Dr. Merritt requested the group vote on a pulsed fishery vs. a spread out fishery for the 9 TAC/biomass scenarios. What constitutes a "pulse"? How does this concept apply to each fishery? The Committee considered possible pulse lengths (in days) of: 1-2, 3-10, 11-20, 20+. The consensus was to retain these pulse lengths.

The Committee returned to the issue of SSL trends and whether to factor these count trends into the model. The Committee decided to not include this rating factor based on the recommendations of Agency staff.

DRAFT

The Committee also revisited the element dealing with number of SSL sites affected by a proposal. The Committee discussed Dr. DeMaster's previous suggestion of 1, 2-5, 6-10, 11-50, and 50+ sites affected. The Committee decided to proceed with the percentage of sites affected approach: 1-10%, 11-25%, 26-50%, 51-75%, and 76-100%.

### SSLMC Meeting Schedule

Mr. Cotter noted that the model developed at this July meeting should be considered the first cut or a straw man model. The Committee will need some time to work with it and may make modifications. The model will be presented to the SSC on August 16 and based on SSC comments the SSLMC may further modify the model. Dr. Merritt will use notes from Bill Wilson and other information to develop a draft report on model development. The Committee will have the opportunity to make comments on the report.

Mr. Cotter suggested that the Committee be prepared for a full agenda at the August 28-30 meeting. At this meeting the Committee will receive proposals and will hear from the proposal proponents their justification of the proposal and to answer questions. There also will be time for public comment. The Committee also is scheduled to hear additional scientific presentations on SSLs. Given this expected agenda, the Committee will likely face a full three days of work. In September the Committee will review the draft BiOp and prepare comments on the BiOp for the Council at its October meeting. The SSLMC will meet again in October to run the proposals through the model and to prepare recommendations for the Council.

### Continued Work on the Hierarchy and Element Rankings

The Committee discussed whether or not to include another major element called impacts to type of SSL site, by region. The concept is to allow for ranking a proposal in terms of effects on rookery, haulout, or other site based on the number of sites the proposal would likely effect. Another option is to include site effects under the proximity category. The consensus was to do the latter and include the following categories of number of sites affected (percent of sites in a geographic region affected by the proposal): 1-10, 11-25, 26-50, 51-75, and 76-100 % of the sites in the region. The Committee then developed rankings of these categories in three zones: 0-3, 3-10, and 10-20 n mi. The scores are in the AHP model.

At this point, the model development process was completed, and Dr. Merritt and Ms. Mabry worked additional time to flesh out the categories and to populate the model based on the Committee's rankings. The Committee received an overview of how proposals could be reviewed using the model and the Committee discussed some options for how to compare a proposal to status quo. One option would be to run the proposal through the model and then run through the model just those status quo elements for that specific proposal to see the net effect of the proposed change. The Committee agreed that additional discussion will be required to develop a process for using the model to rate proposals. That work will begin after the SSC has a chance to comment.

DRAFT

### Draft Revised SSL Recovery Plan

The Committee was briefed by Mr. Cotter on suggested comments to provide to the Council on the draft revised SSL recovery plan. The Committee agreed at the last meeting to only forward to the Council comments the entire group could agree on. Those could include:

- A suggestion that the PVA could be more clearly explained and the process for how it was used to develop the criteria could be clarified.
- The recovery plan could provide more flexibility in the recovery criteria to respond to future new information on SSLs and fisheries and not lock in to the criteria as presently stated.
- The plan could structure the list of recommended research and management activities into a more clear hierarchy of necessary research and management rather than only reporting a laundry list of activities, and include a plan to acquire sufficient funding to accomplish this work.

Mr. Cotter stated that he would develop a draft letter that summarizes the Committee's concerns with the draft revised SSL recovery plan and then circulate that draft letter to the Committee; Mr. Cotter then would incorporate comments from committee members before sending it to the Council. The letter will contain only consensus comments.

### Adaptive Management Subcommittee Report

Dr. Hennen provided a review of the work accomplished by the subcommittee that worked on designing an approach to an adaptive management experiment. Such an experiment would investigate the relationships between fishing and SSLs. A short written report was provided to the Committee. The general approach would be to test fishery effects in an area where harvest occurs and where SSL rookeries can be accessed by researchers. The work would involve remote video cameras monitoring females attending pups; females make repeated and fairly predictable lengths of foraging during the period they attend pups, and the foraging trip duration in areas fished and in areas not fished could be measured from these observations. It was noted that one area suggested for this experiment, the Aleutian Islands, does not support a fishery of sufficient magnitude during the period SSLs are on rookeries and attending pups. Additional work on the proposed approach will be required, perhaps focusing on Atka mackerel.

### Adjourn

The Committee adjourned at 5:15 pm Thursday July 27. The next meeting will be at the AFSC on August 28-30, starting at 8:30 am on August 28. This meeting will be available via teleconference by calling 907-789-6622. Meeting times are Pacific Standard Time.

Bill Wilson  
[Bill.wilson@noaa.gov](mailto:Bill.wilson@noaa.gov)

DRAFT

North Pacific Fishery Management Council  
**Steller Sea Lion Mitigation Committee Meeting**  
Talaris Conference Center, Maple Room  
4000 NE 41<sup>st</sup> Street, Seattle  
July 25-28, 2006

Purpose: Review comments on draft Revised SSL Recovery Plan and discuss adaptive management. Develop a trade-off tool using the Analytic Hierarchy Process. The tool will be used by this committee to evaluate proposals for changes in fishing regulations related to Steller sea lion protection measures in the pollock, Pacific cod, and Atka mackerel fisheries in the Gulf of Alaska and Bering Sea/Aleutian Islands.

**AGENDA**

July 25 – 1:00 PM

1. Introductions and Opening Remarks, Announcements, Agenda Approval (Cotter)
2. Minutes of Last Meeting, Update on Call for Proposals (Wilson)
3. Committee Work Session Using Analytic Hierarchy Process to Develop Trade-off Tool (Merritt, All)

July 26 – 8:30 AM – 5:00 PM

4. Committee Work Session (Continued)

July 27 – 8:30 AM – 5:00 PM

5. Committee Work Session (Continued)

July 28 – 8:30 AM – NOON (If Needed)

6. Committee Work Session (Continued)
7. Review Committee Comments on draft Revised Recovery Plan (Cotter, All)
8. Discuss Adaptive Management Subcommittee Report (Gauvin, All)
9. Action Items, Closing Remarks, Adjourn (Cotter)

Public comment periods will be provided during the meeting.

Contact Bill Wilson at the Council offices if you have questions: 907-271-2809 or [bill.wilson@noaa.gov](mailto:bill.wilson@noaa.gov)

**DRAFT**

---

---

**Multi-Criteria Decision Tool to Evaluate Proposals for  
Change in Steller Sea Lion Protection Measures in the  
Gulf of Alaska and Bering Sea/Aleutian Islands  
Groundfish Fisheries, 2006**

**Developed by the  
Steller Sea Lion Mitigation Committee  
North Pacific Fishery Management Council**

**September 2006**

---

---



# TABLE OF CONTENTS

	<b>Page</b>
LIST OF TABLES .....	ii
LIST OF FIGURES.....	ii
LIST OF APPENDICES .....	ii
INTRODUCTION.....	1
METHODS .....	2
Participants .....	2
Approach .....	2
Structuring and Establishing Priorities.....	2
Structural Adjust .....	4
DISCUSSION OF SSC RECOMMENDATIONS.....	5
RESULTS AND DISCUSSION .....	7
Goal .....	7
Structure of the Proposal Ranking Tool .....	7
The Prey of the SSL .....	8
The SSL.....	8
Variables.....	9
Overall Model Structure.....	12
Other Variables .....	12
Judgments of Importance (Impacts).....	17
The Prey of the SSL .....	18
Sensitivity of the SSL in Relation to Site Type and Proximity .....	21
Appearance of Target Species in SSL Scat .....	23
IMPLEMENTATION OF THE PROPOSAL RANKING TOOL .....	26
Evaluation of the Model .....	27
Remaining Issues .....	29
LITERATURE CITED .....	31
APPENDIX A .....	.....
APPENDIX B .....	.....
APPENDIX C .....	.....
APPENDIX D .....	.....
APPENDIX E.....	.....

## LIST OF TABLES

<b>Table</b>		<b>Page</b>
1.	Variables from proposed fishing regulation changes that are included in the model to evaluate impacts to the SSL and their prey .....	9
2.	The number of scenarios developed in the PRT for each question .....	17

## LIST OF FIGURES

<b>Figure</b>		<b>Page</b>
1.	Schematic of a hierarchical structure showing four levels .....	3
2.	Hierarchy of potential impacts of fishing on the SSL and their prey field .....	13
3.	Judgments of the extent that harvest may impact the prey field by season .....	18
4.	Judgments of the extent that amount harvested, relative to the status quo, will impact the prey field, by fishing season .....	19
5.	Judgments of potential impacts to the prey field that could result from three possible changes in fishing duration, in relation to the amount harvested, for a given fishing season, considering the status quo of that fishery .....	20
6.	The relative priority of SSL site types, by season .....	21
7.	The sensitivity (priority) of a SSL site type to proximity of fishing, by season .....	22
8.	The potential for adverse impact (priority) of a change in fishing, considering percentages of SSL sites affected in a region, and fishing in proximity to the sites .....	24
9.	Ratings of importance of Atka mackerel, Pacific cod, and pollock to the SSL by region and season .....	25

## LIST OF APPENDICES

<b>Appendix</b>		<b>Page</b>
A.	Participants involved in the development of the PRT, Seattle, July 25-27; August 29-30; and September 12-14, 2006 .....	.....
B.	Glossary of terms used in the discussion and development of the PRT, as defined by the SSLMC .....	.....
C.	List of references relevant to the structuring and rating of elements in the PRT .....	.....
D.	Handouts developed by the NMFS-AFSC and provided to the SSLMC and referenced during development and scoring elements in the PRT .....	.....
E.	Average catch of SSL prey species, 2003-2005 .....	.....

## INTRODUCTION

The North Pacific Fishery Management Council (NPFMC) reinstated the Steller Sea Lion Mitigation Committee (SSLMC) for the purpose of tracking the recent Section 7 Consultation, and to accept proposals for possible changes to existing Steller sea lion (SSL) mitigation measures for Pacific cod, pollock and Atka mackerel in the Gulf of Alaska and the Bering Sea/Aleutian Islands. The SSLMC began work in early 2006 by reviewing all relevant SSL research completed since the last Biological Opinion (2003 supplement). Next, the SSLMC developed a decision tool for evaluating proposals, which was presented to the NPFMC and the SSC in June 2006. The SSLMC was advised to institute a more rigorous approach to identifying potential anthropogenic impacts to the SSL resulting from fishing activity, and how changes in fishery regulations could be gauged to minimize impacts to the SSL. During July 25-27, August 29-30 and September 12-14, 2006, SSLMC members and scientific advisors with the National Marine Fisheries Service Alaska Fisheries Science Center (NMFS-AFSC), as well as members of the public, met in Seattle to develop a decision tool (hereafter called the proposal ranking tool or PRT).

The intent of the PRT is to assist the SSLMC in forming consensus judgments about their perception of the problem, and their beliefs in the likely relative consequences of fishery regulation proposals regarding the SSL and their prey field.

The PRT was developed using a facilitated systems approach to planning and evaluation – the Analytic Hierarchy Process (AHP). The AHP has been used extensively for decades to address planning, conflict resolution, and prioritization in such areas as policy development, economics, engineering, medical and military science, and has more recently been applied to fisheries research and management (Leung et al. 1998; Merritt and Criddle 1993; Merritt 1995, 2000 and 2001; Merritt and Skilbred 2002; Merritt and Quinn 2000; Ridgley et al. 1997; USFWS 2005, 2006). The AHP is a tool for facilitating decision-making by structuring the problem into levels comprising a hierarchy. Breaking a complex problem into levels permits decision makers to focus on smaller sets of decisions, improving their ability to make accurate judgments. Structuring also allows decision makers to think through a problem in a systematic and thorough manner. The AHP encourages people to explicitly state their judgments of preference or importance. Decision support software, Expert Choice 11,<sup>1</sup> was used interactively to structure the problem, depict the influence of weights, and derive the priority of elements.

The PRT is being reviewed and developed in phases:

1. July 25-27, Seattle, the SSLMC developed a prototype PRT, in collaboration with the NMFS-AFSC staff;
2. August 16, Juneau, the SSC reviewed and commented on the prototype PRT;

---

<sup>1</sup> Forman, E., T. Saaty, M. Selly, and R. Waldron. Expert Choice, Decision Support Software, McLean VA. 1983.

3. August 28-30, Seattle, the SSLMC explored comments from the SSC, and completed initial development of the PRT;
4. September 12-14, Seattle, the SSLMC reviewed the first four chapters of the new Biological Opinion in light of the PRT, and ran hypothetical proposals through the PRT to examine performance;
5. October 2-4, Dutch Harbor, the SSC reviews the revised PRT.

The purpose of this draft report is to describe and present the PRT as developed to date by the SSLMC, in concert with the NMFS-AFSC and the public in Seattle, July 25-27, August 29-30 and September 12-14, 2006. This draft report provides a basis for review and comment from the SSC at their October 2-4, 2006 meeting in Dutch Harbor.

Work on the PRT by the SSLMC does not imply that a clear linkage between fish harvest and abundance of SSL is known to exist. Rather, the PRT is predicated on the assumption by the NMFS in the current Biological Opinion that fishing had, and may continue to have, a relationship with SSL abundance. The judgments of SSLMC members reflect their assessments of the validity of that assumption. The meetings to date have been solely concerned with developing a tool to evaluate fishing impacts to the SSL and their prey field; insufficient time and information have been available to the SSLMC to fully develop a tool to evaluate benefits or "credit" in a proposal.

## **METHODS**

### **PARTICIPANTS**

A majority of SSLMC members participated in developing the PRT (see Appendix A), although not all members were present at all three meetings. Advice and scientific information was provided by NMFS-AFSC staff as well as members of the public. The meeting was facilitated by Dr. Margaret Merritt (Resource Decision Support).

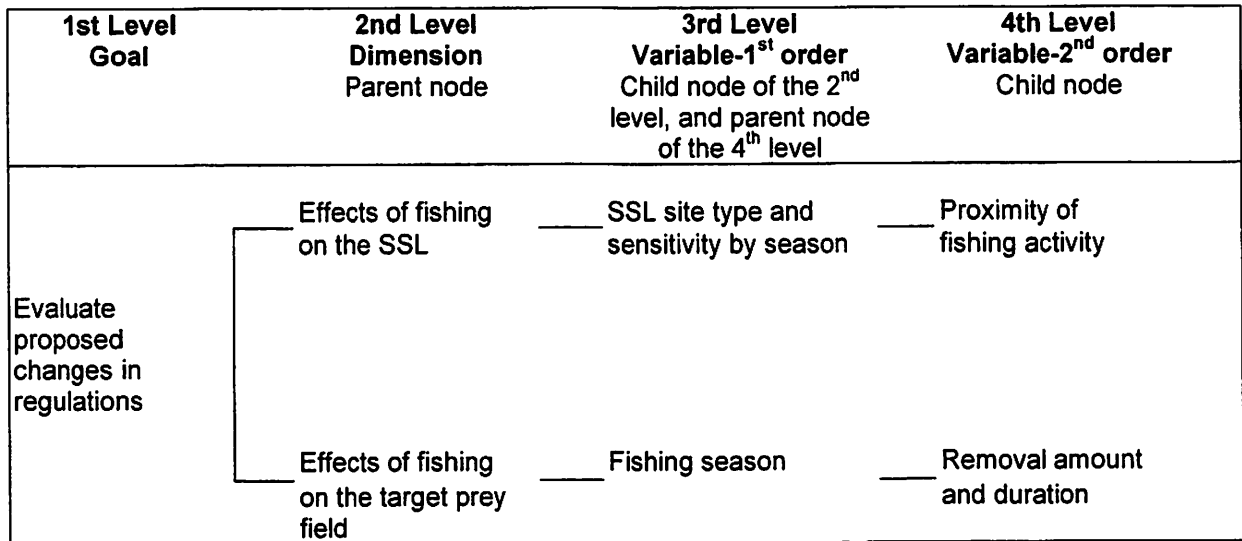
### **APPROACH**

The AHP was used to structure the problem and derive the interactions of its parts using data (when available) in combination with expert judgment (Saaty 1999). Expert judgment is defined as "previous relevant experience, supported by rational thought and knowledge" (Saaty and Kearns 1985; see Appendix B). The SSLMC used a variety of references, data tables and other sources of information in structuring and rating elements in the PRT. Those information sources not directly referenced in this report are found in Appendix C.

### **STRUCTURING AND ESTABLISHING PRIORITIES**

A top-down structuring approach was used, whereby the goal forms the top of the hierarchy and dimensions form the second level of the hierarchy. A dimension is a path

along which an impact can be measured. Variables are components of proposed changes to fishing regulations relevant to the PRT, and form the starting point for discussing the lower levels of the hierarchy. When variables are included into the hierarchy, they become “children” of the dimensions and are scored as to their potential degree of impact, relative to their “parent” dimension (see a schematic of a hierarchy in Figure 1). The group was tasked with discerning how variables associated with fishing regulation changes would be likely to impact the dimensions of the SSL and their prey.



**Figure 1. Schematic of a hierarchical structure, showing four levels.**

Development of the hierarchy was completed first, and then priorities were assigned to the elements of the hierarchy, with discussion about criteria for judging importance. Judgments on the degree of importance (or degree of sensitivity to impact) of a group of elements was always made in relation to their parent node - thus linking the elements in the lower levels to the upper levels of the hierarchy. In discussing criteria, a question such as the following was asked for each group of judgments, “Are all elements of this group of equal importance in assessing impacts, or is one element of more or less importance than another, in relation to its parent node?” A specific example follows: “Are all SSL site types (rookery, haulout, or other) of equal importance (sensitivity) to impact from fishing activity, or is one of more or less importance than another, in relation to a given season (winter or summer)?” In-depth discussion, with supporting data from NMFS-AFSC staff (Appendix D) and research updates previously received by the SSLMC, followed each such question, in an attempt to establish a rationale for judging importance.

Using criteria as guidelines, the SSLMC was asked to use supporting data (when possible) and/or their expert judgment in individually assigning ratings of importance to elements in each level of the hierarchy. The relative importance of the dimensions was evaluated, then that of the variables within each dimension. Participants were given time to think and write down their ratings of importance before sharing and discussing their judgments. A positive ratio scale with associated verbal equivalents was used to rate

importance, where numbers between those listed (e.g., 2, or 2.5, etc.) were used to interpolate meanings as a compromise:

Scale of Importance	Definition
9	Extreme importance
7	Very strong importance
5	Strong importance
3	Moderate importance
1	Slight importance

Elements judged to be of equal importance were given equal scores. Consensus in the rank order of elements was usually achieved among committee members. Disagreement is defined in this report as differences in the rank order of importance; for example, if one committee member rated elements "A" and "B" as 2 and 4, respectively, and another member rated "A" as 5 and "B" as 3, they disagreed about which element is more important. When disparity in judging importance occurred, it meant disagreement existed, and discussion and debate was encouraged. Debates advanced the understanding of important concepts and often resulted in a clearer definition of the dimension or variable. By seeking consensus not only were dialogue and learning encouraged, but also the formation of a group solution, rather than individual solutions, was promoted.

