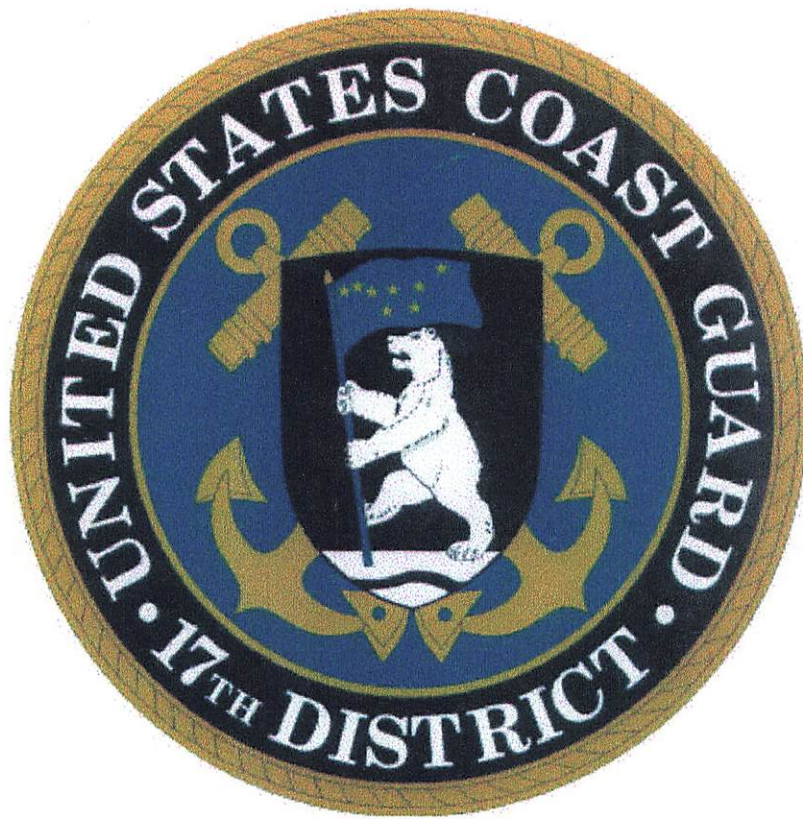


# 17<sup>th</sup> COAST GUARD DISTRICT ENFORCEMENT REPORT



**01 DEC 99 - 31 MAR 00**

*Prepared By:*

*Office of Policy, Plans, and Analysis  
Seventeenth Coast Guard District  
P.O. Box 25517  
Juneau, AK 99802-5517*

*Report Available At:*

*NPFMC Web Site: [www.fakr.noaa.gov/npfmc/newsletters/newsltr.htm](http://www.fakr.noaa.gov/npfmc/newsletters/newsltr.htm)  
USCG D17 Web Site: [www.uscg.mil/d17/uscgd17.html](http://www.uscg.mil/d17/uscgd17.html)*

## **Table of Contents**

---

**Page**

I. High Seas Drift Net Enforcement	3
II. US/Russian Maritime Boundary Enforcement	4
III. Donut Hole	5
IV. IFQ At-Sea/Dockside Enforcement	5
V. Atka Mackerel Fishery Enforcement	6
VI. Opilio Crab Fishery	6
VII. CG Commercial Fishing Vessel Safety/Search and Rescue Cases	7
VIII. CG Boarding Statistics	10
IX. Miscellaneous Admin	10
Appendix A Boardings Without Violations	12
Appendix B Boardings With Violations	16
Appendix C Docksidings	20

## **Figures**

---

1. 11 Dec 1999 M/B Scatter Plot of Aircraft Sightings	4
2. 04 Jan 2000 M/B Scatter Plot of Aircraft Sightings	5
3. Historical Overview of CFVS Statistics	9
4. Boardings By Year	10
5. Violations By Year	10

## **Tables**

---

1. CGD17 CFVS/SAR Case Summary	7
--------------------------------	---

## **List of Abbreviations**

---

COD - Certificate of Documentation	PIW - Person In Water
COTP - Captain of the Port	PML - Personal Marker Light
CFVS - Commercial Fishing Vessel Safety	POB - Person On Board
FBS - Federal Border Service	PRC - People's Republic of China
FF/V - Foreign Fishing Vessel	SAR - Search and Rescue
GOA - Gulf of Alaska	
HC-130 - USCG Fixed-Wing Aircraft	
HEC/MEC - High/Medium Endurance Cutters	
HH65/60 - CG helicopter	
HSDN - High Seas Drift Net	
M/B - US/Russian Maritime Boundary	

## **I. High Seas Drift Net Enforcement**

Representatives from member nations Canada, Japan, Russia, and the United States met in Tokyo, Japan, from 1-3 March for the North Pacific Anadromous Fisheries Commission (NPAFC) Enforcement Planning and Coordination meeting. This was the first enforcement meeting ever held in Japan since the establishment of the NPAFC in 1993. This meeting also marked the first attendance in recent years of senior Russian Federal Border Service (FBS) officers from the Petropavlosk-Kamchatkskiy (P-K) region. Participants discussed ways to improve communications among the parties, and planned the following for 2000 HSDN enforcement operations:

**CGD17:** The first priority is to monitor the HSDN high threat area, an area outside of the US and RS EEZ's, north of 47N and west of 170E, where HSDN activity has been consistently detected. The secondary priority is to monitor all high seas areas of the North Pacific where a HSDN threat exists. As operations permit, C-130 flights will attempt to gather information concerning offshore directed fishing for salmon in the RS EEZ within the area defined by the 1992 US/RS salmon treaty. The agreement specifies that each side will refrain from directed fishing for salmon beyond 25 miles offshore, between 170E and 143-53.6W, and north of 50N.

In accordance with the agreement reached at Tokyo, CG aircraft started surveillance of the HSDN high threat area on 15 March, but detected no activity. As of 05 April, additional patrols have been flown for a total of 5 sorties and 33.3 hours.

**PRC:** PRC officers have been stationed in Kodiak since 15 March, and will be there until 30 September or longer if needed. They will be assigned to cutters when HSDN activity is found, and at other times for professional development. PRC officers will also deploy on aircraft to assist in identification and communications with suspect vessels.

**Canada:** Canada has tentatively scheduled a Maritime Defense Force CP-140 Aurora aircraft deployment from 15 May - 07 June. CA aircraft will monitor and report sea surface temperatures and sightings to all NPAFC parties, and will also carry NMFS officers aboard their flights.

**Japan:** The Fisheries Agency of Japan (FAJ) patrol vessel KYOSHIN-MARU will patrol for 70 days between 01 April - 15 July. KYOSHIN-MARU will patrol in the vicinity of the Japanese squid jig fleet (north of 38N and west of 170E). FAJ will also patrol with aircraft in the vicinity of the squid jig fleet May - July.

