



# U.S. COAST GUARD ENFORCEMENT REPORT

06/01/98 - 09/30/98

## TOTAL ENFORCEMENT EFFORT

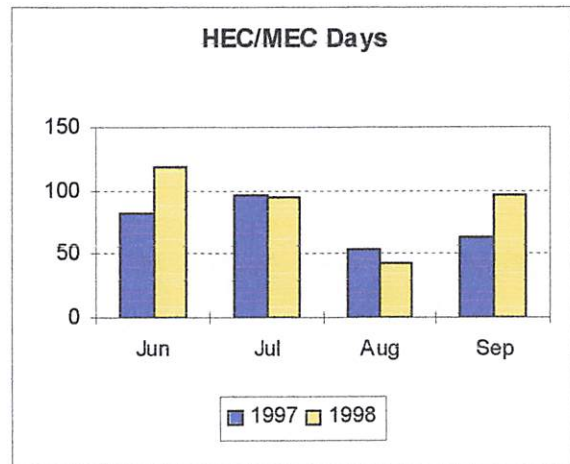
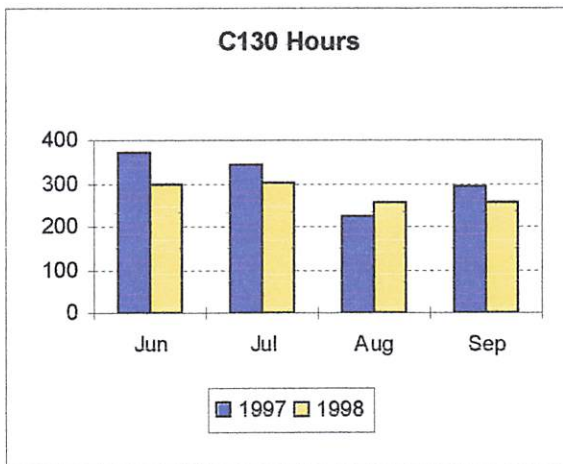
The following resources were employed during the reporting period. The resource data for the same reporting period in 1997 has been provided for comparison. The number of cutter patrol days is comparable to last year during the same time frame. The reduction in both C130 and helicopter hours dedicated to law enforcement this reporting period is due to several intensive Search and Rescue (SAR) cases throughout Alaska.

### JUN - SEP 1997

5 HEC's patrolled for 211 days  
2 MEC's patrolled for 58 days  
5 Patrol Boats patrolled for 195 days  
0 Buoy Tender days  
**Total Cutter days -- 464**  
C130's flew 163 sorties for 1230 hours  
HH60/65's flew 494 hours

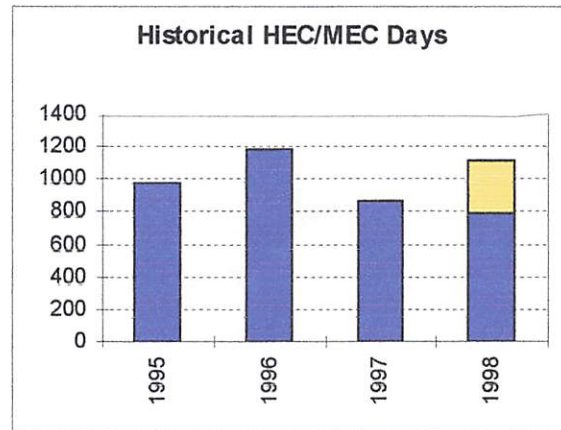
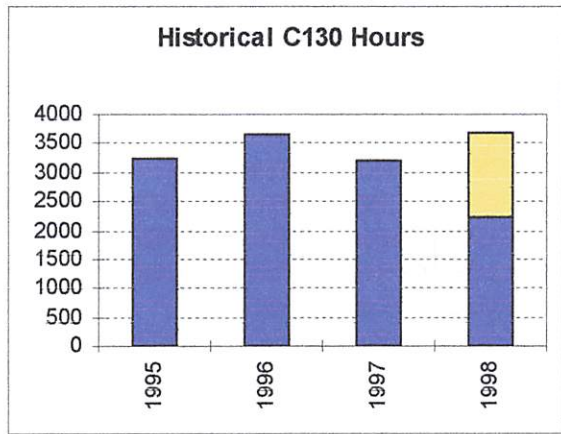
### JUN - SEP 1998

6 HEC's patrolled for 163 days  
2 MEC's patrolled for 57 days  
5 Patrol Boats patrolled for 191 days  
5 Buoy Tenders patrolled for 45 days  
**Total Cutter days -- 456**  
C130's flew 150 sorties for 1114 hours  
HH60/65's flew 451 hours

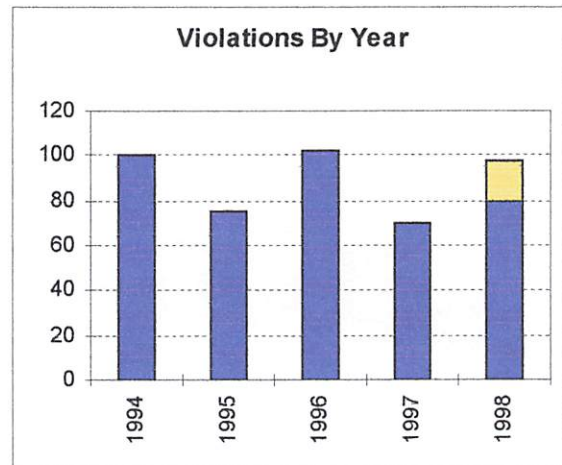
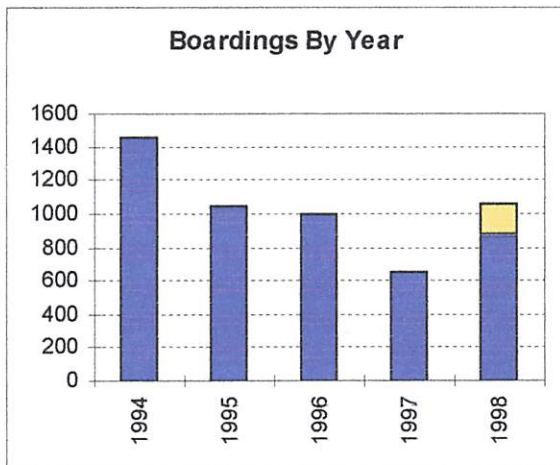




The following charts are a historical overview of the total resource hours employed in D17 over the last four years. The actual year to date totals are displayed for 1998, with projected year-end totals shown in yellow.



The following charts show boarding and fishery violation trends over the last five years. The actual year to date totals are displayed for 1998, with projected year-end totals shown in yellow. Enclosure 1 is a complete list of boardings and violations for the reporting period.



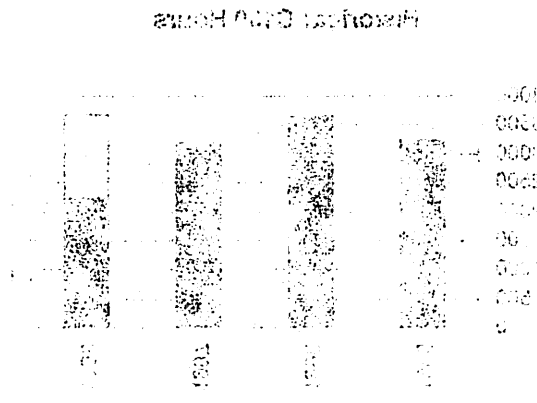
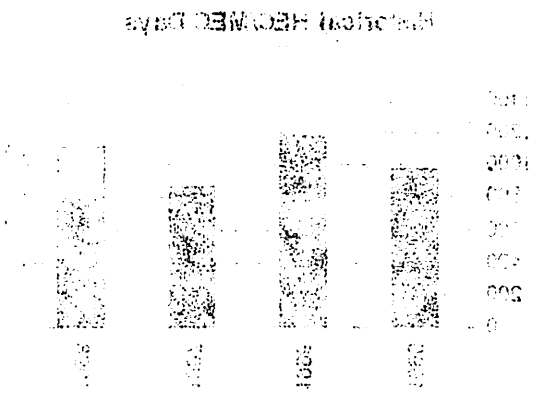
JUN - SEP 1997

F/V Boardings (at sea): 253  
 IFQ Monitors (dockside): 63  
 Boarding/monitor w/fisheries violations: 20  
 Violation Rate: 6.3%

