

AGENDA E
JUNE 1986

M E M O R A N D U M

TO: Council, AP and SSC Members
FROM: Jim H. Branson
Executive Director
DATE: June 17, 1986
SUBJECT: Contracts, Proposals and Financial Report

ACTION REQUIRED

Review Finance Committee report and approve (a) FY87 Administrative Budget, and (b) RFP for a Fishing Industry Survey to Determine the Preferred Management Alternatives for the Gulf of Alaska and Bering Sea Groundfish Fisheries.

BACKGROUND

The Finance Committee will meet Tuesday, June 24, with the following items on the agenda:

- a. FY87 Administrative Budget
- b. RFP for Survey of Groundfish Alternatives
- c. Review of NWAFC and Region budgets and projects
- d. Other business

The Chairman of the Finance Committee will report to the Council on Committee actions and recommendations.

Draft
Finance Committee Minutes
June 24, 1986

The Finance Committee met in Kodiak the evening of June 24, 1986 with the following members in attendance:

Donald Collinsworth	Donald Rosenberg
Larry Cotter	John Harville
Robert McVey	Mark Pedersen
John Winther, Chairman	John Peterson

Others in attendance:

William Aron	Donald Bevan
Jim Branson	Jim Campbell
Oscar Dyson	Fred Gaffney
Bill Robinson	Judy Willoughby

A. FY87 Administrative Budget

The FY87 administrative budget for \$1,048,000, excluding the \$90,000 pass-thru funds, was discussed. Don Collinsworth moved to accept with a second by Don Rosenberg. It passed without objection.

B. RFP for Survey on Groundfish Alternatives

A draft RFP to conduct a fishing industry survey to determine the preferred management alternatives for the Gulf of Alaska and Bering Sea groundfish fisheries was reviewed by the committee. After lengthy discussion the Finance Committee felt the data required in items 1-6 in the statement of work was available in the ADF&G, NWAFC, or PacFIN. Dr. Aron and Commissioner Collinsworth stated they would review with their staffs as to the availability and cost of this data. The Committee then decided to postpone this RFP and directed the staff, after conferring with other agencies, to rewrite the proposal and resubmit it at the September Council meeting.

C. Review NMFS Budget Projections and Priorities

Dr. Aron presented an analysis of the Northwest & Alaska Fisheries Center 1982-86 funding levels and the 1987 Presidential funding recommendations. Among other items, he stated that the Center receives only 20% of the total funding for research even though NWAFC oversees a total of 40% of the nation's fish. He stated 66% of the Center's funds are used for Alaska fisheries. His analysis is available on request.

Robert McVey then presented the funding analysis from 1980 through 1986 for the Alaska Region with a breakdown of the six areas the Region funds. This analysis is also available on request.

The problem of lack of funding for groundfish data by the federal government was discussed. The estimated amount is \$300,000 which at this point is not available. Don Collinsworth then reiterated how serious this problem will be when the state no longer is involved. The Committee recognizes the problem and asks the Council for any recommendations for alleviating this deficit.

D. Other Business

1. Sea Grant, University of Alaska, requested \$2,000 for co-sponsoring the Rockfish Symposium. Committee recommended approval, without objection.

2. At their exit audit, Price Waterhouse recommended additional receipts be included in travel claims. Several recommendations were made by the staff. Of these, the Finance Committee recommends that in addition to hotel receipts, airline ticket and car rental receipts should be included.

3. Pacific Marine Fisheries Commission has requested a continuation of the \$15,000 pass-thru funds for FY87. The Committee recommended this with no objection.

DRAFT AGENDA
FINANCE COMMITTEE
June 1986

A. FY87 Administrative Budget

The FY87 Administrative Budget and request for funds must be approved at this meeting.

B. RFP for Survey on Groundfish Alternatives

This project was approved at the January 1986 Council meeting. Funding for \$50,000 was approved by NMFS in May. The RFP as approved by the Council will be sent out after this meeting.

C. Review NMFS Budget Projections and Priorities

Staff from the NWAFC and the Region will brief the Finance Committee on future budgets and projects.

D. Other Business

1. Request for \$2,000 to support the International Rockfish Symposium sponsored by Sea Grant.
2. Staff response to auditors' suggestions for future backup on on travel claims.
3. Request for \$15,000 pass-through funds to Pacific Marine Fisheries Commission.
4. General discussion.

BUDGET PROJECTIONS

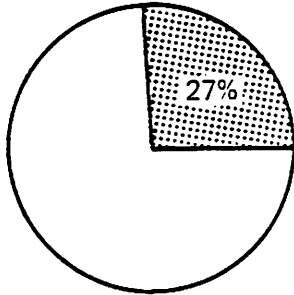
<u>PERSONNEL</u>	<u>FY86 Budget</u>	<u>FY87</u>	<u>FY88</u>	<u>FY 89</u>	<u>REMARKS</u>
Staff Salaries	501,570	522,000	527,000	532,000	
Staff Benefits	105,330	110,000	111,000	112,000	
Special Consultants	<u>500</u>	<u>10,000*</u>	<u>10,000*</u>	<u>10,000*</u>	*Increase to FY86 original request.
Total Staff Salaries & Benefits	607,400	642,000	648,000	654,000	
Council Salaries					
Council Meetings	57,575	45,000*	45,000*	45,000*	*Actual FY86 Expenditures.
Public Hearing & Limited Access	-0-	11,500	11,500	11,500	
Operational Meetings	<u>16,500</u>	<u>20,000</u>	<u>20,000</u>	<u>20,000</u>	
Total Council Salaries	74,075	76,500	76,500	76,500	
TOTAL SALARIES & BENEFITS	<u><u>681,475</u></u>	<u><u>718,500</u></u>	<u><u>724,500</u></u>	<u><u>730,500</u></u>	
<u>TRAVEL</u>					
<u>Council Members (Council Meetings)</u>					
5 Council Meetings	36,000	32,000*	36,000	36,000	*FY87 all meetings in Anchorage.
Operational Travel	13,000	14,000	14,000	14,000	
Public Hearings & Limited Access	-0-	<u>7,000</u>	<u>7,000</u>	<u>7,000</u>	
Total Council Travel	49,000	53,000	53,000	53,000	
<u>Staff</u>					
Operational Travel	34,000	50,000	50,000	50,000	
Council Meetings	19,875	1,000*	13,500	13,500	*FY87 all meetings in Anchorage.
Public Hearings	-0-	3,000	3,000	3,000	
Foreign	-0-	-0-	<u>1,000</u>	<u>3,000</u>	
Total Staff Travel	53,875	54,000	67,500	69,500	
<u>SSC Travel</u>					
Council Meetings	22,000	22,000	22,000	22,000	
Public Hearings & Operational Travel	-0-	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	
Total SSC Travel	22,000	24,500	24,500	24,500	

Budget Projections, continued

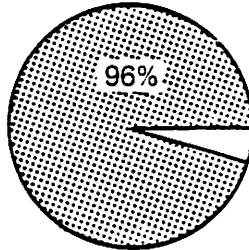
	<u>FY86 Budget</u>	<u>FY87</u>	<u>FY88</u>	<u>FY 89</u>	<u>REMARKS</u>
<u>AP Travel</u>					
Council Meetings	55,000	55,000	55,000	55,000	
Public Hearings & Operational Travel	<u>-0-</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	
Total AP Travel	55,000	57,500	57,500	57,500	
<u>Miscellaneous Travel</u> (PT, Consultants, etc)	<u>21,625</u>	<u>35,000</u>	<u>35,000</u>	<u>35,000</u>	
TOTAL TRAVEL	<u>201,500</u>	<u>224,000</u>	<u>237,500</u>	<u>239,500</u>	
Contracts	17,600	10,000	19,000*	10,000	*Audit required.
Supplies	9,000	10,000	10,000	10,000	
Equipment	10,500	10,000	10,000	10,000	
Miscellaneous					
Transportation of Things	500	10,500*	500	10,500*	Projected employee moving expenses.
Rents & Maintenance Agreements	36,500	24,000	24,000	24,000	
Communications	33,000	35,000	35,000	35,000	
Miscellaneous	2,000	5,000*	5,000*	5,000*	Actual FY85 expenses.
Printing	<u>500</u>	<u>1,000*</u>	<u>500*</u>	<u>500*</u>	Change business cards, stationery, etc. for office move.
Total Miscellaneous	<u>72,500</u>	<u>75,500</u>	<u>65,000</u>	<u>75,500</u>	
TOTAL ADMINISTRATIVE	<u>992,575</u>	<u>1,048,000</u>	<u>1,066,000</u>	<u>1,075,500</u>	

