

AFSC Stock Assessment Classification Overview

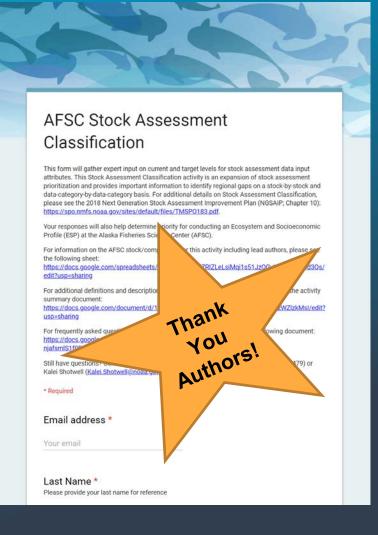
Review of the new stock assessment classification exercise detailed in the Next Generation Stock Assessment Improvement Plan (NGSAIP) and results for the AFSC groundfish and crab stocks



Kalei Shotwell (AFSC, ABL)
Kristan Blackhart (NSAP, ECS Federal)

Stock Assessment Classification

- NGSAIP (Lynch et al., 2018)
 - Classifies 5 input data attributes
 - Uses 6 levels per attribute
 - Current and target scores by stock
- AFSC Process (August, 2019)
 - Webinar on classification
 - Form developed for assessment authors to complete
 - Q/A sessions and FAQ document provided to authors



Stock Classification Levels

NOAA Fisheries' Stock Assessment Classification System

	Data Limited		LEVEL		Data Rich	
ATTRIBUTE	0	1	2	3	4	5
Catch	No quantitative catch data	Some catch data, but major gaps for some fishery sec- tors or for histori- cal periods such that their use in assessments is not supported	Enough catch data establish magnitude of catch and trends in catch for a major fishery sector in order to apply a data-limited assessment method. This includes fisheries that are closed and it is known that negligible catch is occurring	Catch data is generally available for all fishery sectors to support quantitative stock assessment, but some gaps exist such as low observer coverage, high levels of self-reported catch, weak information on discard mortality	No data gaps substantially impede assessment, but catch is not without uncertainty (e.g., recreational catches estimated from surveys)	Very complete knowledge of to- tal catch
Size and/or age composition	No composition data collected	Some size or age composition data has been collect- ed, but major gaps in coverage, and not used in stock assessment	Enough size or age composition data has been collected to enable data-lim- ited assessment approaches	Enough size or age composition data is collected over a sufficient time series to be infor- mative in age/size structured assess- ment models	Enough age com- position data has been collected over a sufficient time series to en- able assessment methods that need age composition data from the fish- ery	Very complete age and size composi- tion data, includ- ing, as needed on stock-specific ba- sis, knowledge of ageing precision, spatial patterns or other issues
Abundance	No indicator of stock abundance or trend in stock abundance over time	Fishery-dependent catch rates (CPUE) are available, but high uncertainty about their standardization over time; or expert opinion on degree of stock depletion over time	Fishery-dependent catch rates (CPUE) are sufficiently standardized to enable their use in full assessments; data from fishery-independent sources are not available or sufficient to estimate abundance trends	Limited fishery- independent survey(s) provide estimates of rela- tive abundance; however, the tem- poral or spatial coverage of the stock is limited or the sampling vari- ability is high	Complete fishery-independent survey(s) provide estimates of relative abundance, and the survey(s) cover a large proportion of the spatial extent of the stock with several years of tracking at a level of precision that supports assessments	Calibrated fish- ery-independent survey(s) or tag- recapture provide estimates of abso- lute abundance

Stock Classification Levels

NOAA FISHERIES' STOCK ASSESSMENT CLASSIFICATION SYSTEM (continued from previous page)