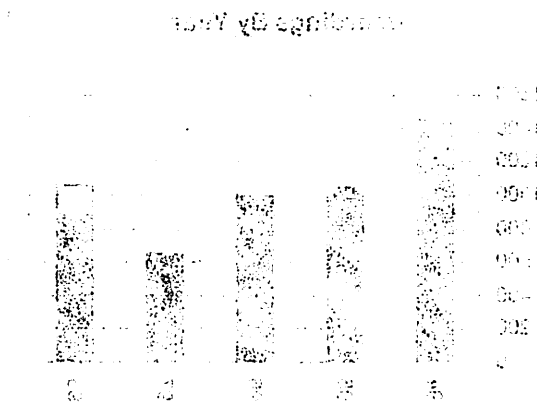
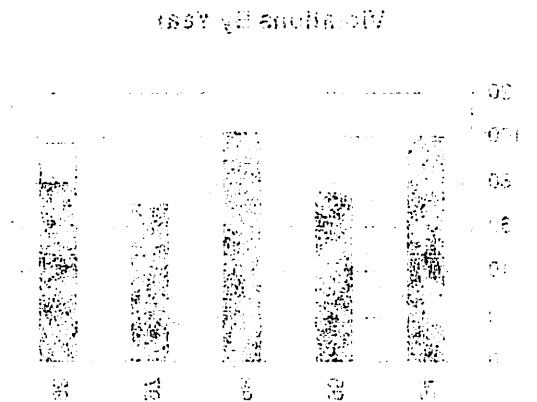
JUN - SEP 1998

F/V Boardings (at sea): 128  
 IFQ Monitors (dockside): 188  
 Boarding/monitor w/fisheries violations: 19  
 Violation Rate: 6.0%

The following table and bar chart provide a review of the total number of hours worked on the project from 1997 to 1999. The total hours worked on the project from 1997 to 1999 are shown in yellow.



The following table and bar chart provide a review of the total number of hours worked on the project from 1997 to 1999. The total hours worked on the project from 1997 to 1999 are shown in yellow.



1997-1999

1997-1999

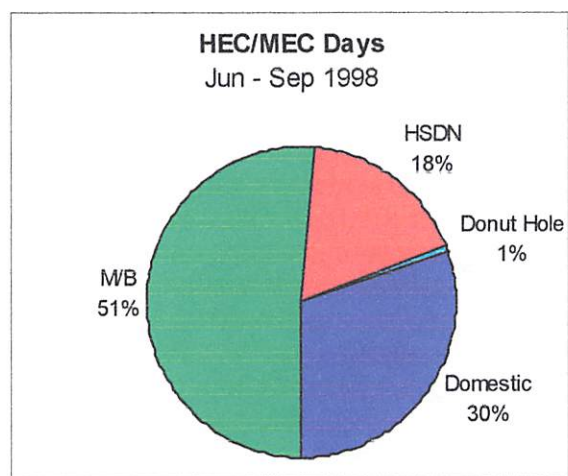
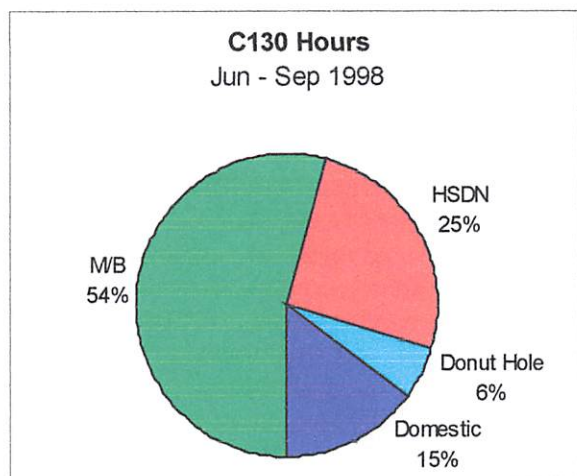
1997-1999

1997-1999



## FOREIGN FISHERIES

The following charts show the distribution of resource hours/days between foreign and domestic fisheries for the reporting period.



### US/RU MARITIME BOUNDARY:

Foreign fishing activity on the US/Russian Maritime Boundary (M/B) increased during the reporting period. Peak FFV activity consisted of 129 FFV's operating within 50NM of the boundary (July). For the reporting period, Coast Guard C130's flew 83 sorties totaling 604 hours and 7 cutters patrolled the Maritime Boundary for 92 days. The following is a summary of significant activity during the reporting period.

22 Jul 98 - A C130 located a vessel approximately 400 yds inside U.S. EEZ in restricted visibility. By the time the C130 was able to visually identify the vessel as FFV SEDANKA (RU), it was in RU waters. Although the vessel's nets were in the water when in RU EEZ, the C130 was not able to confirm that the vessel had been engaged in fishing while inside U.S. EEZ. CGC STORIS subsequently detected a vessel on radar approximately 100 yds inside U.S. EEZ. When STORIS arrived on scene, SEDANKA, was in RU EEZ and STORIS was unable to confirm vessel had been fishing in U.S. EEZ.

03 Aug 98 - A C130 sighted the FFV KALGA (RU) in position 61-50.7N/176-43.5W, approximately 1.8NM inside the U.S. EEZ. The C130 then hailed KALGA on CH16 VHF-FM with no response. CGC HAMILTON diverted to assist and hailed the vessel in Russian. KALGA's master then agreed to a boarding. The Boarding Team confirmed the vessel's fishing gear properly stowed on deck and no fish were on board. The master claimed he was in the Russian EEZ. Russian charts on board vessel had the US/RU M/B plotted approximately 10nm east of the actual boundary. Boarding team provided vessel with correct coordinates for M/B.

The following charts show the distribution of vessels by country of origin and tonnage (in thousands of tons) for the reporting period.



CHARTS SHOWING DISTRIBUTION OF VESSELS BY COUNTRY OF ORIGIN AND TONNAGE

Foreign fishing activity on the East Asian Maritime Boundary (EAM) increased during the reporting period. Total FIV activity consisted of 139 FIV's operating within 200 NM of the boundary (up from 107 FIV's during the previous reporting period). The following is a summary of the vessels that fished during the reporting period.

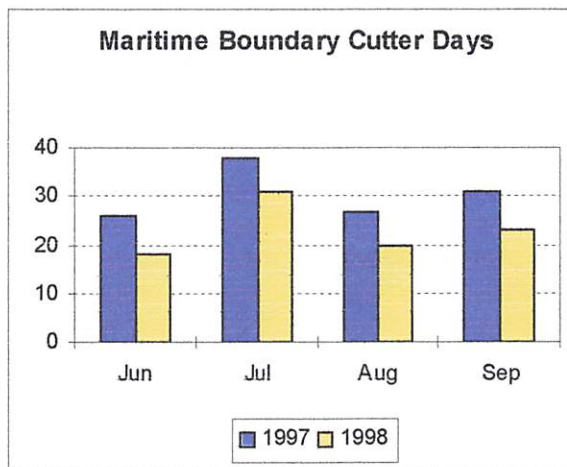
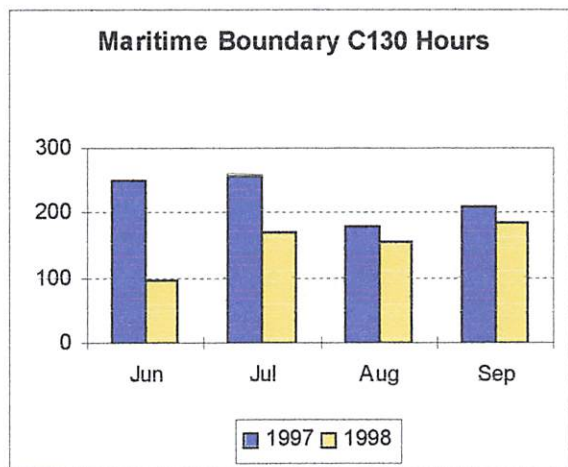
On July 23, 1988, a vessel (C130) located in the East Asian Maritime Boundary (EAM) was observed by the USNSC. The vessel was observed in the water near the EAM. Although the vessel was not observed in the water when in the EAM, the vessel was not able to continue and the vessel had been engaged in fishing while outside the EAM. The vessel was observed in the EAM on July 23, 1988. The vessel was observed in the EAM on July 23, 1988. The vessel was observed in the EAM on July 23, 1988.