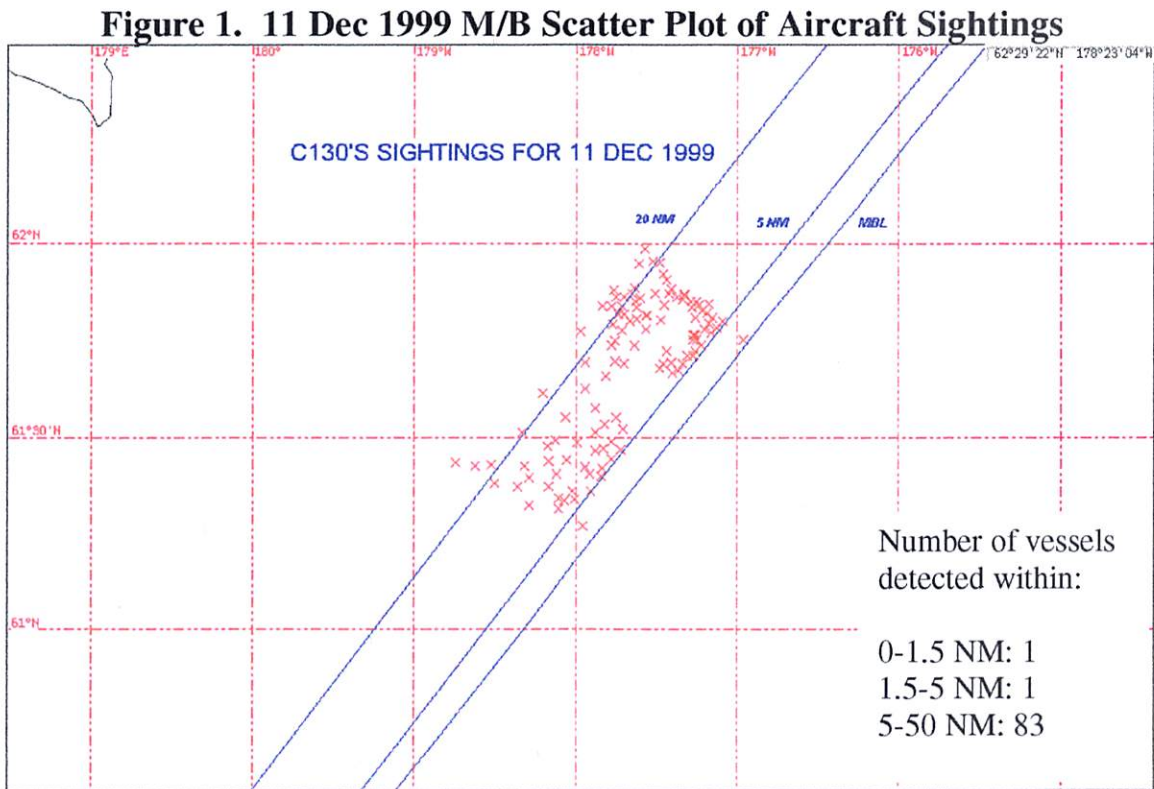
**Russia:** The Russian Federal Border Service will have 3 of its vessels patrolling -- DZERZHINSKY, with an embarked helicopter, from 15 May - 15 June, PAGELLA, from 15 May - 25 June, and the ICEBERG, from 10 June - 10 July.

## II. US/Russian Maritime Boundary Enforcement

Activity detected on the US/RS Maritime Boundary in December 1999 has been the most activity seen for this time of year in the past ten years. Since February, no activity has been detected on the M/B. No incursions have been detected during this reporting period.

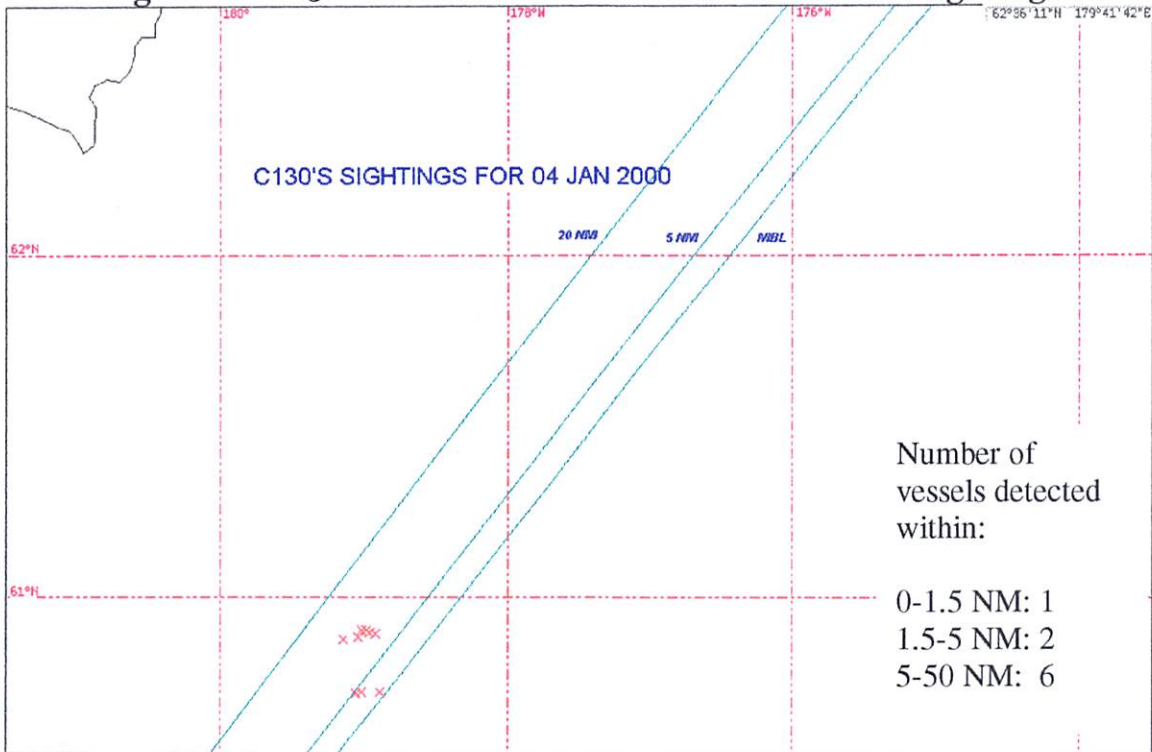
- Coast Guard C-130's flew 13 sorties totaling 99.2 hours (10 sorties in Dec, 3 sorties in Jan)
- Coast Guard HEC/MECs patrolled the M/B for 7 days
- Activity peaked on 11 December 1999, when Coast Guard aircraft detected 85 vessels fishing within 50 nm of the M/B in the RS EEZ
- On 04 January 2000, 9 vessels were detected by a Coast Guard C-130 flight fishing within 50 nm of the M/B in the RS EEZ

Figures 1 and 2 are scatterplots of peak activity days in December and January.





**Figure 2. 04 Jan 2000 M/B Scatter Plot of Aircraft Sightings**



### III. Donut Hole

F/V ORIENTAL DISCOVERER (South Korea) conducted trial fishing for pollock in the Donut hole from 15 Jan - 15 Feb and reportedly caught no pollock. This was the only vessel authorized to fish in the Donut Hole between 01 Dec 99 and 31 Mar 00.

### IV. IFQ At-Sea/Dockside Enforcement

CGD17 conducted an IFQ Pulse Operation from 15-18 March 2000. 6 cutters, Air Station Kodiak and Air Station Sitka aircraft and 6 shorebased units participated. This pulse operation promoted fishing vessel safety, rapid SAR response, and law enforcement presence. The three-day effort resulted in 16 CFVSA examinations, the issuance of 10 CFVSA decals, 4 at-sea violations, and 4 dockside violations. Approximately 30 percent of the 100 at-sea IFQ vessels were boarded, and there were no SAR cases. One small offload was reported on 15 March. Overall Coast Guard effort from 15-30 Mar is as follows:

- 33 IFQ boardings were conducted at-sea, 4 minor safety violations were issued.
- 33 dockside offloads were monitored, no significant violations
- 105 hours were spent surveilling ports for illegal IFQ activity, no illegal offloads detected
- 27 days were spent by cutters patrolling at-sea

- 40 sorties for a total of 129.3 aircraft hours from Kodiak and Sitka were flown in support of IFQ.