	Data	Limited	LEV	/EL ᡨ	Data R	ich
ATTRIBUTE	0	1	2	3	4	5
Life history	No life history data	Estimates of most life history fac- tors not based on empirical data; instead derived using proxies, me- ta-analyses, bor- rowed from other species, or without scientific basis	Estimates of some life history factors based on stock-specific empirical data, but at least one derived using life history proxies, meta-analyses, borrowed from other species, or without scientific basis. Generally supports datapoor assessments that use life history information	Estimates of most life history factors based on stock- specific empirical data	Data are sufficient to track changes over time in at least growth	No major gaps in life history knowledge, including detailed stock structure, spatial and temporal patterns in natural mortality, growth, and reproductive biology
Ecosystem linkage	No linkage to eco- system dynamic or consideration of ecosystem properties (envi- ronment, climate, habitat, predator- prey, etc.) in configuring the assessment (i.e., equilibrium condi- tions assumed for ecosystem)	Ecosystem-based hypotheses inform the assessment model structure (e.g., defining the stock boundaries and/or spatial or temporal features) and/or are used for processing assessment inputs (e.g., abundance index), but no explicit linkage to any ecosystem drivers (environment, climate, habitat, predatorprey, etc.)	The assessment includes some form of variability or effect to explicitly account for unidentified ecosystem dynamic(s) (e.g., time/space "regimes", random variation, or other approaches to changing features without direct inclusion of ecosystem data)	One or more assessment features is linked to a dynamic (i.e., data) from at least one of the following categories: environment, climate, habitat, predatorprey data (e.g., covariate)	The assessment model is linked to at least one ecosystem dynamic, and one or more process studies directly support the manner in which environmental, climate, habitat, and/or predator-prey dynamics are incorporated (e.g., consumption rates measured and covariate informed by results)	The assessment approach is configured to be coupled or linked with an ecosystem process (e.g., multispecies, coupled biophysical, climate-linked models)

AFSC Process Summary

- 61 groundfish & crab stocks
 - Current and target from author
 - Additional ecosystem linkage data
 - NGSAIP targets calculated
 - Reviewed by representatives from PT/SSC, and author supervisors
- Summary Report



 Provides all values and justification for difference between author and NGSAIP target, default author

Next Generation Stock Assessment Improvement Plan

Stock Assessment Classification

Alaska Fisheries Science Center 2019

Kalei Shotwell and Kristan Blackhart

A major focus of the 2018 Next Generation Stock Assessment Improvement Plan (NGSAIP) is developing a portfolio of "right-sized" assessments. To evaluate priorities for conducting assessments at frequencies and levels most appropriate to each stock, NOAA Fisheries needs a consistent approach to tracking and classifying assessments. The NGSAIP details an updated stock assessment classification system that includes five data input attributes. This system allows us to track current status of the stock assessment enterprise, and establish targets for each stock's assessment. By comparing current status to targets, we can identify regional stock assessment gaps on a stock-by-stock and data-category-by-data-category basis. This provides an important planning tool to inform strategic decisions for stock assessments, and track performance of the stock assessment enterprise. It also gives NOAA Fisheries a strong business case to justify continued investment in stock assessments.

We recently conducted the stock assessment classification (SAC) as detailed in the NGSAIP for the Alaska Fisheries Science Center (AFSC). Initially, the stock list for all Alaska groundfish and crab stocks was created using the status units that are tracked in the Species Information System (SIS). We additionally split out complex members for which there were data differences (i.e., the indicator stock is tier 1 or 3 and the remaining complex members are tier 5 or 6). This resulted in sixty-one stocks for conducting the AFSC SAC activity.