Expert Choice was used interactively to depict the influence of weights and derive the priority of variables. Priorities approximate the strength of importance for each variable, adjusted to reflect the importance assigned to the dimension addressed by that variable. Mathematically, relative ratings of importance are entered into a vector and normalized. The values from the vector are then multiplied by the weight in the next highest level, and the result is the weight of importance for variables. The total score for each variable is then calculated by adding the weighted proportions over all variables within a dimension:

$$T_m = \sum_{k=1}^d W_k p_{k,m}$$

where

- $T_m$  = the total weighted score for variable  $m$ ,
- $W_k$  = the weight for dimension  $k$ ,
- $p_{k,m}$  = the weighted proportion of the total score for variable  $m$  addressing dimension  $k$
- $d$  = the number of variables.

### STRUCTURAL ADJUST

Structural imbalance in the hierarchy can lead to dilution of the weight of many variables under a single dimension, so an adjustment feature in Expert Choice can be used to

restore priorities to their respective proportion of weight. Adjustment can be made to the priorities of the children of the current node, based on the total number of grandchildren. While approximate balance is sought and desired, complex problems do not always lend themselves to balance – thus the advantage of the structural adjust feature. Structural adjustment must always be examined to see if the results capture the intended proportion of weight and make sense.

In a conceptual example, consider that if (A) has four grandchildren, and (B) has two grandchildren, then there are six grandchildren in all and structural adjusting multiplies A's priority by 4/6 and B's by 2/6, then normalizes. Thus, the overall priorities for A's grandchildren are not diluted simply because there are many of them.

## **DISCUSSION OF SSC RECOMMENDATIONS**

Before further development of the PRT, SSC review comments from their August 15-16 meeting in Juneau were carefully examined and discussed. The SSC made nine specific suggestions, six of which require SSLMC response. The remaining three suggestions were requested additions or general comments on the PRT. The SSC suggested that the tool should provide for:

- the suite of anthropogenic factors that have been identified as potential threats to the recovery of distinct population segments of the SSL population;
- the impact of proposals on non-target prey species, including species taken in fisheries for salmon and groundfish as well as bycatch of other non-target species that are SSL prey;
- a variable set other than a TAC/biomass ratio for depicting potential effects of fishing on the prey field;
- estimates of fishery removal rates as a function of gear type and total effort;
- an alternative to frequency of occurrence of prey items in scat as a proxy for SSL nutritional needs when better measures become available; and
- provisions to evolve the PRT as more refined data become available.

Additionally, the SSLMC should retain flexibility to address situations not currently incorporated into the PRT.

In regards to how a proposal may influence anthropogenic effects on SSL, such as through incidental catch or entanglement by fishing gear, illegal shooting or disturbance from vessel traffic, SSLMC discussion ensued at length. The SSLMC reviewed its previous in-depth considerations of this factor at the July 25-27 meeting and felt that its conclusions are still valid. The SSLMC also noted that historically this factor had greater importance; instances of anthropogenic effects currently are significantly reduced from the pre-1990 period. The SSLMC decided that this factor should be considered outside the PRT for several reasons. First, there is a lack of accurate information on several aspects of anthropogenic factors, and thus no way to judge impacts and legitimately

assign ratings among separate fishery sectors. Lack of substantiating information would only lead to unnecessary speculation and contention, and likely would diminish the reliability of the PRT. Further, anthropogenic impacts are addressed by fishery in the annual List of Fisheries (LOF) process under the Marine Mammal Protection Act. The LOF process will be considered in the proposal review process.

The issue of bycatch of non-target SSL prey raised by the SSC led to a discussion of the importance of target species and prey other than target species to the nutritional needs of the SSL. The SSLMC noted that the entire prey field had already been considered at the July 25-27 meeting in Seattle; weightings of target species in relation to the frequency of occurrence of non-target prey in the scat of the SSL is accounted for in the model structure based on data in NMFS (2006a), under the node concerning nutritional needs of the SSL. The SSLMC wished to address SSC concerns for bycatch of non-target prey in relation to its biomass; however, biomass estimates for non-target prey were not readily available at the August 28-30 meeting in Seattle. Staff at the NMFS-AFSC agreed to develop a data set of biomass estimates of target and non-target prey by region so that the SSLMC can consider bycatch of non-target prey in the PRT to determine how this may affect overall proposal scoring. The data set was made available to the SSLMC on September 19, and has yet to be reviewed and discussed by committee members (Appendix E). The SSLMC intends to consider more fully the SSC recommendations to evaluate proposals in terms of impacts on other SSL prey items; however, the Committee has not had time yet to understand the implications of the information in Appendix E, and to decide on how to incorporate these data into the PRT. The SSLMC intends to address the information in Appendix E in an upcoming meeting.

Several members of the SSLMC cautioned that placing too much weight on the total sum of non-target prey in the SSL diet in some regions could discount the importance of the target species to the SSL, and thus run counter to the Biological Opinion on the impact of fisheries for Atka mackerel, Pacific cod and pollock on the SSL. The difficulty in understanding the dynamics of SSL prey based on scat data was noted again. It is yet to be fully described in the draft Biological Opinion.

An alternative to the TAC/biomass ratio was explored, with valuable input from NMFS-AFSC staff. Discussion included concern over lack of data to improve upon the TAC/biomass ratio. One suggested alternative was to use the target species biomass after removal by a fishery, relative to the combined pre-fishery biomass of Pacific cod, pollock and Atka mackerel. This ratio would put into perspective the harvest relative to the total prey field. For example, one region might have a large abundance of pollock relative to the combined biomass of all three target species, whereas another region might have a small amount of pollock relative to total combined species biomass. Thus, removals of pollock from each region would have potentially different impacts. However, it was noted that the alternative idea did not appear to improve the scoring process over the original idea because both were limited to data collected at the regional scale. Additionally, biomass survey data are collected during summer, whereas fishing occurs primarily in winter, thus reducing the utility of survey data. After considerable detailed discussions, the SSLMC concluded that no quantitative data set, or method to combine



data sets, would serve as an acceptable proxy for judging the effects of fishing on the prey field. Therefore, the SSLMC turned to a qualitative way in which to judge the potential effects of fishing on the prey field relative to the status quo, by asking the following questions:

- In regards to harvest removal rate (intensity of fishing), will the proposal result in a shorter (longer, or the same) fishing duration, relative to the status quo?
- In regards to target fish biomass removed, will the proposal result in removing a lot more (a moderate amount more, a slight amount more, or the same or less) of target fish, relative to the status quo?

The status quo is defined by the SSLMC as the current fishing regulatory situation for each proposal. By asking questions in this manner, the SSLMC will be able to judge effects of the proposal at a local scale in relation to the current fishing situation.

While the rationale for a hierarchy of fishing power by gear type was provided in the June 2003 Supplement to the Biological Opinion (page 36), and explained to the SSLMC by NMFS-AFSC staff, the SSLMC concluded at the July 25-27 meeting in Seattle that gear type and vessel size are not satisfactory proxies for removal rate. Concerns include the lack of consideration for the number of vessels fishing, fisheries occurring on large schools of fish, agreement between sectors to avoid fishing conflicts, and the expectation that some proposals may be presented that would control removal rate directly.

The AHP that was used to create the PRT can also be used to modify it to accommodate any new information as it becomes available for examination and discussion.

## **RESULTS AND DISCUSSION**

### **GOAL**

The SSLMC's goal statement for the AHP model is to build upon previous efforts to develop a rational approach to evaluating proposed changes in fishing regulations for Atka mackerel, pollock and Pacific cod in the Bering Sea/Aleutian Islands and Gulf of Alaska that had been put in place previously to protect the SSL and their prey.

In the most recent Biological Opinion on the impact of Federal fisheries for Atka mackerel, pollock and Pacific cod in the Bering Sea, Aleutian Islands and Gulf of Alaska, the Protected Resources Division of NOAA Fisheries postulated that fisheries have somehow contributed to the decline in the number of SSL (in the western Distinct Population Segment), including indirectly by reducing the prey available to the SSL. Although the SSLMC's work on the PRT proceeded with the assumption that there may be a relationship between prey and the nutritional balance of the SSL, this does not imply that the SSLMC concurs with the assumption.

### **STRUCTURE OF THE PROPOSAL RANKING TOOL**

Although the SSLMC discussed several topics of concern at great length, three major questions are currently included in the PRT because reasonably reliable data are available to address these questions that are not available for other issues of concern. The three questions are:

1. To what extent does fishing alter the (target) prey field by season, putting the percentage of removal and duration of removal in the context of the status quo?
2. To what extent is the SSL sensitive to fishing activity, in relation to proximity to a given site type, and the percentage of sites affected in the region, and by season?
3. To what extent do the target species appear in the diet of SSL, by region and season?

The SSLMC identified two dimensions of the problem along which impacts may occur,

- how fisheries affect the prey field of the SSL, and
- how fisheries affect the SSL.

The SSLMC then structured the questions as a hierarchy, according to the two dimensions:

Goal: Evaluate proposed changes in regulations that encompass relevant dimensions of the SSL and their prey

- Dimension: effects of fishing on the prey field (Question #1)

- Dimension: effects of fishing on the SSL
  - Sensitivity of the SSL in relation to site type and proximity (Question #2)
  - Appearance of target species in SSL scat (Question #3).

### **The Prey of the SSL**

The SSLMC engaged in lengthy discussions relating fishing to the prey field, including NMFS' concerns about the availability of prey as affected by dispersal from fishing activities (Wilson et al. 2003). Issues discussed included the response of the prey field to fishing, possible changes in fish schooling behavior, prey switching, and the SSL's ability to capture and consume prey. The question that arises is, "Will prey availability be altered in a manner that affects the SSL?" The NMFS assumption is that more aggregated prey are easier for the SSL to capture, and removal of fish can result in a reduced number of fish or fish aggregations. The question that arises is, "Will prey be measurably depleted in a manner that affects the SSL?"

Both of the above concerns were ultimately combined by the SSLMC into one dimension because it was thought that realistically there could be little measurable distinction between the two.

### **The SSL**

Much discussion focused on SSL foraging ecology, reproductive behavior, energy balance needs, and potential disturbance from fishing activity. Degree of impacts was related to adult females and weanlings, as these categories of individuals have more restrictive energy balance needs, as compared with adult males. Non-territorial adult males are able to forage further and longer because they do not maintain breeding territories, care for young, lactate. Females have dual roles of their own maintenance and reproduction (Maniscalco et al. 2006). For NMFS, fishing competition with juvenile SSL that have not yet weaned and are still partly reliant on maternal care is a primary concern (Rehberg 2005). Weanlings have lesser diving capability and fewer reserves for energy balance over time than adults because of smaller body size (Loughlin et al. 2003, Fadely et al. 2005, Pitcher et al. 2005). In addition to the concept of competition, the concept of fishing activity having other deleterious effects on SSL through disturbance was discussed. The SSLMC intended the term "disturbance" to include behavioral and physical aspects.

All concerns were ultimately combined into one dimension because adult females and weanlings largely overlap in time and space, thus making these components of the problem nearly indistinguishable from an impact point of view, and SSL foraging is an overarching concern, related to several variables, including proximity of fishing activities to SSL sites.

### **Variables**

Prior to the meeting, a scoping survey was distributed to a sub-group, to identify variables that might be encountered in proposals. The question asked was, "What's on the table for change?" And, "Given the set of variables, which will be used in the PRT?"

The entire SSLMC modified the list. Table 1 lists the variables identified as useful to the PRT.

**Table 1. Variables from proposed fishing regulation changes that are included in the model to evaluate impacts to the SSL and their prey.**

<b>Variable</b>	<b>Sub-units</b>
1. Target fish species	a. Pacific cod b. Pollock c. Atka mackerel
2. Target species removals	a. a slight increase in amount harvested = 1 to 5% of the total seasonal TAC for all sectors in that fishery for season. b. a moderate increase = 6 to 10% increase in amount harvested c. a large increase is > 10% increase in amount harvested d. no change or a decrease in amount harvested
3. Fishing duration	a. a shorter fishing season relative to status quo b. a longer fishing season relative to status quo c. a fishing season of the same duration as status quo
4. Geographic regions	a. Eastern Gulf of Alaska (EGOA) b. Central Gulf of Alaska (CGOA) c. Western Gulf of Alaska (WGOA) d. Eastern Aleutian Islands (EAI; includes the Bering Sea) e. Central Aleutian Islands (CAI) f. Western Aleutian Islands (WAI) g. Pribilof Islands
5. Seasons	a. Summer (the SSL breeding season, defined as May-September) b. Winter (non-breeding season, October-April) c. Shifting fishing from winter to summer d. Shifting fishing from summer to winter
6. SSL site types	a. Rookery b. Haulout c. other
7. Proximity zones to a SSL site	a. 0-3 nm b. 3-10 nm c. 10-20 nm d. 20+ nm e. not critical habitat
8. The percentage of SSL sites affected in a region	a. 1-10% b. 11-25% c. 26-50% d. 51-75% e. 76-100%

Explanations of variables used in the hierarchy follow for each dimension.

### ***Variables Applicable to the Prey Dimension***

Variables that can potentially impact the prey field are:

- season,
- target species removals, and
- fishing duration.

The ideal way to evaluate impacts of proposed changes on the prey field is to know fish biomass at the site and time in question, understand SSL prey needs at the site and time, and predict with accuracy the amount and rate of harvest relative to biomass associated with the proposed change. However, this is a data-poor environment in which to make decisions, so judgments must be made on the best available information.

The SSLMC determined after long discussions that the best characterization of removal amount and rate, given limited knowledge, is a qualitative assessment, by answering these questions:

- Would the proposal result in an increase in harvest of the total seasonal TAC for all sectors in that fishery for that season, when compared to the status quo?
- Would the seasonal harvest be taken in a shorter, longer or the same time period compared to the status quo?

Prey removal rate may be complicated by seasonal behavior of fish; for example, pollock aggregate for spawning in winter and a fishery targeting these fish would have an exploitation rate that is high, in part because of the schooling behavior of the fish. Fish migratory behavior could also affect exploitation rate.

The percent TAC is defined as the sum of all sectors' seasonal TACs for a given target species. The calculation would either add or subtract the percent of TAC from the status quo, thus eliminating the need to specify a TAC value for a given year.

Removal amount must be discussed in relation to the duration of removal. The SSLMC engaged in an extended debate about the impacts of "pulsed" (defined as approximately 3 to 10 days) versus "prolonged" fishing on the prey field (small amounts of fish harvested incrementally over long periods of time). If the time taken to harvest decreases from longer than 10 days to a period of 3 to 10 days, then the fishery would be classified as a pulsed fishery. The SSLMC turned to the NMFS-AFSC for data in this regard. There is some research that suggests SSL are most vulnerable to prey field disruptions that are characterized by a high removal rate in a pulsed time frame in a given area (June 2003 Supplement to the Biological Opinion). That is, an individual SSL can probably deal with low food abundance for a few days, but going without food for 3 to 10 days could be detrimental to the health of the SSL. The concern with pulsed fishing is localized removals of large quantities of available biomass.

At the September 12-14 meeting, fishing duration was further defined as relating to intensity of harvest (amount and time), and addressing localized depletion concerns. For

example, a smaller harvest over a longer time is less likely to result in localized depletion – this is considered a longer duration fishery. Shifting TAC by eliminating or instituting seasonal splits might change the duration of a fishery, but not necessarily the duration within the season.

### ***Variables Applicable to the SSL Dimension***

Variables that can potentially impact the SSL dimension are:

- fishing near a type of SSL site,
- fishing within zones of proximity to the site, in a given season,
- the percentage of SSL sites in a region affected by the proposed change,
- fish species targeted for harvest, and
- fishing within a geographic region, in a given season.

### **Sensitivity of the SSL in relation to site type and proximity**

The ideal way to evaluate the impacts of proposed changes to fishing regulations on the degree of disturbance to SSL is to examine the impacts related to the number of SSL per site seasonally, and the trend in SSL abundance at that site. However, survey counts of SSL are not conducted at every site, occur primarily in summer, and movement of SSL between sites is known to occur. Thus, the effects of fishing in winter at a particular site would have little relation to SSL abundance counts that were conducted in summer. Lack of complete knowledge of SSL abundance per site seasonally and the extent of movement between sites also hampers incorporation of SSL trend information into the PRT. Trends per area are subject to error due to variability in SSL movement between sites, and thus trends are not meaningful on a per-site basis. The NMFS-AFSC staff suggested that incorporation of the concept of the sensitivity of site type and proximity of fishing activities to the site in a given season into the PRT would serve as the best available proxy to site specific SSL abundance and trend, because data on the type of sites are more reliable.

The SSLMC discussed the best way to incorporate time, and concluded that seasons based on the energy needs of the SSL would be the most useful since we are discussing the availability of energy (food) to the SSL. Summer is defined as the breeding season (May-September) and is roughly equivalent to the B and C GOA pollock fishing seasons. It is assumed that energy needs are greater for lactating females and other nutritional stresses associated with breeding; thus, summer would be a more important (sensitive) time than winter. Winter is defined as the non-breeding season (October-April) and is roughly equivalent to the D and A GOA pollock fishing seasons.

The NMFS-AFSC staff distributed a table characterizing SSL site types as rookery, haulout or “other”, based on the type of activity at the site and the numbers of animals counted there in a given time period (NMFS 2006b; Appendix C). The “other” designation is given to sites that are listed in the Biological Opinion, but do not meet the seasonal criteria for rookery or haulout; SSL can still be present at these sites. The new

telemetry data show that both rookeries and haulouts are used for longer periods of time by more diverse groups of SSL that had been observed previously (NMFS 2006c).

Members of the SSLMC wanted to account for the percentage of SSL sites in a region affected by a proposal, combined with proximity of activity to a site. Consensus was reached to include five categories of site percentages affected, within three proximity zones (Figure 4). The greatest adverse impacts (scored as “9”) would occur if the proposal sought to affect from 11-100% of SSL sites in the 0-3 nm zone for a given region.

#### Appearance of Target Species in SSL Scat

The combination of variables - fish species harvested, in a given geographic region, by season - is a proxy for nutritional needs of the SSL. Fish species of interest are Pacific cod, pollock and Atka mackerel, based on scat research that has defined these species as occurring frequently in the diet of SSL (Sinclair and Zeppelin *in review*). Data presented to develop ratings of importance included the most recent SSL food habits data (including Sinclair and Zeppelin 2002). The SSL diet can be diverse and not wholly comprised of Pacific cod, pollock or Atka mackerel, but rather a combination of prey items. Other species observed in high diet proportions include Irish lords, salmon, and cephalopods. Thus, a fishery that harvested Pacific cod, pollock or Atka mackerel may not harvest many other SSL prey items.

The seven geographic regions are defined in relation to the SSL draft revised recovery plan; also, proposals concerning these regions are expected. The seven regions include three in the Gulf of Alaska (western, central, eastern), three in the Aleutian Islands (western, central, eastern which includes the Bering Sea), and the Pribilof Islands region.

