

April, 1980

MEMORANDUM

DATE: April 19, 1980

TO: Council Members, Scientific & Statistical Committee
and Advisory Panel

FROM: Jim H. Branson, Executive Director

SUBJECT: A Halibut "Limited-Entry" Study off Alaska, RFP#80-1

ACTION REQUIRED

Review proposals and award contract.

BACKGROUND

Six proposals were received in March in response to this request for proposals. They were mailed to a Review Committee for their evaluation. This Committee will have met in the Council office at 7 p.m. on Tuesday, April 22nd. The Finance Committee will consider the committee's recommendations and will forward their recommendations for the award of the contract to the Council on Friday.

A contractor should be selected by the Council and a contract authorized.

A copy of the solicitation announcement has been included for the members of the Review Committee who are:

Miles	Stephan	McCaughran
Marasco	Lokken	Miller
Otness	Jensen	Bevan
Alverson		

North Pacific Fishery Management Council

Clement V. Tillion, Chairman
Jim H. Branson, Executive Director

Suite 32, 333 West 4th Avenue
Post Office Mall Building



Mailing Address: P.O. Box 3136DT
Anchorage, Alaska 99510

Telephone: (907) 274-4563
FTS 271-4064

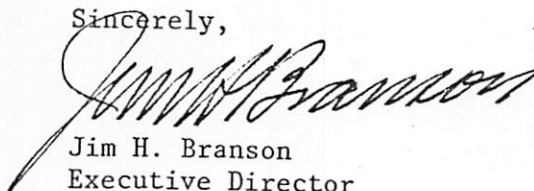
February 21, 1980

To prospective bidders;

Enclosed please find a request for proposals RFP 80-1 for a study which will assist the North Pacific Fishery Management Council in deciding whether to apply limited entry to the Halibut fishery off Alaska. This solicitation has been prepared by the Council for the purpose of providing a guideline to those who wish to submit proposals.

Proposals must be submitted by March 24, 1980 at 5 O'clock p.m. to the North Pacific Fishery Management office and must be complete. We cannot guarantee consideration of incomplete proposals. If you have any questions concerning the attached solicitation, the role of the North Pacific Fishery Management Council, or the appropriateness of your proposal please feel free to contact our office directly by phone, in person or by mail so that we can give assistance.

Sincerely,


Jim H. Branson
Executive Director

NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

SOLICITATION FOR PROPOSAL

TO GATHER INFORMATION TO DETERMINE THE APPLICABILITY
OF LIMITED ENTRY IN THE HALIBUT FISHERY OFF ALASKA

RFP 80-1

February 21, 1980

RESPOND TO:

North Pacific Fishery Management Council
P. O. Box 3136 DT
Anchorage, Alaska 99510
274-4563

PROPOSALS DUE

March 24, 1980 5 PM

SOLICITATION FOR PROPOSAL

INTRODUCTION

This announcement constitutes a formal request for proposals to study the applicability of Limited Entry to the Halibut Fishery off Alaska.

The halibut fishery off Alaska is currently managed and regulated by the International Pacific Halibut Commission. In 1979 the long standing halibut Treaty between Canada and the United States was successfully renegotiated. Legislation supporting the renegotiated convention is currently pending congressional action. The North Pacific Fishery Management Council has taken an interest in this fishery because halibut would be managed under the Fishery Conservation and Management Act if the Treaty is terminated and because the halibut resource and fishery is affected by Council action on other fishery management units off Alaska -- particularly in management measures directed toward the groundfish species complex. Our interest in limited entry is precautionary. The Council has heard a significant amount of public testimony requesting support for a limited entry program into the halibut fishery. The Council has also heard testimony from the industry opposing any limited entry into the halibut fishery. In anticipation of possible future need to implement a halibut limited entry program and recognizing the need for a legal basis to do so the North Pacific Fishery Management Council has requested that the proposed implementing legislation allow the Secretary of Commerce, if requested by the Council and using Council guidelines, to implement a limited entry system for United States halibut fisherman. The results of the proposed study will be used by the Council to evaluate whether or not a limited entry system is desirable or necessary for management of halibut fishery.

RELEVANT INFORMATION ABOUT THE FISHERY CONSERVATION MANAGEMENT ACT AND THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

The Fishery Conservation and Management Act of 1976 (Public Law 94-265) established a Fishery Conservation Zone (FCZ) from 3 to 200 nautical miles off shore around the coast of the United States. In addition to establishing the FCZ the Act gave the United States management authority over all living fishery resources within that zone and those anadromous fish species (originating within the U.S.) and creatures of the continental shelf that may occur outside 200 miles. The Act also created 8 regional fishery management councils of which the North Pacific Fishery Management Council is unique in that it is the only one dealing with a single state. Its area of jurisdiction is off the coast of Alaska.