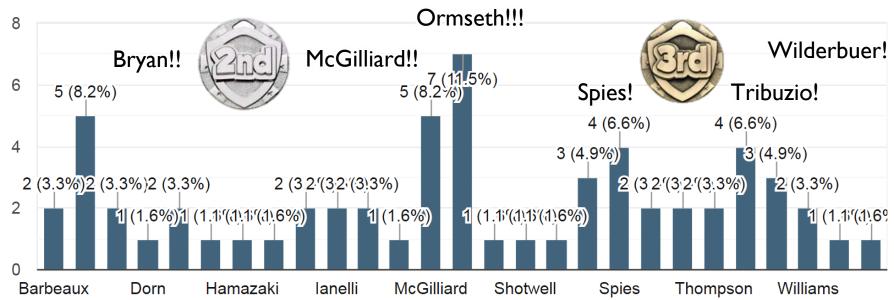
A Google form was created to gather the data necessary to conduct the AFSC SAC. One form was completed for each stock on the stock list by the lead stock assessment author. Questions on the form were used to gather data on the current and target levels for five stock assessment attributes. The five attributes and scoring criteria are detailed in the NGSAIP and consist of information about the data available for catch, size-age composition, abundance, life history, and ecosystem linkages. Each attribute is scored on a 0 to 5 level basically describing a data-limited to data-rich stock. Authors scored the current and target levels for their stock(s) and provided justifications for their scores. Authors also provided additional information that was used to calculate the NGSAIP suggested target levels for each attribute according to the NGSAIP rubric (Chapter 10).

Along with the form, we provided several supportive documents and meetings to increase the consistency and timeliness of form responses. Definitions and descriptions of the activity were provided in a summary document which was also presented to the stock assessment authors via webinar (April

Author Contributions

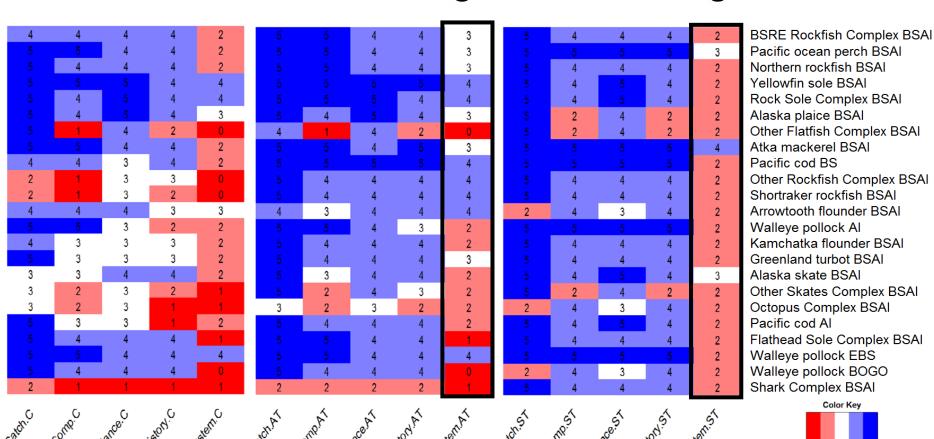
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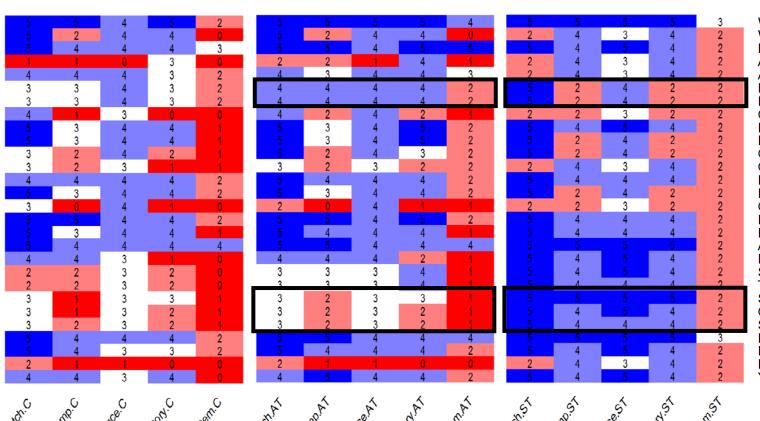
Form Results - BSAI Groundfish