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29 Aug 98 - CGC HAMILTON detected FFV DONG SAN (KS) slightly inside U.S. EEZ with nets in water. Vessel was hailed and master agreed to CG boarding. HAMILTON monitored haulback of approximately 20mt with approximately 413mt of product on board. Boarding Team unable to find evidence to support violation of U.S. EEZ. However, vessel cited by embarked RU fisheries officials for RU permit violation: failure to maintain 5nm buffer zone.

05 Sep 98 - C130 detected FFV POGRANICHNIK PETROV (RU) approximately 1356 yds inside U.S. EEZ with fishing nets on deck. CGC STORIS intercepted and signaled the vessel to stop. POGRANICHNIK PETROV agreed to CG boarding. Boarding Team verified that there were no fish on board. The nets were packed on deck and dry, with mending materials present. Vessel was using a 1991 corrected/1973 edition chart incorrectly depicting the M/B. STORIS provided vessel with a correct copy of M/B coordinates and issued verbal warning to master.

The following charts compare Coast Guard Maritime Boundary enforcement effort for the reporting period to the same period in 1997.



HIGH SEAS DRIFTNET:

For the reporting period, Coast Guard C130's flew 37 sorties totaling 280 hours and 2 cutters conducted HSDN enforcement for 32 days. The following is a summary of significant activity during the reporting period.

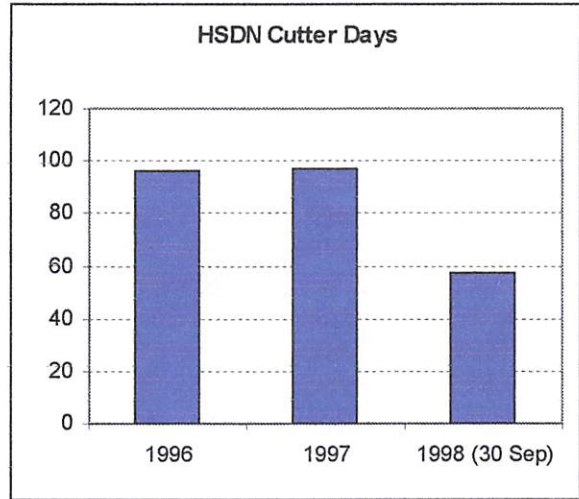
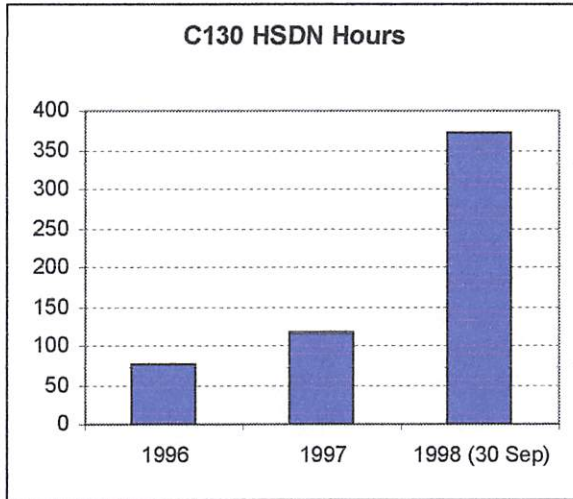
13 Jun 98 - FFV QI WEI (CH) was sighted by a CG C130 in position 51-19N/163-36W, 30 miles inside the Russian EEZ. CGC BOUTWELL arrived on scene and gathered information. QI WEI is reportedly owned by the same company as the FFV TAI SHENG. Information gathered by Coast Guard units was passed to Russian authorities.

23 Jun 98 - FFV LOTUS (RU) was observed drift net fishing for salmon within the Russian EEZ, in position 56-03N/173-16E by CGC JARVIS. Ten salmon recovered from drift nets set by LOTUS were sent to NMFS Auke Bay Lab for analysis. Russia





dispatched the Federal Border Guard patrol vessel BARS to investigate. All information on the vessel was forwarded to Russia through the Department of State.



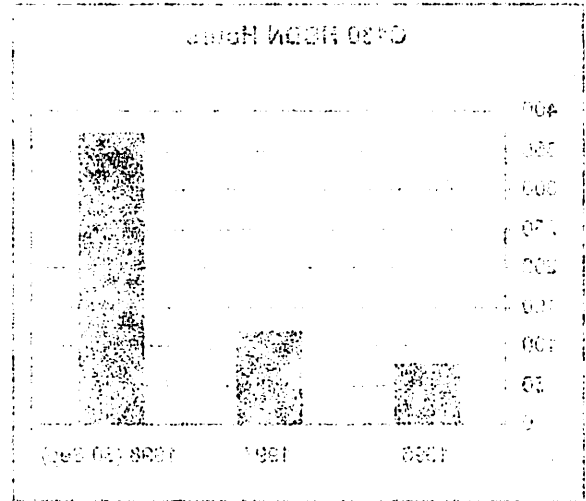
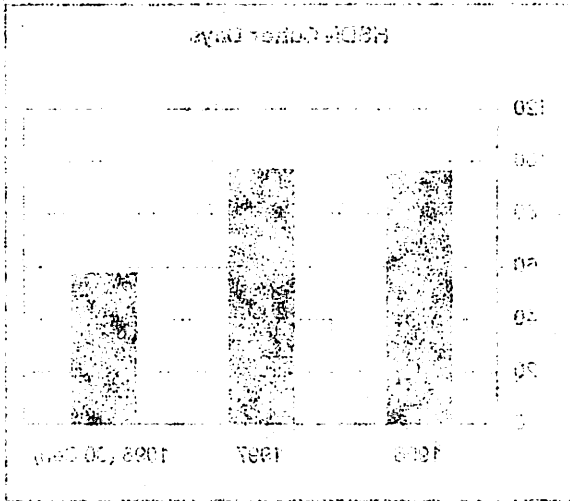
DONUT HOLE:

Two Polish vessels, FFV ACAMAR and FFV FOKA, declared intentions to conduct trial fishing in the Donut Hole between 15 Aug and 15 Sep 98. FFV FOKA fished on the Russian side of the Maritime Boundary vice in the Donut Hole. FFV ACAMAR concluded its trial fishing and left the Donut Hole on 08 SEP. CG has no information regarding the results of the trial fishing. Currently there are no vessels scheduled to conduct trial fishing in the area.

DIXON ENTRANCE:

For the reporting period, Coast Guard HH60's flew 32 sorties totaling 104 hours and 5 patrol boats patrolled in Dixon Entrance for 159 days. Management decisions by CA and the U.S. to close the disputed area to commercial fishing greatly reduced the potential for problems in the Dixon Entrance area this year and helped contribute to a quiet summer. CGC LIBERTY reported one incident involving a U.S. gillnetter who was issued a written warning for fishing in the disputed area.

dispatched the Federal Border Guard patrol vessel BARR to investigate. All information on the vessel was forwarded to the Department of State.



WALSH

The Board vessel, ITV ALAAR and ITV LA, continued intention to conduct trial fishing in the Dominican waters on 12 Aug and 13 Sep 98. ITV ALAAR fished on the Russian side of the Maritime Boundary line in the Dominican. ITV ALAAR conducted its trial fishing and left the Dominican on 08 Sep. ITV LA has no information regarding the results of the trial fishing. Currently, the vessel is scheduled to conduct trial fishing in the area.

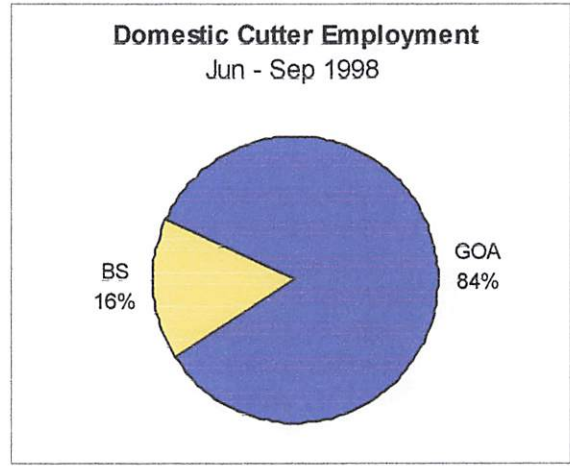
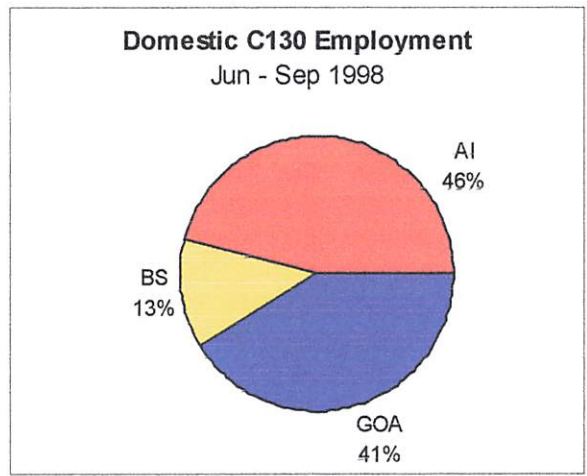
WALSH

For the reporting period, Coast Guard HH-130's flew 37 sorties resulting in 10 hours and 49 minutes of flight. In Dominican waters for 139 days. The reporting period by CA and the 139 days of the dispatches to commercial fishing vessels. The reporting period for dispatches in the Dominican waters for the year and period continue to a dispatch number 0001137. The reporting period for dispatches in the Dominican waters for the year and period continue to a dispatch number 0001137. The reporting period for dispatches in the Dominican waters for the year and period continue to a dispatch number 0001137.