## **V. Atka Mackerel Fishery Enforcement**

CGC ACUSHNET monitored the 2000 Atka Mackerel fishery from 20 Jan - 05 Feb. 8 vessels participated in the opening in Area 541 during extremely harsh weather conditions. ACUSHNET detected two rookery incursions by pot boats fishing for Pacific Cod (See Appendix B for details). Both masters acknowledged that they knew of the rookeries' no-transit and no-trawl zones. Law enforcement statistics for the Atka Mackerel fishery are as follows:

- 15 cutter days were expended monitoring fishery in Jan and Feb.
- 5 C130 sorties were flown for a total of 37.2 hours (2 sorties in Jan for 15 hours, and 3 sorties in Feb for 22.2 hours.)
- Boarded 4 Atka Mackerel trawlers --F/V ALASKA JURIS and F/V ALASKA SPIRIT, owned by the Fishing Company of Alaska (FCA), F/V SEA FREEZE, a US trawler, and F/V SEA FISHER, an independent trawler.
- Conducted one offload monitor of trawler to tramper in Nazan Bay, Adak.
- Surveilled total of 42 Steller Sea Lion rookeries and closed areas.

## **VI. Opilio Crab Fishery**

During the Bering Sea Opilio Crab Fishery, the Coast Guard conducted both pre-season safety training and in-season SAR standby as part of Operation Northern Safeguard. Prior to the start of the season, the Coast Guard offered seminars covering immersion suits, liferaft, vessel stability and damage control training in Dutch Harbor. Stability book checks and man overboard surveys were conducted in Dutch Harbor (89), St. Paul (20), and King Cove (20). No vessels went to Akutan for checks since it was weathered out.

At-sea, the Coast Guard maintained a two cutter presence, one High Endurance cutter presence, equipped with an HH65 helicopter, and a Medium Endurance cutter. An HH60 helicopter was also staged in St. Paul to respond to search and rescue cases. To date, there have been no search and rescue cases. The following lists CG operational effort for this mission as of 07 April:

- 2 Coast Guard cutters patrolled for a total of 13 days
- C130's flew 8 sorties for 45.4 hours
- HH60 helicopters flew 17 sorties for a total of 64.9 hours.

## VII. CG Commercial Fishing Vessel Safety/Search and Rescue Cases

Table 1. CGD17 CFVS/Search and Rescue Case Summary						
Date	Vessel	Type	POB	Death	Vsl Loss	Cause
01/05/00	RADUGA	Seiner	3	0	Y	Took on water 5NM E Cape Chiniak. A/S Kodiak directed crew to don immersion suits, ready liferaft, energize 406 EPIRB. Vessel rolled onto side, HH60 arrived, hoisted 2 crewmembers fm liferaft, one from water.
01/12/00	NORTH COMMAND	Crabber	4	0	N	Lost electrical power and steerage 300 NM S Kodiak. A/S Kodiak C-130, CGC STORIS diverted. Vsl reestablished 1 generator online, regained steerage. Vsl transited to Dutch Harbor for permanent repairs.
01/18/00	KING PIN	?	2	0	N	Hit ice in Hoonah Sound, punctured hull, took on water. Operator unable to dewater vsl, grounded vsl to keep from sinking. A/S Sitka HH60 delivered pump, owner patched hull, vsl transited to Sitka.
01/25/00	VIGOR	Pot	2	1	N	PIW vicinity Homer Spit. CGC ROANOKE ISLAND, CGC SEDGE investigated, PIW located by Homer PD. Resuscitation attempted by PD w/SEdge assisting, pronounced DOA at hospital.
01/29/00	ERMAK	Trawler	3	0	Y	A/S Kodiak received distress call fm vsl, stating that it was sinking. F/V HELIOS took crew off vsl, vsl sank 40 nm S of Seward.
02/01/00	DARKSTAR	Longliner	4	0	N	Took on water ivo Marmot I. Did not request assistance. Vsl started to heel, A/S Kodiak HH60 launched to assess situation. Vsl dewatered, transited to Izhut Bay to effect repairs.
02/11/00	AMERICAN STAR	Crabber	5	0	N	Developed engine room fire, adrift 9 NM SE Cape Lazaref. CGC MELLON diverted, A/S Kodiak launched C-130, HH60. MELLON launched HH65, hoisted 5 POB to Cold Bay, no injuries. Vsl grounded 2 NM E of Cape Lazaref. MSO Anchorage overseeing M/V REDEEMER conduct salvage and lightering operations.
02/12/00	LIL LUGGER	Gillnetter	3	0	N	Voyage terminated - missing two immersion suits onboard, 1 fire extinguisher.



**Table 1. CGD17 CFVS/Search and Rescue Case Summary**

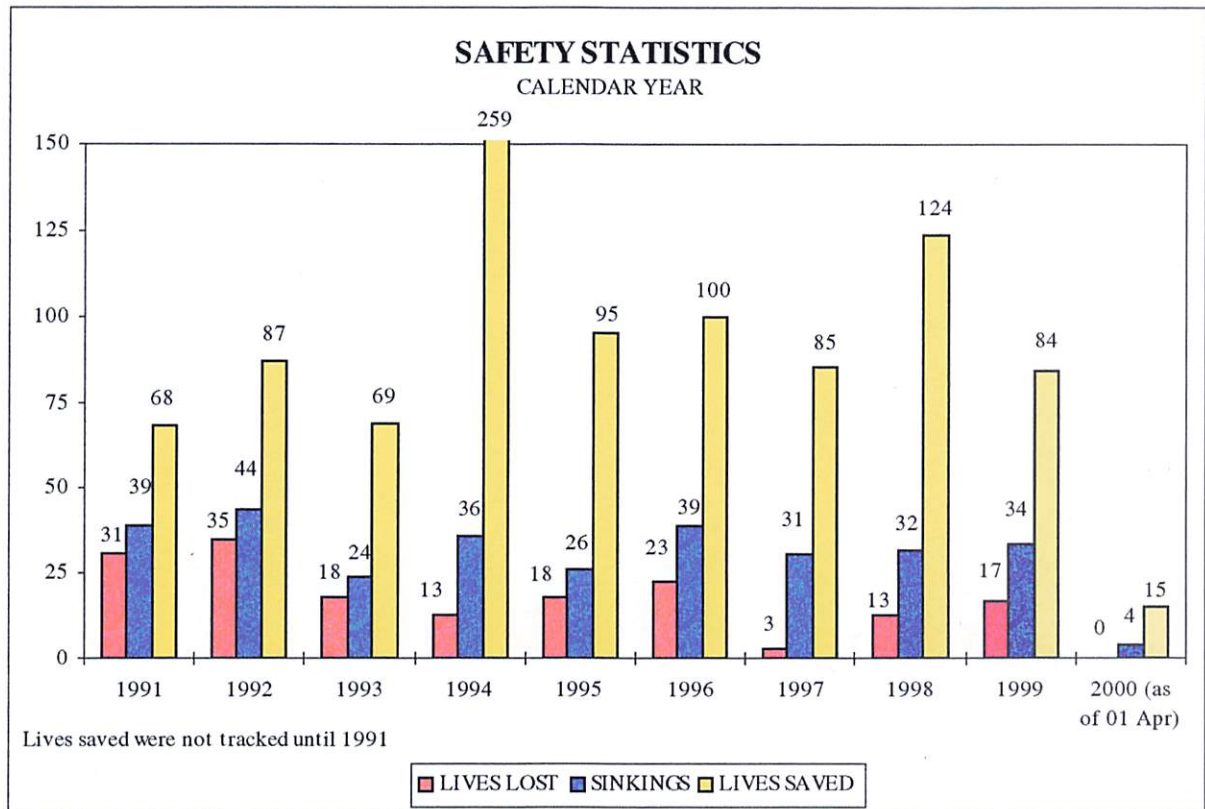
Date	Vessel	Type	POB	Death	Vsl Loss	Cause
02/17/00	SPECTRUM	Longliner	3	0	N	Ran aground on sand bar in Olga Bay. A/S Kodiak diverted HH60, master refloated vsl on next high tide. No reported damage or fuel spills.
02/17/00	SINAI	Longliner	3	0	N	Vsl struck reef in vic of Discovery Rocks at Kodiak Entrance. Vsl taken in tow by F/V VELOCITY, took on water, but pump kept up. VELOCITY towed vsl to cannery.
02/18/00	ALASKAN BEAUTY	Crabber'	4	0	N	24 ft wave, 40 kt winds broke pilot house window, water damaged bridge electronics, lost generator and steering. A/S Kodiak HH60, C130 assisted, MSO Valdez arranged for tug M/V NANUK to tow vsl to Cordova.
03/11/00	SHELLFISH	Pot		0	N	Vsl grounded on Unalga I, reported to CommSta Kodiak that it was leaking fuel into water. Master had fallen asleep at helm. Vsl pulled fm rocks by M/V REDEEMER. Approx. 200 gal diesel spilled.
03/16/00	HUNTER	Longliner	4	0	N	Voyage terminated due to insufficient # of fire extinguishers. Operator unable to produce Certificate of Documentation -- determined by CGD17 to have expired; liferaft expired since 1995, liferaft hydrostatic release torn off; EPIRB battery and hydrostatic release expired.
03/21/00	CHESAPEAKE	Longliner	5	0	N	During at-sea IFQ boarding, CGC MUSTANG boarding team noted vsl taking on 5-10 gal water/minute through stuffing box and loose planking. MUSTANG team also found 1 unserviceable immersion suit, dead batteries in PML's, no stern light. COTP order issued to fix leaks, correct discrepancies when vsl moored in Seward for offload.



