

Discussion Paper for December 2019

## Survey



## 1. Background - Previous Discussion Papers

## Oct 2018

1. Regs not likely to be applicable to year classes of concern
2. Increased yield from size limit not likely
3. DMRs, min size are in use in other jurisdictions
4. Operational flexibility would be gained
5. IFQ accounting will be challenging
6. No room in trawl TAC to absorb IFQ discards
7. Value/lb varies temporally but is consistent spatially
8. Market size comp of landings affected by strong recruitment

## 1. Background - Previous Discussion Papers

## Apr 2019

- Significant observer resources needed
- Proxy DMRs have potential
- On/Off discarding relies on accurate initial year class estimates
- Discarding unlikely to reduce whale depredation
- Gear modifications could reduce catches of small fish
- Exceeding TAC possible under current allocation scheme
- Increased high-grading potential
- Enforcement concerns related to complexity, size limit, IFQ accounting


## 1. Background - April 2019 Council Motion

- Voluntary versus mandatory release of sablefish
- Single size limits versus area specific size limits
- Areas to be explored:
- GOA, BSAI
- EGOA, CGOA, WGOA, BSAI
- Options for discard accounting relative to ABC and TAC
- The use of proxy DMR options at the initiation of sablefish discarding
- $12 \%$ (Stachura et al), $16 \%$ (State of Alaska), $20 \%$ (PFMC)
- Use of gear specific DMRs for IFQ fisheries
- Address concerns related to monitoring and enforcement options from:
- Discards estimated from the survey
- Discards estimated based on observer and EM data
- Discards estimated based on logbook reporting
- Implications of these changes on overall stock abundance and allocations to trawl and IFQ fisheries


## 2. Voluntary versus mandatory

- Stakeholder (IFQ Committee)
- Voluntary discarding maximizes flexibility
- Encounters with small, marginally valuable fish are not predictable.
- Financially punitive conditions more frequent for size-based mandatory than no discarding.
- Analyst (Groundfish Plan Teams)
- Voluntary discarding adds to uncertainty.
- Probability of discarding
- No uncertainty in catches
- Significant observer monitoring necessary
- Enforcement (NOAA OLE)
- Voluntary discarding easier to enforce than mandatory length-based


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- Financially punitive conditions more frequent for size-based mandatory than no discarding.
- Analyst (Groundfish Plan Teams)
- Voluntary discarding adds to uncertainty.
- Probability of discarding
- Assessment currently assumes no uncertainty in catches
- Significant observer monitoring necessary
- Enforcement (NOAA OLE)
- Voluntary discarding easier to enforce than mandatory length-based


## 2. Voluntary versus mandatory

Chatham
Strait
Fishery


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## 3. Single size limits versus area specific size limits

- Areas to be explored:
- GOA, BSAI
- EGOA, CGOA, WGOA, BSAI
- Assumes area-specific demographic difference in population structure


Fixed gear length frequencies all years


## 3. Single size limits versus area specific size limits

- Additional Enforcement concerns to address:
- What are the geographic boundaries distinguishing size limits?
- Does a vessel have to off-load its catch in the same "size-area" it was collected?
- Can sablefish IFQ vessels directed fish for sablefish across multiple "size-areas" on the same trip? If so, what are the ramifications for catch-accounting?
- Mixed hauls of different sized fish from different areas with different size limits?


## 4. Accounting under TAC/ABC

Table 10-Final 2019 and 2020 Gear Shares and CDQ Reserve of BSAI
Sablefish TACs
[Amounts are in metric tons]

Table 7-Final 2019 Sablefish TAC Specifications in the Gulf of Alaska and
Allocations to Fixed and Trawl Gear
[Values are rounded to the nearest metric ton]

| Area/district | TAC | Fixed gear <br> allocation | Trawl gear <br> allocation |
| :--- | ---: | ---: | ---: |
| Western | 1,581 | 1,265 | 316 |
| Central $^{1}$ | 5,178 | 4,142 | 1,036 |
| West Yakutat $^{2}$ | 1,828 | 1,587 | 241 |
| Southeast Outside | 2,984 | 2,984 | 0 |
| Total | 11,571 | 9,978 | 1,593 |