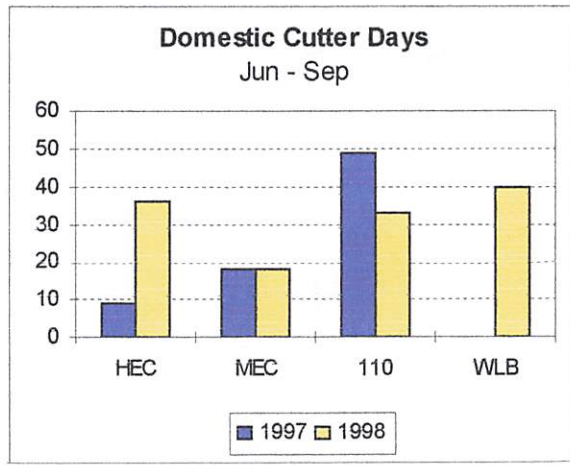
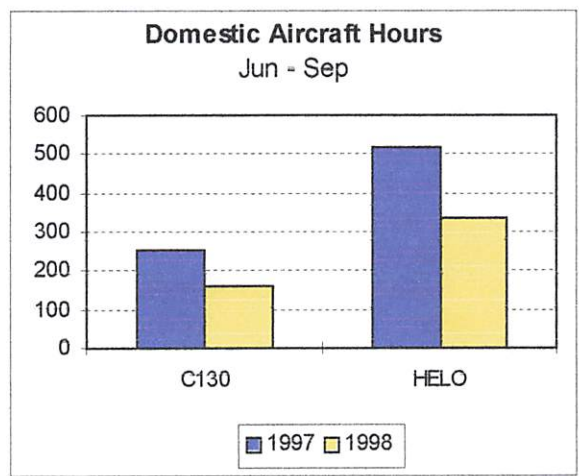
# DOMESTIC FISHERIES

## GROUND FISH:

For the reporting period, Coast Guard patrol efforts focused on monitoring Gulf Pollock (Jun), IFQ, Rockfish, Flatfish and Pollock B fisheries. The following charts show the break down of enforcement effort by area for aircraft hours and cutter days during the reporting period.



The following charts show the total D17 domestic fisheries enforcement effort in aircraft hours and patrol days for the reporting period and compare it to the same period last year.



WEEKLY

For the weekly Domestic Airway Grant, total effort is based on a working (full-time) basis. The following table shows the total amount of effort by area for the week ending the reporting period.

Domestic Airway Grant - Effort by Area  
Week Ending Sep 1993

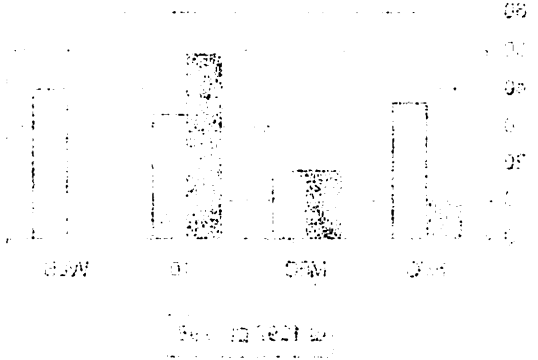


Domestic Airway Grant - Effort by Area  
Week Ending Sep 1993

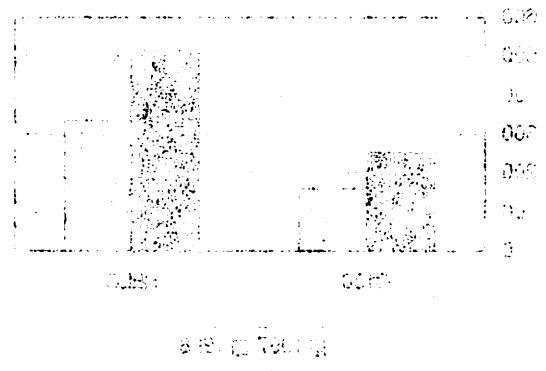


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Week Ending Sep 1993

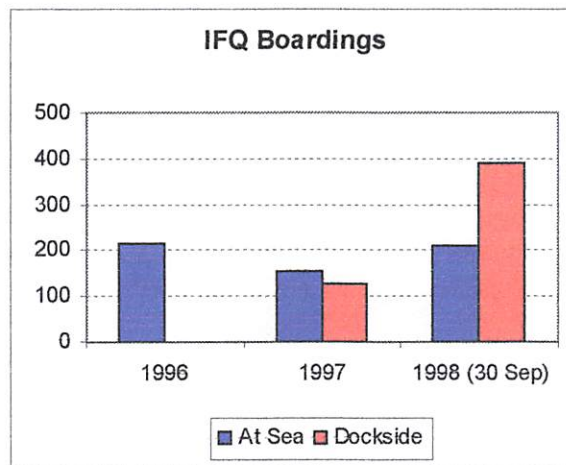
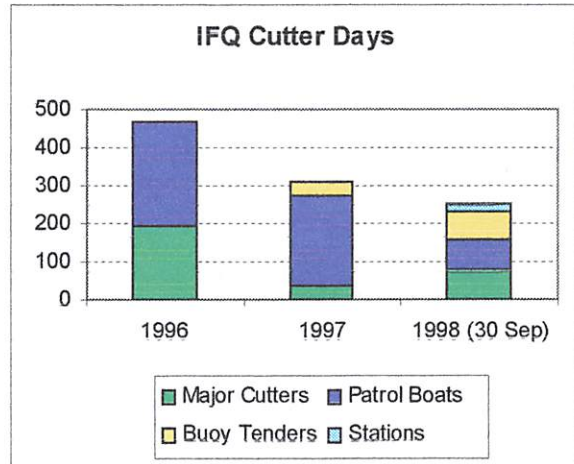
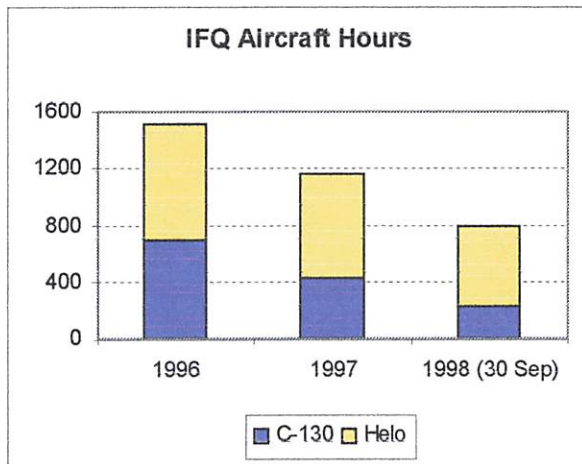