Table 1. CGD17 CFVS/Search and Rescue Case Summary						
Date	Vessel	Type	POB	Death	Vsl Loss	Cause
03/23/00	RAVEN	Troller	1	0	Y	Vsl took on water after stabilizer holed vsl's side. Several F/V's attempted to give pumps to RAVEN, but sea state was too rough. A/S Sitka HH60 delivered 3 pumps to vsl, master began dewatering. F/V SEATTLE took vsl in tow, 12 nm fm Sitka when vsl capsized and sank. Operator safely recovered, returned to Sitka.
03/31/00	TROUBLE	Seiner	3	0	Y	A/S Kodiak recvd distress call fm vsl about to capsize ivo Sitkalidak, Black Pt. 3 people abandoned ship into liferaft with survival suits on. HH60 launched, recovered 3 people.

**Figure 3. Historical Overview of CFVS Statistics**

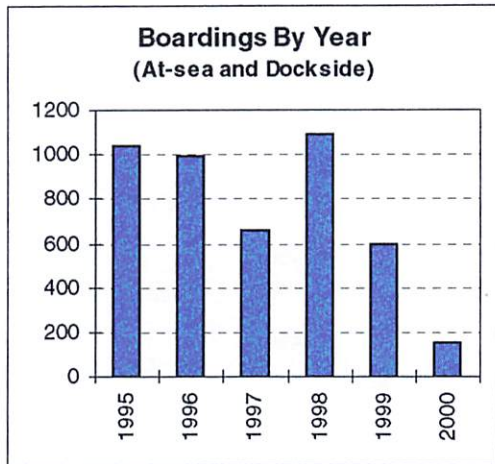
\*There was 1 life lost, 15 lives saved, and 4 vessel losses during this reporting period. Lives saved reflect direct CG participation in the SAR case.



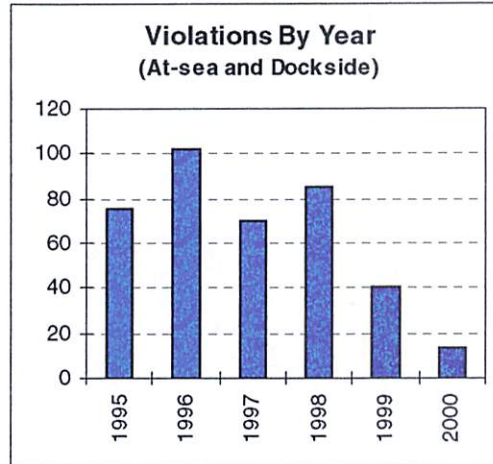
## VIII. CGD17 Boarding Statistics

There was a rise in the number of at-sea boardings conducted from December 1999 to March 2000 due to the delay of the Bering Sea Opilio Fishery --thus allowing assigned cutters to concentrate on other fisheries.

**Figure 4. Boardings By Year**



**Figure 5. Violations By Year**



DEC 1998 - MAR 1999

F/V Boardings (at sea):	88
IFQ Monitors (dockside):	41
Boarding/monitor w/fisheries vio's:	9
Violation Rate:	6.9%

DEC 1999 - MAR 2000

F/V Boardings (at sea):	120
IFQ Monitors (dockside):	33
Boarding/monitor w/fisheries vio's:	14
Violation Rate:	9.1%

## IX. Miscellaneous Admin

CGD17 conducted a pre-season MBL meeting with our FBS counterparts in Tokyo, Japan, on 2 March. Topics discussed included a professional exchange of officers, a Coast Guard cutter visit to Petropavlosk-Kamchatskiy (P-K) this spring, and joint patrols. The group also discussed providing training opportunities at the North Pacific Regional Fisheries Training Center for Russian fisheries officers. RADM Barrett and other CGD17 officers will be meeting with the FBS again in late April in P-K to continue work on these issues.

CGD17 attended the Eleventh Session of the US/RS Intergovernmental Consultative Committee on Fisheries 29-31 March, and discussed enforcement issues regarding the HSDN and MBL. The Coast Guard reported on the increased level activity along the M/B involving Russian trawlers last summer. The FBS noted that US information on the concentrations of Russian ships along the M/B was disturbing, and supported on-going cooperation between the FBS and the USCG to reduce future M/B incidents.

## Appendix A

# Boardings Without Violations

Vessel Name	Date	Vessel Type	Species	Area
ALASKA JURIS	1/28/00	Trawl, C/V	Atka mackerel	541
ALASKA MIST	1/16/00	Longliner	Pacific cod	509
ALASKA SPIRIT	1/28/00	Trawl, C/V	Atka mackerel	541
ALASKA WARRIOR	2/27/00	Trawl, C/P	Rock sole	509
ALASKAN ROSE	1/21/00	Trawl, C/V	Pacific cod	509
ALASKAN WARRIOR	3/22/00	Trawl, C/V	Yellowfin sole	513
ALEUT STAR	3/17/00	Pot Boat	No Product/No Fishery	630
ALLIANCE	2/15/00	Pot Boat	Pacific cod	3A
ARCTIC STORM	2/13/00	Trawl, C/P	Pollock	509
ARICA	2/21/00	Trawl, C/V	Rock sole	509
ARIZONA	3/17/00	Longliner	Halibut	3A
BERING PROWLER	1/15/00	Longliner	Pacific cod	610
BIG VALLEY	3/18/00	Pot Boat	Pacific cod	630
BLUE NORTH	1/13/00	Longliner	Pacific cod	509
CAPE HORN	3/21/00	Trawl, C/V	Yellowfin sole	513
CHARLES T	3/15/00	Longliner	No Product/No Fishery	2C
CINNAMON GIRL	3/ 8/00	Pot Boat	Gold/brown king crab	
CORNELIA MARIA	2/ 3/00	Pot Boat	Pacific cod	542
DAKOTA	1/20/00	Longliner	Pacific cod	640
DEFENDER	3/18/00	Trawl, C/P	No Product/No Fishery	509
DESTROYER	3/15/00	Longliner	No Product/No Fishery	3A
DREAM MAID	2/14/00	Pot Boat	No Product/No Fishery	2A
DUNDAS	3/17/00	Longliner	Halibut	2C
FINN	3/16/00	Longliner	Halibut	2C
GAFF RK	3/16/00	Longliner	Halibut	2C
GALATEA	2/14/00	Multi-rigged	No Product/No Fishery	2A