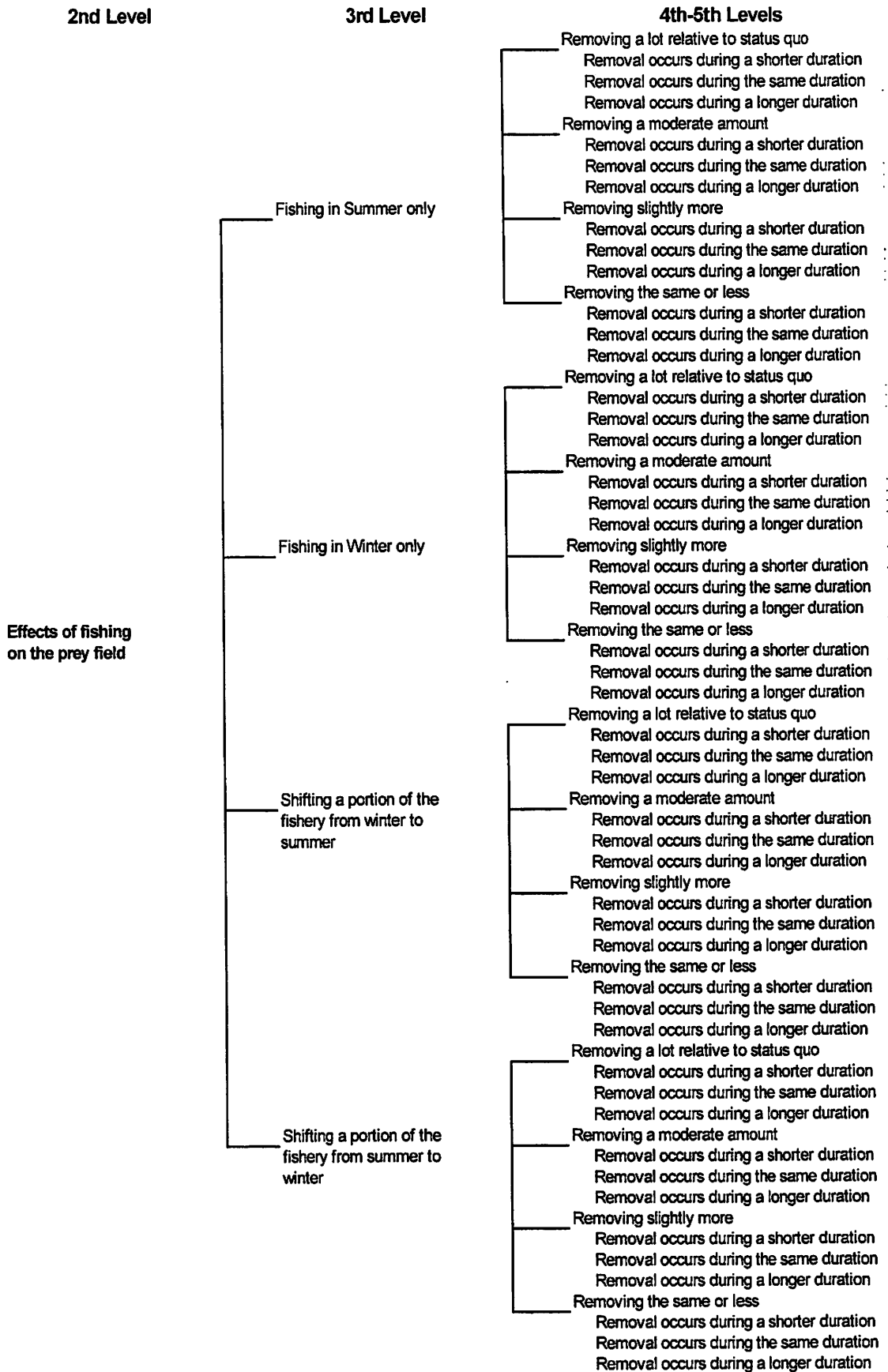
#### **OVERALL MODEL STRUCTURE**

The hierarchy consists of two dimensions, with eight variables organized in six levels (Figure 2). Some variable names are repeated to capture different aspects in relation to other variables, and to provide multiple scenarios, thus allowing flexibility in the scoring process. Reuse of variable names does not imply additional weight (“double counting”) but a lack of other appropriate terms.

#### **OTHER VARIABLES**

The SSLMC considered possible variables that do not apply to evaluating impacts; that is, those variables that may offer a benefit, or a “credit”. One such variable discussed was whether a fishery was rationalized. A rationalized fishery has some capacity to reduce practices that could adversely affect SSL, however the capacity might not always be exercised. The consensus of the SSLMC was not to include the variable, “rationalized fishery”, in the model.

Other variables mentioned that do not apply to the impacts model are proposals that seek to increase safety or economic benefits, and proposals to improve administrative or management efficiency. These benefits can be listed during the proposal screening process and examined after the impact evaluation is completed.



**Figure 2. Hierarchy of potential impacts of fishing on the SSL and their prey field.**



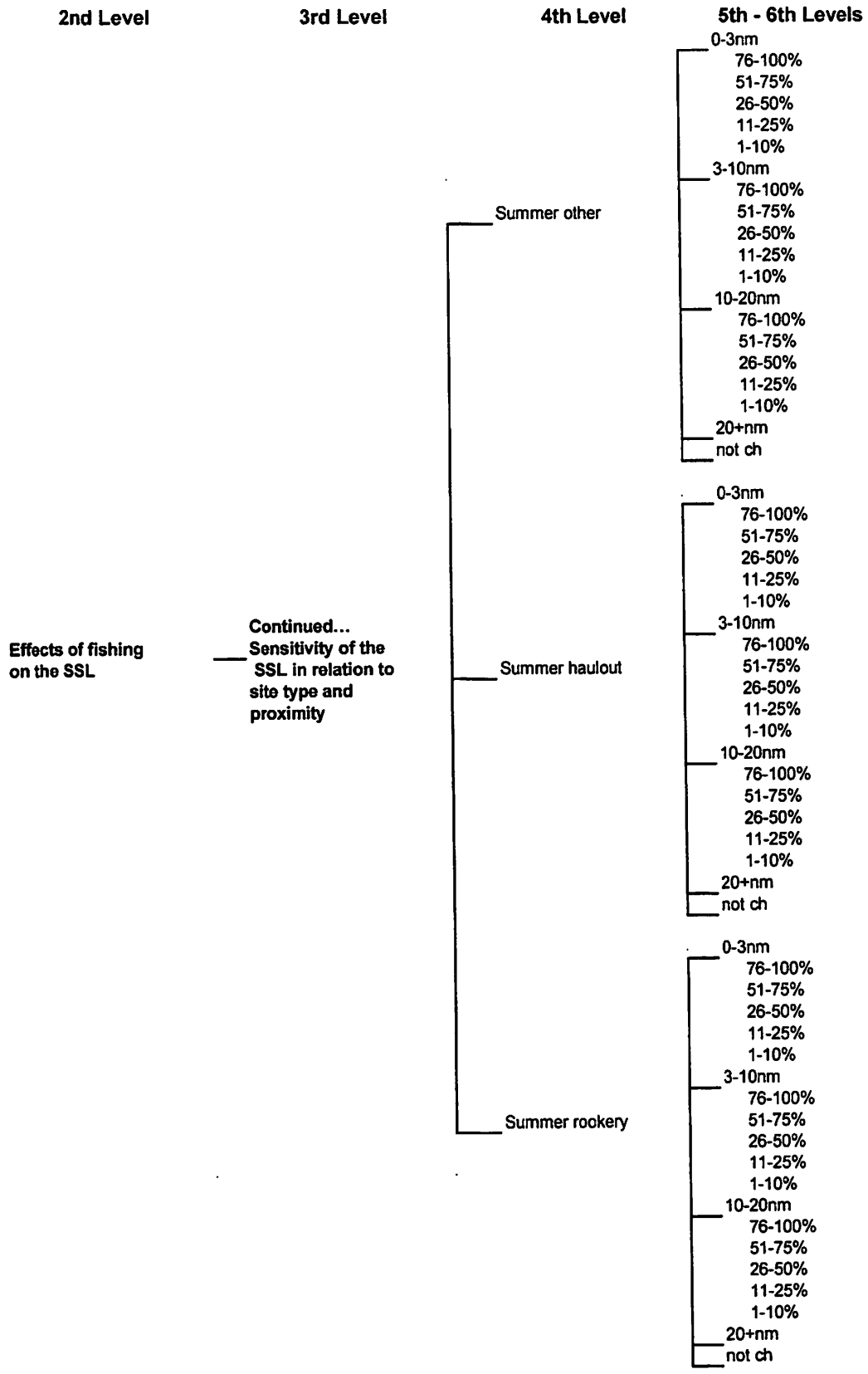


Figure 2. continued

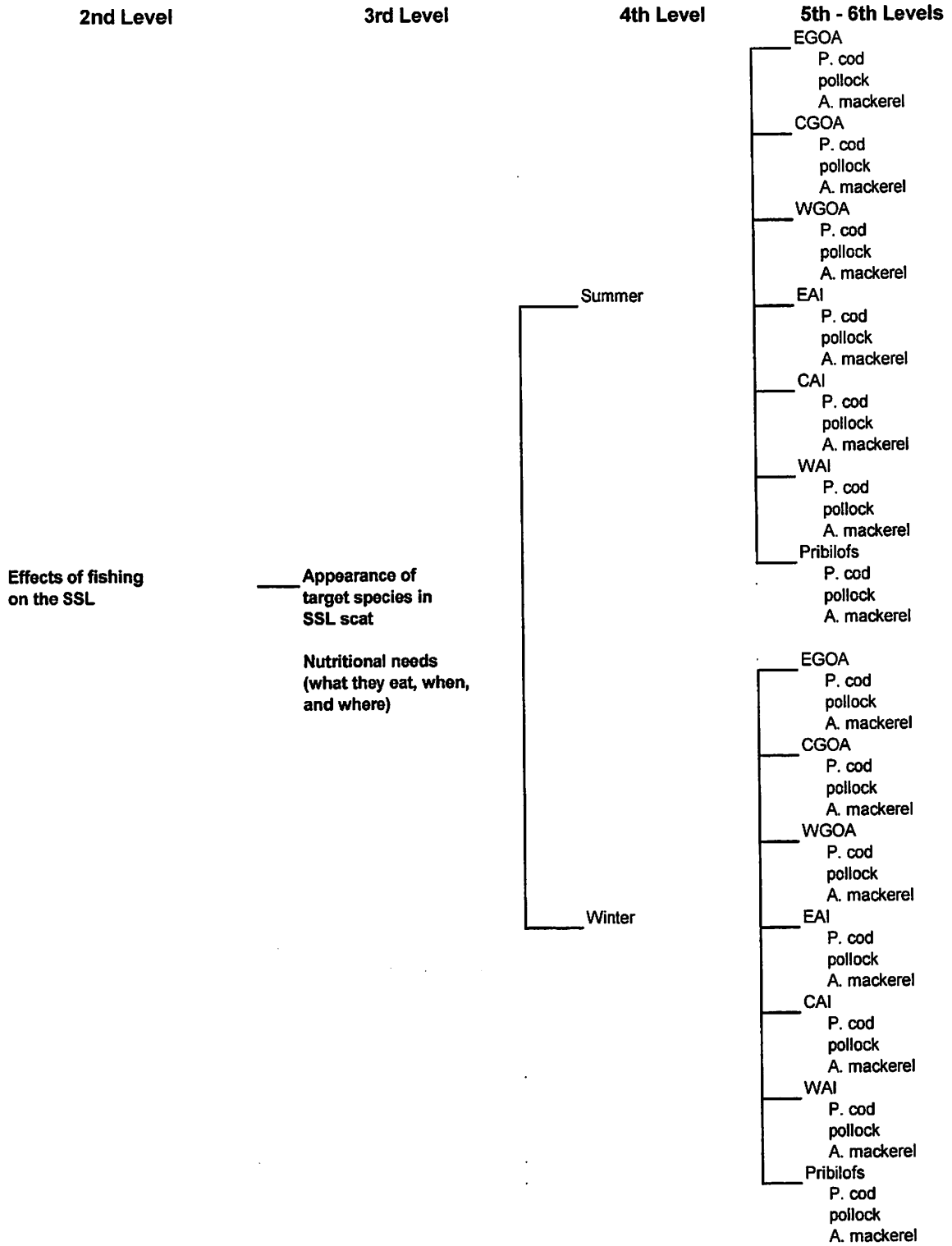


Figure 2. continued

## JUDGMENTS OF IMPORTANCE (IMPACT)

Weighting elements as to their importance in the overall assessment of impacts from fishing was based on data, testimony and expert judgment. Weights express the group's beliefs that the effects of fishing on the SSL is 1.5 times more important than the effects of fishing on the prey field, and that sensitivity of SSL to fishing activity is 2 times more important in regards to impacts of fishing than the appearance of target species in the scat of SSL. Unadjusted for balance, these weights are:

Goal: Evaluate proposed changes in regulations that encompass relevant dimensions of the SSL and their prey (1.000)

- Dimension: effects of fishing on the prey field (0.400)
- Dimension: effects of fishing on the SSL (0.600)
  - Sensitivity of the SSL in relation to site type and proximity (0.400)
  - Appearance of target species in SSL scat (0.200),

where the two children of the SSL dimension sum to their parents' weight of 0.600. However, because the hierarchy is unbalanced, the intended weights of the children of the SSL dimension are diluted. To correct for imbalance, and restore the relative proportion of weights, the Expert Choice software makes the following structural adjustment:

Goal: Evaluate proposed changes in regulations that encompass relevant dimensions of the SSL and their prey (1.000)

- Dimension: effects of fishing on the prey field (0.250)
- Dimension: effects of fishing on the SSL (0.750)
  - Sensitivity of the SSL in relation site type and proximity (0.500)
  - Appearance of target species in SSL scat (0.250),

Thus, the group believes that the potential impacts of fishing are greater on the individual SSL than on the prey field, and further, that the SSL are most sensitive to the proximity of fishing activity. For each of the three questions, possible scenarios that could be encountered in proposals were developed from key variables open to change (Table 2).

**Table 2. The number of scenarios developed in the PRT for each question.**

Question	Variables	Number of scenarios
#1: effects of fishing on the prey field	<ul style="list-style-type: none"> <li>• Season</li> <li>• Qualitative amount of target species removed relative to status quo, expressed as % of the TAC</li> <li>• Duration of fishing, relative to status quo</li> </ul>	48
#2: sensitivity of SSL to fishing activity	<ul style="list-style-type: none"> <li>• Season</li> <li>• Site type</li> <li>• Zone-distance from site</li> <li>• Percent of sites affected in a region</li> </ul>	102
#3: appearance of target species in SSL scat	<ul style="list-style-type: none"> <li>• Season</li> <li>• Region</li> <li>• Target species</li> </ul>	42

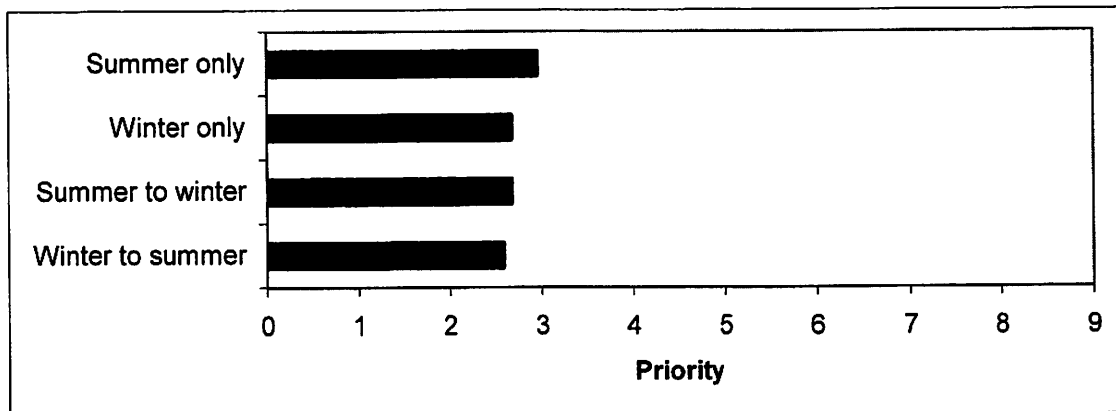
The number of scenarios for Question #2 (102) is more than twice as many as Question #3 – the sheer magnitude of scenarios dilutes the intended importance of each. To correct for imbalance, and restore the relative proportion of weights, the Expert Choice software makes the following structural adjustment in the children of the SSL dimension:

Goal: Evaluate proposed changes in regulations that encompass relevant dimensions of the SSL and their prey (1.000)

- Dimension: effects of fishing on the prey field (0.250)
- Dimension: effects of fishing on the SSL (0.750)
  - Sensitivity of the SSL in relation site type and proximity (0.643)
  - Appearance of target species in SSL scat (0.107).

### The Prey of the SSL

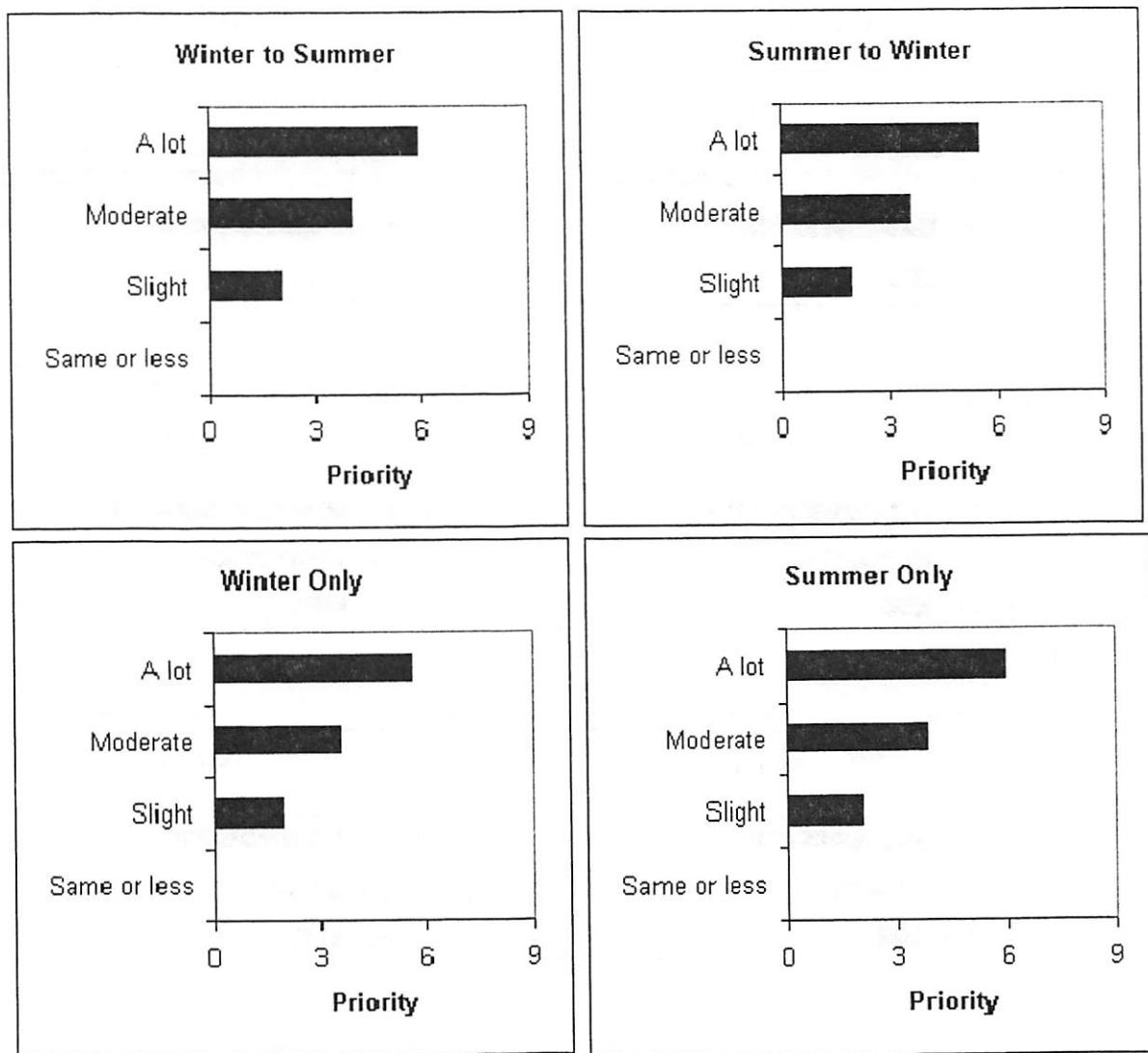
The SSLMC discussed the relative importance of harvesting in winter versus summer, and how to rate a proposal that might shift harvest between seasons. Four seasonal harvest scenarios were identified and rated according to the extent that SSLMC believe harvest removed may impact the prey field (Figure 3).