Domestic Airway Grant - Effort by Area  
Week Ending Sep 1993



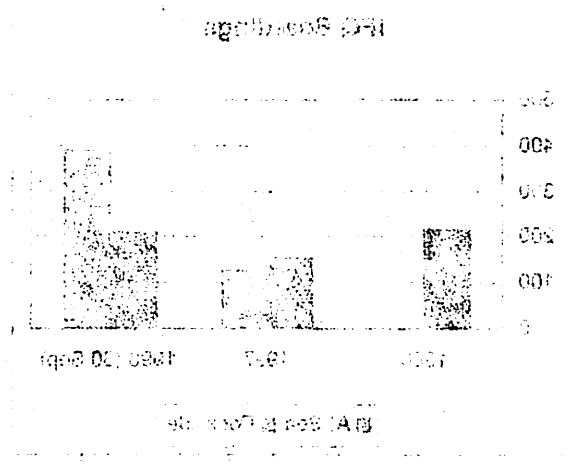
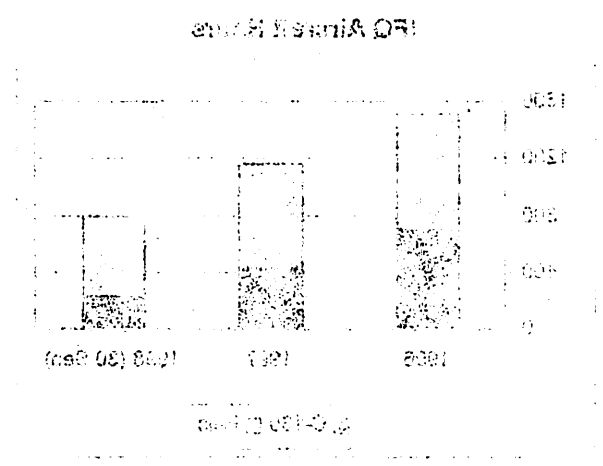
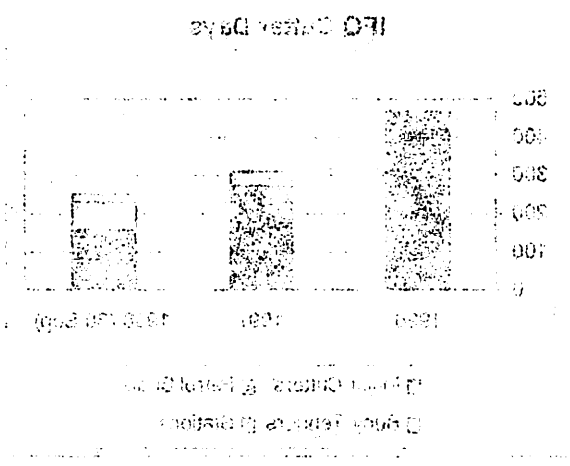
IFQ ENFORCEMENT:

Coast Guard HH60's and C130's combined to provide 446 flight hours (365 and 81 respectively) in the enforcement of IFQ. Patrol Boats, Buoy Tenders, HEC's and MEC's added 182 cutter days to the enforcement effort. The Coast Guard is continuing the program initiated last year of assisting NMFS in providing a dockside presence during offloads. Since the season opener on 15 Mar, CG Boarding Teams have monitored 389 offloads in 16 ports and detected 10 violations. The CG monitors equate to 5.2% of the total offloads and 4.6% of the total pounds landed to date in the 1998 IFQ season.





Over 1200 tons of material was combined to provide 440 (1100 tons) and 41 (1000 tons) in the construction of IFO Patrol Boats, Bay Tender, IFO's and IFO's. The cost of the material in the construction effort. The cost of the material in the construction effort. Since the season opened in 1968, IFO Boating has been profitable. IFO Boating has been profitable. IFO Boating has been profitable. The amount of material used in the construction of IFO Boating has been profitable. The amount of material used in the construction of IFO Boating has been profitable. In the 1968 IFO season.



SEABIRD AVOIDANCE:

During the reporting period, CG cutters queried or boarded 92 longline vessels on their use of Seabird Avoidance devices. No violations were issued. Results are listed below.

Buoys	Bird Bags	Tori Lines	Streamers	Other
32	13	29	6	12

**SAFETY STATISTICS**

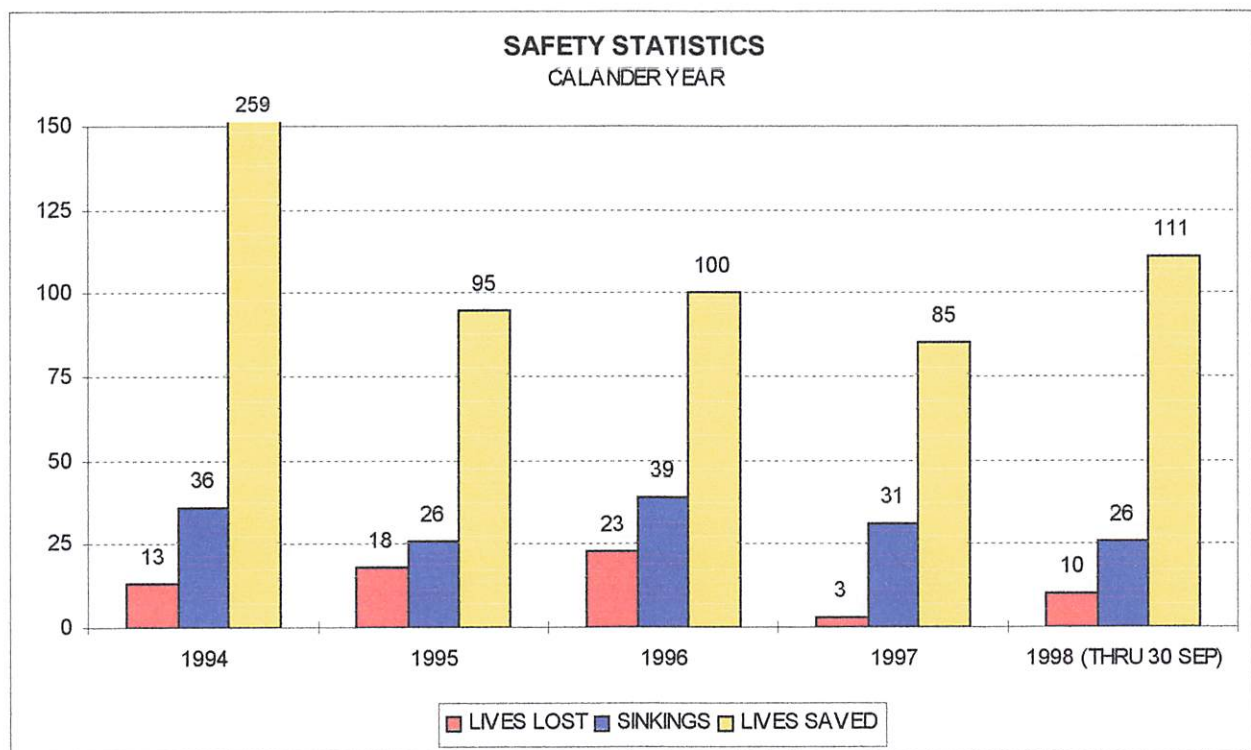
01 Jun - 30 Sep 1998

Lives saved: 43

Lives lost: 2

Vessels lost: 11

The following chart is a historical overview of fishing vessel safety statistics in D17.



The following table shows the results of the analysis of variance for the data obtained from the experiment. The results are given in terms of the F-ratio and the probability of error (P) for each comparison.

Source of Variation	D.F.	F-ratio	P
Between Groups	3	10.2	0.01
Within Groups	12		
Total	15		

ANALYSIS OF VARIANCE

TABLE 1

Source of Variation  
D.F.  
F-ratio  
P

The results of the analysis of variance are given in Table 1. The F-ratio for the comparison between groups is 10.2, which is significant at the 0.01 level.