| Subarea and gear | Percent of TAC | 2019 <br> Share of TAC | $\begin{array}{\|l\|l\|} \hline 2019 \\ \text { ITAC } \end{array}$ | 2019 <br> CDQ <br> reserve | 2020 <br> Share of TAC | $\begin{array}{\|l\|} \hline 2020 \\ \text { ITAC } \end{array}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Bering Sea |  |  |  |  |  |  |  |
| Trawl ${ }^{1}$ | 50 | 745 | 633 | 56 | 997 | 847 |  |
| Hook-andline/pot gear ${ }^{2}$ | 50 | 745 | 596 | 149 | $\mathrm{n} / \mathrm{a}$ | n/a | I |
| Total | 100 | 1,489 | 1,228 | 205 | 997 | 847 |  |
| Aleutian Islands |  |  |  |  |  |  |  |
| Trawl ${ }^{1}$ | 25 | 502 | 427 | 38 | 672 | 571 |  |
| Hook- <br> and- <br> line/pot gear ${ }^{2}$ | 75 | 1,506 | 1,205 | 301 | n/a | n/a | I |
| Total | 100 | 2,008 | 1,632 | 339 | 672 | 571 |  |

## 4. Accounting under TAC/ABC

- TAC currently allocated to fixed gear, trawl by subarea
- Currently no set-aside for discards in IFQ fishery
- IFQ discarding could reduce TAC allocations overall or for IFQ vessels only
- Overall TAC reduction may constrain trawl vessels