Author Current, Author Target, NGSAIP Target



Form Results – GOA Groundfish

Author Current, Author Target, NGSAIP Target

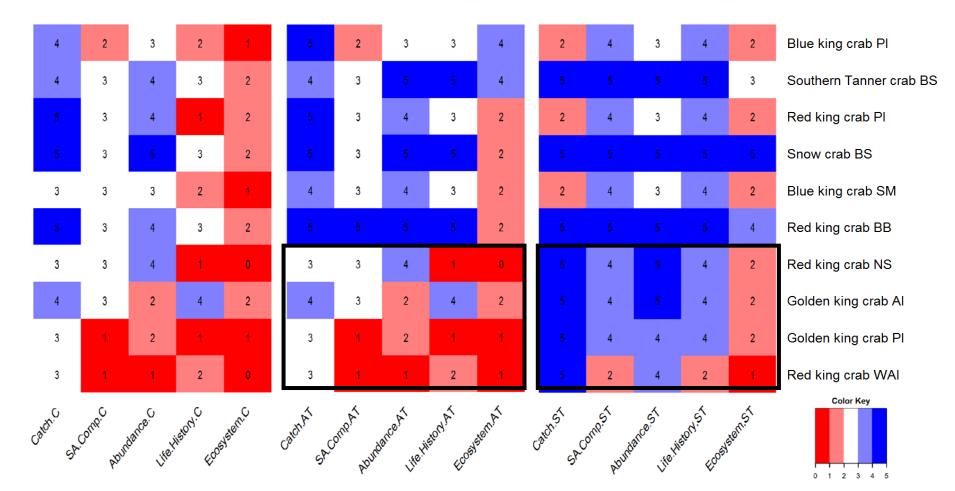


Walleye pollock W/CGOA Walleve pollock EGOA Pacific cod GOA Atka mackerel GOA Arrowtooth flounder GOA Rock sole GOA Northern rock sole GOA Other SWF Complex GOA Big skate GOA Longnose skate GOA Other Skate Complex GOA Octopus Complex GOA REBS Rockfish Complex GOA Dover sole GOA Other DWF Complex GOA Rex sole GOA Flathead sole GOA Alaska Sablefish Dusky rockfish GOA Shortraker rockfish GOA Thornyhead Complex GOA Shark Complex GOA Other Rockfish Complex GOA Sharpchin rockfish GOA Pacific ocean perch GOA Northern rockfish W/CGOA DS Rockfish Complex GOA Yelloweve rockfish GOA



Form Results - Crab

Author Current, Author Target, NGSAIP Target



Future Thoughts

- Classification data in Species Information System
 - Annual update of current values by authors
 - 5 year-ish review of target values
- National Stock Assessment Program gap analysis
 - Conducted by NSAP for future use in accounting
 - Identify priority stocks for conducting ESPs
 - Potentially use data gaps combined with stock assessment priorities for directing AFSC research





Ecosystem Socioecomic Profile (ESP) Workshop Overview

Definition: A <u>standardized</u> framework that <u>facilitates</u> the integration of <u>ecosystem and</u> <u>socioeconomic</u> factors within the stock assessment process and acts as a proving ground for <u>operational</u> use in quota setting.



Planning Team: Kalei Shotwell (ABL), Sandra Lowe, Martin Dorn, Ben Fissel, and Stephani Zador (REFM)

Workshop Structure 2019-2021



Communication Gap

December 2017 BSAI Introduction

STOCK ASSESSMENT AND FISHERY EVALUATION REPORT

FOR THE GROUNDFISH RESOURCES

OF THE BERING SEA/ALEUTIAN ISLANDS REGIONS

Compiled by

The Plan Team for the Groundfish Fisheries



With contributions b

K. Ayde, S.J. Barbeaux, M. Bryan, J. Cabalan, C. Conzaft, M. Dalton, K. Echave, B. Fissel, M. Faraness, D. Bassefman, A. Brysie, A. Bicks, J. Hoff, K. Holman, T. Horkalethe, P.J. Birkon, J.N. Intell, S. Kernicki, R. Lauft, S. Lowe, C.R. Laufferd, C.R. McGilland, D. McKerty, O.G. Nichel, B. Norrenco, G. O. Greed, W. A. Palsson, C.J. Rodgestler, C.N. Rooper, C. Soldon, P.D. Sponcer, B. Spies, D. Stann, T.T. Tenlitrak, W.A. Palsson, C.J. Rodgestler, C.N. Rooper, C. Soldon, P.D. Sponcer, B. Spies, D. Stann, T.T. Tenlitrak, G.G. Thompson, G.T. A. Telbaza, and T.K. Wilderbaux.