ANALYSIS OF VARIANCE

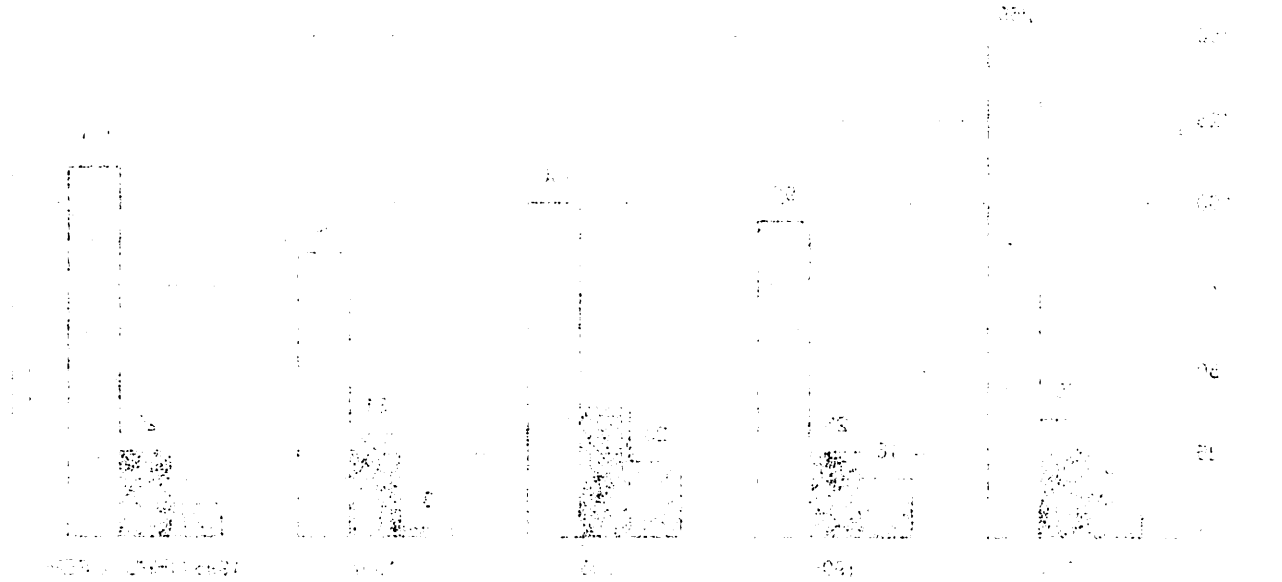


TABLE 2

Source of Variation  
D.F.  
F-ratio  
P

*Case Summaries:*

- 02 Jun 98, F/V DOVE, Copper River Basin: Vessel overturned in surf. Vessel lost, 1 POB, missing, presumed dead. State Fish and Wildlife and CG helo were involved in search.
- 04 Jun 98, F/V CHEECKEO, near Kenai (5nm SW of Ninilchick): Vessel sank due to fire on board. Vessel lost, 3 POB.
- 09 Jun 98, F/V PEARL, Copper River Delta: Vessel capsized for unknown reason. Vessel lost, 1 POB who died in accident. CG helo and other fishing vessels were involved in search.
- 10 Jun 98, F/V KIMBERLY ANN, Cape Trinity: Vessel grounded due to operator falling asleep, vessel lost power just before it went aground. Vessel lost, 4 POB, used immersion suits, EPIRB activated, all survived, rescued by fishing vessels in the area.
- 15 Jun 98, F/V BIG DIPPER, Cape Uyak: Vessel struck a rock. Vessel lost, 4 POB, used skiff, all survived, rescued by F/V RENAISSANCE.
- 16 Jun 98, F/V ANGELA B, Bristol Bay: Vessel caught fire for unknown reason. Vessel lost, 3 POB, EPIRB activated, all survived, rescued by Alaska State Troopers.
- 25 Jun 98, F/V INDUCER, Bristol Bay: Vessel caught fire for unknown reason. Vessel lost, 2 POB, EPIRB activated, all survived, rescued by F/V CRYSTAL.
- 25 Jun 98, F/V SEA QUEST, Taku Harbor: Vessel grounded. Vessel lost, 1 POB, EPIRB activated, operator survived, rescued by STATION JUNEAU and other fishing vessels in the area.
- 19 Jul 98, F/V NERKA II, near Naknek in Bristol Bay: Vessel caught fire for unknown reason. Vessel lost, 1 POB, used immersion suit and radio, operator survived, rescued by other fishing vessels in the area.
- 29 Jul 98, F/V MISS TAMMY, near Ketchikan: Vessel ran into uncharted rock, grounded and refloated on the next tide, 6 POB. F/V MARK R assisted.
- 30 Jul 98, F/V RACHEL COLLEEN, Cordova Bay, Ketchikan: Vessel caught fire because fuel line was too close to the exhaust. Vessel lost, 6 POB, all survived, rescued by CGC ANACAPA.
- 01 Aug 98, F/V ARGO, Bering Sea: Vessel had a galley stack fire. Vessel lost, 2 POB, both survived, rescued by Dutch Harbor rescue boat.
- 07 Aug 98, F/V NIGHT RIDER, Stepovak Bay: Vessel caught fire. Vessel lost, 2 POB, all survived.
- 17 Aug 98, F/V SEA TREC II, 41nm SW of Dillingham: Vessel intentionally grounded, took on water. Vessel salvaged, 3 POB, all survived, tender in the area assisted.
- 18 Aug 98, F/V JACKIE-R, 20nm SW of Juneau: Vessel capsized. While pulling set on board fish on deck shifted, boom hydraulics were inoperative. Vessel lost, 5 POB, used skiff, all survived, other fishing vessels in area assisted.
- 25 Sep 98, F/V SPUTNIK, east of Kodiak Island: Vessel had fire on board. 3 POB, all abandoned ship to a life raft and were rescued by F/V LAST ONE and F/V ATLANTICO.

DATE	VESSEL NAME	VESSEL	Species	VIOL.	VIOLATION NOTES
6/17/98	AGILE	FLL	Halibut		
9/24/98	AIGHLEEN	FLL	Unspec salmon		
7/27/98	AJAX	FGN	Salmon, Chinook		
6/16/98	ALASKA CHALLENGE	FCH	Unspec salmon		
7/12/98	ALASKA DAWN	FTS	Northern rockfish	FV	LOGGING ERRORS, 5 COUNTS VIO 50CFR679.7
9/11/98	ALASKA OCEAN	FFS	Pollock	SS	QTRLY RPT NOT SUBMITTED, LOGS SEIZED (WHITE-OUT FOR CORR)
7/10/98	ALASKA SPIRIT	FTS	Northern rockfish	FX	FAILURE TO SUBMIT BLUE LOG PAGES
9/1/98	ALSEK	FST	No Product/No Fishery		
7/26/98	AMELIE	FTO	No Product/No Fishery		
9/5/98	AMERICAN ENTERPRISE	FFS	Pollock	FX	NO NMFS CHECK-IN DOCUMENTATION FOR AREA 517
9/23/98	AMNICON	FLL	Halibut/Sablefish	BV	NO NAME/HAILING PORT ON STERN
6/5/98	ANNE LOUISE	FLL	Halibut		
9/3/98	AQUILA	FTO	No Product/No Fishery		
9/3/98	ARCHANGEL	FLL	No Product/No Fishery		
9/29/98	AUGUSTINE	FLL	Sablefish	SS	ISSUED \$750 SUMMARY SETTLEMENT FOR NO VAR
7/16/98	BALTIC SEA	FLL	Halibut	BV	EXP FLARES, EXP LIFERAFT AND UNSERVICEABLE TYPE IV PFDS.
7/22/98	BEAGLE	FTS	Flathead sole	SS	FAILING TO MAINTAIN LOGS.
7/13/98	BEAR PAW	FGN	Unspec salmon		
7/22/98	CAPE HORN	FTS	Flathead sole		
6/2/98	CAPE SPENCER	FLL	Halibut		
6/21/98	CHOMLEY	FLL	Halibut		
7/25/98	CHRISTINA	FSS	Unspec salmon		
6/16/98	COMADRE	FCH	Unspec salmon		
8/7/98	CORSAIR	FGN	Unspec salmon	FW	VSL RCVD WARNING FOR FISHING IN A CLOSED AREA.
6/14/98	DEFENDER	FLL	Halibut		
9/27/98	DELIVERANCE	FLL	Halibut	BV	UNSERVICEABLE RING BUOY, NO SPD, NO BELL.
8/29/98	DONG SAN (KS)	FFS	Pollock		RS OBSERVERS ISSUED FINE (~\$15K) FOR VIO OF RS BUFFER ZONE
6/14/98	DUSK	FTS	Pollock		
8/28/98	DUSKY ROCK	FLL	Halibut		
6/17/98	EH	FLL	Halibut/Sablefish		
9/5/98	ELVI K	FLL	Halibut	BV	VSL VOYAGE TERMINATED DUE TO MULTI SAFETY VIOS
9/28/98	ERIKA ANN	FLL	Sablefish		
6/12/98	EXCALIBUR II	FST	Pollock		