**Figure 3. Judgments of the extent that harvest may impact the prey field by season.**

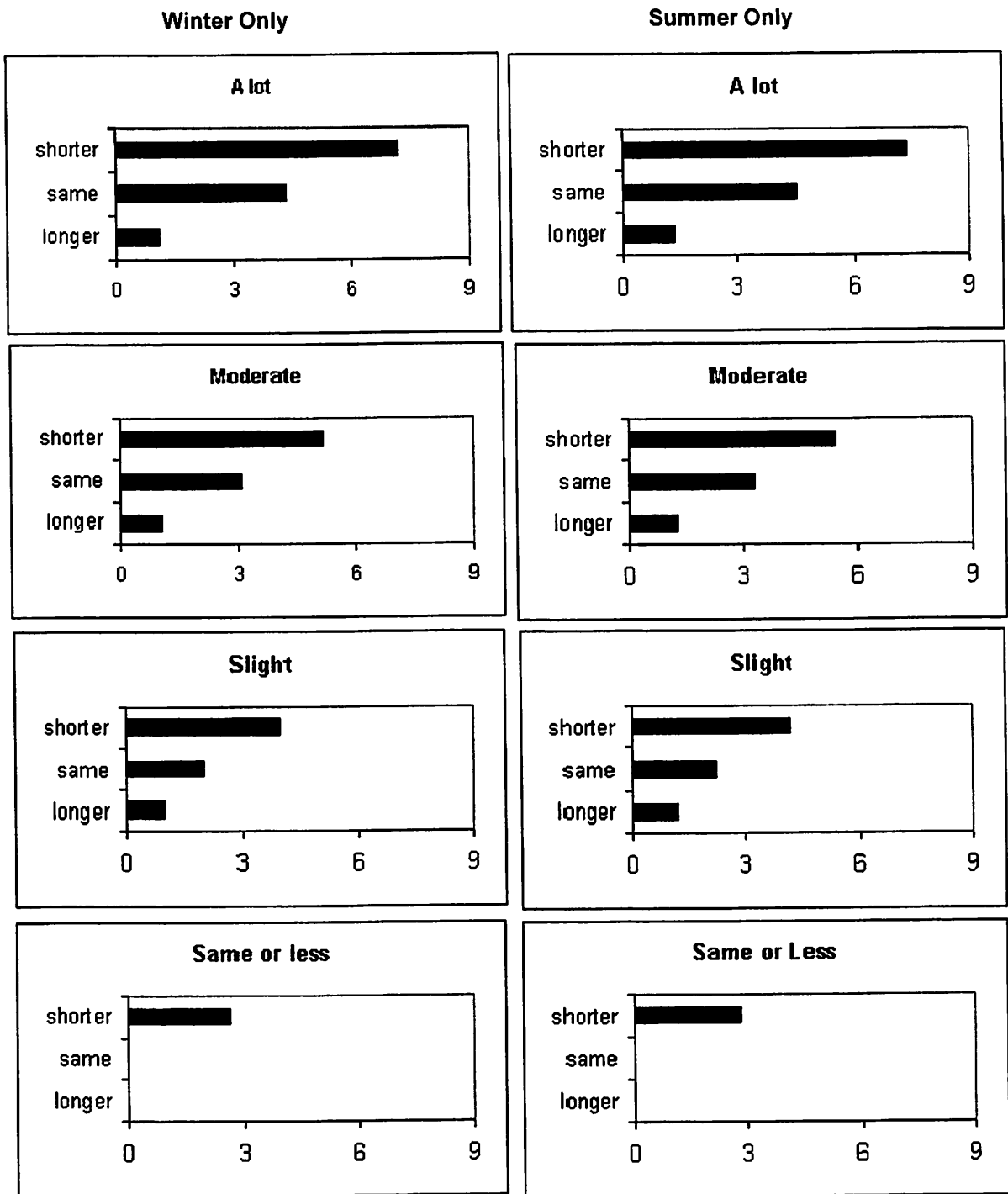
The four categories of amount harvested relative to the status quo were then rated as to their impact on the prey field, in each of the four seasonal scenarios (Figure 4).

The category, “a lot” represents a proposed 10+ percent increase in the total seasonal TAC for all sectors in that fishery for a given season relative to that fishery’s status quo. A 10+ percent change in TAC was judged to have the greatest potential impact on the prey field, in relation to the other possible categories of harvest amount. The ratings of potential impacts due to harvest amount did not differ appreciably among seasons.



**Figure 4. Judgments of the extent that amount harvested, relative to the status quo, will impact the prey field, by fishing season.**

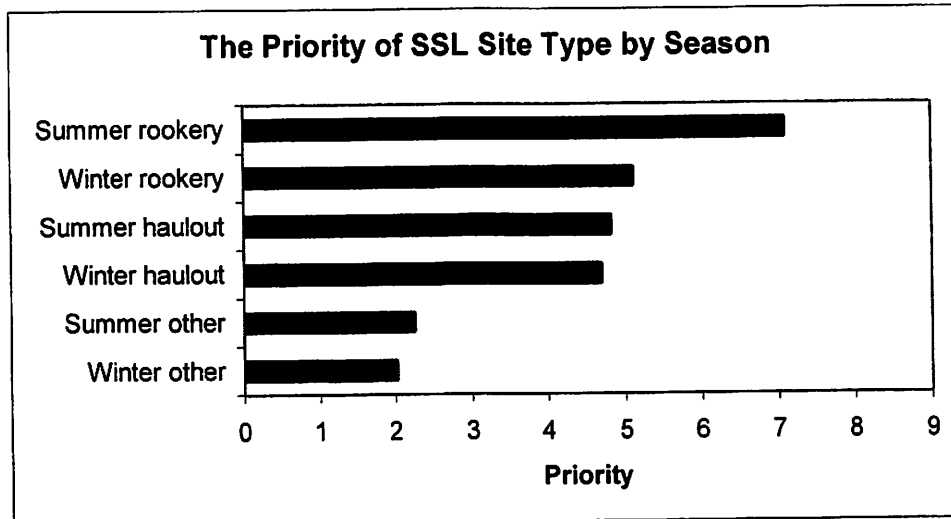
Characterization of removal amount must be discussed in relation to the duration of removal. There is some research that suggests SSL are most vulnerable to prey field disruptions that are characterized by a high removal rate in a pulsed time frame in a given area, where pulsed is defined as 3-10 days (June 2003 Supplement to the Biological Opinion). That is, an individual SSL can probably deal with low food abundance for a few days, but going without food for 3-10 days would be detrimental to the health of the SSL. The concern with pulsed fishing is localized removals of large quantities of available biomass. The SSLMC discussed the potential impacts of duration of fishing on the prey field, in relation to the amount harvested, in a given fishing season, considering the status quo (Figure 5). The SSLMC judged that adjusting fishing to occur in a shorter time frame than the status quo would increase the impact on the prey field; conversely, extending the fishing season would produce less of an impact than the status quo.



**Figure 5. Judgments of potential impacts to the prey field that could result from three possible changes in fishing duration, in relation to the amount harvested, for a given fishing season, considering the status quo of that fishery. (Judgments in regards to shifting fishing between winter and summer seasons are similar).**

### Sensitivity of the SSL in relation to site type and proximity

Following testimony from the NMFS-AFSC regarding site type and importance based on seasonal use, The SSLMC voted on degree of sensitivity, where a high score represents a site that has great importance in the overall recovery of the SSL and is sensitive to change (Figure 6).

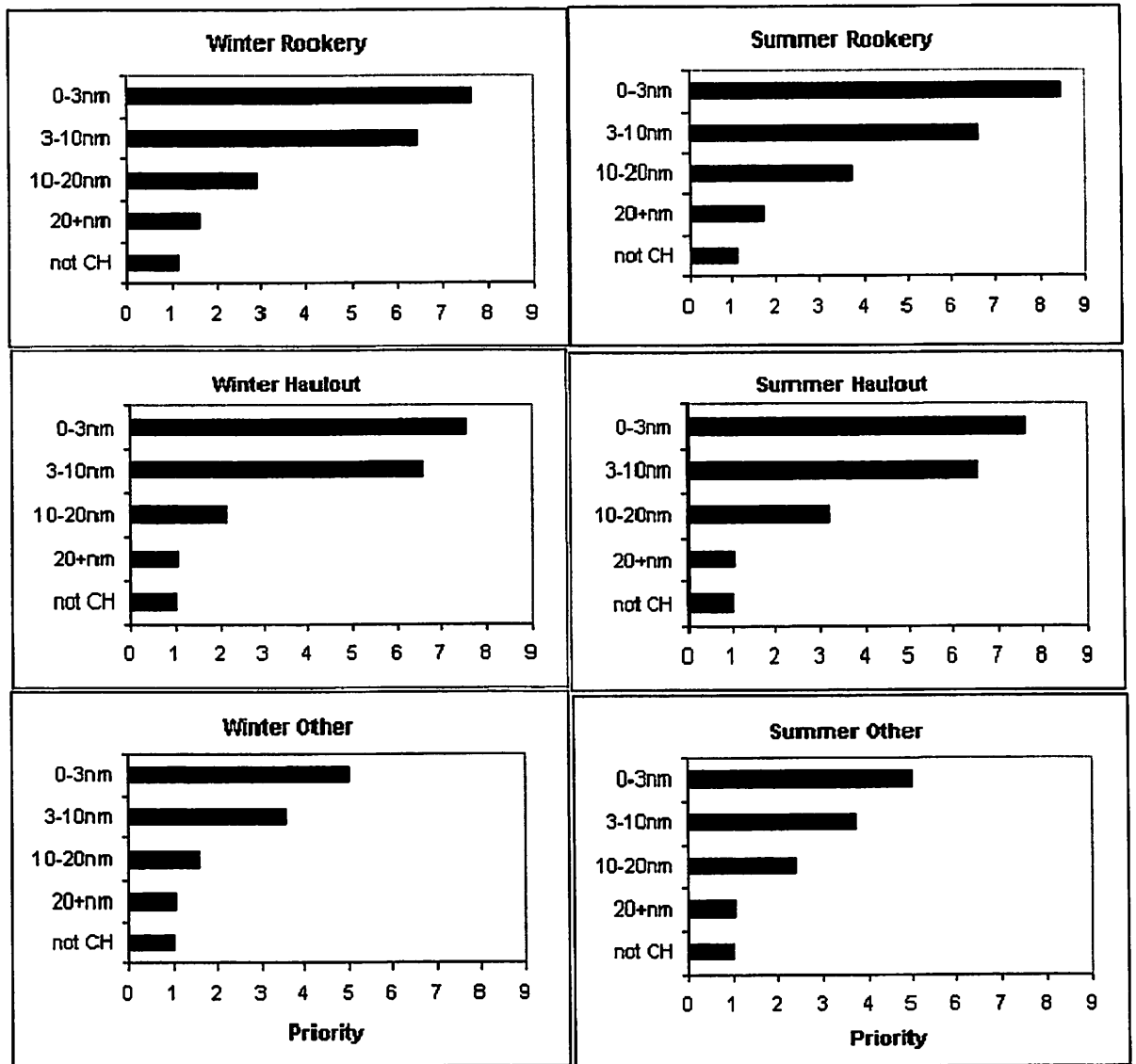


**Figure 6. The priority of SSL site types, by season**

Thus, a summer rookery is more important and is more sensitive to impact than a winter “other” site because of SSL breeding activity. The SSLMC all voted similarly in regards to rank order, rating summer rookery as most important and winter “other” as least important.

The impact of fishing to a site/season combination depends on how close fishing takes place to the site. The NMFS assumption is that fishing in increasing proximity to a SSL site may have increasingly deleterious effects on the prey of the SSL. Much work and discussion has previously gone into the “zonal approach” presented in Tables II 1-9, on pg 94 of the June 2003 Supplement to the Biological Opinion. New juvenile telemetry data (Appendix C) supports high sensitivity for the 0-3 nm and 3-10 nm zones. The assumption is that increasing distance of activity from the SSL site reduces disturbance to the SSL. The SSLMC wished to incorporate the concept of the zonal approach into the PRT, and prior ratings of importance were adjusted to reflect the 1-9 rating scales used in the AHP. The SSLMC expanded on the zonal approach by considering sensitivity to proximity in relation to site type and season (Figure 7).

There was agreement among the SSLMC on the sensitivity of the zones per site/season combination. The most important zone is 0-3 nm for all site types by season; the least important zones are the 20+ nm and that area designated as “not critical habitat (CH)”. The priority scores assigned by the SSLMC are consistent with those recommended by the NMFS-AFSC. The most critical habitat surrounds rookeries, in the 0-3nm and 3-10 nm zones.



**Figure 7. The sensitivity (priority) of a SSL site type to proximity of fishing, by season.**



Members of the SSLMC wanted to account for the percentage of SSL sites in a region affected by a proposal, combined with proximity to a site. Consensus was reached to include five categories of site percentages affected, within three proximity zones (Figure 8). The greatest adverse impacts (scored as "9") would occur if the proposal sought to affect from 11-100% of SSL sites in the 0-3 nm zone for a given region.

### **Appearance of Target Species in SSL Scat**

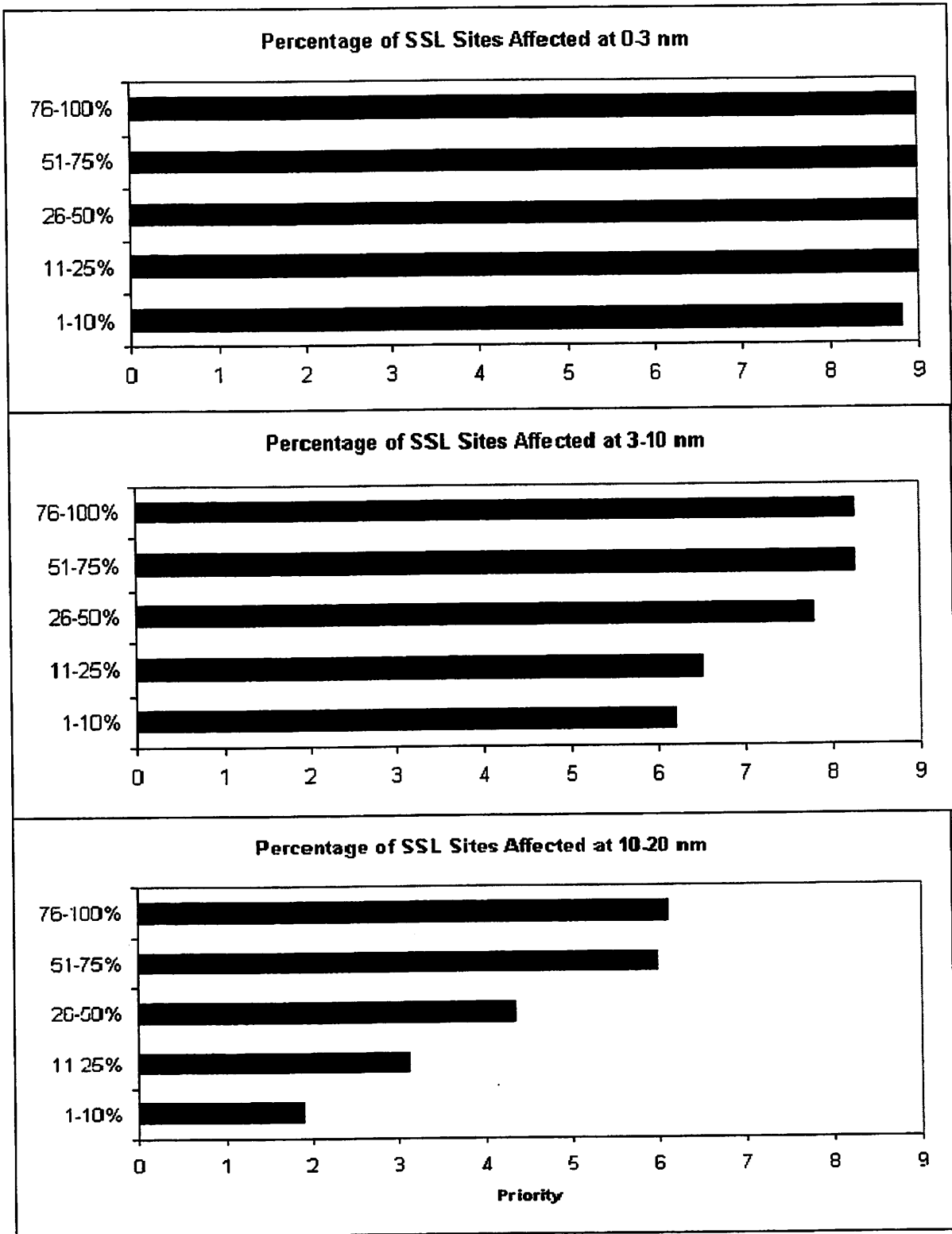
The combination of variables - fish species harvested, in a given geographic region, on a seasonal basis - is a proxy for nutritional needs of the SSL. Fish species of interest are Pacific cod, pollock and Atka mackerel, based on scat research that has defined these species as occurring frequently in the diet (Sinclair and Zeppelin *in review*).

The seven geographic regions are defined in relation to the SSL draft revised recovery plan; also, proposals concerning these regions are expected. The seven regions include three in the Gulf of Alaska (western, central, eastern), three in the Aleutian Islands (western, central, eastern which includes the Bering Sea), and the Pribilof Islands region. The NMFS stated that equal weights of importance (score = 5) must be assigned to each of the Gulf of Alaska and Aleutian Islands regions because the draft revised recovery plan requires an increasing trend in all regions for delisting, so all are considered of equal importance to recovery<sup>2</sup>. (If the criteria in the draft recovery plan change regarding the importance of regions, then the PRT would need to be adjusted to reflect those criteria changes.) The Pribilofs were assigned a slightly lesser rating of importance (score = 3.56) because those haulouts are not identified in the draft revised recovery plan. At least one proposal is likely to address the Pribilof area.