comber 2017 GOA Introductio

APPENDIX B

STOCK ASSESSMENT AND FISHERY EVALUATION REPORT

FOR THE GROUNDFISH RESOURCES OF THE GULF OF ALASKA

Compiled by

The Plan Team for the Groundfish Einberies of the Gulf of Alaska



with contributions by

J. Armstrong, K. Aydin, S. Bindenau, M. Bryan, C. Corearh, L. Conners, K. Coerie, C. Cunninghuro, O. Duris, M. Dorn, K. Folwer, C. France, K. Fende, R. Firsol, D. Hasedman, J. Heider, K. Holsman, P. Baltieta, J. Jameli, M. Jaereske, D. Jeen, S. Lowe, C. Lantford, A. McCarthy, C. McGilland, S. Mower, D. Nichel, A. Nichols, A. Olton, O. Ormench, W. Palsono, C. Redgelvelle, J. Ramble, K. Sheredi, K. Spalinger, P. Spencer, I. Spain, J. Sadd, T. Teolitois, C. Tohasio, T. Turneck, T. Wilderbuer, B. Williams, Q. Yang, S. Zador

November 2017

North Pacific Fishery Management Council 605 W 4th Avenue, Suite 306 Anchorage, AK 99501

Fisheries Management SAFE **ESR** Ecosystem/ Stock Economic ??? Assessment Assessment No Standard Framework

in confus (ALT

Ecosystem Considerations 2017

Status of the Eastern Bering Sea Marine Ecosystem



Edited by:
Elizabeth Sidden¹ and Stephani Zudor²

¹Auke Bay Laboratories, Alakas Fuberies Science Center,
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With contributions from:

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NPFIAC Bering See and Alexan Dismit SAFE

STOCK ASSESSMENT AND FISHERY EVALUATION REPORT FOR THE GROUNDFISH FISHERIES OF THE CULF OF ALASKA AND BERING SEA/ALEUTIAN ISLANDS AREA.

ECONOMIC STATUS OF THE GROUNDFISH FISHERIES OFF ALASKA, 2016

ley

Ben Fissel, Michael Daltos, Brian Garber-Vosts, Alan Hoynie, Stephen Kneperski, Jean Lee, Dan Lew, Amm Levole, Chang Seung, Kim Sparks, Sarah Whee,

Economic and Social Socials Review Propular Bressure Ecology and Fisheries Management Division Alsoka Fisheries Science Center National Marker Fisheries Service National Oceanie and Atmospherie Administration 7000 Sand Point Woy N.E. Seattle, Washington 16113-6249

December 20, 2017

NPFMC Gulf of Alaska SAFE

ESP Process

Grade

- Metric Triage
- Identify Vulnerability

Report

- Dynamic Formatting
- Standardized Style



Focus

- Data Classification
- Research Priorities

Analyze

- Define Indicators
- Test Relationships

ESP Product

Appendix in SAFE report

- 1) Intro: justification, data
- Metrics assessment: national, processes
- 3) Indicators assessment: time series, analyses
- Recommendations; data gaps, future priorities

Appendix 3C. Ecosystem-Socioeconomic Profile of the Sablefish stock in Alaska

S. Kalei Shotwell, Ben Fissel, Dana H. Hanselman November 2017



With Contributions from:

Mayumi Arimitsu, Alison Deary, Miriam Doyle, Georgina Gibson, Ron Heintz, Stephen Kasperski, Chris Lunsford, Jamal Moss, Jodi Pirtle, Lauren Rogers, Ashwin Sreenivasan, Kally Spalinger, Lauri Sadorus, Weslev Strasburger, Johanna Vollenweider, Cara Wilson, Sarah Wise, Ellen Yasumiishi