The major functions of the Regional Management Councils as specified in the Act are:

1. To prepare and submit a fishery management plan for each fishery management unit within its area.
2. Prepare comment on any applications from foreign nations to fish within the FCZ.
3. Conduct public hearings.
4. Submit other such reports as they deem proper or as the Secretary may request.
5. Review and revise fishery management plans as necessary.
6. Perform any other activities required by the Act or which are necessary and appropriate to the foregoing functions.

Fishery management plans developed by the Council are required by the Act to:

1. Contain conservation and management measures for both foreign and U.S. vessels.
2. Describe the fishery, the cost likely to be incurred by management and enforcement measures under the plan, the actual and potential revenues to Federal and State governments and to the industry; recreational interests, foreign fishing and Indian treaty rights.
3. Specify present and future conditions of the resource, establish the maximum sustained yield (MSY) and an optimum yield (OY) which is derived from the MSY and may be influenced by social or economic as well as biological factors.
4. Specify the domestic annual harvest (DAH) and Domestic Annual Processing (DAP) which is a measure of the capacity and ability of the U.S. fleet and industry to harvest, process and market the resource. The plan must then identify the surplus that is available, if any, for allocation to other nations.
5. Specify the data from the fishery that should be submitted to the Secretary of Commerce. This includes landing statistics, processing statistics and such other data as the Council feels is necessary for the management of the resource.

As a discretionary provision any fishery management plan prepared by the Council or the Secretary with respect to any fishery may establish a system for limiting access to the fishery in order to achieve optimum yield if in developing such system the Council and the Secretary take into account:

1. Present participation in the fishery.
2. Historical fishing practices in and dependency on the fishery.
3. The economics of the fishery.
4. The capability of fishing vessels used in the fishery to engage in other fisheries.
5. The cultural and social frame work relevant to the fishery.
6. Any other relevant considerations.

The Act further limits action by the Secretary regarding limited access systems without approval by a majority of the North Pacific Council.

THE PROPOSED STUDY SHALL BE DESIGNED AND CONDUCTED TO PROVIDE INFORMATION WHICH WILL ASSIST THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL IN DETERMINING THE APPLICABILITY OF LIMITED ENTRY TO THE HALIBUT FISHERY OFF ALASKA

This study does not require the contractor to recommend a course of action to satisfy the contract. The proposed study is intended to more clearly identify and enunciate biological, social and economic concerns in the halibut fishery.

STATEMENT OF WORK

1. The Contractor shall determine how many vessels currently participate in the halibut fishery.
2. The Contractor shall present an analysis of how the catch is distributed among these vessels.
3. The Contractor will determine what other fisheries these vessels participate in.
4. The Contractor will determine how the catch from these other fisheries is distributed.
5. The Contractor shall organize data for questions 1 thru 4 according to vessel size and ADF&G statistical areas.
6. The Contractor shall establish major subgroupings of vessels currently participating in the halibut fishery.
7. The Contractor shall analyze and determine the rate of return that is currently being achieved by vessel owners in each subgroup of vessels.
8. The Contractor shall generate expected rates of future returns for a prototype vessel representative of each subgroup.
9. The Contractor will determine if it will become economically infeasible for a vessel owner to participate solely in the halibut fishery if limited entry is not imposed on the halibut fishery and if present trends in vessel participation continue.
10. The Contractor will assess and analyze the impact on certain individuals if it becomes economically impossible to fish solely for halibut.
11. The Contractor will assess and analyze any impediments which could prevent vessel owners who have traditionally fished for halibut from earning an adequate income thru participation in other fisheries.
12. The Contractor will determine and specify the impact on other fisheries if limited entry is imposed on the halibut fishery.
13. The Contractor will determine what is the minimum amount of gear necessary to harvest the largest expected optimum yield in each statistical area: assuming the gear were fished 8 months per year and the standard unit of gear was a 6 skate set rigged at 21 foot intervals.
14. The Contractor will determine and specify how much gear vessels of various sizes can fish efficiently and shall provide an estimate for each prototype vessel.

15. The Contractor shall determine how many vessels fishing solely for halibut each statistical area could support if the general configuration of the 1978 halibut fleet is maintained; assuming current levels of abundance, operating costs, ex-vessel prices, and an average return to investment of 20%.