Vessel Name	Date	Vessel Type	Species	Area
GENNY III	1/21/00	Multi-rigged	No Product/No Fishery	659
GULF MAIDEN	3/18/00	Longliner	Halibut	3A
HAIDA CHIEF	2/14/00	Pot Boat	No Product/No Fishery	2A
HALF MOON BAY	2/ 8/00	Trawl, C/V	Pacific cod	517
HOYDEN	3/14/00	Longliner	No Product/No Fishery	2C
INDEPENDENCE	1/26/00	Pot Boat	Pacific cod	630
ISLAND DANCER	2/25/00	Pot Boat	Gold/brown king crab	
ISLAND ENTERPRISE	1/26/00	Trawl, C/P	Pacific cod	517
JADE ALASKA	3/18/00	Longliner	Halibut	3A
JANNA LEE	2/15/00	Pot Boat	No Product/No Fishery	2A
JENNIFER LEE	2/15/00	Pot Boat	No Product/No Fishery	2A
JON K	3/14/00	Longliner	No Product/No Fishery	2C
KATHLEEN K	2/14/00	Pot Boat	No Product/No Fishery	2A
KATRINA	3/15/00	Longliner	Halibut	2C
KAYSIE	3/16/00	Longliner	Halibut	2C
KEKU QUEEN	3/16/00	Longliner	Halibut	2C
KETA	3/15/00	Longliner	No Product/No Fishery	2C
KISKA	12/25/9	Other fishing vessel	No Product/No Fishery	630
KJEVJOLA	1/24/00	Longliner	Pacific cod	509
KODIAK ENTERPRISE	3/ 4/00	Trawl, C/P	Pollock	509
KONA KAI	2/15/00	Pot Boat	Pacific cod	3A
LADY BLACKIE	1/26/00	Pot Boat	Pacific cod	630
LAST ONE	2/ 1/00	Longliner	Pacific cod	3A
LAURA S	1/26/00	Pot Boat	Pacific cod	630
LEGACY	1/31/00	Trawl, C/V	Rock sole	509
LISOV	1/20/00	Longliner	Pacific cod	640
MAJESTY	2/12/00	Trawl, C/V	Pacific cod	517
MAR-GUN	3/27/00	Trawl, C/V	Pacific cod	518
MARY J	1/26/00	Pot Boat	Pacific cod	630
MELISSA LYNN	3/15/00	Longliner	No Product/No Fishery	2C
MONARQUE	3/17/00	Pot Boat	Pacific cod	630



Vessel Name	Date	Vessel Type	Species	Area
MR. B	1/21/00	Pot Boat	Pacific cod	518
NATURE	3/16/00	Longliner	Halibut	3A
NOMAD II	3/16/00	Longliner	Halibut	3A
NORTH CAPE	1/25/00	Troller	No Product/No Fishery	
NORTHERN EAGLE	3/23/00	Trawl, C/P	Yellowfin sole	513
NORTHERN GLACIER	1/28/00	Trawl, C/P	Pollock	517
NORTHERN HAWK	1/29/00	Trawl, C/P	Pollock	517
NORTHERN SEA	2/12/00	Pot Boat	No Product/No Fishery	2A
OCEAN CAPE	3/14/00	Longliner	No Product/No Fishery	2C
OCEAN PROWLER	1/13/00	Longliner	Pacific cod	509
PAVLOF	1/21/00	Pot Boat	Pacific cod	518
PERSISTANCE	2/ 8/00	Trawl, C/V	Pollock	620
POLAR SEA	2/ 3/00	Pot Boat	Pacific cod	542
PROVIDER	3/16/00	Longliner	Halibut	2C
REBECCA IRENE	3/21/00	Trawl, C/V	Yellowfin sole	513
ROCINANTE	3/18/00	Longliner	Halibut	3A/3B
SE DREAM	2/ 8/00	Pot Boat	Pacific cod	620
SEA FISHER	1/29/00	Trawl, C/V	Atka mackerel	541
SEA FREEZE ALASKA	1/29/00	Trawl, C/V	Atka mackerel	541
SEA HAWK	3/15/00	Longliner	No Product/No Fishery	2C
SEA MAC	3/10/00	Trawl, C/V	Pollock	640
SHADOW FAX	12/2/99	Charter Boat	No Product/No Fishery	630
SHAMAN	1/17/00	Pot Boat	Pacific cod	519
SPIRIT	3/16/00	Longliner	Halibut	2C
STARWARD	2/12/00	Trawl, C/V	Pacific cod	517
TACOMA	2/26/00	Pot Boat	Bairdi tanner crab	
TANI REA	2/15/00	Pot Boat	No Product/No Fishery	2A
TOTEM	2/15/00	Pot Boat	Pacific cod	3A
TRACKER	3/16/00	Longliner	Halibut	3A
VETER	3/18/00	Longliner	Halibut	3A

<b>Vessel Name</b>	<b>Date</b>	<b>Vessel Type</b>	<b>Species</b>	<b>Area</b>
VULCAN	3/15/00	Longliner	No Product/No Fishery	2C
VULCAN	3/16/00	Longliner	Halibut	2C
WESTWARD WIND	1/20/00	Pot Boat	Pacific cod	518
WHIDBY	3/16/00	Longliner	Halibut	2C
WILDCARD	1/27/00	Pot Boat	Pacific cod	630
YERMON	1/27/00	Longliner	Pacific cod	630
ZOLOTOI	1/18/00	Pot Boat	Pacific cod	519

## *Appendix B*

# Boardings With Violations

<b>Vessel Name</b>	<b>Date</b>	<b>Vessel Type</b>	<b>Species</b>	<b>Area</b>	<b>Violation</b>	<b>Violation Notes</b>
ADVENTURE	2/3/00	Pot Boat	Pacific cod	542	Fisheries Regs Violation	FISHING INSIDE ADAK ROOKERY NO TRANSIT ZONE, ISSUED SUMMARY SETTLEMENT
ALASKA DAWN	2/7/00	Trawl, Catcher Vessel	Pacific cod	610	Fisheries Regs Violation	FAILURE TO RETAIN BYCATCH
AMBER DAWN	2/18/00	Trawl, Catcher Vessel	Pollock	509	Fisheries Regs Violation	NO OBSERVER COVERAGE (100% RULE) W/IN RED KING CRAB SAVINGS SUBAREA
AMERICAN VIKING	1/21/00	Pot Boat	Pacific cod	541	Fisheries Regs Violation	ISSUED SUM/SET FOR TRANSITING W/IN AGLIGADAK STELLAR SEA LION ROOKERY AND FAILURE TO SUBMIT A CK-IN/CK-OUT REPORT
ASHLEE CHRISTINE C	3/29/00	Pot Boat	State Pacific cod	N/A	CFVSA Violations	VARIOUS SAFETY VIOLATIONS (*Information on specific safety violations available in next report.)

Vessel Name	Date	Vessel Type	Species	Area	Violation	Violation Notes
BLUE HARVEST	1/22/00	Longliner	Pacific cod	659	CFVSA Violation	NO LIFERAFT, EPIRB IN OFF POSITION, EXPIRED HYDROSTATIC RELEASE AND REGISTRATION
DEFENDER	3/31/00	Pot Boat	Pacific cod	N/A	CFVSA Violation	EXPIRED LIFERAFT, HYDROSTATIC RELEASE, PML ON IMMERSION SUIT.
DON A	3/17/00	Longliner	Halibut	2C	CFVSA Violation	EXPIRED COD / SURVIVAL CRAFT, NO FCC LICENSE, MISSING PML ON IMMERSION SUIT
FORTUNE	3/14/00	Longliner	No Product/ No Fishery	2C	CFVSA Violation	EXPIRED FLARES
HI-TECH	2/14/00	Pot Boat	No Product/ No Fishery	2A	CFVSA Warning	EXPIRED FLARES
HUNGRY JACK	2/ 8/00	Pot Boat	No Product/ No Fishery	2C	CFVSA Violation	IMPROPER STOWAGE OF BOUYANT APPARATUS, AND BROKEN FLOAT LIGHT
HUNTER	3/17/00	Longliner	Halibut	3A	CFVSA Violation	VOYAGE TERMINATED - INOPERABLE FIRE EXTINGUISHERS, NO COD, EXPIRED COD.
LADY BARBARA	3/17/00	Longliner	Halibut	2C	CFVSA Violation	EXPIRED FLARES
LIL LUGGER	2/12/00	Gillnetter	No Product/ No Fishery	2A	CFVSA Violation	Voyage terminated - MISSING TWO IMMERSION SUITS, 1 FIRE EXTINGUISHER.