## 4. Accounting under TAC/ABC



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BSAI/GOA Groundfish Combined
    - Effort Report for Groundfish Catcher Processors
    - Discontinued June 1, 2019
    Fisheries Outtook: Weekly groundfish fishery summary for the BSAI and GOA
    - 2019 (TXT)
    -Forage Fish and Grenadier Catch
    O 2019, 2018, 2017, 2016, 2015, 2014, 2013
    Groundfish by Gear
    2019, 2018, 2017, 2016, 2015, 2014,
    - Groundfish Retained and Discarded
    * - 2019, 2018, 2017, 2016, 2015, 2014, 2013 (CSVs)
    - Pacific Cod and Pollock Products (Codes)
    - 2019, 2018, 2017, 2016, 2015, 2014, 2013 (CSVs)
    Status of Fisheries - AFA Unrestricted Catcher/Processors and Non-Exempt Catcher Vessels, Hook-
    and-Line Gear, Non-AFA Crab Vessels, Pot and Jig Gear, Trawl Gear
    and-Linenear, Non-AFA
```

BSAI/GOA Groundfish Combined
Effort Report for Groundfish Catcher Processors
Fisheries Outlook: Weekly groundifish fishery summary for the BSAI and GOA

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Groundfish by Gear

- Groundfish Retained and Discarded
- 2019, 2018, 2017, 2016, 2015, 2014, 2013 (CSVs)
- 2019, 2018, 2017, 2016, 2015, 2014, 2013 (CSVs)
and-Line Gear, Non-AFA Crab Vessels, Pot and Jig Gear, Trawl Gear
- Current year - 2013


## 4. Accounting under TAC/ABC

- Within IFQ
- IFQ TAC - everyone's IFQ is reduced
- Set it and forget it
- Estimate using observer pgm and discard rates
- Vary by area, based on differential discard levels
- IFQ-specific allowance
- requires real time reporting of discard mortalities
- very high monitoring burden
- transfers complicated
- special allowance?


## 4. Accounting under TAC/ABC

| Year | Gear | BSAI |  |  | GOA |  |  | Combined |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Discard | \%Discard | Catch | Discard | \%Discard | Catch | Discard | \%Discard | Catch |
| 2015 | H\&L | 14 | 2.9\% | 489 | 593 | 6.4\% | 9,277 | 608 | 6.2\% | 9,766 |
|  | Other | 5 | 3.5\% | 153 | 184 | 17.4\% | 1,054 | 189 | 15.7\% | 1,207 |
|  | Total | 20 | 3.1\% | 642 | 777 | 7.5\% | 10,331 | 797 | 7.3\% | 10,972 |
| 2016 | H\&L | 77 | 18.5\% | 415 | 653 | 7.8\% | 8,316 | 730 | 8.4\% | 8,731 |
|  | Other | 9 | 1.9\% | 466 | 191 | 18.0\% | 1,060 | 199 | 13.1\% | 1,526 |
|  | Total | 86 | 9.7\% | 881 | 843 | 9.0\% | 9,376 | 929 | 9.1\% | 10,257 |
| 2017 | H\&L | 47 | 17.2\% | 273 | 431 | 6.0\% | 7,215 | 478 | 6.4\% | 7,488 |
|  | Other | 173 | 13.2\% | 1,307 | 335 | 17.9\% | 1,875 | 508 | 16.0\% | 3,183 |
|  | Total | 220 | 13.9\% | 1,580 | 766 | 8.4\% | 9,090 | 986 | 9.2\% | 10,670 |
| 2018 | H\&L | 73 | 21.1\% | 348 | 600 | 7.2\% | 8,371 | 673 | 7.7\% | 8,718 |
|  | Other | 396 | 20.7\% | 1,911 | 1,648 | 44.4\% | 3,713 | 2,044 | 36.3\% | 5,624 |
|  | Total | 469 | 20.8\% | 2,258 | 2,249 | 18.6\% | 12,083 | 2,718 | 18.9\% | 14,342 |
| 2019 | H\&L | 110 | 34.7\% | 318 | 528 | 8.4\% | 6,277 | 638 | 9.7\% | 6,594 |
|  | Other | 1,479 | 46.7\% | 3,167 | 987 | 30.3\% | 3,251 | 2,465 | 38.4\% | 6,418 |
|  | Total | 1,589 | 45.6\% | 3,485 | 1,514 | 15.9\% | 9,528 | 3,103 | 23.8\% | 13,012 |
| $2010-2018$ <br> mean | H\&L | 39 | 5.1\% | 758 | 482 | 5.2\% | 9,356 | 521 | 5.1\% | 10,114 |
|  | Other | 68 | 9.0\% | 762 | 308 | 22.4\% | 1,375 | 377 | 17.6\% | 2,136 |
|  | Total | 107 | 7.0\% | 1,520 | 790 | 7.4\% | 10,731 | 897 | 7.3\% | 12,250 |

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| Subarea and gear | Percent of TAC | 2019 <br> Share of TAC | $\begin{array}{\|l\|l\|} \hline 2019 \\ \text { ITAC } \end{array}$ | $\begin{gathered} 2019 \\ \text { CDQ } \\ \text { reserve } \end{gathered}$ | 2020 <br> Share of TAC | $\begin{array}{\|l\|} \hline 2020 \\ \text { ITAC } \end{array}$ |  |
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## 4. Accounting under TAC/ABC

- Assessment does not include uncertainty in catches
- Allowance introduces uncertainty in probability of discarding
- Assumed discards based on rate
- Risk matrix
- Supposed to address unquantifiable risk
- Does not address area-based uncertainty
- Logbooks not an option
- Apportionment

Top 4 year classes by Survey and Area

## 4. Accounting under TAC/ABC

| Author recommended $2020 ~ A B C$ | (with whale depredation adjustments) |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\underline{\text { Area }}$ | $\underline{\mathbf{A I}}$ | $\underline{\text { BS }}$ | $\underline{\text { WG }}$ | $\underline{\mathbf{C G}}$ | $\underline{\text { WY }^{*}}$ | $\underline{\mathbf{E Y}^{*}}$ | $\underline{\text { Total }}$ |