TIME SCHEDULE

<u>(DATE)</u>	<u>(EVENT)</u>
March 24, 1980	Deadline for receiving proposals
April 28, 1980	Contract awarded
September 2, 1980	Final report due

LEVEL OF FUNDING

Negotiable.

PROPOSAL SUBMISSION

Submit a narrative proposal, indicating approach, manpower in (person months), other resources available, a resume of the principal investigators and a proposed budget,

To: Mr. Jim H. Branson Executive Director
North Pacific Fishery Management Council
P. O. Box 3136 DT
Anchorage, Alaska 99510

by no later than March 24, 1980. For additional information please call Jim H. Branson or Mark I. Hutton at 274-4563.

SPECIAL INFORMATION

Confidential data required by the contractor shall be provided by the Alaska Commercial Fisheries Entry Commission and the Alaska Department of Fish and Game in an edited form.

INSTRUCTION FOR PREPARATION OF PROPOSALS

The Contractor shall be responsible for all aspects of this project and shall furnish all necessary services, materials, labor, supplies and equipment. The Contractor shall submit a final report as described under conduct of the study. Selection of a Contractor will be based primarily on the results of the technical evaluation with cost also being carefully considered. Selection of the Contractor will be based specifically on Council's procurement standards Award of Contract section (see Article VI B.)

A. General Instructions

Proposals should be submitted so as to have an easily distinguishable section dealing with technical aspects and a section dealing with business management. The technical proposals should not make any reference to pricing data in order that evaluation may be made strictly on the basis of technical merit, the proposals must be specific on the technical approach proposed to satisfy the requirements and not merely paraphrasing the specifications in this RFP. One copy of the technical proposal and one copy of the cost proposal will be required for submission and signed by someone authorized to legally bind the Offerer.

B. Receiving Date and Address

Proposals shall be submitted so as to be received at the address listed below not later than 5:00 p.m. local time on March 24th, 1980. Address to which proposals are to be submitted: North Pacific Fishery Management Council, P. O. Box 3136 DT, Anchorage, Alaska 99510, Attention: Administrative Officer. If hand carried, the proposals shall be received no later than the time and date listed above at: North Pacific Fishery Management Council, Suite 32, 333 W. 4th Avenue, Post Office Mall Building, Anchorage, Alaska 99501. Proposals are guaranteed confidential and envelope should be marked with the appropriate request for proposal number.

NEGOTIATIONS AND AWARD

A. Award

Award will be made to the responsible offerer in accordance with the criteria set forth in this RFP and consistent with the North Pacific Fishery Council's procurement standards awards dependent on funding approval by NOAA. Issuance of this solicitation does not constitute an award commitment on the part of the government. This request does not commit the North Pacific Council to pay for costs incurred in submission of an proposal or for any other costs incurred prior to the execution of a formal contract unless specifically authorized in writing by the Executive Director. Attention is invited to the fact that a contracting officer/Executive Director is the only individual who can legally commit or obligate the government to the expenditure of public funds should a contract result by reason of response to this request for proposals.

B. Criteria

All proposals will be reviewed by the Council staff, members of the Council's Scientific and Statistical Committee, selected members of the Council's Advisory Panel and members of the Council's Finance Committee. Each proposal will be ranked against all proposals according to four categories; in descending order of importance.

- 1) soundness of approach
- 2) pertinent experience of staff
- 3) capability of staff and past performance
- 4) price of contract

In general, proposals will not be considered where there appears to be a problem with either "confidentiality of statistics" or are from a "competitive company" within the industry. Proposals in general will also not be considered which do not conform to schedule or objectives. Because of the specialized nature of this project, proposals submitted should demonstrate sufficient local knowledge, prior pertinent experience and/or specialized key personnel.

PROPOSAL

To aid in the evaluation of the proposals it is desired that all proposals follow the same general format. Therefore, your proposal shall at a minimum contain the information specified below in accordance with the following general format:

- A. Technical
 - 1. Table of contents
 - 2. List of tables and drawings
 - 3. Short introduction of summary
 - 4. Technical discussion of approaches
 - 5. Program organization
 - 6. Program schedules
 - 7. Facilities and equipment data
 - 8. Personnel qualifications
 - 9. Supporting data and other information
 - 10. 15 copies of proposal

- B. Cost
 - 1. General cost proposal
 - 2. Cost breakdown
 - 3. Cost form
 - 4. Direct labor