<b>Vessel Name</b>	<b>Date</b>	<b>Vessel Type</b>	<b>Species</b>	<b>Area</b>	<b>Violation</b>	<b>Violation Notes</b>
MAGIC MAIDEN	3/17/00	Charter Boat	No Product/ No Fishery	630	CFVSA Warning	EXPIRED FLARES
MIKADO	3/28/00	Pot Boat	Pacific cod	N/A	CFVSA Violation	INOPERABLE NAV LIGHT, NO RING BUOY, DISCHARGE OF MSD INSIDE 3 NM, NO WASTE MGMT PLAN
NITE LITE	3/16/00	Longliner	Halibut	3A	Fisheries Regs Violation	FAILURE TO USE SEABIRD AVOIDANCE
PACIFIC KNIGHT	3/ 9/00	Trawl, Catcher Vessel	Pollock	509	CFVSA Warning	EXPIRED EPIRB BATTERY
PACIFIC MAID	2/ 7/00	Pot Boat	Pacific cod	610	Fix-it Ticket	NO FEDERAL FISHERIES PERMIT ON BOARD - 30 DAY FIX-IT NOTICE.
PHOENIX	2/12/00	Pot Boat	No Product/	2A	CFVSA Violation	EXPIRED FLARES AND HYDROSTATIC RELEASE ON EPIRB.
POLAR LADY	3/11/00	Pot Boat	Pacific cod	519	CFVSA Warning	EXPIRED EPIRB BATTERY
SEATTLE STAR	1/27/00	Longliner	Pacific cod	541	Fisheries Regs Violation	OBSERVER ABOARD W/OUT CURRENT CFVSA DECAL
SHIRLEY N	3/16/00	Longliner	Halibut	2C	CFVSA Violation	EXPIRED LIFERAFT (SEP 99)

<b>Vessel Name</b>	<b>Date</b>	<b>Vessel Type</b>	<b>Species</b>	<b>Area</b>	<b>Violation</b>	<b>Violation Notes</b>
SVET	1/28/00	Longliner	Pacific cod	630	Fisheries Regs Warning	NO COD O/B.
TAASINGE	2/ 8/00	Trawl, Catcher Vessel	Pollock	620	Fisheries Regs Violation	ISSUED VIOLATION FOR 5 UNDERSIZED HALIBUT FOUND ENTANGLED IN STOWED TRAWL NET.
UNIMAK	3/ 3/00	Trawl, Catcher Vessel	Rock sole	509	Fisheries Regs Violation	IR/U: FAILURE TO RETAIN MAXIMUM RETAINABLE BYCATCH OF POLLOCK WHILE IN A 20% BYCATCH STATUS

## *Appendix C*

# Dockside IFQ Offloads

<b>Date</b>	<b>Vessel</b>	<b>Species</b>	<b>Violation</b>	<b>Violation Notes</b>
3/17/00	FIN	200		
3/17/00	VIXEN	200		
3/17/00	SPIRIT	200		
3/17/00	TWILIGHT	200		
3/17/00	CARILYN ANN	200		
3/17/00	LONE FISHERMAN	200		
3/17/00	HALEY MARIE	200		
3/17/00	BOLD	200	BWI	OFFLOAD LED TO BWI CASE
3/17/00	RUNAWAY	200		
3/17/00	DON A	200	CFVS Violation	COD EXPIRED FOR 5 YEARS, LIFERAFT EXPIRED 7 YEARS, INSUFFICIENT FLARES/EXPIRED, 1 IMMERSION SUIT MISSING PML.
3/17/00	SUNDEE LYNN	200		
3/18/00	SILVERSWORD	200		
3/18/00	MATILDA BAY	200		
3/18/00	CAROLINE	200		
3/18/00	CHESAPEAKE	200/710	Fisheries Regs Violations	NO FEDERAL FISHERIES PERMIT, LOG, 18 UNDERSIZE HALIBUT, NO SEABIRD AVOIDANCE.
3/18/00	SIERRA MAR	200/710	Fisheries Regs Violation	6 UNDERSIZE HALIBUT
3/18/00	ELIZABETH S	200		
3/18/00	DUNDAS	200		
3/18/00	ASHLEY	200		
3/18/00	VULCAN	200		
3/18/00	KRAKEN	200/710		
3/20/00	SEA HAWK	200		
3/20/00	SPAWN	200/710	Fisheries Regs Violation	564 LB BYCATCH OVERAGE SHORTRAKER/ROUGHEYE
3/22/00	PATIENCE	200		

<b>Date</b>	<b>Vessel</b>	<b>Species</b>	<b>Violation</b>	<b>Violation Notes</b>
3/24/00	ODIN	200/710		
3/24/00	KALLISTE	200		
3/26/00	CAROL LOUISE	200		
3/27/00	FORTUNE	200		



# **ENFORCEMENT REPORT FOR THE PERIOD 12/1/1999 THROUGH 4/7/2000**

NOAA Fisheries - Office for Law Enforcement  
Alaska Enforcement Division

During the reporting period, NMFS and the Coast Guard opened a total of 150 cases. NMFS initiated 145 of these investigations.

Further action was also taken on 527 pending cases during the reporting period. Twenty-three investigations were closed as unfounded, 19 investigations were closed due to lack of evidence, 46 investigations were closed due to lack of Enforcement resources, and 6 investigations were dismissed for other reasons. Thirty cases were referred to other Regions or other agencies. Twenty-five cases were handled with written warnings or Fix-it tickets. Seventy-three cases were handled with summary settlement payments totaling \$109,201. Twenty-six cases were settled through voluntary abandonment of forfeited proceeds and property valued at \$12,034. Seventy-nine cases were referred to NOAA General Counsel. General Counsel issued 130 NOVAs for a total of \$530,138 in penalties and settled 70 cases for approximately \$204,500 in penalties.

## **STRATEGIC PLAN**

The NOAA Fisheries Office for Law Enforcement (OLE) develops a strategic plan that reaches out three to five years into the future. This plan was recently updated by OLE, approved by NMFS internally and will be sent out for public review and comment. It has three major goals:

**Goal 1. Establish and Promote Community Oriented Policing and Problem Solving (COPPS) Philosophy**

**Goal 2. Improve Compliance through Advanced Technology**

**Goal 3. Target Major Marine Resource Offenders**

I will categorize our enforcement efforts within these three goals, as well as the Foundations of Excellence from the strategic plan, as a format for reporting to the NPFMC on an ongoing basis. In addition I will provide investigative statistics from our quarterly reports as closely as they match with council meetings.

## **Goal 1. Establish and Promote Community Oriented Policing and Problem Solving (COPPS) Philosophy**

Most agents and officers reestablished contacts in preparation for the groundfish and IFQ seasons. They reviewed recordkeeping and reporting requirements and made sure the industry knows how to contact their local enforcement office if there is a problem.

Officers Duncan, Forst, Pristas, and ASAC McNally (Sitka) attended an industry meeting hosted by Seafood Producer's Co-op to discuss mutual problems regarding landings from state and federal waters.