**COMPARISON OF NORTHWEST AND ALASKA FISHERIES
with fisheries of the United States as a whole**

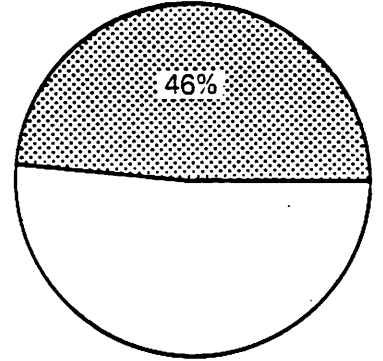
Average annual domestic and foreign catches in U.S. waters, 1980-84



3,187,000 mt
Domestic

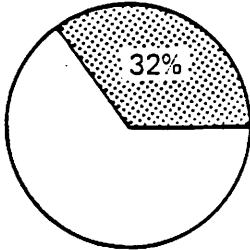


1,475,000 mt
Foreign



4,663,000 mt
Combined

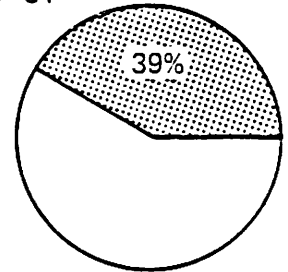
Average annual value of domestic and foreign catches in U.S. waters, 1980-84



\$2,383 million
Domestic

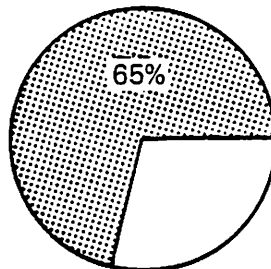


\$274 million
Foreign



\$2,657 million
Combined

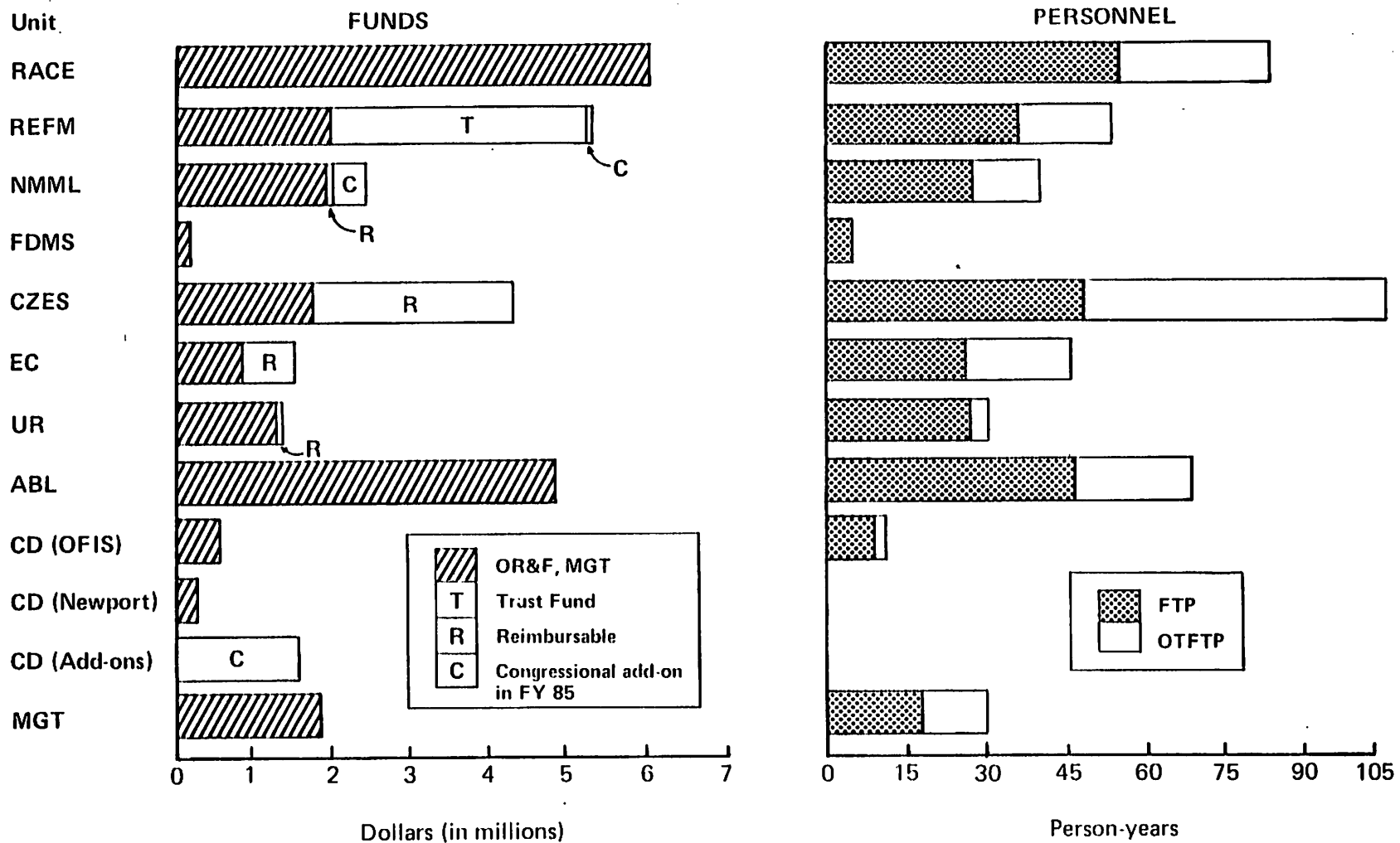
Average annual value of marine animal product exports, 1980-84