| 2019 ABC | 2,030 | 1,501 | 1,659 | 5,246 | 1,765 | 3,179 | 15,380 |
| 2020 ABC | 2,537 | 1,876 | 2,074 | 6,558 | 2,206 | 3,974 | 19,225 |
| $2016-2018$ avg. depredation | 16 | 19 | 105 | 91 | 45 | 94 | 370 |
| Ratio 2020:2019 ABC | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 |
| Deduct 3 year adjusted average | -20 | -23 | -132 | -113 | -56 | -118 | -462 |
| $* * \mathbf{2 0 2 0} \mathbf{A B C}_{\mathrm{w}}$ | $\mathbf{2 , 5 1 7}$ | $\mathbf{1 , 8 5 3}$ | $\mathbf{1 , 9 4 2}$ | $\mathbf{6 , 4 4 5}$ | $\mathbf{2 , 1 5 0}$ | $\mathbf{3 , 8 5 6}$ | $\mathbf{1 8 , 7 6 3}$ |
| Change from $2019 \mathrm{ABC}_{\mathrm{w}}$ | $25 \%$ | $24 \%$ | $23 \%$ | $24 \%$ | $29 \%$ | $\mathbf{2 3 \%}$ | $25 \%$ |

* Before 95:5 hook and line: trawl split shown below. ** $\mathrm{ABC}_{\mathrm{w}}$ is the author recommended ABC that accounts for whales.



## 5. Use of proxy discard mortality rate options at the initiation of sablefish discarding

- The use of proxy DMR options at the initiation of sablefish discarding
- 12\% (Stachura et al)
- 16\% (State of Alaska)
- 20\% (PFMC)
- DMR generate sablefish "saving"


## Table 4

## Sold Weight (1,000 lbs.)

| DMR | Size Category | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 100\% (Status Quo) | 1-3 lbs | 911 | 904 | 854 | 698 | 851 | 1474 | 1128 |
|  | > 3 lbs | 15919 | 15624 | 12995 | 12134 | 10690 | 11386 | 6918 |
|  | Total | 16830 | 16528 | 13849 | 12832 | 11541 | 12860 | 8046 |
| 12\% (Stachura et al.) | 1-3 lbs | 109.32 | 108.48 | 102.48 | 83.76 | 102.12 | 176.88 | 135.36 |
|  | > 3 lbs | 15919 | 15624 | 12995 | 12134 | 10690 | 11386 | 6918 |
|  | Total | 16028.32 | 15732.5 | 13097.5 | 12217.8 | 10792.1 | 11562.9 | 7053.36 |
| 16\% (State of Alaska) | 1-3 lbs | 145.76 | 144.64 | 136.64 | 111.68 | 136.16 | 235.84 | 180.48 |
|  | > 3 lbs | 15919 | 15624 | 12995 | 12134 | 10690 | 11386 | 6918 |
|  | Total | 16064.76 | 15768.6 | 13131.6 | 12245.7 | 10826.2 | 11621.8 | 7098.48 |
| 20\% (PFMC) | 1-3 lbs | 182.2 | 180.8 | 170.8 | 139.6 | 170.2 | 294.8 | 225.6 |
|  | > 3 lbs | 15919 | 15624 | 12995 | 12134 | 10690 | 11386 | 6918 |
|  | Total | 16101.2 | 15804.8 | 13165.8 | 12273.6 | 10860.2 | 11680.8 | 7143.6 |


| DMR |  | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 12\% (Stachura et <br> al.) | -4.76 | -4.81 | -5.43 | -4.79 | -6.49 | -10.09 | -12.34 |
| 16\% (State of <br> Alaska) | -4.55 | -4.59 | -5.18 | -4.57 | -6.19 | -9.63 | -11.78 |
| $20 \%$ (PFMC) | -4.33 | -4.38 | -4.93 | -4.35 | -5.90 | -9.17 | -11.22 |

Table 6

Sablefish savings in sold weight (1,000 lbs.)

| DMR | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $12 \%$ (Stachura et <br> al.) | 801.68 | 795.52 | 751.52 | 614.24 | 748.88 | 1297.12 | 992.64 |
| $16 \%$ (State of <br> Alaska) | 765.24 | 759.36 | 717.36 | 586.32 | 714.84 | 1238.16 | 947.52 |
| 20\% (PFMC) | 728.80 | 723.20 | 683.20 | 558.40 | 680.80 | 1179.20 | 902.40 |

## 6. Use of gear specific DMRs for IFQ fisheries

- Use of gear specific DMRs for IFQ fisheries
- 7\% (halibut in pots 2018)
- 4\% (halibut in pots 2019)
- 2\% (hypothetical)


## Table 8

| HAL - Percent reduction in landed Sablefish |  |  |
| :---: | :---: | :---: |
| DMR | 2017 | 2018 |
| $12 \%$ (Stachura <br> et al.) | -8.20 | -10.70 |
| 16\% (State of <br> Alaska) | -7.83 | -10.22 |
| $20 \%$ (PFMC) | -7.46 | -9.73 |


| Pots - Percent reduction in landed Sablefish |  |  |
| :---: | :---: | :---: |
| DMR | 2017 | 2018 |
| $2 \%$ <br> (Hypothetical <br> DMR) | -38.68 | -36.18 |
| $4 \%$ (2019 <br> halibut) | -37.89 | -35.45 |
| 7\% (2018 <br> halibut) | -36.70 | -34.34 |

## Table 9

| HAL - Sablefish savings in sold weight (1,000 lbs.) |  |  |
| :---: | :---: | :---: |
| DMR | 2017 | 2018 |
| $12 \%$ (Stachura et <br> al.) | 914.6 | 1359.4 |
| $16 \%$ (State of <br> Alaska) | 873.0 | 1297.6 |
| $20 \%$ (PFMC) | 831.5 | 1235.8 |

Pots - Sablefish savings in sold weight (1,000 lbs.)

| DMR | 2017 | 2018 |
| :---: | :---: | :---: |
| 2\% (Hypothetical <br> DMR) | 546.4 | 667.1 |
| $4 \%$ (2019 <br> halibut) | 535.2 | 653.5 |
| 7\% (2018 <br> halibut) | 518.5 | 633.1 |

## 7. Concerns related to monitoring and enforcement options

- Address concerns related to monitoring and enforcement options from:
- Discards estimated from the survey