Officer Moore and Agent Raterman (Dutch Harbor) spent much time working with office walk-ins during January, February and Early March. They had, on average, no fewer than 10 fisherman a day with one day numbering about 30. LLP, trawling and AFA questions were the biggest issues.

EO Thaute and EO Wisher (Homer) went to Ocean House B & B in response to a request to discuss the Charter Halibut Allocation proposals with local members of the B&B Association. EO Wisher was able to point out the purpose for allocations and the concern for declining stocks in our area, and both officers felt the meeting went well and good contacts were made with industry.

DSAC Gailbreath and ASAC Dubois (Anchorage) attended a Magnuson-Stevens re-authorization meeting. Penny Dalton sat on panel representing NMFS. No direct enforcement issues were brought up, but many interesting issues were discussed, and the agents were able to introduce themselves to many new individuals and groups as well as renew contact with old friends from industry, government, non governmental groups and the general public.

AED had a booth at Fish Expo in Seattle and at Comfish in Kodiak. Enforcement staff had a great deal of public contact and was able to answer many questions and listen to peoples ideas and concerns.

AED also had a booth at the UAA career day and made contact with many college students about our program and how and what potential law enforcement candidates might do to enhance their opportunity to work for the NOAA Office for Law Enforcement.

Officer Paul Newman hosted a booth in the annual Juneau community wide KidSafe program. His message involved being safe around marine mammals and other wild animals. The focus was "Look but don't touch or otherwise disturb". Officer Newman was assisted by SAC Meyer.

Several members of NOAA Fisheries, both from Management and Enforcement, along with USCG representatives, met with members of the Seattle based Fishing Vessel Owners Association in late March. Vessel owners and operators presented numerous issues and questions regarding regulations,

policies and practices. The government representatives worked in conjunction to listen and answer as many issues and questions as possible and over all the meeting went well.

**Goal 2. Improve Compliance through Advanced Technology**

In this area, Vessel Monitoring System hardware and software testing continues.

**Goal 3. Target Major Marine Resource Offenders**

**1. OBSERVER CASE INVESTIGATIONS**

**CY99 OBSERVER REPORTED VIOLATIONS**

During 1999, the Alaska Enforcement Division received 314 observer affidavits from the NMFS Groundfish Observer Program. These affidavits resulted in the initiation of 179 cases/investigations which were pursued (or are currently being pursued) by AED. The affidavits broke down as follows:

Interference/Sample Bias (presorting, failure to notify, etc)	83	26.4%
IR/IU violations	74	23.6%
Mishandling/retaining prohibited species	56	17.8%
Seabird Violations	22	07.0%
Other (info reports, MARPOL, Oil pollution etc.)	21	06.7%
CDQ violation (bin marking, lighting etc.)	19	06.1%
Assault/Sexual Harassment/Harassment	19	06.1%
Inadequate accommodations	08	02.6%
Miscellaneous groundfish violations	06	01.9%
MMPA/ESA violation	03	01.0%
Failure to maintain safe conditions/FV Safety decal (NMFS reg)	02	00.6%
Contractor Responsibilities (failure to meet)	01	00.3%
<b>Total reports received by AED from OPO during CY 1999</b>	<b>314</b>	

Note: Multiple affidavits for the same vessel may be combined into one investigation/case. Also, some affidavits provide insufficient information to support initiation of an investigation at this time.

An alleged sexual harassment case is being investigated as we meet. The case involves heavy verbal harassment after notification of the individuals and company representatives. No physical contact has been detected to date but intolerable work conditions appear to exist.

## 2. ESA/MMPA

Cook Inlet Beluga are returning to the Cook Inlet for their seasonal migration. AED has already received information about potential hunting efforts on this depleted stock of whales. Due to the status of the CI Beluga whale population, the current moratorium on Native hunting and the high level of importance of protecting this stock of animals, AED is making a special effort to deter illegal hunting and prevent the loss of any CI Belugas. A taskforce operation is under initial planning for the season that is upon us. Outreach and deterrence are the primary focus of this operation however the AED stands prepared to investigate and prosecute those who would take or attempt to take a CI Beluga, to the fullest extent of the law.

The following speaking points are part of the ongoing outreach plan to raise awareness of the issue and to help in deterring illegal hunting:

- Legislation was passed on May 21, 1999 which prohibits hunting without a cooperative agreement in place with NMFS.
- Beluga whales are back. Under the 1999 prohibition, the beluga whale population increased for the first time in 5 years.
- No lawful hunting under any circumstances can be conducted at this time.
- We are working the Cook Inlet Marine Mammal Council to authorize a limited subsistence harvest through an agreement. Any illegal harvest would compromise that effort.
- The recovery of these whales is dependent on a very limited harvest.
- We are close to making a decision on listing the beluga whales. An illegal hunt would likely jeopardize the recovery of the Cook Inlet beluga whale.
- If anyone is aware of potential or actual illegal hunting, please contact NOAA Fisheries Office of Law Enforcement at 907 271-3021 or our hotline at 1-800-853-1964. Callers can remain anonymous and, under the MMPA, a reward may be available if report leads to conviction.

## 3. RECORDKEEPING AND REPORTING VIOLATIONS

Several fraud and false reporting cases were newly developed or continued during the reporting period. They run the gamut from IFQ to Groundfish to MMPA/ESA. Complex civil and criminal cases are ongoing and as details can be released, I will keep the Council informed.

#### 4. IFQ PROGRAM

On 3/24/00, Lloyd Collins was sentenced to 6 months in prison and 6 months of home confinement, ordered to pay a \$3,000 fine, surrender all of his halibut IFQs and serve 3 years probation during which time he has to check in and out with the AED Anchorage office prior to and after every commercial fishing trip. The Agency has seized and is seeking forfeiture of his vessel, the 52' *Skiff 9*.

Poaching by un-permitted vessels and fishing in one area and misreporting it as another, remain high priority investigations for the AED.

#### PERSONNEL, TRAINING AND EQUIPMENT

AED is nearly fully staffed with just two vacant positions (37 out of 39). All commissioned personnel went to the semi-annual in-service training at the Federal Law Enforcement Training Center in one of two sessions held in January and February. Basic training is ongoing for about 13% of the workforce which includes dockside introduction to the various people, vessels, and plants involved in the fisheries.

AED has received five of it's six small vessels, with the sixth due for delivery to Kodiak next week. As previously reported to the Council, the purpose of these small vessels is to allow our personnel a faster response to outlying ports. Three of these vessels are in Southeastern Alaska (Ketchikan, Sitka, and Petersburg), one in Souther Central Alaska (Homer), and two scheduled for Western Alaska (Kodiak and Dutch Harbor). Vessels range in size from 26 to 36 feet in length.

National Marine Fisheries Service  
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



Second Quarter FY00  
January 1 - March 31, 2000

To report fisheries violations,  
call our National Hotline at 1-800-853-1964.

ALASKA ENFORCEMENT DIVISION

**Quarterly Report**  
**January 1, 2000 - March 31, 2000**

Contents

Number of Cases Opened By Investigation Type ..... 3

Complaint Action ..... 4

Cases Opened ..... 5

Catch Seizures ..... 8

Cases Forwarded to General Counsel For Further Action ..... 9

Other Case Actions ..... 11



**NUMBER OF CASES OPENED BY INVESTIGATION TYPE**  
**FY99 and FY00**

Investigation Type	FY99 2nd Quarter	FY99 Total	FY00 2nd Quarter	FY00 Total
ESA	0	11	2	3
Lacey Act	1	1	0	0
Magnuson Act	75	620	53	268
MMPA	9	59	13	24
North Pacific Halibut Act	3	24	7	9
Other Federal Regulations	0	21	0	6
State Regulations	2	13	7	14
Documentation and Safety	0	1	0	0
<b>TOTAL</b>	<b>90</b>	<b>750</b>	<b>82</b>	<b>324</b>

## COMPLAINT ACTION

01/01/2000 - 03/31/2000

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	3	2
Marine Mammal (MMPA)	7	2
Endangered Species Act (ESA)	2	1
Other ( <i>list</i> ) - State Regs	2	0
Other ( <i>list</i> ) - Halibut Act (NPHA)	3	1
<b>TOTAL</b>	17	6

Number of Complaints Assigned CCN's	17
Number of Complaints Upgraded	0
Unfounded Complaints Assigned CCN's	6
Number of Complaints not Assigned CCN's	3
Number of Hotline Complaints	3

\* Cases closed with verbal warning and are included under cases closed as dismissed, unfounded.