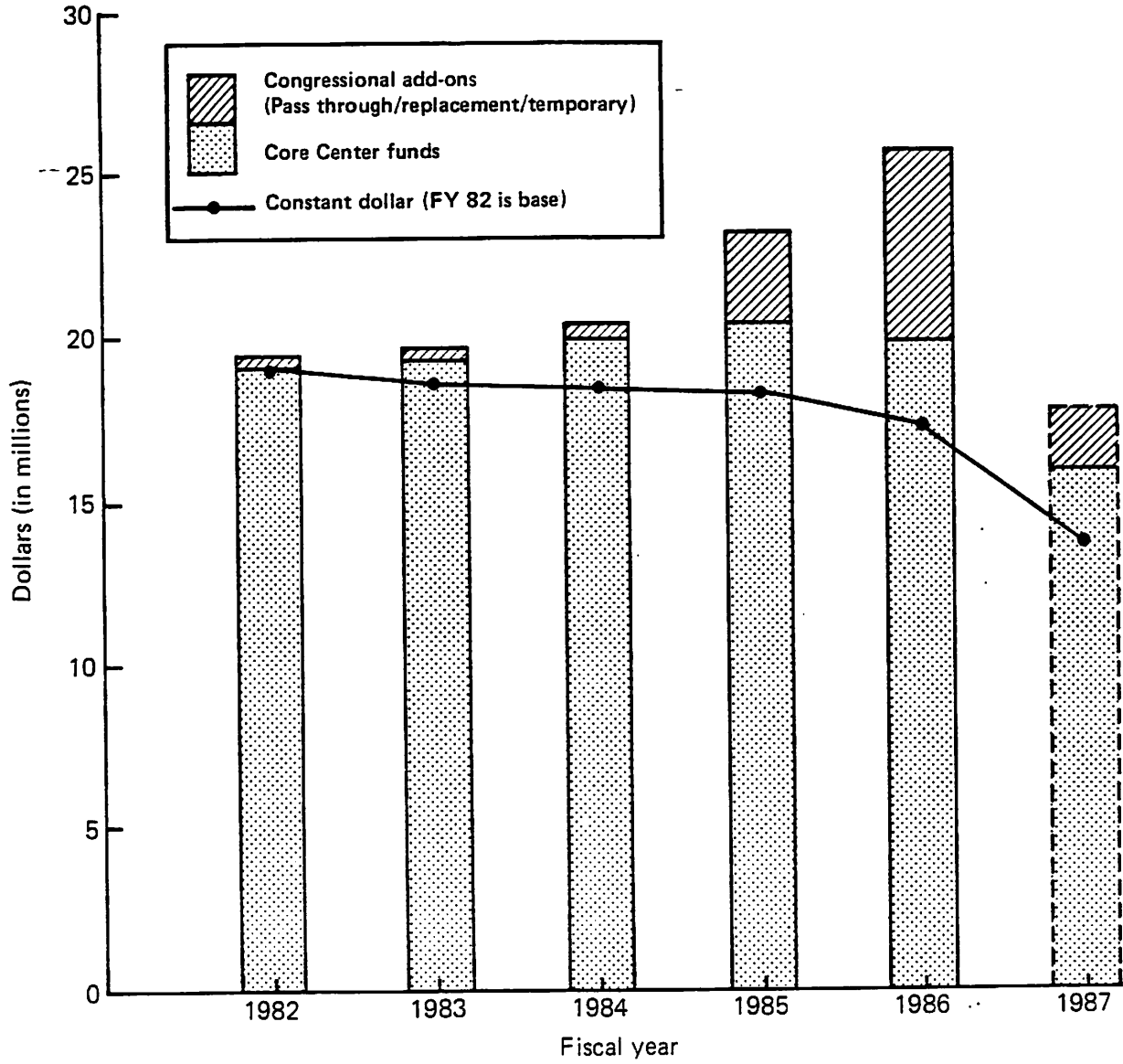
\$1,038 million

Northwest and Alaska
 All other regions

NORTHWEST AND ALASKA FISHERIES CENTER FY 1985



Northwest and Alaska Fisheries Center Budget (FY 82-87)
(Appropriated Funds)



NORTHWEST AND ALASKA FISHERIES CENTER BUDGET: FY 1982-1987 .

JUN 17 1986

P. 1

Unit	Task	-----Budget (\$K)-----					----- (Adm.) -----	-----Alaska Resources-----				
		82	83	84	85	86		87 (a)	82	83	84	85
RACE	Surveys & Analyses	4612	4636	4738	5871(b)	6022	-98	3747	3030	3751	4403	4516
	Invertebrate Pathology	97	104	109	112	112	-97	58	57	86	101	101
	Fisheries-Oceanography Coordinated Investigations (FOCI)	-	-	50	-	861	(c)	-	-	50	-	861
	Total...	4709	4740	4897	5983	6995	-195	3805	3087	3887	4504	5478
	(FY 86 reductions = -\$703)					[6292]						
REFM	Status of Stocks	615	767	812	902	913	-	492	635	690	714	698
	Resource Ecology	285	285	286	296	213	-204	200	285	286	296	213
	Multispecies/Ecosystem	310	212	212	195	212	-	310	212	212	195	212
	Bioeconomic Analyses	541	541	556	645	642	-	406	487	500	581	578
	Total...	1751	1805	1866	2038	1980	-204	1408	1619	1688	1786	1701
	(FY 86 reductions = -\$237)					[1743]						
	Foreign Observers (Trust fund)	(1183)	(2407)	(5575)	(4000)	(2400)	(1000)	(710)	(2167)	(5017)	(3600)	(2160)
ABL	Alaska Salmon R & D	3350	3403	3035	3212	3233	-2819*	3350	3403	3035	3212	3233
	Groundfish, Eastern Gulf of AK	356	504	842(d)	682	695	-198	356	504	842	682	695
	Habitat Investigations	849	850	891	920	938	-421	849	850	891	920	938
	General Support Funds	311	320	330	336	336	-	311	320	330	336	336
	Total...	4866	5077	5098	5150	5202	-3438	4866	5077	5098	5150	5202
	(FY 86 reductions = -\$536)					[4666]						
UR	Aquaculture: Nutrition/Disease	126	126	129	130	132	-119*	0	0	0	0	0
	Product Use Concepts; Quality/Safety	1106	1107	1162	1188	1224	-1224	714	720	767	784	808
	Total...	1232	1233	1291	1318	1356	-1343	714	720	767	784	808
	(FY 86 reductions = -\$25)					[1331]						
NMML	Fur Seal Program	569	475	495	428	522	-400	421	353	421	363	443
	Intl. Treaty Research/Support	210	231	250	511	519	-300	105	121	150	306	311
	Pinnipeds & MM-Fish Interaction	202	211	220	620(e)	201	-	85	66	132	432	121
	Endangered & Small Whales	685	649	671	852	771	-1000	547	398	436	554	501
	Total...	1666	1566	1636	2411	2013	-1700	1158	938	1139	1655	1376
	(FY 86 reductions = -\$175)					[1838]						
OFIS	Computer Mgt. & Ops.	592	599	599	609	615	-36	472	479	479	487	492
	(FY 86 reductions = -\$41)					[574]						
FDMS	Fishery Analysis	235	235	245	251	255	(f)	94	70	74	75	76
	(FY 86 reductions = -\$60)					[195]						