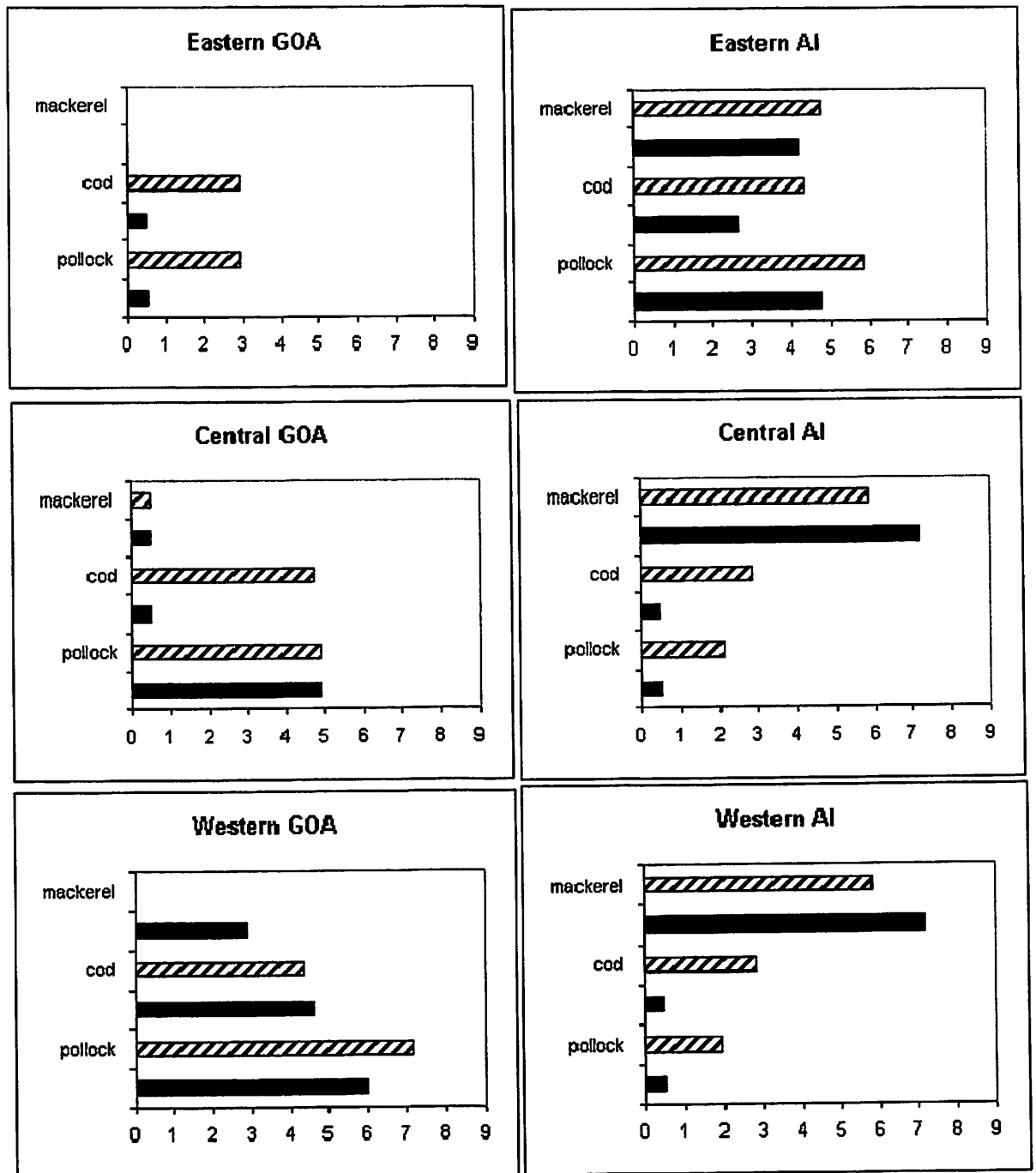
The importance of the combination of fish species by region and season was assigned based on diet data (Figure 9). A concern was raised about the relatively high ratings of importance for Pacific cod and pollock removals in the EGOA given the increasing trend in SSL in this region and the general lack of large Pacific cod or pollock fisheries in the region.

---

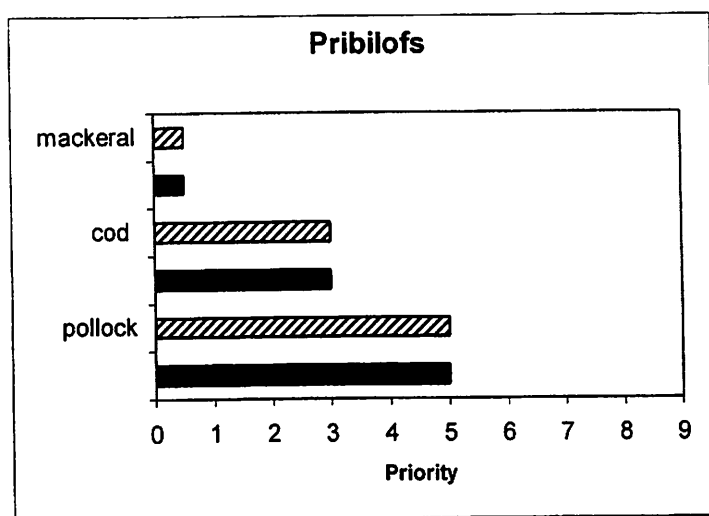
<sup>2</sup> Although, the draft revised recovery plan requires an increasing trend in only five of seven regions for downlisting.



**Figure 8.** The potential of adverse impact (priority) of a change in fishing, considering percentages of SSL sites affected in a region, and fishing in proximity to the sites.



**Figure 9. Ratings of importance of Atka mackerel, Pacific cod and pollock to the SSL, by region and season; the striped bar is winter and the solid black bar is summer. The absence of a bar indicates the lack of a fishery for the species in that region. A high score indicates high relative importance of that species in the SSL diet in that region at that season.**



**Figure 9 continued.**

The repetition of a variable name does not result in inappropriate weights for these elements because different aspects of the variables are considered. For example, the variable name “season” is found in several places of the hierarchy but in one place it refers to the seasonal occupation of the SSL sites, in another the relative importance of a diet element, and in another the timing of a fishery.

To facilitate the evaluation of proposals, the lowest levels of the hierarchy were transferred to the Data Grid format. The Data Grid is a recommended format for evaluating large numbers of alternatives (proposals) with respect to each variable in the next highest level in the hierarchy.

## IMPLEMENTATION OF THE PROPOSAL RANKING TOOL

The metric against which proposals will be measured has been debated by the SSLMC at several meetings. Questions about implementation of the PRT include:

- “What is the relative ranking of proposals in terms of negative impact?”
- “How much more impact does each proposal create relative to status quo?”
- “Do the cumulative effects of a suite of proposals put the SSL (western Distinct Population Segment) in jeopardy?”
- “Once we know how much additional impact to SSL is acceptable, can we use the model to evaluate trade-off scenarios, including benefits from additional closures?”

The PRT can answer the first two questions by ranking proposals according to their relative impact to SSL against each other, and against the status quo as defined for each proposal. It is very important to note, however, that the PRT does not provide any information about whether or not the proposals individually or cumulatively will result in jeopardy to the SSL or adverse modification of their habitat - that determination will

come from the final Biological Opinion, yet to be published. Scores from both the proposed and status quo scenarios can be used to 'trade' one score for another, and to compare status quo to additional restrictions, in order to find a suitable cumulative accounting of impacts.

## **EVALUATION OF THE MODEL**

At the September 12-14 meeting, staff ran example proposals through the model so that the SSLMC could examine model performance. The PRT is spatially and temporally explicit, so its use in scoring proposals that have spatial and temporal components is straightforward. Many of the proposals received by the SSLMC and some examples discussed at the September 12-14 meeting do not fit easily into the current model structure. These proposals will require clarification and additional information from the proposers to ensure the model correctly characterizes expected effects. A PRT subcommittee was appointed to include Dan Hennen and Sue Hills with Kristin Mabry, Doug DeMaster, and Lowell Fritz as staff. The subcommittee is tasked with assembling datasets for model use and making and documenting technical determinations about best use practices for the PRT.

The SSLMC used the PRT to examine two proposals that were considered in 2004 for potential changes to GOA SSL protection measures. One of the proposals was accepted by the NPFMC and NMFS and implemented (Puale Bay), and one proposal (Marmot Island) was rejected. Because the expert judgments in the PRT weight proximity and site-type very heavily in scoring proposals, the model gave a higher score (more negative impact) to the Puale Bay proposal than to the Marmot Island proposal. Even though Marmot Island is a rookery, this proposal only opened up critical habitat down to 10nm from shore. The Puale Bay (haulout) proposal opened up critical habitat down to 3nm. In 2004, Protected Resources Division determined that Marmot Island as a single rookery was important to the recovery of the species and the agency needed to maintain protection in that area. Currently the model does not have this level of detail. The SSLMC discussed the possibility of assigning differential weights to individual sites based on detailed information from the Protected Resources Division. If the model is not fully informed with this type of information, then decisions about proposals outside the use of the model should be fully documented with that information.

Another test example proposal discussed by the SSLMC involved multiple sites in the CGOA.

“Open waters around all haulouts in area 620 of the CGOA from 10-20 nm to pollock trawling. These sites would include: Kak, Lighthouse Rocks, Sutwik Is., and Nagai Rocks.”

This example showed the many considerations necessary to place a proposal's score in the correct bin. Defining status quo in this context is more complicated and generated discussion. Previous examples included proposed changes at just one SSL site, so status quo was considered to be the protection measures in place at just that one site. In this example, what is the spatial scope of status quo? Is it the entire CGOA? Is it area 620? Is it just the four haulouts? Additionally, if the four haulouts currently had different weights of impact, a decision would have to be made with regards to which bins should be selected in the model, in order to characterize status quo correctly. The PRT

subcommittee will examine each proposal submitted to the SSLMC and determine a consistent way to enter status quo.

Other example proposals discussed included a temporal shift of TAC and gear allocation shifts. The SSLMC discussed whether it is possible to use the model to score these proposals. Because the site-type and proximity category of the SSL dimension is weighted heavily, proposals without a score for this element will receive a lower total score (less impact). The SSLMC felt that this was a good indication that these types of proposals would have less of an impact on SSL than proposals which open up SSL critical habitat.

Several members of the SSLMC and the public stayed after the close of the formal meeting to look at the sensitivity of the model. In Expert Choice software, the user can interactively shift priorities among variables, and watch the resulting model weight change. Two hypothetical proposals were run through the model to test model response. One had an expected high impact, and the other had an expected low impact.

	Hypothetical proposal with an expected high impact	Hypothetical proposal with an expected low impact
1. Target fish species	Atka mackerel	cod
2. Target species removals	A lot	slight
3. Fishing duration	shorter	longer
4. Geographic sub-regions	WAI	CGOA
5. Seasons	summer	winter
6. SSL site types	rookery	other
7. Proximity zones to a SSL site	0-3nm	20+nm
8. The percentage of SSL sites affected in a region	76-100%	1-10%

Scores for each of the three questions were examined individually, summed, and compared between the two hypothetical proposals. The results are as follows:

	Hypothetical proposal with an expected high impact	Hypothetical proposal with an expected low impact
Score for just Question #1: The prey field	.019	.002
Score for just Question #2: Sensitivity to proximity	.008	.003
Score for just Question #3: Target species in scat	.014	.0004
Total score	.041	.005

The SSLMC was pleased to see that the PRT generated scores that reflect a common sense approach to categorizing impacts to SSL.

Additionally, SSLMC members wanted to see what happened to total proposal scores when different bins were selected for the variables. For example, if a proposal changed from a shorter duration to the same (current) duration, they could see the total score decrease, reflecting the preference for a longer temporal fishery distribution to avoid SSL nutritional stress. Also, if a proposal changed species from Atka mackerel in the western Aleutian Islands to Pacific cod in the same area, the total score decreased, reflecting the importance of Atka mackerel in SSL scats in that area. This also pleased those in attendance, as the PRT is accurately representing the expert judgments of the SSLMC members who contributed to its development.

Robustness in model performance can be tested by changing the weight of influence of the two dimensions: (1) effects of fishing on the target prey field, and (2) effects of fishing on the SSL. A model is thought to be robust if rank order of variables in the lower levels of the hierarchy is preserved with a 10% or greater shift in weights in the higher levels of the hierarchy. Increasing weight on the SSL dimension reinforced the rank order of variable sets. However, as weight increased on the prey field dimension, rank order of fishing duration increased from third to second. A good 10% change in weight in one direction (increasing weight on the prey field) was needed to effect change in rank order of lower level variable sets; thus, the model may be characterized as fairly robust.

Weights for: Effects of fishing on the target prey field / Effects of fishing on the SSL	Rank order of the percentage of SSL sites affected in a region	Rank order of target fish species	Rank order of fishing duration
25/75 (Actual adjusted model)	1	2	3
20/80 (Increase weight on the SSL)	1	2	3
15/85 (Increase weight on the SSL)	1	2	3
30/70 (Increase weight on the prey field)	1	2	3
35/65 (Increase weight on the prey field)	1	3	2

### REMAINING ISSUES

In October, the SSLMC will take testimony regarding proposals to clearly understand what is being requested. Variables relevant to the PRT will be highlighted to assist in evaluating proposals. The SSLMC may choose to revisit variables and their definitions as data become available and proposals are more clearly understood. The SSLMC anticipates that these issues will require additional discussion:

- If a shift of seasonal TAC is for one sector, the model would need to estimate the overall effect for the entire Pacific cod fishery.
- The model does not currently differentiate importance among individually named sites, for example Marmot Island versus other rookeries/haulouts in the GOA. Criteria in the current version of the draft revised SSL recovery plan specify six regions of equal importance to delisting, based on historical and survey locations. However, the SSLMC notes that all sites and regions may in fact not be

considered equal based on population trajectories from York et al. (1996) and opinions provided by the Protected Resources Division in the 2004 informal consultation on the GOA proposals.

- The SSLMC needs to decide how to deal with different types of sites in a region. Options include using:
  - the worst case scenario, or
  - the type of site that constitutes the majority in the proposed fishing area.
- The regulatory seasons for fishing do not correspond with the breeding seasons for SSL. The PRT subcommittee needs to determine how to use the model to address partial overlaps between these two variable definitions. Ms. Bonney and Mr. Henderschedt volunteered to work with Ms. Mabry to develop a table that assigns the regulatory seasons to the SSL breeding seasons in the model. This table will include their experience regarding timing of harvest to ensure the actual harvest during a season is taken into consideration.



## LITERATURE CITED

- Fadely, B.S., B.W. Robson, J.T. Sterling, A. Grieg, and K.A. Call. 2005. Immature Steller sea lion (*Eumetopias jubatus*) dive activity in relation to habitat features of the eastern Aleutian Islands. *Fisheries Oceanography* 14 (Suppl. 1):243-258.
- Gaichas, S. and T. Hiatt. 2006. Excel data tables on average catch of SSL prey species, 2003-2005. Emailed to the SSLMC on September 19, 2006.
- Leung, P., and 3 co-authors. 1998. Evaluating fisheries management options in Hawaii using Analytic Hierarchy Process. *Fisheries Research* 36:171-183.
- Loughlin, T. and 4 co-authors. 2003. Immature Steller sea lion diving behavior. In: *Marine science in the northeast Pacific: science for resource dependent communities*. January 13-17, 2003, Hotel Captain Cook, Anchorage, AK.
- Maniscalco, J. P. Parker and S. Atkinson. 2006. Interseasonal and interannual measures of maternal care among individual Steller sea lions. *J. Mammal* 87(2):304-311.
- Merritt, M. and K. Criddle. 1993. Evaluation of the Analytic Hierarchy Process for aiding management decisions in recreational fisheries: a case study of the Chinook salmon fishery in the Kenai River, Alaska. *Proceedings of the International Symposium on Management Strategies for Exploited Fish Populations, Alaska Sea Grant Program, AK-93-02*, pp 683-703.
- Merritt, M. 1995. Application of decision analysis in the evaluation of recreational fishery management problems. Ph.D. dissertation. University of Alaska Fairbanks.
- Merritt, M. 2000. Strategic plan for Chinook salmon research in the Copper River drainage. Alaska Department of Fish and Game, Fishery Special Publication No. 00-03, Anchorage.
- Merritt, M. F. and T. J. Quinn II. 2000. Using perceptions of data accuracy and empirical weighting of information: assessment of a recreational fish population. *Canadian Journal of Fisheries and Aquatic Sciences* 57 (7) 1459-1469.
- Merritt, M. 2001. Strategic plan for salmon research in the Kuskokwim River drainage. Alaska Department of Fish and Game, Fishery Special Publication No. 01-07, Anchorage.
- Merritt, M. and A. Skilbred. 2002. Planning for sustainable salmon in Southeast Alaska, and prioritization of projects for the Southeast sustainable salmon fund. Alaska Department of Fish and Game, Fishery Special Publication No. 02-01, Anchorage.
- NMFS. 2006a. Percent frequency of occurrence of prey in Steller sea lion scats collected from 1999-2005. Unpublished data presented to the SSLMC, Talaris Conference center, July 2006.
- NMFS. 2006b. Description of important Steller sea lion rookery and haulout sites based on an assessment of usage patterns. Unpublished data provided to the SSLMC, Talaris Conference Center, July 2006.

## LITERATURE CITED (CONTINUED)

- NMFS. 2006c. Proportion of 14,441 locations associated with diving to >4 m for 116 juvenile Steller sea lions based on distance to nearest listed haulout or rookery and stratified by region and season. Unpublished data provided to the SSLMC, Talaris Conference Center, July 2006.
- Pitcher, K.W., M.J. Rehberg, G.W. Pendleton, K.L. Raum-Suryan, T.S. Gelatt, U.G. Swain, and M.F. Sigler. 2005. Ontogeny of dive performance in pup and juvenile Steller sea lions in Alaska. *Can. J. Zool.*, 83:1214-1231.
- Rehberg, M.J. 2005. Pattern matters: changes in the organization of swimming and diving behavior by Steller sea lion juveniles in coastal Alaska waters. M.S. Thesis, University of Alaska Anchorage, AK. 92p.
- Saaty, T. 1999. Third edition. Decision making for leaders: the analytic hierarchy process for decisions in a complex world. RWS Publications. Pittsburgh, Pennsylvania.
- Saaty, T. and K. Kearns. 1985. Analytical planning: the organization of systems. RWS Publications, Pittsburgh, Pennsylvania.
- Sinclair, E. and T. Zeppelin. 2002. Seasonal and spatial differences in the diet in the western stock of Steller sea lions. *Journal of Mammology* 83(4):973-990.
- Sinclair, E. and T. Zeppelin. *In review*. Seasonal and spatial differences in the diet in the western stock of Steller sea lions. NMFS-AFSC.
- Supplement (June 2003) to the Endangered Species Act-Section 7 Consultation, biological opinion and incidental take statement of October 2001. National Marine Fisheries Service, Alaska Region, Sustainable Fisheries Division.
- USFWS. 2006. Strategic plan for the subsistence fisheries resource monitoring program, Bristol Bay-Chignik region, 2005. Office of Subsistence Management, 3601 C St. Suite 1030, Anchorage, Alaska. 99503 [www.r7.fws.gov/asm/strategic.cfm](http://www.r7.fws.gov/asm/strategic.cfm)
- USFWS. 2005. Strategic plan for the subsistence fisheries resource monitoring program, southcentral region, 2004. Office of Subsistence Management, 3601 C St. Suite 1030, Anchorage, Alaska. 99503 [www.r7.fws.gov/asm/strategic.cfm](http://www.r7.fws.gov/asm/strategic.cfm)
- Wilson, C., and four co-authors. 2003. Interactions between commercial fishing and walleye Pollock. *Alaska Fishery research Bulletin* 10(1):61-77.
- York, A., R. Merrick, and T. Loughlin. 1996. An analysis of the Steller sea lion metapopulation in Alaska. Pgs. 259-292 in D. McCullough, ed. *Metapopulations and wildlife conservation*. Island Press, Washington D.C.