Please Note: This report is a first-generation document for the Ecosystem-Socioeconomic Profile (ESP) framework that is currently under review. The data and document will continue to be refined following feedback from contributors, the Plan Teams for the Groundfish Fisheries of the Bering Sea, Aleutian Islands, and Gulf of Alaska and the North Pacific Fishery Management Council.

https://www.fisheries.noaa.gov/resource/data/2018assessment-sablefish-stock-alaska

ESP Needs

Accessibility

Metrics and indicators need to be readily available similar to stock assessment output

Consistency

Metrics and indicators need to be reliably and consistently produced

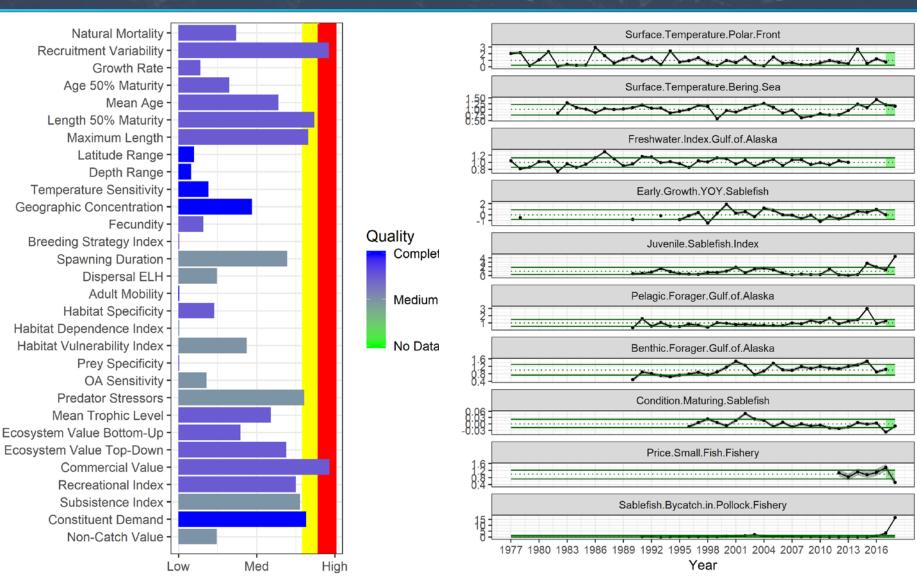
Timeliness

Metrics and indicators need to be processed on the time scales of the stock assessments they feed

ESP Overview/Examples – Day I

- ESP Overview, Data Flow, Sablefish ESP
 - Overview of the ESP process & standard products
 - Review of data flow between ESR, ESP and management
 - Review of sablefish assessment, ESP, and informing SAFE
- Step-by-step GOA pollock and SMBKC ESPs
 - Review of progress toward completing the two stocks' ESP
 - Metrics and indicators can be refined, perhaps from information received through workshop presentations
 - Discussion on data-rich and data-limited ESP approaches

ESP Standard Graphics - Day I



ESP Standard Table – Day I

Indicator List + Traffic Light

- Title of indicators
- Short description and references or contact
- Traffic light evaluation
 of most recent year
 with symbols (+,-,•) and
 current year with color
 fill (red, blue, yellow)