JUN 17 1986

NORTHWEST AND ALASKA FISHERIES CENTER BUDGET: FY 1982-1987

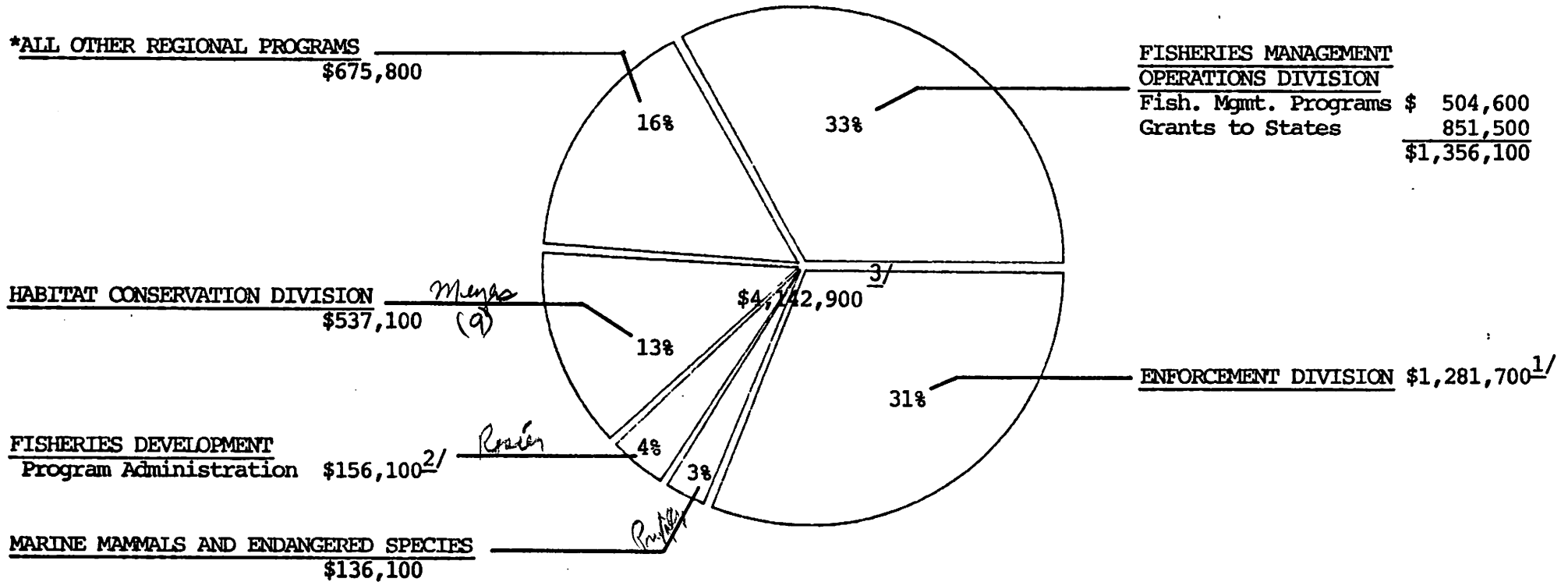
Unit	Task	-----Budget (\$K)----- (Adm.)						-----Alaska Resources-----				
		82	83	84	85	86	87	82	83	84	85	86
EC	Contaminant Effects	851	844	888	907	929	-87	70	86	89	91	93
	Natl. Analytical Facility	50	50	52	54	55	-	0	5	5	5	6
	Total...	901	894	940	961	984	-87	70	91	94	96	99
	(FY 86 reductions = -\$69)					[915]						
CZES	Fisheries Enhancement	409	409	432	442	723	-409*	0	0	0	0	0
	Habitat Investigations	215	215	231	237	242	-204	0	0	0	0	0
	Ecological Effects of Dams	1031	1031	1074	1070	1089	-265*	0	0	0	0	0
	Total...	1655	1655	1737	1749	2054	-878	0	0	0	0	0
	(FY 86 reductions = -\$108)					[1946]						
CD	Newport O & M Support	197	197	197	344	344	-350	0	0	0	0	0
	NMFS/OSU Coop. Inst. (CIMRS)	-	-	124	142	153	(3)	-	-	0	0	0
	Juvenile Salmon Survival (OSU)	100	100	100	100	100	-100	0	0	0	0	0
	Subarctic Study (UAK/OIH)	-	-	-	600	600	-600	-	-	-	600	600
	Protected Species Entanglement	-	-	-	-	750	-750	-	-	-	-	657
	Salmon Treaty Pass Through Funds	-	-	-	-	3216	(?)	-	-	-	-	2701
	General Support	1670	1726	1716	1524	1586	-456*	1387	1036	1030	914	951
	Total...	1967	2023	2137	2710	6749	-2256	1387	1036	1030	1514	4909
	(FY 86 reductions = -\$425)					[6324]						
GRAND TOTAL (Excluding Foreign Observer)...		19574	19827	20446	23180	28203	-10137	13974	13117	14256	16051	20141
	(FY 86 total reduction = -\$2379)					[25824]						

Footnotes:

- (a) Proposed reductions under the Administration's budget.
 - (b) \$1.0 million for vessel charters as replacement for RV Chapman.
 - (c) FOCI program is \$3.0 million (\$1.5 million to NMFS). Administration proposal is \$2.0 million for FY 87.
 - (d) Increase in groundfish research through internal reprogramming of salmon funds to groundfish.
 - (e) \$400K increase for food chain study in FY 1985. No funds in FY 1986.
 - (f) Division will be removed from organizational role in FY 87.
 - (g) Task will be removed in FY 87.
- * Salmon R & D reductions proposed for restoration under the Pacific Salmon Treaty budget. Administration proposal is \$1.0 million less in FY 87 (restoration plus new funds).

FISCAL YEAR 1986 FUNDING ALASKA REGION - NMFS

6/12/86
JOF



***OTHER REGIONAL ACTIVITIES**

Executive Support	\$263,000
Planning, Budgeting & Administrative Services	150,000
Office of Information Resources	99,100
Engineering, Facilities Planning & Maintenance	163,700
	\$675,800

^{1/2} Includes \$292,300 for the entire Regional Standard Level User Charge (SLUC) for GSA rent.

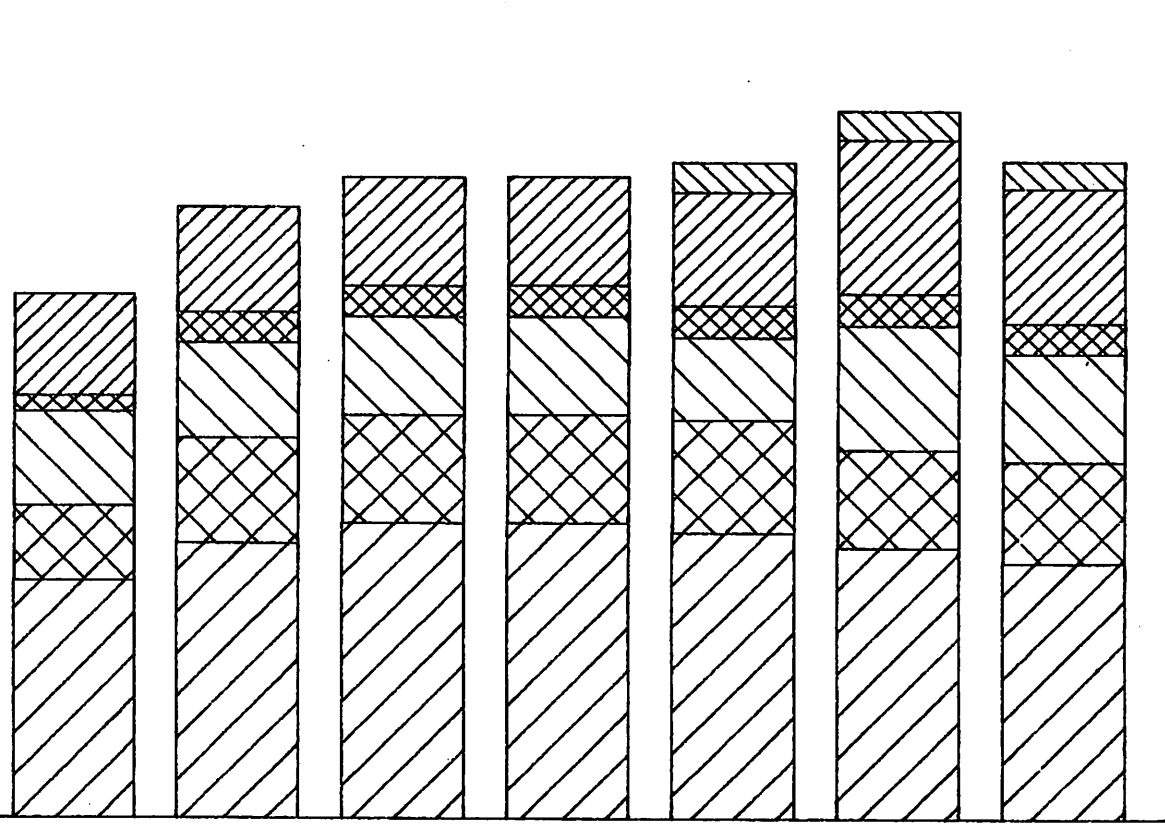
^{2/3} We expect an additional allocation of \$1,500,000 for S-K Grants.

^{3/} The Pacific Salmon Treaty allocation to the Alaska Region is currently \$3,992,100 which is not included in the total (all but \$20,000 is identified for pass-through to the Alaska Department of Fish and Game.)