DATE	VESSEL NAME	VESSEL	Species	VIOL	VIOLATION NOTES
9/13/98	EXCELLENCE	FFS	Pollock	SS	QTRLY RPT NOT SUBMITTED, FAILURE TO LOG HALIBUT DISCARD
8/30/98	EXCELLER	FLL	Halibut		
7/7/98	FAIRLEAD	FGN	Salmon, Sockeye		
6/16/98	GOLDEN EAGLE	FLL	Halibut		
6/3/98	GOLONDRINA	FLL	Halibut		
7/13/98	GRIZZLY	FLL	Sablefish		
9/15/98	GULF MAIDEN	FLL	Sablefish		
6/23/98	HEATHER KAY	FLL	Sablefish		
9/1/98	HERITAGE	FST	No Product/No Fishery		
6/17/98	IMMIGRANT	FLL	Halibut/Sablefish		
9/1/98	INDIGO	FLL	Halibut/Sablefish		
9/1/98	INGOT	FLL	Halibut		
9/2/98	ISLAND PRIDE	FSS	Unspec salmon		
9/27/98	ISLAND QUEEN	FLL	Halibut		
8/22/98	JEANETTE	FTO	Salmon, Coho	BV	NO BUOYENT APPARATUS O/B, NO CHILD IMMERSION SUITS O/B.
9/30/98	JOMEL	FLL	Halibut		
8/3/98	KALGA (RUSSIAN)		No Product/No Fishery		
7/13/98	KAREN L	FLL	Halibut		
7/25/98	KONA ROSE	FSS	Unspec salmon		
9/29/98	KRISTEN	FLL	Halibut/Sablefish		
9/22/98	KRISTINA	FLL	Halibut		
7/21/98	LADY ALICE	FMR	No Product/No Fishery		
6/1/98	LADY JOANNE	FTS	Pollock		
9/17/98	LAINE B	FTO	No Product/No Fishery		
6/18/98	LEA	FLL	Sablefish		
7/2/98	LIBERTY	FLL	Halibut/Sablefish	BV	SURVIVAL CRAFT 18 MOS PAST INSPECTION.
6/19/98	LORELEI II	FLL	Halibut		
7/16/98	LUALDA	FLL	Halibut		
8/30/98	LYNN-J	FLL	Halibut		
6/21/98	MARCY J	FTS	Pollock	FW	FAILURE TO SUBMIT VAR
8/8/98	MARY E	FTO	Unspec salmon		
7/27/98	MAVERICK	FGN	Salmon, Chinook		
7/25/98	MELANIE ANN	FSS	Unspec salmon		

DATE	VESSEL NAME	VESSEL	Species	VIOL	VIOLATION NOTES
7/10/98	MICHELLE RENEE		Northern rockfish	FX	FAILURE TO SUBMIT BLUE LOG PAGES
7/11/98	MINDALINA	FTO	Salmon, Chinook		
7/17/98	MONGOOSE	FLL	Halibut		
7/28/98	NAN SEA M	FGN	Salmon, Coho		
9/28/98	NANCY M	FLL	Halibut	SS	ISSUED \$750 SUMMARY SETTLEMENT FOR NO VAR
9/6/98	NORTHERN GLACIER	FFS	Pollock	FX	LOG DISCREPANCIES (CDQ PERMIT/SALMON DONATION AUTH)
7/16/98	NORTHERN PRINCESS	FLL	Halibut		
8/19/98	NORTHERN QUEEN	FSP	Salmon, Coho		
9/28/98	NORTHERN SEA	FLL	Halibut		
9/15/98	NUKA POINT	FLL	Halibut		
9/10/98	OCEAN HARVESTER	FFS	Pollock	FX	NO VAR
7/18/98	OCEAN HUNTER	FPB	Scallops	BV	INSUFFICIENT LIFERINGS, INOP EPIRB, UNSAFE BOARDING LADDER.
9/11/98	OCEAN PHOENIX	FSP	Pollock		
6/19/98	OCEAN STORM	FTS	Pollock		
9/20/98	PACIFIC MONARCH	FTS	Pollock		
9/9/98	PARAGON	FSS	Unspec salmon	BV	EXP VDS AND HAVING A 20" WHT LIFE RING (REQ 24" ORANGE).
7/16/98	PEARL J	FLL	Halibut		
9/16/98	PEGASUS	FLL	Halibut		
6/13/98	PEGGY JO	FTS	Pollock		
9/6/98	POGRANIKUNIK PETROV	FFS	Pollock		VERBAL WARNING, PROVIDED MASTER WITH CORRECT MBL COORDS
8/19/98	POINT LAVINA	FSP	Salmon, Coho		
7/13/98	QUEST	FLL	Halibut		
9/3/98	QUICKSILVER	FLL	Halibut		
7/30/98	RED C	FCH	Salmon, Coho		
9/16/98	RESTLESS C	FLL	Halibut	BV	EXP VDS, NO WASTE MANAGEMENT PLAN, NO FCC SSL
9/15/98	RESURRECTION	FLL	Sablefish	BW	NON-OP SOUND PRODUCING DEVICE.
6/29/98	ROCINANTE	FLL	Sablefish	FV	HALIBUT O/B VSL W/ TRAP/POT O/B CAPABLE OF TAKING HALIBUT.
9/28/98	ROLFY	FSP	Unspec salmon		
7/28/98	SABRINA	FSP	Salmon, Coho		
7/17/98	SEA NAT	FOO	Pacific cod	BV	EXP DOCUMENT, INOP FIRE EXTINGUISHER
7/6/98	SEATTLE	FLL	Halibut/Sablefish		
9/23/98	SEAVIEW	FLL	Halibut/Sablefish		
6/27/98	SHANBRE	FGN	Unspec salmon		

DATE	VESSEL NAME	VESSEL	Species	VIOL	VIOLATION NOTES
8/20/98	SILVER SEA	FTO	Unspec salmon	BV	NO BUOYANT APPARATUS O/B AND EXP VDS (SEP95)
9/15/98	SOLSTICE	FLL	Sablefish		
9/1/98	STAR OF THE SEAS	FOO	No Product/No Fishery	BW	EXP HYDROSTATIC RELEASE ON EPIRB
9/8/98	STARBOUND	FFS	Pollock	FX	LOG DISCREPANCIES
7/11/98	SUNLIGHT	FTO	Salmon, Chinook	BW	EXP FLARES
9/5/98	SUNRUNNER	FLL	Halibut		
7/2/98	SUPERIOR	FSP	Unspec salmon		
9/29/98	TONSINA	FLL	Halibut		
7/21/98	TRACI J	FTO	Salmon, Chinook	BV	EXP EPIRB BATTERY, NO HYDRO STATIC RELEASE FOR SURVIVAL CRAFT.
6/5/98	TRACY D		No Product/No Fishery	SS	TRANSITING NO TRANSIT ZONE AROUND AKUN I ROOKERY (\$2500)
6/20/98	TRADEWIND	FLL	Halibut		
7/14/98	TRASK	FLL	Halibut		
9/23/98	TRINKET	FLL	Halibut/Sablefish	FX,S	IMPROPER LOGGING, NO VAR
7/15/98	TRINKIT	FLL	Sablefish		
9/8/98	US ENTERPRISE	FFS	Pollock		
7/10/98	VANGUARD		Northern rockfish		
6/4/98	VERIBUS	FSH	Shrimp	BV	EPIRB INOP, EXP DOC NUMBER
6/17/98	VIKING MAID		Halibut		
9/24/98	VULCAN	FLL	Sablefish		
7/21/98	WATERFALL 15	FCH	Salmon, Chinook		
9/2/98	WAY DANCER	FSS	Unspec salmon	BV	NO EPIRB

# **ENFORCEMENT REPORT FOR THE PERIOD 6/7/98 THROUGH 9/30/98**

**National Marine Fisheries Service  
Alaska Enforcement Division**

During the reporting period a total of 218 cases were opened. NMFS initiated 204 of these investigations.

Further action was also taken on 432 pending cases during the reporting period. Forty investigations were closed as unfounded, 30 investigations were closed due to lack of evidence, 110 investigations were closed due to lack of Enforcement resources, and 10 investigations were dismissed for other reasons. Six cases were referred to other Regions or other agencies. Twenty-six cases were handled with written warnings and 11 cases were handled with Fix-it tickets. Fifteen cases were handled with summary settlement payments totaling \$20,852 and forfeited proceeds of \$18,479. 120 cases were settled through voluntary abandonment of forfeited proceeds and property valued at \$81,904. A total of 30 cases were referred to NOAA General Counsel. General Counsel issued 20 NOVAs for a total of \$151,649 in penalties and settled 14 cases for \$25,165 in penalties and \$1,979 in forfeited proceeds and property.

## **AED STAFFS BOOTH FOR FISH EXPO**

In keeping with our Community Oriented Policing and Problem Solving operational goals the Alaska Enforcement Division will staff a law enforcement booth along with other personnel from the Northwest Enforcement Division, out of Seattle and the Southwest Enforcement Division out of Long Beach, CA. Our goal will be to listen to suggestions, ideas and concerns from the various stakeholders in the Alaska fisheries. In addition we will answer questions regarding our programs in Alaska and regulations as appropriate.