Title	Description	Mean
Surface Temperature Polar Front	Sea surface temperature index along the North Pacific Polar Front in central North Pacific (Shotwell et al. 2014)	•
Surface Temperature Bering Sea	Average surface temperature (°C) over all hauls of the RACE Bering Sea shelf bottom trawl survey	•
Freshwater Index Gulf of Alaska	Low-resolution model estimate of annually- averaged monthly discharge (GOA ESR, 2017)	•
Early Growth YOY Sablefish	Anomalies from growth index of sablefish sampled in rhinoceros auklet diet (<u>Arimitsu</u> and Hatch, GOA ESR, 2017)	•
Juvenile Sablefish Index	Catch-per-unit-of-effort for sablefish in the ADF&G large-mesh survey (<u>Spalinger</u> , pers. commun., 2018)	+
Pelagic Forager Gulf of Alaska	Combined relative population weights from the pelagic foragers (see EBS ESR, 2017) on the ABL longline survey	+
Benthic Forager Gulf of Alaska	Combined relative population weights from the benthic foragers (see EBS ESR, 2017) on the ABL longline survey	•
Condition of Maturing Fish	Sablefish condition inferred from length-weight residuals for maturing fish (550-590 mm) on ABL longline survey	•
Price Small Fish Fishery	Average price per pound of small sablefish in BSAI fixed gear fisheries (Armstrong et al., 2018)	+
Sablefish Bycatch in Pollock Fishery	Incidental catch of sablefish (tons) in the BSAI pollock midwater fishery (AKFIN)	+

ESP One-page Summary

Primary elements of full ESP

- 1) Justification, classification
- 2) Updated versions of the standard graphics set
- Considerations summary for ecosystem and socioeconomics
- Link to full ESP and contact information

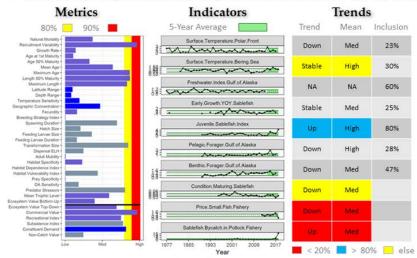




Sablefish (Anoplopoma fimbria)

Classification	Catch	Size/Age	Abundance	Life History	Ecosystem
Current / Target	5/5	5/5	4/5	4/5	4/4

· Data rich stock near target in all classification categories, stock recommended for ESP (summary below)



Considerations

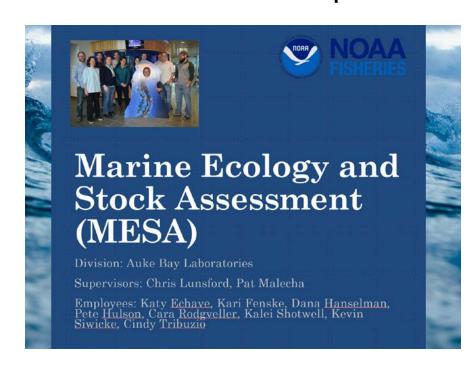
- High recruitment variability and low productivity metrics coupled with rapid growth in thermal thresholds, larval match to stratification and prey resources, first overwinter energetic costs, optimal foraging habitat, and juvenile body condition resulted in 8 indicators for monitoring
- High economic value and constituent demand metrics coupled with instability in small fish price and incidental catch in fisheries at the sablefish northern range resulted in 2 indicators for monitoring
- Ecosystem trend modeling revealed average to good conditions for larvae/juveniles of the 2016 year
 class but potentially suboptimal foraging conditions for maturing juveniles of the 2014 year class while
 economic trend modeling revealed substantially reduced small fish prices in 2018 and increased
 incidental catch in the BSAI fisheries in 2017 and 2018

ESP: https://www.afsc.noaa.gov/REFM/Docs/2018/GOAsablefish.pdf, Contact: Kalei.Shotwell@noaa.gov

Program Presentations – Day 2

- I) Main goal to overview data from programs for potential use in ESPs
- Included organization, databases, current and future metrics/indicators, program contacts
- 3) Draft ESP Data List
- 4) Provided presentations