NMFS ALASKA REGION

ANNUAL BUDGET

4,000,000
3,500,000
3,000,000
2,500,000
2,000,000
1,500,000
1,000,000
500,000
0



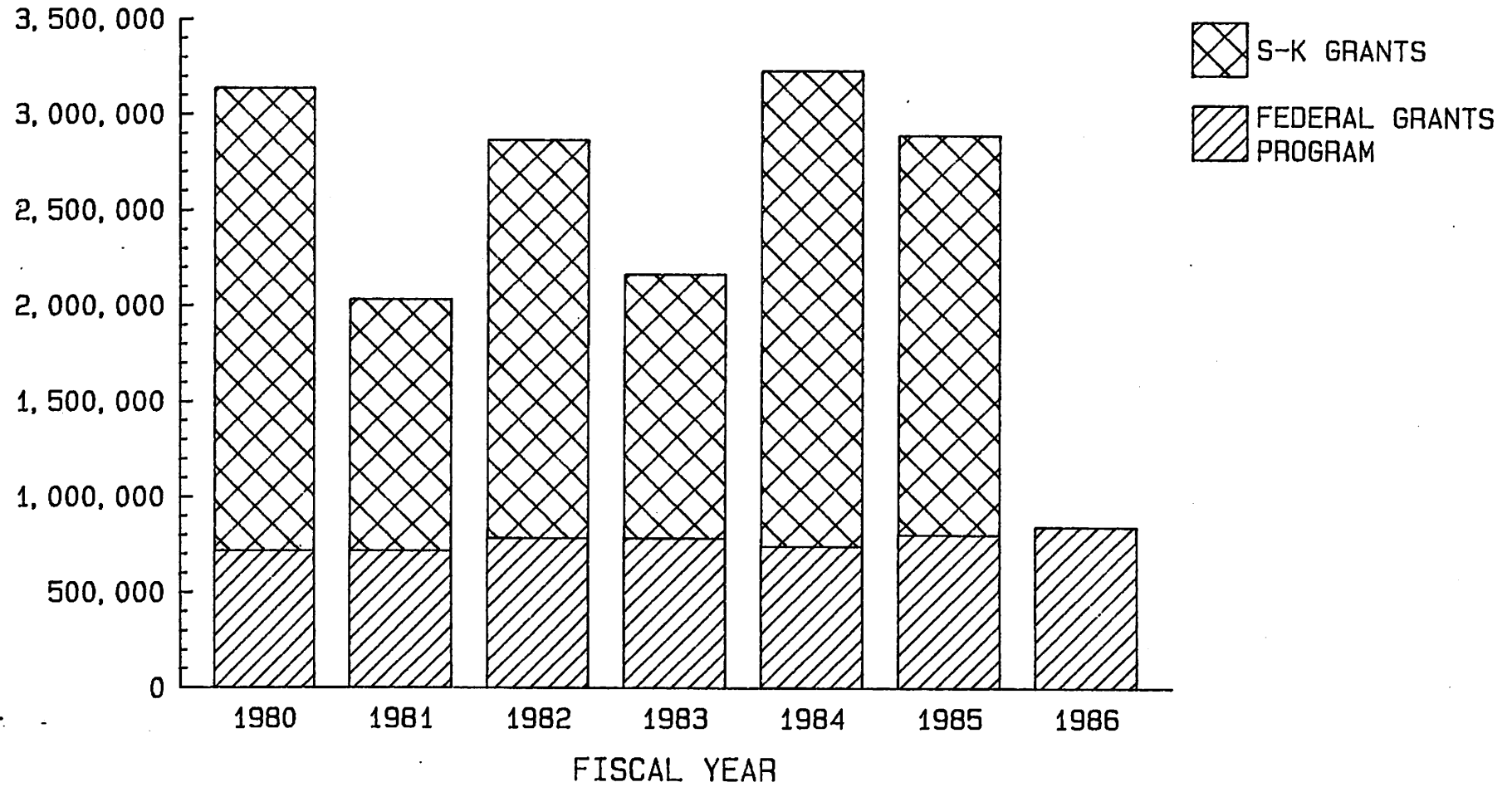
- PROTECTED SPECIES
- OTHER REGIONAL ACTIVITIES
- FISHERIES DEVELOPMENT
- HABITAT CONSERVATION
- OPERATIONS DIVISION
- ENFORCEMENT DIVISION

1980 1981 1982 1983 1984 1985 1986

FISCAL YEAR

NMFS ALASKA REGION

ANNUAL BUDGET



North Pacific Fishery Management Council

D-2

James O. Campbell, Chairman
Jim H. Branson, Executive Director



Mailing Address: P.O. Box 103136
Anchorage, Alaska 99510

411 West 4th Avenue
Anchorage, Alaska 99510

Telephone: (907) 274-4563
FTS 271-4064

Agenda Item E-2

MEMORANDUM

TO: Finance Committee
FROM: Judy Willoughby *Judy*
DATE: June 4, 1986
SUBJECT: Travel Claim receipts

At the Exit Audit Conference in March by Price Waterhouse the staff was requested to suggest other receipts that could be attached to travel claims. At this time only the hotel bill is required. Following are suggestions:

1. Airline ticket receipts
2. Parking Receipts from airport or other lots
3. Car rental receipts
4. Itemize Long Distance calls to verify these calls are actual Council Business
5. Meals over \$25.00
6. Laundry bills

refused

A-1

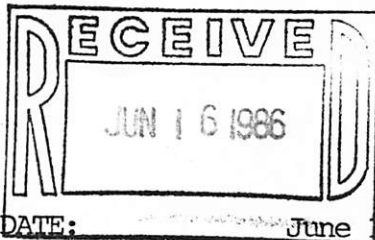


UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration

National Marine Fisheries Service

P.O. Box 1668

Juneau, Alaska 99802



DATE: June 12, 1986

MEMORANDUM FOR: Jim Branson, Executive Director
North Pacific Fishery Management Council

FROM: *Bob*
Robert W. McVey, Director, Alaska Region

SUBJECT: Administrative Cooperative Agreement

Please find the attached copy of Bill Gordon's memo of June 5, 1986, which provides additional funding of \$328,375 and extends the performance period of your administrative agreement through December 31, 1986. Only the amount of \$60,375 is available for immediate release. The balance is subject to the availability of funds. It is our understanding that a revised Standard Form 424 is required to cover this additional funding.

Attachment





UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
Washington, D.C. 20235

JUN 5 1986

F/MB:HH

F/AKR

MEMORANDUM FOR: Council Chairmen, Regional Fishery Management
Councils,
Grants Officers, Administrative Support Centers

THRU: Regional Directors, NMFS *W. G. Gordon*

FROM: *William G. Gordon*
William G. Gordon
Assistant Administrator for Fisheries

SUBJECT: Regional Fishery Management Council
Administrative Cooperative Agreements

By copy of this memorandum, I am notifying both the Council Chairmen and the Grants Officers of my recent decisions regarding additional administrative funding for the Councils. In addition, I am requesting that the appropriate Grants Officers process an amendment to the current administrative agreement for the amount shown on Attachment 1 in the column entitled Additional Funding.

This amendment will provide funding for the full period of performance previously approved by the Financial Assistance Review Board (FARB). The attached table lists the portion of additional funding which is available for immediate release in the last column. The remaining balance should be awarded with a subject to availability condition clause added. The Councils should not commit these additional funds until both the Grants Officers and I have notified you to proceed and a letter of credit has been issued by NOAA. I anticipate that by converting the administrative cooperative agreements to a calendar year basis, we can avoid adding to the heavy workload of the FARB during the September/October crunch while at the same time allowing the Council to meet their financial obligations (e.g., payroll) during this period.

Please refer detailed questions to Howie Hochman (FTS 634-7444) of my Management and Budget Staff or your NMFS Regional Contact.

Attachment



REGIONAL FISHERY MANAGEMENT COUNCILS' APPROVED FUNDING LEVELS

<u>Council</u>	<u>Previous Award</u>	<u>Additional Funding</u>	<u>Revised Total*</u>	<u>Available for Immediate Release of Additional Funding</u>
New England	\$900,500	\$255,700	\$1,156,200	\$30,700 <u>1/</u>
Mid Atlantic	800,400	200,000	1,000,400	---
South Atlantic	799,700	199,000	998,700	---
Caribbean	525,700	144,744	670,444	13,744 <u>2/</u>
Gulf of Mexico	852,000	221,500	1,073,500	8,500 <u>1/</u>
Pacific	821,100	333,769	1,154,869	128,769 <u>3/</u>
Western Pacific	651,200	192,000	843,600	30,000 <u>1/</u>
North Pacific	<u>1,072,200</u>	<u>328,375</u>	<u>1,400,575</u>	<u>60,375</u> <u>4/</u>
	6,423,200	1,875,088	8,298,288	272,088

1/ Additional administrative funding

2/ Lump sum payment of leave \$ 8,744

Additional administrative funding 5,000

3/ Office relocation 14,232

Groundfish & Salmon Plan Team 114,537

4/ Additional administrative funding 10,375

Study of Sablefish fishery off Alaska 50,000

* Period of performance will be October 1, 1985 to December 31, 1986.