- Discards estimated based on logbook reporting
- Discards estimated based on observer and EM data


## Discards estimated from the survey

- Alaska Fisheries Science Center Longline survey
- Survey depth range approximately 200 - 1000 m
- Intent to survey all sablefish habitat in GOA and BSAI
- Sample entire population structure (entire size and age range)
- Directed Longline Fishery
- Driven by economics
- Fish in areas with older and larger fish


## Discards estimated from the survey



## Discards estimated from the survey

- Challenges
- Differences in selectivity would add increased uncertainty into stock assessment model
- Real-time estimates not available
- Pot gear?


## Discards estimated based on logbook reporting

- Currently, data collected by observers and vessel captains
- No specific data on weight or viability
- Real-time data not available


## Discards estimated based on observer and EM data

- Key Issues
- Bias - Observer Data
- Discard Bias and CAS
- Account Management


## Discards estimated based on observer and EM data

- Bias - Observer Data
- Weight Estimation

| Number of fish <br> in a set | $X$ | Average <br> Species-Specific <br> Weight | $=\quad$Total catch <br> weight |
| :---: | :---: | :---: | :---: | :---: |
| Total catch <br> weight | $X$ | Proportion of <br> fish discarded | $=$Discarded <br> weight |

## Discards estimated based on observer and EM data

- Bias - Observer Data
- Weight Estimation
- Electronic Monitoring

Observed Fleet

Average
Species-Specific
Weight

EM Fleet

Average
Species-Specific
Weight

## Discards estimated based on observer and EM data

- Bias - Observer Data
- Weight Estimation
- Electronic Monitoring
- Voluntary versus Mandatory size limit


## Discards estimated based on observer and EM data

- Bias - Observer Data
- Discard Bias and Catch Accounting System


# Discards estimated based on observer and EM data 

- Bias - Observer Data
- Discard Bias and Catch Accounting System
- Account Management


## Discards estimated based on observer and EM data

- Observer and EM data conclusions
- Observer: Need information on length and weight of discards
- EM: New method would be needed


## 8. Impacts on Population

- YPR (Lowe et al. 1991) showed no benefit to stock
- Hypothetical scenarios
- ABC to be caught each year
- Discards did not contribute to ABC.
- Impacts likely less than in the forecasts
- Discarding small sablefish would increase fishing pressure on older fish
- ABC declines very rapidly initially, especially for a larger size limit.
- SSB declines
- Fishing mortality on older fish is greater than current.


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- Hypothetical scenarios
- ABC to be caught each year
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## 8. Impacts on Population

## Comparison of ABC trajectories at DMR ages



## 8. Impacts on Population



## 8. Impacts on Population

## Millions of $15+$ year olds



## 8. Impacts on Population

## Increase in fishing mortality on older ages

$30 \%$
25\%
20\%
15\%
10\%
5\%
0\%
20192020202120222023202420252026202720282029203020312032
-5/0.12 $3 / 0.20$

## 8. Impacts on Population

- Hypothetical scenarios
- ABC to be caught each year
- Discards did not contribute to ABC.
- Impacts likely less than in the forecasts
- Discarding small sablefish would increase fishing pressure on older fish
- ABC declines very rapidly initially, especially for a larger size limit.
- SSB declines
- Fishing mortality on older fish is greater than current.


## Conclusions

- Wide range of offsetting issues
- Trading in low value catch for potentially improved prospects
- Gains in catch value needed to make up for catch that is discarded
- Adaptation to on-the-water conditions
- Variables that contribute to discarding decision
- Challenges
- monitoring and reporting mechanisms
- data quality
- managing the fishery
- in-season
- specifications
- real-time accounting
- vessel-by-vessel basis


## Conclusions

- Special provision?
- onboard methods
- quantity, weight, length, and release condition
- tagging program to improve DMR estimation
- hook-and-line and pot gear
- multiple area
- vessel classes.
- Restrict to operations able to support vessel-level monitoring criteria
- Alternatively, ...
- Everyone in IFQ program agrees to a general reduction
- Reduction minimizes cost
- Reduction includes various provisions to account for risk