Example Program
Presentation Template



Cross-cutting 20 Programs













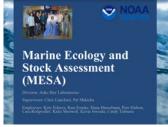


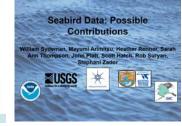














Regional Office

Fisheries Data

Presented by Anne Marie Eich

Sustainable Fisheries Division







The ESSRP team



Core team: Mike Cameron, Phil Ganz, Tom Hurst, Mandy Lindeberg, Beth Matta



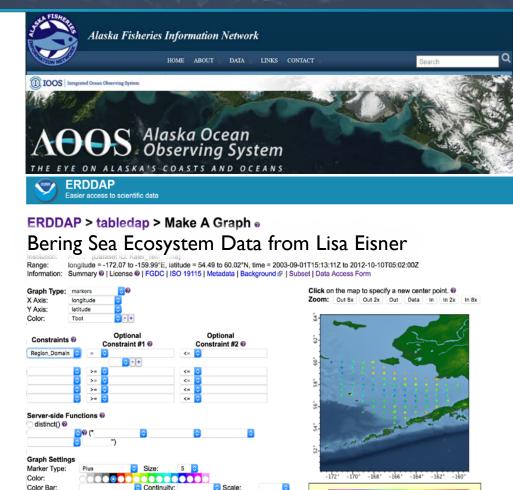


Database Access – Day 2

Minimum

Draw land mask

- Online platforms allow quick, consistent access
 - Accept many data types
 - Specify user access
 - Data quality controls
- We already use many of these tools
 - AKFIN, AOOS
 - ERDAAP, Webpages

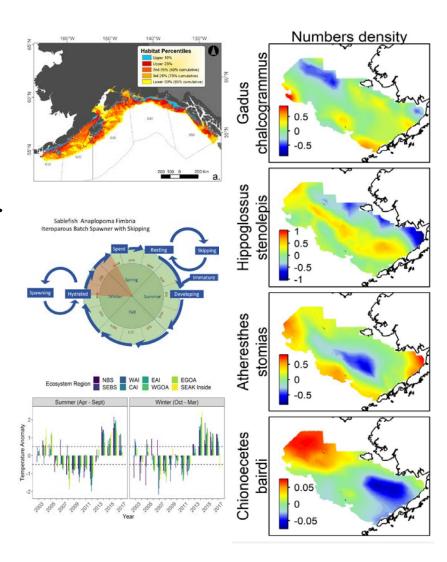


N Sections:

Redraw the Graph (Please be patient. It may take a while to get the data.)

Metrics/Indicators – Day 3

- Program/group overview
 - EFH process review, online mapper, SDM development
 - Spatial temporal model tools for developing metrics/indicators
 - MARVELS working group review and maturity metrics
 - Remote sensing indicators and linkages to fisheries data
- Avenues for development



ESP Priority Stocks – Day 3

- Use classification to understand data availability
 - Sablefish example of a data rich stock at or near target for all categories
 - High life history and ecosystem linkages targets
- Combine with AFSC research priorities
 - Recruitment important

Category	Current	Target	Gap
Catch	5	5	0
Size/Age	4	5	1
Abundance	4	4	0
Life History	4	4	0
Ecosystem	4	4	0

Coordinating Timelines

	January- March	April	May-August	September- October	November - December
Data gathering	Winter Surveys & Early Ecosystem Monitoring		Late Spring and Summer Surveys	Fall Ecosystem Surveys	
Reports		Econ Full Update of Year-1	Early Warning Update, New Crab ESPs	Surveys/ESR Update, PEEC Report, Crab SAFEs, New Groundfish ESPs	Econ Update, ESR Full Report, Groundfish SAFEs, Groundfish ESPs
Meetings	February Council	April Council	May Crab Plan Team, PEEC, June Council	Sept Crab & Groundfish Plan Team, Oct Council	Nov Groundfish Plan Team, Dec Council



Next Steps

- Finalize Workshop Products
 - Complete ESP Data Workshop Tech Memo 2020
 - Finalize ESP Data List and use for setting up ERDDAP
 - Draft ESP Stock Priorities List using classification
 - Setup timeline for ESP data delivery and production
 - Continue cross-program collaboration
- ESP Model Workshop, spring 2020
 - Include first workshop participants and/or designee
 - Focus on data delivery and modeling applications

ESP Reference Docs

- I. ESP Workshop Proposal
- 2. ESP Workshop Agenda
- 3. ESP Data List
- 4. Workshop Presentations
- 5. Guidelines Document
- 6. Stock Assessment Priorities*