North Pacific Fishery Management Council

James O. Campbell, Chairman
Jim H. Branson, Executive Director

411 West 4th Avenue
Anchorage, Alaska 99510



Mailing Address: P.O. Box 103136
Anchorage, Alaska 99510

Telephone: (907) 274-4563
FTS 271-4064

May 12, 1986

William G. Gordon
Asst. Administrator for Fisheries
National Marine Fisheries Service
3300 Whitehaven, Page 2 Building
Washington, DC 20235

INFORMATION
COPY FOR YOUR

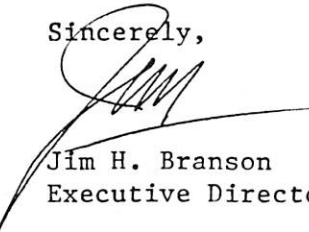
Dear Bill:

The memo and outline you sent on Council participation in NMFS budget and planning process looks good. Depending on how we finally flesh it out, I think it will serve the NMFS and Council process well. I do hope that we can work out a way to follow the budget development process beyond these basic meetings so that when the inevitable discussion on further cuts comes the Council can continue to be part of the process. I think it's particularly critical that the Councils are involved in the long-range budgeting as we have stressed in our previous communications on this subject.

I don't look for a Council Chairmen's meeting until almost the end of this fiscal year, probably the first half of September. By that time all of our budget proposals for FY87 will be in but it should be the appropriate time to talk about FY88 and FY89.

Our Council doesn't meet again until the latter part of June. I am sending your memorandum to the Council Finance Committee and will put it on their agenda for discussion at that time. I will forward their comments to you as soon as possible.

Sincerely,


Jim H. Branson
Executive Director

cc NPFMC Finance Committee (w/attachments)
Regional Councils

RECEIVED
APR 21 1986



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
Washington, D.C. 20235

APR 16 1986

MEMORANDUM FOR:

FROM:

SUBJECT:

Council Chairmen *cc: jc*
William G. Gordon
William G. Gordon
Assistant Administrator
for Fisheries

Council Participation in NMFS Budget and
Planning Process

ACTION	ROUTE TO	INITIAL
	Exec. Dir.	
	Deputy Dir.	
	Adm. Off.	
	Exec. Sec.	<i>RL</i>
	Staff Asst. 1	
	Staff Asst. 2	
	Staff Asst. 3	
	Economist	
	Sec./Bkkr.	

To improve Council and NMFS interaction, we have put together the attached plan to facilitate more Council involvement in our budget and planning activities. We intend to emphasize Council information needs and our research center capacity to produce needed information. Some of what is included in the plan is currently taking place and has been for some time. The two major differences/improvements are (1) the NMFS headquarters directorate will participate in Council Chairmen's meetings to discuss outyear budgets and plans and obtain Council recommendations on same, and (2) NMFS/Council annual regional meetings will be initiated to discuss the upcoming fiscal year plans, both for Councils and NMFS offices. The regional sessions include Council plans and information needs, NMFS research plans and data availability, and NMFS Regional office plans. Participants in the regional meetings would be Council Chairmen and/or Executive Directors, NMFS Regional and Center Directors and staff from each group as necessary.

critical input from Fisheries Budget Study

I have reviewed the Council request to be included in the NMFS meetings in which budget priorities are determined. I believe that the method described in the attachment will provide adequate involvement in the budget and program development process. We will work diligently to ensure that the new methodology is adhered to, that you are kept abreast of budget and program developments and that your comments are sought throughout the process.

Please review the plan and give me your comments as soon as possible. If you or your staff have questions, please call Dave Rand of my staff at 202-634-7469.

Attachment



PROPOSAL
FOR

NMFS RESEARCH PROGRAM INTERACTION WITH
FISHERY MANAGEMENT COUNCILS

OVERVIEW: This is to document ongoing interaction and to plan specific meetings on priority setting, planning, budget decisions, and specific information needs between Regional Fishery Management Councils and the National Marine Fisheries Service Fishery Research Centers, as well as to discuss all programs relevant to Council functions.

ITEMS FOR DISCUSSION:

WORK PLANS (Short and Long Term)

Regional Fishery Management Council Plans
Information Needs of Council
Timing of Needs

Fisheries Centers Research Plans
All Programs
Data to be available
Timing

Regional Plans
MBO's or similar documents

SHORT TERM CHANGES

Research Flexibility and Council Needs
Priority changes
Allocation changes
Economic changes
Budget changes
FMP changes

REGIONAL BUDGET (includes Research Centers)
Current Year
Future Year

NATIONAL BUDGETS & PLANNING
Current Year
Future Year

MEETINGS - FORMAL AND OTHERS

FORMAL MEETINGS

1. Participation in National Council Chairmen's Meeting (National level)
2. Annual Regional Meeting for Council, RD and CD (Regional level)

INFORMAL - DURING THE YEAR

Handled differently in each Council area to solve current problems

TIMING OF TWO SCHEDULED ANNUAL MEETINGS AND PARTICIPANTS

NATIONAL BUDGET AND PLANNING DISCUSSION DURING FISHERIES MANAGEMENT COUNCIL CHAIRMEN'S MEETING

NMFS Headquarters Directorate and Council Chairmen discuss and obtain Council input to national priorities, outyear budgets and plans.

ANNUAL REGIONAL MEETING HELD PRIOR TO START OF FISCAL YEAR

CD, RD, council Chairman, etc.
Discuss in detail the upcoming fiscal year.
Minutes and Commitments from meeting forwarded to NMFS Headquarters Washington Office.

OTHER MEETINGS/DISCUSSIONS DURING THE YEAR

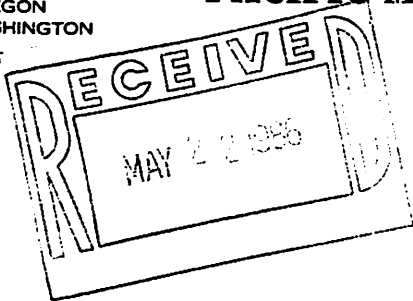
Handled locally to solve short-term problems
Not formal
Different methods in regional areas
Plan Development Teams
In depth S&S committee work
Meetings called by any of the parties - RD's CD's or Council
Participation in each other's management and planning process

- ALASKA
- CALIFORNIA
- IDAHO
- OREGON
- WASHINGTON

PACIFIC MARINE FISHERIES COMMISSION

EXECUTIVE DIRECTOR
LAWRENCE D. SIX
TREASURER
G. L. FISHER

D-3



305 STATE OFFICE BUILDING
1400 S.W. FIFTH AVENUE
PORTLAND, OREGON 97201
PHONE (503) 229-5840

ACTION	ROUTE TO	INITIAL
	Exec. Dir.	
	Deputy Dir.	J
	Admin. Off.	J
	Exec. Sec.	
	Staff Asst. 1	
	Staff Asst. 2	
	Staff Asst. 3	
	Economist	
	Sec. Bk.	
	Sec./Typist	

MEMORANDUM

May 15, 1986

TO: Joe Greenley, Executive Director, PFMC
and
Jim Branson, Executive Director, NPFMC

FROM: Lawrence D. Six, Executive Director

A handwritten signature in dark ink, appearing to read "Lawrence D. Six".

Attached is our proposal for funding to support PMFC participation on the Pacific and North Pacific Councils for the FY 1987 period. We are requesting \$15,000 from each Council, the amount funded in FY 1986.

LDS:mmd

Attachment

Activity: Regional Council Participation
October 1, 1986-September 30, 1987

Agency: Pacific Marine Fisheries Commission

I. GOAL

To provide continued PMFC participation on the Pacific and North Pacific Fishery Management Councils.