**Appendix A1. Participants involved in the development of the PRT, Seattle, July 25-27, 2006.**

<b>SSLMC</b>			
<b>Name</b>	<b>Organization</b>	<b>Phone</b>	<b>E-mail</b>
Jerry Bongen	Fisherman	907 486-6245	jbongen@mac.com
Larry Cotter (chair)	APICDA	907 586-0161	Lcotter371@aol.com
Earl Krygier for Ed Dersham	Project Coord - ADFG	907 235-5555	Ed_dersham@fishgame.state.ak.us
Kevin Duffy	At-Sea Processors Assoc.	206 285-5139	kduffy@atsea.com
John Gauvin	Fishery Consultant	206 660-0359	gauvin@seanet.com
John Henderschedt	Premier Pacific Seafoods	206 286-8584	john@prempac.com
Daniel Hennen	Alaska Sea Life Center	907 224-6894	danielhennen@alaskasealife.org
Sue Hills	Univ. of Alaska Fairbanks	907 474-5106	shills@ims.alaska.edu
Terry Leitzell	Icicle Seafoods	206 281-5372	TerryL@icicleseafoods.com
Dave Little	Clipper Seafoods	206 284-1162	dlittle@clipperseafoods.com
Steve MacLean	The Nature Conservancy	907 276-3133	smaclean@tnc.org
Max Malavansky, Jr	St George Traditional Council	907 859-2447	Max_malavan@hotmail.com
Art Nelson	Alaska Board of Fisheries	907 338-7142	Artnelson49@yahoo.com
<b>NMFS-AFSC Staff</b>			
Doug DeMaster		206 526-4000	Douglas.DeMaster@noaa.gov
Lowell Fritz		206 526-4246	Lowell.Fritz@noaa.gov

**Support Staff:**

Facilitator	Peggy Merritt	907 457-5911	pmerritt@ak.net
Software	Kristin Mabry	907 586-7490	kristin_mabry@noaa.gov
Rapporteur	Bill Wilson	907 271-2809	bill.wilson@noaa.gov

**Appendix A2. Participants involved in the development of the PRT, Seattle, August 29-30, 2006.**

<b>SSLMC</b>			
<b>Name</b>	<b>Organization</b>	<b>Phone</b>	<b>E-mail</b>
Jerry Bongen	Fisherman	907 486-6245	jbongen@mac.com
Larry Cotter (chair)	APICDA	907 586-0161	Lcotter371@aol.com
Earl Krygier for Ed Dersham	Project Coord - ADFG	907 235-5555	Ed_dersham@fishgame.state.ak.us
Kevin Duffy	At-Sea Processors Assoc.	206 285-5139	kduffy@atsea.com
John Gauvin	Fishery Consultant	206 660-0359	gauvin@seanet.com
John Henderschedt	Premier Pacific Seafoods	206 286-8584	john@prempac.com
Daniel Hennen	Alaska Sea Life Center	907 224-6894	danielhennen@alaskasealife.org
Sam Cotton	Aleutians East Borough	907 274-7573	resourceanalyst@aleutianseast.org
Julie Bonney	Alaska Groundfish Databank	907 486-3033	jbonney@gci.net
Dave Little	Clipper Seafoods	206 284-1162	dlittle@clipperseafoods.com
Frank Kelty	City of Unalaska	907 581-7726	fykelty@arctic.net
Art Nelson	Alaska Board of Fisheries	907 338-7142	Artnelson49@yahoo.com

**NMFS-AFSC Staff**

Doug DeMaster		206 526-4000	Douglas.DeMaster@noaa.gov
Lowell Fritz		206 526-4246	Lowell.Fritz@noaa.gov

**Support Staff:**

Facilitator	Peggy Merritt	907 457-5911	pmerritt@ak.net
Software	Kristin Mabry	907 586-7490	kristin_mabry@noaa.gov
Rapporteur	Bill Wilson	907 271-2809	bill.wilson@noaa.gov

**Appendix A3. Participants involved in the development of the PRT, Seattle, September 12-13, 2006.**

<b>SSLMC</b>			
<b>Name</b>	<b>Organization</b>	<b>Phone</b>	<b>E-mail</b>
Jerry Bongen	Fisherman	907 486-6245	jbongen@mac.com
Larry Cotter (chair)	APICDA	907 586-0161	Lcotter371@aol.com
Ed Dersham	Project Coord - ADFG	907 235-5555	Ed_dersham@fishgame.state.ak.us
Kevin Duffy	At-Sea Processors Assoc.	206 285-5139	kduffy@atsea.com
John Gauvin	Fishery Consultant	206 660-0359	gauvin@seanet.com
John Henderschedt	Premier Pacific Seafoods	206 286-8584	john@prempac.com
Daniel Hennen	Alaska Sea Life Center	907 224-6894	danielhennen@alaskasealife.org
Sam Cotton	Aleutians East Borough	907 274-7573	resourceanalyst@aleutianseast.org
Julie Bonney	Alaska Groundfish Databank	907 486-3033	jbonney@gci.net
Dave Little	Clipper Seafoods	206 284-1162	dlittle@clipperseafoods.com
Sue Hills	Univ. of Alaska Fairbanks	907 474-5106	shills@ims.alaska.edu
Art Nelson	Alaska Board of Fisheries	907 338-7142	Artnelson49@yahoo.com
Terry Leitzell	Icicle Seafoods	206 281-5372	TerryL@icicleseafoods.com
<b>NMFS-AFSC Staff</b>			
Doug DeMaster		206 526-4000	Douglas.DeMaster@noaa.gov
Lowell Fritz		206 526-4246	Lowell.Fritz@noaa.gov
Brian Fadely		206-526-6173	brian.fadely@noaa.gov
John Lepore		907-586-7414	john.lepore@noaa.gov
Melanie Brown		907 586-7006	melanie.brown@noaa.gov
Shane Capron		907-271-6620	Shane.capron@noaa.gov
Kaja Brix		907-586-7824	Kaja.brix@noaa.gov
Kristin Mabry		907 586-7490	kristin_mabry@noaa.gov

**Appendix B. Glossary of terms used in the discussion and development of the PRT, as defined by the SSLMC.**

**AHP** – Analytic Hierarchy Process

**Critical habitat** – Sites that are considered by the NMFS as important; this includes rookeries and haulouts as well as sites that do not meet the criteria for being classified as rookery or haulout, and yet SSL can still be present at those sites.

**Dimension** – the path or extent along which impacts of fishing on SSL are assessed in an overarching, broad category.

**Duration** - related to intensity of harvest (amount and time) and addresses localized depletion concerns. For example, a smaller harvest in a longer time frame is less likely to result in localized depletion - this would be considered a longer duration fishery. Shifting TAC by eliminating or instituting seasonal splits may change the duration of a fishery, but not necessarily the duration within the season.

**Expert judgment** - previous relevant experience supported by rationale thought and knowledge.

**Hierarchy** – a tree-like structure that is used to decompose a complex decision problem; it has a top-down flow, moving from general categories to more specific ones.

**Node** – a group of elements in the hierarchy that are related by criteria and structure; a parent node is an element in the next higher level that is connected to children nodes in the lower level.

**Percent TAC** - percentage of the sum of all the sectors seasonal Total Allowable Catches (TACs) for that target species. The calculation would either add or subtract the percent of TAC from the status quo, thus eliminating the need to specify a TAC value for a given year.

**Season** - based on breeding/non-breeding SSL behavior.

**Status Quo** – the current fishing regulatory situation for each proposal.

**Target prey** – pollock, Pacific cod, Atka mackerel.

**Variable** – pertains to any fishing regulation that is open to change, and that is considered in the PRT.

## **Appendix C. List of references relevant to the structuring and rating of elements in the PRT.**

### General

Loughlin, T.R. and J.V. Tagart. 2006. Compendium of Steller sea lion related research, 2000-2006. Final Report. Prepared for North Pacific Fishery Management Council. 364p.

Loughlin, T. R., S. Atkinson, and D. G. Calkins (eds.). 2005. Synopsis of research on Steller sea lions: 2001 - 2005. Alaska Sea Life Center's Steller Sea Lion Program. Sea Script Company, Seattle, WA. 344 p.

North Pacific Fishery Management Council. 2005. Stock assessment and fishery evaluation reports. GOA and BSAI. November 2005.

Savikko, H. 2006. Alaska Department of Fish & Game, State Groundfish Fisheries. Presentation to SSLMC, AFSC, May 2006.

### Telemetry/SSL Movement/Brand-Resight

Alaska Fisheries Science Center. 2006. AFSC and NMML SSL Research Program, Presentations to SSLMC, AFSC, April 2006, May, 2006.

NMFS. 2006. Table II-9 (NMFS 2003) updated with proportions of locations associated with diving to >4 m for juvenile Steller sea lions >10 months old at capture and instrumented during 2000-2005. Unpublished data provided to the SSLMC, Talaris Conference Center, July 2006.

Raum-Suryan, K. L., K. W. Pitcher, D. G. Calkins, J. L. Sease, and T. R. Loughlin. 2002. Dispersal, rookery fidelity and metapopulation structure of Steller sea lions (*Eumetopias jubatus*) in an increasing and a decreasing population in Alaska. *Marine Mammal Science* 18:746-764.

Rea, L. 2006. Alaska Department of Fish & Game, SSL Research Program: Presentation to SSLMC, AFSC, May, 2006.

### Fishery Effects

Hennen, D. 2003. Spatial coherence and density dependence in the decline of the Steller sea lion. In *Marine Science in the Northeast Pacific: Science for resource dependent communities*. January 13-17, 2003, Hotel Captain Cook, Anchorage, AK.

Logerwell, L. 2006. Presentation to SSLMC, AFSC, May 2006.

Logerwell, E.A., and S. F. McDermott. 2004. Are trawl exclusion zones effective at mitigating competition between commercial fisheries and Steller sea lions? Presented paper, in *Sea Lions of the World Symposium*, September 30-October 3, 2004, Anchorage, AK.

McDermott, S.F., L.W. Fritz, and V. Haist. 2005. Estimating movement and abundance of Atka mackerel (*Pleurogrammus monopterygius*) with tag-release-recapture data. *Fisheries Oceanography* 14 (Suppl. 1): 113-130.

Rea, L. 2006. Alaska Department of Fish & Game, SSS Research Program: Presentation to SSLMC, AFSC, May, 2006.

University of British Columbia and Vancouver Aquarium. 2006. North Pacific Universities Marine Mammal Research Consortium, SSL Research Program: Presentation to SSLMC, AFSC, April 2006, May 2006.

#### SSL Diet

Alaska Fisheries Science Center. 2006. AFSC and NMML SSL Research Program, Presentations to SSLMC, AFSC, April 2006, May, 2006.

Rea, L. 2006. Alaska Department of Fish & Game, SSL Research Program: Presentation to SSLMC, AFSC, May, 2006.

University of British Columbia and Vancouver Aquarium. 2006. North Pacific Universities Marine Mammal Research Consortium, SSL Research Program: Presentation to SSLMC, AFSC, April 2006, May 2006.

Wynne, K, R. Foy, and C. Foy. 2006. University of Alaska and Aleutians East Borough, SSL Research Program: Presentation to SSLMC, AFSC, August, 2006.

Zeppelin, T.K., K. A. Call, D. J. Tollit, T.J. Orchard, and C.J. Gudmundson. 2003. Estimating the size of walleye pollock and Atka mackerel consumed by the western stock of Steller sea lions. In Marine Science in the Northeast Pacific: Science for resource dependent communities. January 13-17, 2003, Hotel Captain Cook, Anchorage, AK.

#### SSL Abundance/Trends/Counts

Alaska Fisheries Science Center. 2006. AFSC and NMML SSL Research Program, Presentations to SSLMC, AFSC, April 2006, May, 2006.

Holmes, E., and A.E. York. 2003. Using age structure to detect impacts on threatened populations: a case study using Steller sea lions. Conservation Biology 17 (6):1794-1806.

#### Prey Abundance/Fields/Biomass

Alaska Fisheries Science Center. 2006. AFSC and NMML SSL Research Program, Presentation to SSLMC, AFSC, April 2006.

Dorn, M., K. Aydin, S. Barbeaux, M. Guttormsen, B. Megrey, K. Spalinger, and M. Wilkins. 2005. Assessment of walleye pollock in the Gulf of Alaska. In: Stock assessment and fishery evaluation report for the groundfish resources of the Gulf of Alaska. North Pac. Fish. Mgmt. Council, Anchorage, AK, 1:41-153.

Ianelli, J.N., S. Barbeaux, T. Honkalehto, B. Lauth and N. Williamson. 2005. Bering Sea-Aleutian Islands walleye pollock assessment for 2005. In: Stock assessment and fishery evaluation report for the groundfish resources of the Bering Sea/Aleutian Islands regions. North Pac. Fish. Mgmt. Council, Anchorage, AK, section 1:31-124.



NMFS. 2006. Catch rate distribution of BSAI pollock, Atka mackerel and cod fisheries. Binned range of groundfish catch (mt) by target fisheries only in 100 km<sup>2</sup> grid cells per day. Unpublished data provided to the SSLMC, Talaris Conference Center, July 2006.

Gear Interactions/Incidental Take

Angliss, R.P., and R.B. Outlaw. 2005. Alaska marine mammal stock assessments, 2005. U.S. Department of Commerce, NOAA Technical Memorandum, NMFS-AFSC-161. 250 p.

**Appendix D. Handouts developed by the NMFS-AFSC and provided to the SSLMC and referenced during development and scoring elements in the PRT.**

Percent frequency of occurrence of prey occurring in Steller sea lion scats collected from 1999 to 2005 (NMFS 2006b).

Weighting factors for area by species harvested in the pollock, P. cod, and Atka mackerel fisheries.

Weighting factors for summer and winter periods, by distance from centrum of SSL sites.

Proportions of locations associated with diving to  $>4$  m for juvenile Steller sea lions  $>10$  months old at capture; zones based on distances from nearest listed haulout or rookery and proportions stratified by season. Proportions of 14,441 locations associated with diving to  $>4$  m for 116 juvenile Steller sea lions based on distance to nearest listed haulout or rookery and stratified by region and season.

Catch rate distribution of 2004 BSAI pollock, Atka mackerel, and P. cod fisheries.

**(Tables follow)**

**Table 3.21** Percent frequency of occurrence of prey occurring in Steller sea lion scats collected from 1999 to 2005 (NMFS 2006b).

Region	Central & Western Aleutians		Eastern Aleutians		Western Gulf		Central Gulf		Eastern Gulf	Western DPS		
	Summer	Winter	Summer	Winter	Summer	Winter	Summer	Winter	Summer	Summer	Winter	ALL
Season Number of scats	483	301	290	773	184	42	85	204	38	1080	1320	2400
Pollock	7	12	46	53	53	93	46	44	8	28	44	37
Pacific cod	6	26	18	39	36	31	2	43	5	14	37	26
Atka mackerel	96	55	32	43	21		1	2		55	38	46
Salmon	17	6	38	25	57	17	56	29	84	35	21	27
Herring			35	1	3	2	12	12	24	12	2	6
Sand lance	4	1	34	28	65	17	16	38	39	25	23	24
Arrowtooth	1	1	8	21	14	7	45	31	5	9	17	13
Irish Lord sp.	3	23	11	33	13	5		17		7	27	18
Sand fish	1	5	16	11	3	7		13		5	10	8
Halibut		1	1	10	4	5	4	12		1	8	5
Cephalopods	13	18	7	4	1		5	7	3	8	7	8
Rock sole	0	6	19	14	9	5		7		7	11	9
Snailfish sp.	1	12	1	14				4		1	12	7
Capelin			2	0	3		13	4	13	3	1	2
Poacher sp.			14	1						4	0	2

Scats

## Area by Species Harvested

	WAI		CAI		EAI	
	Summer	Winter	Summer	Winter	Summer	Winter
POLL	0.5	3	0.5	3	5	6
PCOD	0.5	3	0.5	3	3	5
ATKA	7	6	7	6	5	5

	WGOA		CGOA		EGOA	
	Summer	Winter	Summer	Winter	Summer	Winter
POLL	6	7	5	5	0.5	3
PCOD	5	5	0.5	5	0.5	3
ATKA	3	0	0.5	0.5	0	0

Limited Sampling in the EGOA

Assigned low weight in summer based on data

Assigned moderate weight in winter based on seasonal relationships in other areas (see WAI/CAI)

**Rationale for Seasonal Split:**

**Reflects seasonal differences in prey aggregations and representation in SSL diets**

% FO	Weight	Description
>70	7	Very Strong
50-70	6	Kinda Very Strong
30-50	5	Strong
10-30	3	Moderate
<10	0.5	Trace

Summer = May-October; Winter = November – April

### SSL Location Type by Proximity

Distance	Summer		
	Rookery	Haulout	Neither
<3	<del>8</del> 9	8	5
3-10	7	7	4
10-20	4	<del>4</del> 3	3
>20	2	<del>2</del> 1	1
Not CH	2	<del>2</del> 1	1

Winter		
Rookery	Haulout	Neither
8	8	5
7	7	4
3	<del>3</del> 2	2
2	<del>2</del> 1	1
2	<del>2</del> 1	1

	Importance to SSLs
0-10	High
10-20	Low to Moderate (less in winter)
>20	Low
Out CH	Low

A winter 'rookery' is a site that is a rookery in summer and acts as a haulout in winter

Importance 'adjectives' from 2003 supplement to 2001 BiOp

**Table 3.16** Table II-9 (NMFS 2003) updated with proportions of locations associated with diving to >4 m for juvenile Steller sea lions >10 months old at capture and instrumented during 2000-2005. Zones based on distances from nearest listed haulout or rookery, and proportions were stratified by season.

	Level of concern	Summer (Apr-Sept)	Winter (Oct-Mar)
Zone	2001 BiOp	>10 months (n=4,816)	>10 months (n=1,990)
Inside CH			
0-10 nm	High	78.4%	88.9%
10-20 nm	Low to moderate	8.7%	8.9%
>20 nm	Low	0.9%	0.3%
Outside CH	Low	11.9%	1.9%

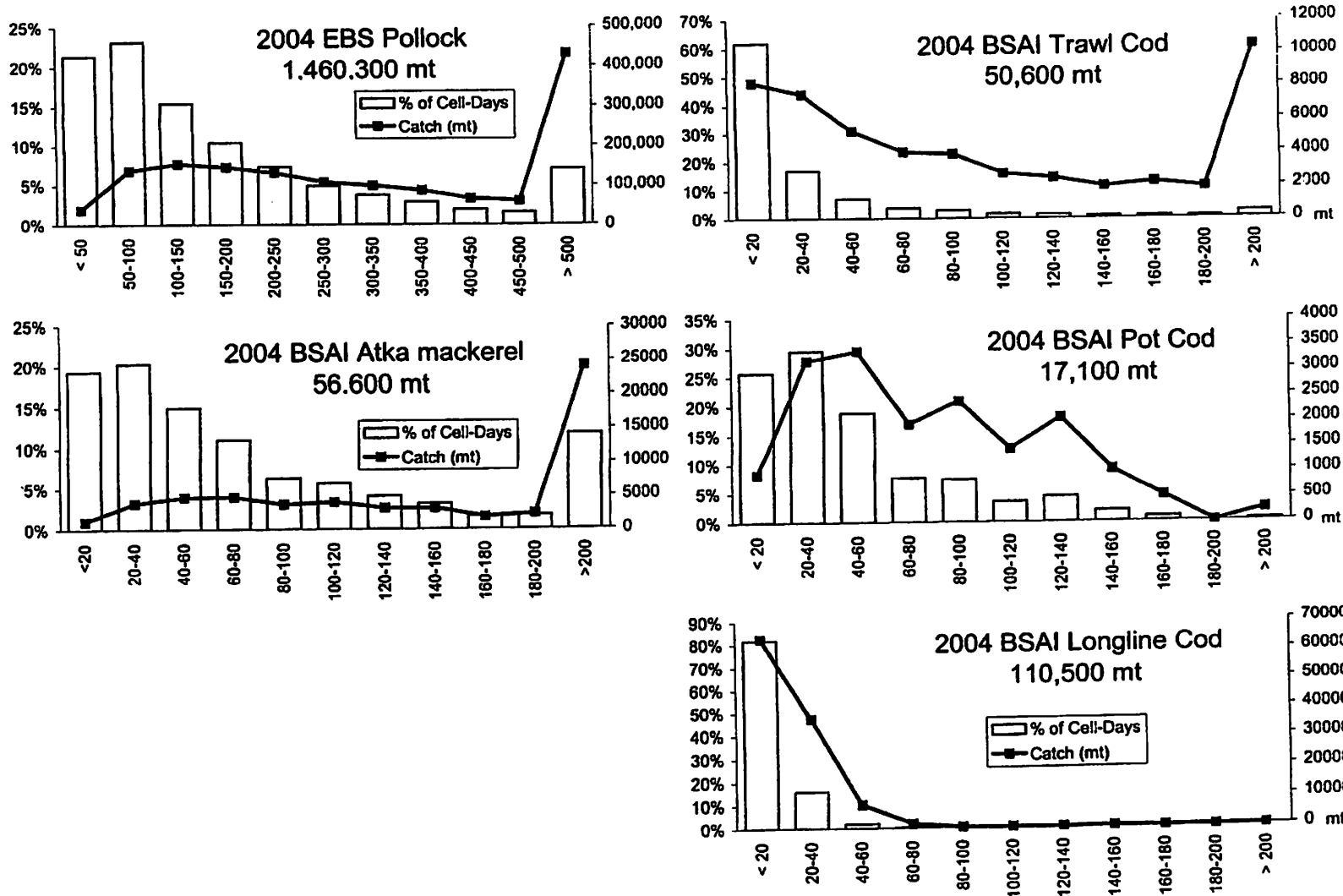
**Table 3.17** Proportion of 14,441 locations associated with diving to >4 m for 116 juvenile Steller sea lions based on distance to nearest listed haulout or rookery and stratified by region and season.