Also in attendance will be law enforcement personnel from the State of Alaska and State of Washington.

## **VOLUNTARY COMPLIANCE PROGRAM OFFICER HIRED**

The AED has hired Paul Newman to be the Division's COPPS coordinator. Paul will be working out of the Juneau Divisional Office and will be the primary contact for the public and our own personnel for COPPS issues. His primary duties will include working with individuals and groups on better communications for issues of importance to the stakeholders and within our own internal operations.

## **ILLEGAL TRANSSHIPMENT ACTIVES RESULT IN VESSEL SEIZURES**

Two vessels were seized for conducting illegal transshipment operations in the Togiak Bay

area of the Bering Sea in late August. The vessels, the M/V HAI YU and the M/V JACHA both conducted off-loading operations with vessels of the Fishing Company of Alaska in the vicinity of Summit Island in Togiak Bay. The M/V HAI YU, a vessel of Chinese registry conducted three off loading operation over a one week time period. The M/V JACHA, a vessel of Panamanian registry, conducted one off load during the same time period. Both vessels paid penalties of \$50, 000. Investigation into the FCA vessels continue.

#### HALIBUT FISHERMEN INDICTED

David L. Ertsgaard, of the F/V MELONY, was indicted by a Federal Grand Jury for two violations of the Lacey Act. He was indicated for making a false report and transportation and sale of unlawfully taken fish. In October, 1997, Mr. Ertsgaard submitted an IFQ landing report claiming that 11,420 pounds of halibut were caught in area 3A, but allegedly, they were caught in area 3B. Mr. Ertsgaard also harvested approximately 11,000 pounds of halibut in area 3B in November, 1997, when he no longer had quota remaining for that area.

#### TWO FISHERMEN INDICTED IN GEODUCK SCAM

Mike Bangs and Harly Ethelbah were indicted by a Federal Grand Jury for two counts of violations of the Lacey Act. They harvested geoducks worth approximately \$16,000 in southeast Alaska and shipped them from Petersburg, through Seattle, to New York for commercial sale. Neither they, nor the receiver were licensed for this activity. Bangs and Ethelbah also falsely reported to Alaska Fish and Game that their harvest was "dead loss", when in fact, the geoducks were sold in New York. Trial dates are set for November 9th and November 16th in Anchorage.

#### SOUTHEAST FISHERMEN INDICTED FOR FRAUD AND FALSE STATEMENTS

John Philips, of the F/V SHAMROCK, was indicted by a Federal Grand Jury for eleven counts of false statements under 18 USC 1001. Mr. Philips was indicted for two counts of falsifying the vessel length on his Federal Fishing Permit application. He was also indicted for nine counts of falsifying his IFQ applications. It is estimated that \$1.4 million of fish were harvested as a result of his fishing with illegally obtained permits.



TED STEVENS, ALASKA, CHAIRMAN

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STEVEN J. CORTESE, STAFF DIRECTOR  
JAMES H. ENGLISH, MINORITY STAFF DIRECTOR

## United States Senate

COMMITTEE ON APPROPRIATIONS  
WASHINGTON, DC 20510-6025

RECEIVED

September 14, 1998

SEP 22 1998

N.P.F.M.C

Richard Lauber  
Chairman  
North Pacific Fishery Management Council  
605 West 4th Avenue  
Anchorage, Alaska 99510

Dear Rick:

Enclosed is a copy of a letter I received from the U.S. Department of State in response to my inquiry on your behalf regarding the issue of illegal, large-scale, high-seas driftnet activity by Chinese vessels.

I hope you find this information useful.

With best wishes,

Cordially,



TED STEVENS



United States Department of State

Washington, D.C. 20520

98 AUG 27 PM 2:14

AUG 25 1998

Dear Senator Stevens:

Thank you for your letter of July 21 in which you expressed the concerns of Mr. Richard Lauber, Chairman of the North Pacific Fishery Management Council, concerning the issue of illegal, large-scale, high-seas driftnet activity by Chinese vessels. The Department of State recognizes that the 1991 United Nations Global Large-Scale High-Seas Driftnet Moratorium will be effective only if it is backed by the vigilance of member States' law enforcement authorities. As you know, this past spring U.S., Japanese, and Russian law enforcement authorities detected a number of Chinese vessels engaged in illegal large-scale high-seas driftnet activity. The interception of two of these vessels by the U.S. Coast Guard took place with the full cooperation of the Government of the People's Republic of China. Pursuant to a bilateral agreement between the U.S. and China, a Chinese fisheries official was serving as a ship-rider aboard one of the U.S. Coast Guard cutters involved in these incidents and greatly facilitated the successful interception of the driftnet vessels.

During a recent visit to China by U.S. fisheries law enforcement officials, Chinese officials assured us that they will review the evidence package presented by the Coast Guard, and will keep the U.S. apprised of legal proceedings in the case. The Department of State and the Coast Guard will continue to pursue this matter with the Chinese authorities.

The U.S. will continue to work with other nations to secure effective implementation of the ban on large-scale, high-seas driftnet activity. To that end, the U.S. will continue to urge Chinese and Korean participation in the North Pacific Anadromous Fish Commission, which provides a forum for international scientific and law enforcement cooperation with regard to Pacific salmon.

Thank you for writing us about this matter and please contact us if you have any additional questions.

Sincerely,

Barbara Larkin  
Assistant Secretary  
Legislative Affairs

The Honorable  
Ted Stevens,  
United States Senate.



UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
NATIONAL MARINE FISHERIES SERVICE  
1335 East-West Highway  
Silver Spring, MD 20910  
THE DIRECTOR

SEP 18 1998

RECEIVED

SEP 25 1998

N.P.F.M.C

Mr. Richard B. Lauber  
Chairman  
North Pacific Fishery Management Council  
605 West 4<sup>th</sup> Avenue  
Anchorage, Alaska 99501-2252

Dear Chairman Lauber:

Thank you for your letter to Secretary Daley, on behalf of the North Pacific Fishery Management Council, regarding the Council's concern about illegal high seas driftnet fishing operations by fishing vessels from the People's Republic of China (PRC).

Representatives from the U.S. Coast Guard, National Marine Fisheries Service Office for Law Enforcement, and the National Oceanic and Atmospheric Administration's Office of General Counsel visited the PRC on July 16-25, 1998. The purpose of the visit was to discuss cooperation between the United States and the PRC in the field of fisheries law enforcement, and to gain a better understanding of PRC fisheries laws and regulations, particularly those pertaining to high seas driftnet fisheries. U.S. enforcement officials held extensive discussions with their PRC counterparts in Beijing, Yantai, and Shanghai. PRC officials assured them that there is no government-authorized driftnet fleet in China; any large-scale driftnet operations discovered are strictly pirate in nature. The PRC Government is aware that the maximum penalties it can impose on driftnet violators under PRC law may be inadequate to discourage illegal large-scale driftnet fishing. For that reason, the PRC is seeking guidance from the United State on how to strengthen its driftnet regulations and penalties.

The PRC shiprider program has been an area of excellent cooperation between the United States and the PRC. By making a PRC fisheries enforcement officer available on select U.S. Coast Guard vessels, the program permits PRC fisheries authorities and the U.S. Coast Guard to coordinate in enforcing fisheries regulations. The PRC is proud of its involvement in the program and has indicated that it would like to see the current 2-year program expanded to a 3-year program.

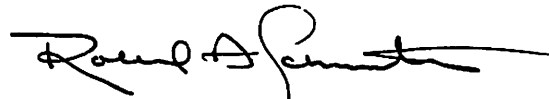
THE ASSISTANT ADMINISTRATOR  
FOR FISHERIES



I believe we are beginning to make progress with the PRC toward eliminating the problem of pirate driftnet fishermen. We will continue to work with the PRC Government and other States in the North Pacific to enforce the United Nations ban on large-scale high seas driftnet fishing activity. To this end, we are continuing to encourage the PRC to join the North Pacific Anadromous Fish Commission and to support its driftnet monitoring efforts.

We will also continue to closely monitor the PRC's prosecution of the large-scale high seas driftnet vessels seized by the U.S. Coast Guard in June 1998. The PRC Government has assured us that it will review the evidence provided by the U.S. Coast Guard and keep us apprised of the progress of the cases.

Sincerely,

A handwritten signature in black ink, appearing to read "Rolland A. Schmitten". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Rolland A. Schmitten  
Assistant Administrator  
for Fisheries