II. STATEMENT OF NEED

We propose continuation of NMFS/NOAA support for statutory participation by the Executive Director, Pacific Marine Fisheries Commission, in activities of the Pacific and North Pacific Fishery Management Councils during FY 1987. This support has facilitated full participation in the deliberations of the two Regional Councils within PMFC's service area in the manner intended by the Congress in designating council membership for the executive officers of the interstate marine fisheries commission.

Congress designated the interstate marine fisheries commission executive directors as members (non-voting) of the regional fishery management councils within their service areas. The intent clearly was to assure orderly transfer to those councils of the information and experience the commissions had accumulated over their many years of service; also to provide the councils with additional professional expertise in fisheries matters.

Since the purposes of the regional councils are consistent with the management-related goals of the interstate commissions, support of council programs is a natural extension of commission functions. However, the commissions have other obligations to their member States, and therefore need staff augmentation to continue to function effectively.

The magnitude of this increased obligation can be documented to some extent through the time which must be committed to council business. Each of the councils meet five times per year. Each meeting requires 2-3 days, plus 1-2 days for travel. To function effectively at those meetings, an additional 1-2 days per meeting is required for preparation and follow-up activities. To these regularly anticipated duties must be added the special commitments for public hearings and for both standing and ad hoc committee meetings.

Experience has shown that to properly serve two regional councils, the Director must commit about 50% of his total working time. This percentage range applied to the Director's salary and fringe benefits equates to about \$32,000 per year. Thus, we are requesting support in the amount of \$30,000 for FY87.

III. WORK TO BE PERFORMED

For FY87, we propose that council funds allocated to this cooperative agreement be used to provide professional services to assist the Director in meeting his council obligations, and to handle general PMFC duties in order to release the necessary time for the Director to meet council commitments. These professional services will be provided by a salaried employee.

We recommend that the costs be divided equally between the two councils to facilitate administration by PMFC.

IV. BUDGET

Salaries (Russell Porter, Assistant to Director, \$3,482/mo for 5+ mos.)	\$19,300
Fringe Benefits (31%)	6,000
Travel	1,000
Total Direct Costs	26,300
Total Indirect Costs (@ 14%)	<u>3,700</u>
TOTAL	<u><u>\$30,000</u></u>
North Pacific Council's share	\$15,000
Pacific Council's share	\$15,000

V. GEOGRAPHIC LOCATION

Portland, Oregon.

VI. EXPLANATION OF DIFFERENCE OVER FY86 BUDGET

No change.

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_____, 1986

To prospective bidders:

Enclosed is a request for proposals (RFP 86-1) for a survey to assist the North Pacific Fishery Management Council in considering management alternatives in the Gulf of Alaska and Bering Sea groundfish fisheries. This solicitation has been prepared by the Council for the purpose of providing a guideline to those who wish to submit proposals.

Proposals must be submitted to the North Pacific Fishery Management Council by 5:00 p.m. _____, 1986. We cannot guarantee consideration of incomplete proposals. If you have any questions concerning the attached solicitation, the role of the North Pacific Fishery Management Council, or the appropriateness of your proposal, contact the Council office directly by phone, in person, or by mail.

Sincerely,

Jim H. Branson
Executive Director

enclosure

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NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

SOLICITATION FOR PROPOSALS

TO CONDUCT A FISHING INDUSTRY SURVEY TO DETERMINE THE
PREFERRED MANAGEMENT ALTERNATIVES FOR THE GULF OF ALASKA AND
BERING SEA GROUND FISH FISHERIES

RFP 86-1

_____, 1986

RESPOND TO:

North Pacific Fishery Management Council
P.O. Box 103136
Anchorage, Alaska 99510
(907) 274-4563

PROPOSALS DUE:

_____, 1986 5:00 PM

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SOLICITATION FOR PROPOSAL

INTRODUCTION

This announcement constitutes a formal request for proposals to conduct a survey of the Gulf of Alaska and Bering Sea groundfish fleets to determine the management methods preferred by participants in those groundfish fisheries. The domestic groundfish fishery in the Gulf of Alaska is currently managed by annual catch quotas, gear restrictions, allocations of sablefish by gear group, and time and area closures. The domestic groundfish fisheries in the Bering Sea are managed by annual quotas and time and area closures. Rapid changes are occurring within some of the domestic groundfish fisheries in the Gulf of Alaska and Bering Sea, most notably the sablefish fishery. At the January 1986 Council meeting, the North Pacific Council directed a workgroup of Council members (the Sablefish Committee) to investigate those changes in order to determine if management regimes other than the status quo are needed.

The Council appointed the workgroup after announcing in December 1985 that anyone entering the sablefish fishery after September 26, 1985 would not be assured of future participation should a limited entry system be implemented in the fishery. Establishment of the September 26, 1985 cut-off date was recommended to the Council by the National Marine Fisheries Service in light of the fact the fleet, at that time, was of sufficient size to harvest the sablefish optimum yield. The Sablefish Committee was charged with reviewing non-access limitation systems (other than the status quo) and access limitation systems for applicability to the Gulf of Alaska sablefish fishery.

The Sablefish Committee met on March 19, 1986 in conjunction with the March Council meeting. After considering its charge from the Council, the workgroup decided that it should focus on alternate management systems for all Gulf of Alaska and Bering Sea domestic groundfish fisheries rather than just the Gulf of Alaska sablefish fishery. The workgroup recommended, as a first step, that a questionnaire or survey be drafted to solicit ideas from industry on the direction of groundfish management. The Council adopted the workgroup's recommendations at the March 1986 Council meeting and directed its staff to prepare a request for proposals for the questionnaire or survey. The results

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of the survey will be used by the Council to determine whether management methods other than the status quo are desirable or necessary for the management of the Gulf of Alaska and Bering Sea groundfish fisheries.

RELEVANT INFORMATION ABOUT THE FISHERY CONSERVATION MANAGEMENT ACT AND THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

The Fishery Conservation and Management Act of 1976 (Public Law 94-265 - hereinafter "the Act") established a Fishery Conservation Zone (FCZ) from 3 to 200 nautical miles offshore around the coast of the United States. In addition to establishing the FCZ the Act gave the United States management authority over all living fishery resources within that zone with the exception of tunas and U.S. origin anadromous fish species and fishery resources of the Continental Shelf that may occur outside 200 miles. The Act also created eight regional fishery management councils including the North Pacific Fishery Management Council to exercise primary fishery management authority within the FCZ. The North Pacific Council's area of jurisdiction is off the coast of Alaska.

The major functions of the Regional Management Councils as specified in the Act are:

1. To prepare and submit a fishery management plan for each fishery within its jurisdiction that requires conservation and management.
2. Prepare comment on any applications from foreign nations to fish within the FCZ.
3. Conduct public hearings.
4. Submit to the Secretary of Commerce such periodic reports as the Councils deem proper or as the Secretary may request.
5. Review and revise fishery management plans as necessary.
6. Perform any other activities required by the Act or which are necessary and appropriate to the above-stated functions.

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Fishery management plans developed by a Council are required by the Act to:

1. Contain conservation and management measures for both foreign and U.S. vessels.
2. Describe the fishery, the cost likely to be incurred by management and enforcement measures under the plan, the actual and potential revenues to Federal and State governments and to the industry; recreational interests, foreign fishing and Indian treaty rights.
3. Specify present and future conditions of the resource, establish the maximum sustained yield (MSY) and an optimum yield (OY) which is derived from the MSY and may be influenced by social or economic as well as biological factors.
4. Specify the domestic annual harvest (DAH) and domestic annual processing (DAP) which is a measure of the capacity and ability of the U.S. fleet and industry to harvest, process and market the resource. The plan must then identify the surplus that is available, if any, for allocation to other nations.
5. Specify the data from the fishery that should be submitted to the Secretary of Commerce. This includes landing statistics, processing statistics and such other data as the Council feels is necessary for the management of the resource.