Zone	Prince William Sound		Kodiak		Eastern Aleutian		Central/Western Aleutians	
	Summer	Winter	Summer	Winter	Summer	Winter	Summer	Winter
Inside CH								
0-10 nm	92.0%	94.5%	86.8%	93.0%	88.5%	91.2%	68.8%	100.0%
10-20 nm	7.1%	4.6%	7.5%	5.2%	5.5%	6.9%	8.8%	0.0%
>20 nm	0.0%	0.1%	0.3%	0.3%	2.8%	0.2%	0.5%	0.0%
Outside CH	0.9%	0.9%	5.4%	1.6%	3.3%	1.7%	21.9%	0.0%

<sup>1</sup> Summer is defined as April through September.

<sup>2</sup> Winter is defined as October through March.

Catch Rate Distribution of BSAI Pollock, Atka mackerel and Cod Fisheries



Binned Range of Groundfish Catch (mt) by Target Fisheries only in 100 km<sup>2</sup> grid cells per day

**Appendix E. Average catch of SSL prey species, 2003-2005 (Gaichas and Hiatt 2006)**

**(Spreadsheet tables follow)**



September 19 2006

Three data sources were used to compile these tables (see prey list below for which prey species come from which source):

1. AKRO catch accounting system prohibited species tables (V\_GG\_PSCNQ\_ESTIMATE, CDQ\_CATCH\_REPORT)
2. AKRO nontarget species tables (V\_GG\_NONTARGET\_ESTIMATE)
3. AKRO target species tables (V\_GG\_TXN\_PRIMARY\_ALL, CDQ\_CATCH\_REPORT)

Queries were completed between Sept 12 and Sept 18 2006, and only data from the three complete years 2003-2005 were used in calculating averages. S. Gaichas did the prohibit and nontarget queries, and T. Hiatt did the target species queries.

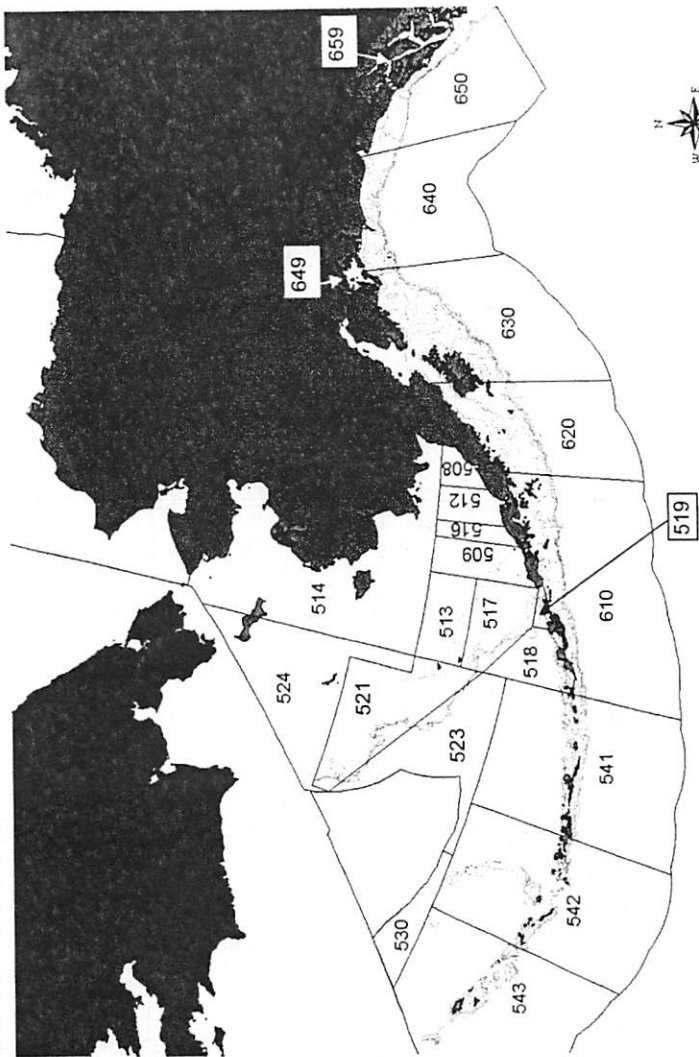
Steller Sea Lion seasons (SSL\_Season) were defined as May through September for "Summer" and October through April for "Winter."

Fisheries were defined in the AKRO catch accounting system tables by the TRIP\_TARGET\_CODE or TARGET\_FISHERY\_CODE field, and all flatfish targets were combined.

Gears were defined in the AKRO catch accounting system tables by the AGENCY\_GEAR\_CODE field, and were grouped into the following categories.

- HAL "Hook and Line" includes longline gear and jig gear
- POT "Pot" includes pot gear
- TRW "Trawl" includes non-pelagic trawl, pelagic trawl, and undefined trawl gear

Areas are AKRO management areas, which are defined on the map below, and are defined in words in the AI and GOA.



SSL prey species (listed below) were taken from Table 3.21 of the recovery plan which lists frequency of occurrence of prey in SSL scats 1999-2005.

Where no estimate was available for a given prey species, an aggregate category containing that species is listed. Therefore, catches in the aggregate categories may be higher than the catch of the individual prey species.

**PLEASE NOTE DIFFERENT UNITS used by AKRO in making these estimates**

Prey	Data Source	Units	Species category in tables
Salmon	1 numbers	Chinook salmon and Other salmon	
Halibut	1 kilograms	Halibut	
Herring	1 kilograms	Herring	
Sand lance	2 kilograms	Sand lance	
Irish Lord spp	2 kilograms	Large sculpins	
Capelin	2 kilograms	Capelin	
Cephalopods	2 kilograms	Octopus and Squid	
Poacher spp	2 kilograms	Misc fish	
Snailfish spp	2 kilograms	Misc fish	
Sand fish	2	**Not found in estimated catch	
Pollock	3 tons	Pollock	
Pacific cod	3 tons	Pacific cod	
Alka mackerel	3 tons	Alka mackerel	
Arrowtooth	3 tons	Arrowtooth	
Rock sole	3 tons	Rock sole	

Region	Fishery	Gear	Three year average bycatch estimates 2003-2005		Prohibited species			Nontarget species				Target species																		
			SSL Season	Area	NUMBERS	NUMBERS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	CHIMOOK	Other salmon	Pacific halibut	Pacific herring	Stand lance	Large sculpins	Capelin	Octopus	Squid	Misc fish	Pollock	P. cod	Alka mask	Arrowtooth	TONS	TONS	TONS	TONS
AI	Auke mackarel	TRW	summer	Eastern AI 541	107	0	6,084	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	1,047	2,229	17,871	1,416,898	2,485	1,299		
				Central AI 542	0	123	21,988	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	90,443	622,920	15,164,444	55,990	42,849		
		winter	Western AI 543	0	219	13,729	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52,439	204,919	6,462,365	24,562	11,740			
			Eastern AI 541	0	56	4,549	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,769	107,301	2,468,129	18,189	2,217			
	Cod	HAL	Central AI 542	272	30	3,440	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93,133	611,199	15,552,244	21,910	39,755			
			Western AI 543	147	886	14,389	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69,692	488,287	12,763,273	33,200	15,766			
		summer	Eastern AI 541	0	0	49,073	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,754	327,438	1,807	7,375	0,444			
			Central AI 542	0	0	14,735	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,878	287,895	4,752	2,349	0,113			
		winter	Western AI 543	0	0	52,387	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,233	147,226	2,304	3,489	0,028			
			Eastern AI 541	0	0	17,587	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,600	1,296,226	5,565	8,140	1,682			
		summer	Central AI 542	0	0	16,967	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,701	183,202	0,544	0,866	0,111			
			Eastern AI 541	13	0	16,857	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,766	459,599	97,804	47,470	5,924			
		winter	Central AI 542	0	0	448	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,015	0,536		
			Eastern AI 541	1,043	133	34,593	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	355,883	16,254,385	354,985	148,878	479,033			
	Flatfish	HAL	Central AI 542	55	7	8,828	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	204,631	3,942,402	135,925	25,504	79,585			
			Western AI 543	17	0	6,464	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	101,395	2,736,965	112,868	2,634	80,682			
		summer	Eastern AI 541	2	0	243,824	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,100	45,136	0,008	23,268	0,199			
Central AI 542			0	0	85,713	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,071	13,147	0,095	28,960	0,038				
	winter	Western AI 543	0	0	95,050	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,001	42,272	0,177	9,659	0,221				
		Eastern AI 541	0	0	52,918	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,132	15,104	0,003	4,510	0				
	winter	Central AI 542	0	0	25,531	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,668	0			
		Eastern AI 541	0	0	315	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,192	2,771	0,001	0,216	6,167				
Pollock	TRW	summer	Eastern AI 541	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,869	0	0	0	0	0			
		winter	Eastern AI 541	14	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	64,958	0	0	0	0,130	0			
Rockfish	HAL	summer	Eastern AI 541	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,004	0		
		winter	Western AI 543	0	0	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,004	0		
	summer	Eastern AI 541	0	0	19,828	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	59	2,701	595	96,984	91,811	197,776	185,298	1,627	
		Central AI 542	0	0	16,219	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	113	1,641	3,912	172,052	8,191	70,118	75,293	1,216	
	winter	Western AI 543	0	0	27,311	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	362	3,684	12,628	135,212	14,023	50,664	77,863	0,552	
		Eastern AI 541	0	0	1,005	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,980	7,495		
	summer	Central AI 542	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,099	0,014		
		Western AI 543	0	0	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,033	0,075		
Stablefish	HAL	summer	Eastern AI 541	0	5	27,618	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,547	0	0,474	
		Central AI 542	0	0	17,053	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,071	0	
	winter	Western AI 543	0	0	1,708	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,004	0		
		Eastern AI 541	0	0	12,852	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,002	0,081		
	summer	Central AI 542	0	0	14,837	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,018	0
		Western AI 543	0	0	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,000	0
POT	summer	Eastern AI 541	0	0	4,575	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,638	0,124	1,246	
		Central AI 542	0	0	1,165	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,003	0,114	1,886	
	winter	Eastern AI 541	0	0	2,368	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,019	0,094	0,022	12,235

Three year average bycatch estimates 2003-2005				Prohibited species				NonTarget species					Target species											
Region	Fishery	Gear	SSL Season	Area	NUMBERS	NUMBERS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	KILOGRAMS	TONS	TONS	TONS	TONS	TONS				
					Chinook	Other salmon	Pacific halibut	Pacific herring	Sand lance	Large Sculpin	Capelin	Octopus	Squid	Misc fish	Pollock	P. cod	Atka mack	Arrowtooth	Rock Sole					
EBS	Atka mackerel	TRW	summer	517	1	2	4,406	1	0	1,163	0	0	46	341	23,446	21,489	76,618	39,409	5,515					
				519	2	6	17,079	11	0	18,099	0	596	401	2,450	120,654	115,874	502,256	116,238	24,078					
			winter	517	17	0	3,870	0	0	1,746	0	0	0	77	127	9,019	24,016	111,848	17,373	4,533				
				519	17	5	8,719	1	0	15,197	0	0	0	1	215	11,904	23,907	265,042	58,599	9,006				
			Cod	HAL	summer	509	0	2	206,690	0	0	15,538	0	655	0	0	378	157,543	2,906,848	0.004	68,060	2,617		
						512	0	0	2,950	0	0	3,732	0	43	0	0	0	4,162	216,154	0.000	0.784	0.036		
	513	0				0	80,760	0	0	22,299	0	888	0	1,880	114,522	2,181,575	0.109	34,234	0.940					
	514	0				0	572	0	0	0	0	0	0	0	0	0	2,821	32,125	0	0.008	0.006			
	516	0				0	4,375	0	0	2,016	0	0	0	12	3,494	367,158	0	0.699	0.040					
	517	3				12	288,038	0	0	11,354	0	3,444	0	1,889	178,267	3,711,899	0.192	164,108	1,575					
	518	0				0	317	0	0	83	0	0	0	2	0	10,422	0	0	0					
	519	0				4	66,182	0	0	12,166	0	1,016	0	1,261	10,311	454,552	6.372	7,668	1.328					
	521	4				29	402,695	2	0	86,097	0	6,417	0	2,802	498,294	9,946,280	0.015	264,346	5,312					
	523	0				2	10,121	0	0	1,223	0	1,091	0	76	21,117	445,713	0.007	17,737	0.223					
	524	2				0	85,969	2	0	14,501	0	222	0	97	219,534	2,476,791	0	47,675	3,772					
	winter	509				2	0	317,711	0	0	42,983	0	3,538	0	1,225	301,853	6,848,127	0.027	35,832	1,854				
		512			0	0	5,377	0	0	3,242	0	5	0	1	9,764	227,613	0	0.260	0.074					
		513			0	1	499,131	0	0	224,367	0	1,184	0	3,718	499,882	12,367,870	0.183	41,629	9,708					
		514			0	0	969	0	0	73	0	0	1	3,818	33,504	0	0.032	0.005						
		516			0	0	34,578	0	0	5,331	0	84	0	11	44,957	1,062,305	0	0.602	0.334					
		517			0	0	584,152	0	0	66,368	0	3,351	3	8,377	302,022	7,709,337	0.345	203,447	2,187					
		518			0	0	4,408	0	0	914	0	0	0	38	0	123,493	0	0.000	0.000					
		519			0	0	188,255	0	0	63,980	0	5,250	0	3,750	12,930	1,839,291	4.098	10,698	1,605					
		521			16	3	1,236,908	1	0	256,044	0	9,151	17	20,767	2,554,245	48,555,360	0.524	439,718	8,517					
		523			0	0	58,210	0	0	4,225	0	1,186	0	195	92,767	1,375,257	0	34,175	0.371					
		524			1	0	114,766	1	0	38,939	0	1,049	1	195	488,648	6,766,971	0	51,767	2,615					
		summer			509	0	0	244	0	0	1,129	0	14,558	0	541	0.257	281,295	0.016	0.023	0.007				
	513				0	0	3	0	0	16	0	3	0	4	0.033	2,919	0	0	0					
	517		0	0	450	0	0	409	0	3,908	0	289	0.033	102,598	1.476	0.085	0.036							
	519		0	0	15,366	0	0	11,194	0	41,996	178	4,919	2,260	2,808,068	100.007	2,495	0.494							
	521		0	0	106	0	0	105	0	0	0	5	0.434	99,534	0	0	0							
	524		0	0	543	0	0	89	0	0	0	3	0.735	367,835	0	0	0.005							
	winter		509	0	0	1,097	0	0	22,440	0	26,205	0	4,944	2,936	4,689,467	4.009	0.297	0.398						
			513	0	0	2,134	0	0	12,205	0	132	0	66	1,348	2,080,531	0	0	0.034						
			516	0	0	48	0	0	206	0	103	0	37	0.015	44,161	0.127	0	0.002						
			517	0	0	1,036	0	0	8,066	0	13,167	0	2,648	0.489	1,390,909	1.170	0.231	0.062						
			518	0	0	1	0	0	5	0	2	0	0	0	0.469	0.001	0	0						
			519	0	0	11,807	0	0	80,643	0	75,480	0	6,020	1,669	5,981,866	92.219	1,368	0.687						
	summer	509	735	1,622	295,475	4,425	51	133,737	1	4,055	22	14,182	1,698,913	1,848,522	30.688	1,381,111	988,363							
		513	3	6	26,135	3,658	0	74,677	0	1,708	0	837	373,436	620,907	0.187	209,624	107,563							
		514	2	1	1,026	29	0	783	3	0	0	53	9,273	12,119	0	0	5,996							
		516	0	0	433	1	0	167	0	0	0	19	1,273	6,953	0.431	1,589	1,431							
		517	342	450	365,187	1,175	0	67,553	7	4,822	959	12,057	1,250,879	2,679,404	572,995	1,676,978	213,867							
		519	162	149	229,014	754	0	77,545	0	953	1,364	28,684	752,374	2,062,901	1,627,419	817,052	142,508							
		521	10	85	75,186	582	0	27,161	0	551	117	760	441,945	571,673	14,446	253,497	293,979							
		524	1	0	7,841	229	0	9,905	0	0	0	428	193,176	250,841	0.000	16,108	316,104							
winter		509	1,493	180	586,648	916	45	350,964	0	13,524	439	76,840	4,624,181	17,712,300	26.308	222,013	4,646,486							
		513	4	5	5,146	17	0	7,498	0	0	150	47,451	83,299	0	3.742	32,641								
		514	0	0	0	0	0	1,475	0	0	44	7,462	5,448	0	0	1,415								
		516	0	0	1,415	1	0	1,236	0	0	22	13,006	9,909	0	1,480	19,298								
	517	551	90	435,608	110	0	112,664	0	6,315	196	22,008	1,016,562	7,414,756	166,984	474,096	255,093								
	519	232	13	63,945	104	0	68,772	0	1,928	23	2,633	150,207	994,473	492,360	228,629	35,086								
summer	509	153	30	16,404	91	0	14,649	27	1,529	3	655	151,090	355,827	0.003	137,131	38,000								
	523	10	0	259	0	0	0	0	0	6	1,556	0.373	0	1,359	0.013									
	524	5	0	416	2	0	3,395	0	0	3	0	7,671	19,866	0	1,781	8,656								
	Flatfish	HAL	summer	513	0	0	1,373	0	0	2	0	76	0	0	0	0	1,005	0	0	0				
				514	0	0	876	0	0	1	0	12	0	0	0.001	0.289	0.004	0.076	0.002					
				517	0	0	22,317	0	0	38	0	1	0	844	0.009	1,710	0	15,553	0					
				518	0	0	72,106	0	0	149	0	43	0	292	0.016	22,269	0.004	10,832	0.104					
				519	0	0	11,362	0	0	42	0	18	0	5	1.183	3,831	0.005	1,998	0.006					
				521	3	32	72,975	0	0	65	0	114	0	458	1.457	23,988	0.006	81,902	0.007					
	winter	523	7	13	46,382	0	0	45	0	13	0	588	0.065	3,325	0	45,547	0.001							
		524	0	0	25,010	0	0	189	0	22	0	58	0.023	6,375	0.009	4,707	0.081							
		517	0	0	10,816	0	0	43	0	1	0	6	0.011	0.748	0	2,421	0							
518		0	0	5,875	0	0	7	0	0	0	2	0	0.515	0	1,016	0								
519		0	0	4,683	0	0	2	0	0	0	1	0	0.452	0	0.088	0								
521		0	0	6,018	0	0	7	0	0	0	0	0.005	0	0	0.996	0								

POT	summer	523	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.817	0	
		524	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.073	0	
		517	0	0	0	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.029	0	
		521	0	0	0	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.065	0	
		523	0	0	0	56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.041	0	
TRW	summer	509	70	38	76,886	161	8	26,341	20	1,247	11	2,903	337,578	328,910	0.631	312,075	629,151	0	0	0	0	0	0	0	0	0.817	0
		512	1	1	915	10	10	112	0	0	52	52	22,736	5,390	0	6,118	2,291	0	0	0	0	0	0	0	0	0.118	0
		513	41	383	223,305	16,633	95	305,040	74	1,513	593	30,414	4,141,104	1,785,674	0.024	997,567	1,537,330	0	0	0	0	0	0	0	0	0.029	0
		514	82	111	339,444	19,833	95	269,658	1,380	0	0	21,041	1,435,833	822,926	0.024	44,557	6,592,662	0	0	0	0	0	0	0	0	0.065	0
		516	2	0	456	5	0	306	0	0	27	0	17,789	0,739	0	2,268	2,819	0	0	0	0	0	0	0	0	0.041	0
		517	109	93	103,662	452	2	23,062	9	1,232	8,628	13,871	618,695	166,987	97,817	1,115,558	83,488	0	0	0	0	0	0	0	0	0.817	0
		519	106	16	63,450	69	69	34,473	34	3,903	3,903	4,986	152,318	92,718	215,191	329,740	32,948	0	0	0	0	0	0	0	0	0.065	0
		521	2	827	168,303	1,301	0	136,207	40	2,621	129	4,291	772,847	772,847	0.009	1,052,742	662,107	0	0	0	0	0	0	0	0	0.065	0
		524	0	1	26,904	2,847	0	13,158	40	262	1	3,919	763,321	449,313	0.065	270,519	577,254	0	0	0	0	0	0	0	0	0.065	0
winter		509	380	0	689,241	14,982	9	217,116	0	16,246	0	15,149	6,617,510	3,481,967	6,448	278,749	11,270,519	0	0	0	0	0	0	0	0	0.817	0
		513	159	2	214,238	2,937	0	107,419	73	349	9	18,311	3,756,463	874,128	0.061	103,995	1,374,997	0	0	0	0	0	0	0	0	0.065	0
		514	0	0	12,304	4,352	0	66,440	75	0	0	5,369	782,824	312,708	0	1,022	824,860	0	0	0	0	0	0	0	0	0.029	0
		516	67	0	160,983	11	2	48,427	1,181	3,188	3,010	2,699	1,233,097	986,564	13,889	62,503	6,411,023	0	0	0	0	0	0	0	0	0.065	0
		517	1,312	6	91,953	51	0	8,590	8	0	0	4,193	169,043	142,899	9,017	1,005,692	119,107	0	0	0	0	0	0	0	0	0.065	0
		518	0	0	0	0	0	0	0	0	0	0	0	0	0	0.064	0	0	0	0	0	0	0	0	0	0.038	0
		519	69	2	30,229	25	25	15,782	0	107	710	3,352	76,092	47,945	11,966	292,713	10,278	0	0	0	0	0	0	0	0	0.065	0
		521	144	53	157,316	1,630	0	77,796	1	3,960	15	3,052	903,527	798,743	0.021	503,589	833,780	0	0	0	0	0	0	0	0	0.065	0
		524	12	0	6,103	2,883	0	77,168	5	42	8	6,078	527,608	307,143	0.002	41,755	355,291	0	0	0	0	0	0	0	0	0.065	0
Pollock	summer	509	448	22,446	767	46,264	0	1,169	5	2,638	3,731	108	43,919,291	149,639	26,881	20,607	1,097	0	0	0	0	0	0	0	0	0.065	0
		513	66	1,742	304	8,017	0	1,043	13	69	69	1,391	16,023,372	45,254	0.232	10,853	3,306	0	0	0	0	0	0	0	0	0.065	0
		516	2	33	5	78	0	315	0	2	0	7	1,317,933	2,585	1,963	0.156	0.426	0	0	0	0	0	0	0	0	0.065	0
		517	4,614	270,809	15,540	475,528	96	7,437	0	373	328,566	21,035	302,705,177	612,350	280,095	213,633	8,669	0	0	0	0	0	0	0	0	0.065	0
		518	0	0	0	0	0	0	0	0	0	0	0	0	0.086	0	0	0	0	0	0	0	0	0	0	0.065	0
		519	710	17,102	13,483	169,561	0	3,088	0	96	325,124	4,272	33,724,223	40,546	339,470	48,636	1,833	0	0	0	0	0	0	0	0	0.065	0
		521	3,848	57,558	12,478	97,931	0	15,225	0	477	19,174	8,439	377,087,726	737,818	5,247	81,203	4,545	0	0	0	0	0	0	0	0	0.065	0
		523	284	2,889	282	360	0	53	34	647	647	33	5,398,481	13,700	0.207	1,006	0.043	0	0	0	0	0	0	0	0	0.065	0
		524	229	6,202	190	6,950	0	1,922	0	15	8	667	19,334,133	78,922	0.003	23,310	0.504	0	0	0	0	0	0	0	0	0.065	0
winter		509	12,356	978	29,794	3,052	0	23,982	0	647	482	27,721	262,370,917	2,486,868	12,517	84,042	872,481	0	0	0	0	0	0	0	0	0.065	0
		512	2	7	4	42	0	1	0	0	0	4	58,759	0.129	0.000	0.115	0	0	0	0	0	0	0	0	0	0.065	0
		513	2,165	607	8,035	40,078	0	10,858	0	30	821	10,064	70,296,791	461,980	0.283	21,504	295,954	0	0	0	0	0	0	0	0	0.065	0
		516	210	35	1,052	200	0	3,409	0	0	10	1,226	17,510,777	61,219	0.012	1,178	147,887	0	0	0	0	0	0	0	0	0.065	0
		517	20,526	42,185	12,330	31,941	0	8,668	53	97	250,270	19,277	150,482,440	1,305,784	26,886	89,817	156,895	0	0	0	0	0	0	0	0	0.065	0
		519	2,965	7,632	4,281	93	0	1,097	0	28	77,366	2,207	12,350,941	10,845	155,693	24,916	1,156	0	0	0	0	0	0	0	0	0.065	0
		521	4,770	7,595	5,489	2,238	0	27,754	4	217	17,574	18,133	124,708,017	578,650	0.605	17,556	203,363	0	0	0	0	0	0	0	0	0.065	0
		523	599	1,318	47	448	0	50	118	0	82	82	870,702	3,688	0.326	0.204	0.000	0	0	0	0	0	0	0	0	0.065	0
		524	121	1,191	4	5,561	0	221	54	2	55	1,723	7,418,326	11,395	0.011	3,585	0.024	0	0	0	0	0	0	0	0	0.065	0
Rockfish	summer	513	0	0	42	0	0	0	0	0	0	0	0	0	0	0.212	0	0	0	0	0	0	0	0	0	0.065	0
		518	0	0	65	0	0	0	0	0	0	0	0	0	0.008	0	0.057	0	0	0	0	0	0	0	0	0.065	0
		519	0	2	13	0	0	2	0	0	0	0	0	0	0.012	0.005	0.039	0.001	0	0	0	0	0	0	0	0.065	0
		523	0	0	0	0	0	0	0	0	0	72	0.308	4.504	0	0.254	0.566	0	0	0	0	0	0	0	0	0.065	0
TRW	summer	508	0	0	336	0	0	57	0	0	3	72	0.308	4.504	0	0.254	0.566	0	0	0	0	0	0	0	0	0.065	0
		517	0	0	283	0	0	6	0	0	0	116	7.137	2.366	0	11.404	0.021	0	0	0	0	0	0	0	0	0.065	0
		518	0	0	117	0	0	0	0	0	0	0	8.026	0	0	0.473	0	0	0	0	0	0	0	0	0	0.065	0
		519	0	0	0	0	0	0	0	0	0	0	0.020	0	0	0.219	0	0	0	0	0	0	0	0	0	0.065	0
		521	0	0	0	0	0	16	0	0	52	1	0.151	0	0	4.580	0.405	0	0	0	0	0	0	0	0	0.065	0
HAL	summer	508	0	0	138	0	0	0	0	0	0	1	0	0	0	0.866	0	0	0	0	0	0	0	0	0	0.065	0



			Prince William Sound	6	0	0	52	0	0	0	0	0	0	0	0	0.103	0	0	0
			Southeast Outside	6	0	0	16,889	0	0	0	0	0	0	0	0	5.202	0	0	0
			Southeast Inside	6	0	0	4,683	0	0	0	0	0	0	0	0	2.393	0	0	0
	TRW	summer	Shumagin (Western GOA)	61	0	0	44,274	0	0	7,585	0	18	2,966	15,394	183.513	86.991	378.915	169.107	11.3965
			Chirikof (Central GOA)	62	0	37	34,283	0	0	2,976	0	298	2,604	41,224	6.027	55.844	178.596	152.859	0.9
			Kodiak (Central GOA)	63	722	1,943	313,288	0	0	9,214	0	108	787	61,349	117.318	1,307.271	9.319	1,037.390	52.072
			Yakutat (Eastern GOA)	64	42	179	6,735	0	0	91	0	0	817	6,813	4.488	0.107	0	7.388	0
		winter	Shumagin (Western GOA)	61	0	0	9,560	0	0	0	0	0	333	1,530	0.530	7.444	53.286	11.436	0
			Chirikof (Central GOA)	62	0	0	1	0	0	0	0	0	17	0	0.137	0	0	0.052	0
Sablefish	HAL	summer	Shumagin (Western GOA)	61	0	19	163,024	0	0	4,580	0	110	56	433	1.020	32.314	0.017	51.652	0
			Chirikof (Central GOA)	62	0	6	66,467	0	0	424	0	10	14	164	0.001	0.975	0	4.316	0
			Kodiak (Central GOA)	63	0	77	642,594	0	0	97	0	614	1,160	3,511	0.041	15.766	0	52.548	0
			Yakutat (Eastern GOA)	64	2	33	72,007	0	0	24	0	7	0	349	0.010	1.482	0	8.053	0
			Prince William Sound	6	0	0	4,180	0	0	9	0	1	5	31	0	0.122	0	0.433	0
			Southeast Outside	6	1	12	181,823	0	0	200	0	41	0	3,043	0.012	4.217	0	19.100	0
			Southeast Inside	6	1	12	108,071	0	0	186	0	13	17	758	0.004	3.685	0	10.296	0
		winter	Shumagin (Western GOA)	61	0	2	126,103	0	0	293	0	62	0	723	0.430	14.974	0.004	67.571	0
			Chirikof (Central GOA)	62	0	0	52,382	0	0	13	0	4	1	76	0.010	1.554	0	5.944	0
			Kodiak (Central GOA)	63	0	5	400,215	0	0	127	0	172	16	669	0.001	5.333	0	14.737	0
			Yakutat (Eastern GOA)	64	0	2	56,458	0	0	38	0	0	0	302	0	0.411	0	3.338	0
			Prince William Sound	6	0	0	2,296	0	0	3	0	3	0	14	0	0.032	0	0.091	0
			Southeast Outside	6	0	4	161,575	0	0	18	0	5	0	5,834	0.026	1.246	0	10.156	0
			Southeast Inside	6	0	4	40,500	0	0	84	0	0	0	280	0	1.006	0	6.748	0
	TRW	summer	Kodiak (Central GOA)	63	0	0	122	0	0	0	0	0	0	16	0	0.660	0	4.000	0
			Yakutat (Eastern GOA)	64	0	0	614	0	0	0	0	0	65	50	0	0	0	3.205	0
		winter	Kodiak (Central GOA)	63	0	0	0	0	0	0	0	0	0	0	0.314	0	0	2.351	0

Proposer	Proposal
1 At-Sea Processors	Moving the BSAI pollock A season starting date
2 At-Sea Processors	BSAI A/B season pollock split
3 Paul Soper	Moving BSAI CP pot cod start date to Aug. 15 for B season
4 Thorn Smith/NPLA	Change BSAI hook and line CP Pcod split
5 H&G Environmental Workgroup	Increase Cod MRA in BSAI flatfish fishery
6 H&G Environmental Workgroup	Allow 100% of BSAI non-AFA CP Pcod in first quarter
7 H&G Environmental Workgroup	Adjust regulations of Central AI Atka mackerel fishery
8 H&G Environmental Workgroup	Reduce size of TEZ at Seguam Pass for Atka mackerel
9 UFMA	Change A and B season BSAI Pcod ITAC for pot CVs
10 Sam Cotten	Eliminate 60/40 split of Federal Pcod in WGOA
11 Sam Cotten	Change pollock seasonal split in WGOA
12 Sam Cotten	Reduce pollock trawl closure at Jude Island haulout
13 UNFM	Eliminate or increase cap for longline and jig Pcod in Bogoslof exemption area
14 AGDB, Alaska Draggers, WGOA fishermen	Aggregate A and B season or C and D season GOA pollock quotas
15 AGDB, Alaska Draggers	Change trawl pollock closed area at Cape Ugat haulout
16 AGDB, Alaska Draggers, WGOA fishermen	Change GOA C season pollock fishery date to September 1
17 AGDB, Alaska Draggers, WGOA fishermen, AEB, UFMA	Use a different apportionment scheme for GOA Pcod seasons
18 WGOA Fishermen	Change Pcod trawl closed areas at Chernabura Island rookery
19 St. George Traditional Council	Extend trawl closure for Dalnoi Point Steller sea lion haulout
20 Afredo Abou-eid	Open Spitz Island haulout closed area to the beach for pot and jig gear only
21 Afredo Abou-eid	Reduce Sutwik Island haulout closure to 3 nm
22 Aleut Enterprise Corp. and Adak Fisheries	Open portions of critical habitat in AI management area for pollock trawling
23 Aleut Enterprise Corp. and Adak Fisheries	Split TAC for Pcod between AI and BS
24 Aleut Enterprise Corp. and Adak Fisheries	Temporal dispersion of area 541/542 Atka mackerel for the trawl limited access fleet
25 Aleut Enterprise Corp. and Adak Fisheries	Change Atka mackerel closed area for limited access fleet at Kasetochi rookery
26 United Catcher Boats	Change BSAI trawl CV Pcod season apportionments
27 United Catcher Boats	Change BSAI pollock trawl A and B season split
28 United Catcher Boats	Change BSAI pollock trawl B season ending date to Dec. 1
29 United Catcher Boats	Change start of BSAI pollock trawl A season
BOF proposals, 1-6, 178-185	Misc. state water groundfish fishery measures

# North Pacific Fishery Management Council

Stephanie Madsen, Chair  
Chris Oliver, Executive Director



605 W 4<sup>th</sup> Ste 306  
Anchorage, AK 99501-2252

Telephone: (907) 271-2809

Fax: (907) 271-2817

Visit our website: [www.fakr.noaa.gov/npfmc](http://www.fakr.noaa.gov/npfmc)

September 26, 2006

Art Nelson  
Chairman, Alaska Board of Fisheries  
Alaska Department of Fish & Game  
PO Box 25526  
Juneau, AK 99802

Dear Mr. Nelson,

I am writing to update you on the status of the Fishery Management Plan (FMP)-level formal Section 7 consultation under the Endangered Species Act (ESA). As you know, the North Pacific Fishery Management Council requested that this consultation be initiated so that the Council and the National Marine Fisheries Service (NMFS) could take a fresh look at the effects of Federal groundfish fisheries on ESA-listed species, including particularly the Steller sea lion, in light of the extensive new research that has been conducted on sea lions and their interactions with fisheries. This comprehensive review of all information on ESA-listed species will lead to an updated Biological Opinion and authorization of the Federal groundfish fisheries, including those parallel fisheries that occur in State waters. That consultation process began late in 2005, and since then the Council has coordinated with NMFS and the Alaska Board of Fisheries (BOF), primarily through its Steller Sea Lion Mitigation Committee (SSLMC), of which you and Mr. Ed Dersham of the Alaska Department of Fish & Game are members.

The Council appreciates your participation on that committee, as this provides an opportunity for the State to closely track the overall consultation process, and to help craft long-term future fishery management regimes for State parallel groundfish fisheries. As part of the consultation process, the SSLMC recently issued a Call for Proposals from the public for regulatory changes in those groundfish fisheries that might affect Steller sea lions. The intent is to fold into the consultation consideration of possible new regulatory measures that could provide economic benefits to Federal and/or State fisheries yet avoid ESA concerns with Steller sea lions (and other ESA-listed species). As part of the proposal review process, the SSLMC also intends to review State water proposals that might be considered by the BOF for their potential effects on Federal fisheries and Steller sea lion protection measures.

We recognize that the Board intends to consider at its October 14-15, 2006 meeting several State water groundfish fishery proposals, some of which could affect Steller sea lions or their critical habitat. If some of these proposals are approved by the BOF, the Council and its SSLMC would need to account for these measures in context with other proposals affecting Federal fisheries to develop recommendations for the Council. This also could trigger a separate consultation. As you know, the Council and NMFS have expressed in previous meetings between the BOF and Council concerns over ESA issues associated with State water groundfish fisheries and the potential triggering of the formal consultation process which would occur outside the above-described FMP level consultation, if certain proposals are approved by the BOF. The primary concerns would be the need to consult under a very stringent time frame as well as the potential impacts on Federal groundfish fisheries if the result of such a consultation is a jeopardy or adverse modification of critical habitat finding in the Biological Opinion, relative to federally managed fisheries. A



separate but expedited consultation could affect the schedule and the nature of the FMP-level consultation mentioned above.

Alternately, if the BOF chooses to not take action at the October meeting on the proposals relative to State waters, but indicates which proposals the BOF would like to consider in the near future, those proposals could be incorporated in the package of proposed changes being reviewed by the SSLMC and the Council. Following the analysis of these proposals, the Council and the BOF would be in a more informed position, and could work together to determine which proposals warrant approval by each respective body. The larger consultation process is moving forward and a draft Biological Opinion is expected in early December. We anticipate that the SSLMC will review this document and the Council will receive a briefing on the draft BiOp as well as comments from its SSLMC at the February 2007 meeting. At that meeting the Council will also receive a package of suggested changes in fishery regulations, and approve a package for analysis and public review. Later in 2007 the Council expects to finalize those proposed changes and submit this to the Secretary for review and approval, hopefully for implementation sometime in 2008.

The SSLMC intends to meet immediately after the BOF's October 14-15 meeting to take up proposals submitted by the public; any BOF proposals could be integrated into the Council's proposal review process and be factored into the consultation. While this may not be the optimal schedule for the Board, we believe that this process could enhance the ability of both the Council and the BOF to improve fishery management in Federal and State waters while avoiding concerns with Steller sea lions.

The Council looks forward to a continued close working relationship with the BOF and NMFS on groundfish fishery management issues of mutual interest. Please feel free to contact me or the Council's Executive Director, Chris Oliver, if you have further questions.

Sincerely,



Stephanie Madsen  
Chair

CC: Sue Salveson  
Kaja Brix  
Council members