Discretionary provisions are allowed in any fishery management plan and may include provisions to:

1. require a permit to be obtained from, and fees paid to, the Secretary with respect to any fishing vessel of the United States fishing, or wishing to fish in the Fishery Conservation Zone, or for an anadromous species or Continental Shelf fishery resource beyond such zone;
2. designate zones where, and periods when, fishing shall be limited, or shall not be permitted, or shall be permitted only by specified types of fishing vessels or with specified types and quantities of gear;

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3. establish specific limitations on the catch of fish which are necessary and appropriate for the conservation and management of the fishery;
4. prohibit, limit, condition, or require the use of specified types and quantities of fishing gear, fishing vessels or equipment for such vessels, including devices which may be required to facilitate enforcement of the provisions of the Act;
5. incorporate, consistent with the provisions of the Act and other applicable law, the relevant fishery conservation and management measures of the coastal States nearest to the fishery;
6. establish a system for limiting access to the fishery in order to achieve optimum yield;
7. assess and specify the effect which the conservation and management measures of a fishery management plan will have on stocks of naturally spawning anadromous fish in the region; and
8. describe such other measures, requirements or conditions and restrictions as are determined to be necessary and appropriate for the conservation and management of a fishery.

THE PROPOSED SURVEY SHALL BE DESIGNED AND CONDUCTED TO PROVIDE INFORMATION WHICH WILL ASSIST THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL IN DETERMINING THE MANAGEMENT METHODS PREFERRED BY THE CURRENT PARTICIPANTS IN THE GROUND FISH FISHERIES OF THE GULF OF ALASKA AND BERING SEA, THE SURVEY SHOULD INCLUDE BOTH THE HARVESTING AND PROCESSING SECTORS OF THE INDUSTRY.

This survey does not require the contractor to recommend a course of action to satisfy the contract. The proposed survey is intended to more clearly identify those management methods other than the status quo the participants in the Gulf of Alaska and Bering Sea groundfish fisheries would choose for implementation in the groundfish fisheries.

STATEMENT OF WORK

1. The Contractor shall determine how many vessels currently participate in the Gulf of Alaska and Bering Sea groundfish fisheries.

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2. The Contractor shall present an analysis of how the catch is distributed among these vessels.
3. The Contractor shall determine what other fisheries these vessels participate in and the period of time the vessels have participated in those fisheries.
4. The Contractor shall determine how the catch from these other fisheries is distributed.
5. The Contractor shall organize data for sections 1 through 4 according to vessel size and ADF&G statistical areas.
6. The Contractor shall establish major subgroupings, by size, of vessels currently participating in the Gulf of Alaska and Bering Sea groundfish fisheries.
7. The Contractor shall draft a survey that reflects preferences for management methods other than the status quo, that may be implemented in the Gulf of Alaska and Bering Sea groundfish fisheries. The management options to be considered are non-access limitation systems, other than the specific regimes currently in place, and access limitation. Current management methods rely largely on annual quotas for various species in the groundfish complex. Some measures are in place to divide species quotas (e.g. sablefish) between participants based on the type of fishing gear used and area closures are used to reduce bycatches of some non-groundfish species. Other measures possible in the non-limited access category include restrictions on the gear used, such as the mesh size or overall size of nets, limited seasons without quotas, or measures tending to reduce the efficiency of the individual participants. Access limitation should include license limitation (transferrable or non-transferrable), individual quotas (transferrable or non-transferrable), landing taxes and/or high license fees.

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8. The Contractor shall administer, by telephone or in person, the survey to a scientifically established and randomly selected cross-section of the Gulf of Alaska and Bering Sea groundfish industry.
9. The Contractor shall conduct the survey in a manner that results in an error factor of no more than + or - 5 percent.
10. The Contractor will report the preliminary results of the survey along with a description of methodology and number of surveys conducted to the Council staff before a final report is issued to the Council.
11. The Contractor will present, in person, the final results of the survey along with the description of methodology and the number of surveys conducted to the Council at its _____ meeting.

TIME SCHEDULE

<u>(DATE)</u>	<u>(EVENT)</u>
_____, 1986	Deadline for receiving proposals
_____, 1986	Contract awarded
_____, 1987	Final report due

LEVEL OF FUNDING

Negotiable.

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PROPOSAL SUBMISSION

Submit a narrative proposal, indicating approach, manpower (in person months), other resources available, a resume of the principal investigators and a proposed budget to:

Jim H. Branson, Executive Director
North Pacific Fishery Management Council
P.O. Box 103136
Anchorage, AK 99510

no later than _____, 1986. For additional information call Jim H. Branson or Ron Miller at (907) 274-4563.

SPECIAL INFORMATION

Confidential data required by the Contractor shall be provided by National Marine Fisheries Service, the Alaska Commercial Fisheries Entry Commission and the Alaska Department of Fish and Game in an edited form.

INSTRUCTION FOR PREPARATION OF PROPOSALS

The Contractor shall be responsible for all aspects of this project and shall furnish all necessary services, materials, labor, supplies and equipment. Selection of a Contractor will be based primarily on the results of the technical evaluation with cost also being carefully considered. Selection of the Contractor will be in compliance with the North Pacific Fishery Management Council's Statement of Organization, Practices and Procedures.

A. General Instructions

Proposals should contain easily distinguishable sections dealing with technical aspects and with business management. The technical proposals should not make any reference to pricing data in order that evaluation may be

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made strictly on the basis of technical merit. The proposals must be specific on the technical approach proposed to satisfy the requirements and not merely paraphrasing the specifications in this RFP. Ten (10) copies of the technical proposal and ten (10) copies of the cost proposal will be required for submission and signed by someone authorized to legal bind the Offeror.

B. Receiving Date and Address

Proposals should be received at the address listed below no later than 5:00 p.m., Alaska Daylight Time, on _____, 1986:

North Pacific Fishery Management Council
Attn: Administrative Officer
P.O. Box 103136
Anchorage, AK 99510

If hand carried, the proposals shall be received no later than the time and date listed above, at:

North Pacific Fishery Management Council
411 W. 4th Avenue, Suite 2D
Anchorage, AK 99501.

Proposals are guaranteed confidential. Outer envelopes should be marked with the appropriate RFP number.

NEGOTIATIONS AND AWARDS

A. Award

Dependent on funding approval by NOAA, award will be made to the responsible offeror in accordance with the criteria set forth in this RFP and consistent with the North Pacific Fishery Management Council's procurement standards. Issuance of this solicitation does not constitute an award commitment on the part of the government. This request does not commit the North Pacific Council to pay for costs incurred in submission

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of a proposal or for any other costs incurred prior to the execution of a formal contract unless specifically authorized in writing by the Executive Director. A contracting officer/Executive Director is the only individual who can legally commit or obligate the government to the expenditure of public funds should a contract result by reason of response to this request for proposals.

B. Criteria

All proposals will be reviewed by the Council staff, members of the Council's Scientific and Statistical Committee, members of the Council's Sablefish Committee, and members of the Council's Finance Committee. Each proposal will be ranked against all proposals according to the following four categories, listed in descending order of importance:

- 1) soundness of approach;
- 2) pertinent experience of staff;
- 3) capability of staff and past performance; and
- 4) price of contract.

In general, proposals will not be considered where there appears to be a problem with either "confidentiality of statistics" or a conflict of interest within the Gulf of Alaska or Bering Sea groundfish industry. Proposals in general will also not be considered which do not conform to the schedule or objectives listed in this RFP. Because of the specialized nature of this project, proposals submitted should demonstrate sufficient local knowledge, prior pertinent experience and/or specialized key personnel.

PROPOSAL

To aid in the evaluation of the proposals all proposals should follow the same general format; therefore, your proposals shall at a minimum contain the information specified below in according with the following general format:

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A. Technical

1. Table of Contents
2. List of Tables and Charts (if appropriate)
3. Short Introduction and Summary
4. Technical discussion of approaches
5. Program organization
6. Program schedules
7. Facilities and equipment data
8. Personnel qualifications
9. Supporting data and other information
10. 10 copies of proposal

B. Cost

1. General cost proposal
2. Cost breakdown
3. Cost form
4. Direct labor