**CASES OPENED**  
 01/2000 - 03/31/2000

Total Number of Cases Opened = 83

Case Number	Cnt	Type	Regulation	Description of Violation
AK000001A	2	FM/V	679.7(a)(10)	RECORDKEEPING VIOLATIONS
AK000004A	1	MM/V	223.202(a)(2)(i)	UNLAWFUL TRANSIT THROUGH SEA LION ROOKERY
AK000005A	1	MM/V	223.202(a)(2)(i)	UNLAWFUL TRANSIT WITHIN A SEA LION ROOKERY
AK000006A	1	MM/V	223.202(a)(2)(i)	UNLAWFUL FISHING WITHIN SEA LION ROOKERY
AK000006A	2	FM/V	679.7(a)(10)	FAILURE TO CHECK-IN
AK000006A	3	FM/V	679.4(k)(1)(i)	FAILURE TO SHOW PROOF OF LLP PERMIT
AK000006A	4	FM/V	600.730(3)	FAILURE TO HAVE A BOARDING LADDER
AK000007A	1	FM/V	679.27(c)(i)(B)	FAILURE TO RETAIN POLLOCK WHILE IN A BYCATCH STATUS
AK000008A	1	FM/V	679.50(f)(ii)	FAILURE TO POSSESS A CFVSA DECAL
AK000008A	2	FM/V	679.5(a)(14)(vi)	FAILURE TO SUBMIT LOG PAGES IN A TIMELY MANNER
AK001001A	1	MM/I	216.11(b)	
AK001003A	1	FM/V	679.7(i)(2)	POLLOCK TRIP LIMIT OVERAGE
AK001004A	1	FM/V	679.22(a)(11)	
AK001005A	1	FM/W	679.4(l)(1)(i)	FAILURE TO HAVE AFA PERMIT ONBOARD VESSEL
AK001006A	1	FM/V	679.7(i)(2)	POLLOCK TRIP LIMIT OVERAGE (136 MT)
AK001007A	1	FM/W	679.62(a)(2)(b)	FAILURE TO SORT/WEIGH CATCH LANDED BY AFA CATCHER VESSEL
AK001008A	1	FM/V	679.7(a)(2)	UNLAWFUL DIRECTED FISHING FOR POLLOCK
AK001009A	1	SR/C	STATE REGS	UNLAWFUL BOTTOM TRAWL GEAR IN STATE WATERS
AK001010A	1	SR/V	STATE REGS	UNLICENSED CREWMEMBER
AK001010A	2	SR/V	STATE REGS	UNLAWFUL EMPLOY OF AN UNLICENSED CREW
AK001011A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK001012A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK001013A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK001014A	1	FM/V	679.7(a)(10)	FAILURE TO LOG REQUIRED INFORMATION IN DCPL
AK001015A	1	FM/V	679.7(g)(6)	FAILURE TO OBTAIN OBSERVER COVERAGE
AK001016A	1	FM/V	679.7(g)(6)	FAILURE TO OBTAIN OBSERVER COVERAGE
AK001017A	1	FM/V	679.7(a)(10)	FAILURE TO MEET OBSERVER COVERAGE REQUIREMENTS
AK001018A	1	FM/V	679.7(a)(2)	POLLOCK BYCATCH OVERAGE
AK001019A	1	FM/V	679.7(a)(2)	ROCKFISH BYCATCH OVERAGE
AK001020A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK001021A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK001022A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK001023A	1	FM/V	679.7(a)(16)	POLLOCK BYCATCH OVERAGE
AK002001A	1	ES/C	1538	DEAD SEA TURTLE FOUND
AK002002A	1	ES/C	1538	SIGHTING OF SEA LION WITH TIRE AROUND NECK
AK002003A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002004A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002005A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002006A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002007A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002008A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002009A	1	SR/V	STATE REGS	THEFT OF MONEY ABOARD M/V KENNICOTT
AK002010A	1	FM/V	679.7(a)(10)	VARIOUS RECORDKEEPING AND REPORTING VIOLATIONS

**CASES OPENED (Continued)**

Case Number	Cnt	Type	Regulation	Description of Violation
AK002010A	2	FM/W	679.7(a)(10)	VARIOUS RECORDKEEPING AND REPORTING VIOLATIONS
AK002011A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE
AK002012A	1	FH/C	300	UNLAWFUL TAKE OF COMMERCIAL HALIBUT DURING CLOSED SEASON
AK002013A	1	FH/C	300.62-17(3)	FAILURE TO WEIGH/RECORD ALL HALIBUT ONBOARD VESSEL
AK002014A	1	FM/V	679.42(h)(2)(ii)	TAC OVERAGE VESSEL CAP ALLOCATION
AK002015A	1	MM/C	216.13(b)	UNLAWFUL OFFER FOR SALE OF MARINE MAMMAL PARTS (SEAL MEAT)
AK002016A	1	FM/W	679.7(f)(1) / 679.5(1)(2)	FAILURE TO OBTAIN IFQ WAIVER FROM A LOCAL CLEARING OFFICER
AK002017A	1	FH/V	300.62-13(1)(a)	UNLAWFUL POSSESSION OF UNDERSIZE HALIBUT
AK002018A	1	FH/V	300.62-13(1)(a)	UNLAWFUL UNDERSIZE HALIBUT
AK002019A	1	MM/V	216.12(b)	UNLAWFUL TAKE OF HARBOR SEAL
AK002020A	1	SR/V	STATE REGS	SHRIMP FISHING DURING CLOSED SEASON
AK002021A	1	FH/C	300.62	UNLAWFUL TRADE/BARTER FOR/OF HALIBUT
AK002022A	1	SR/I	STATE REGS	FAILURE TO HAVE STATE MISC. FINFISH CARD
AK002023A	1	MM/C	216.11(b)	MARINE MAMMAL HARASSMENT (UNLAWFUL FEEDING OF SEA LIONS)
AK002024A	1	FM/W	679.7(f)(12)	UNLAWFUL IFQ LANDING (NO PRIOR NOTICE OF LANDING)
AK002025A	1	FH/W	300.62-12(2)	FAILURE TO COMPLETELY OFFLOAD ALL HALIBUT ONBOARD
AK002028A	1	FM/W	679.7(a)(10)	FAILURE TO SUBMIT VAR PRIOR TO CROSSING US EEZ
AK002029A	1	MM/C	216.11(b)	MARINE MAMMAL HARASSMENT (WHALE HARASSMENT)
AK003001A	1	FM/V	679.7(a)(10)	FAILURE TO SUBMIT YELLOW COPIES OF LOGBOOK PAGES
AK003002A	1	FM/V	679.5(1)(2)(iv)	UNLAWFULLY UNLOAD IFQ HALIBUT AFTER HOURS
AK003002A	2	FM/V	300.62-13(2)	UNLAWFUL POSSESSION OF DISFIGURED IFQ HALIBUT
AK003003A	1	FM/V	679.5(1)(2)(iv)	UNLAWFULLY OFFLOAD IFQ HALIBUT AFTER HOURS
AK003003A	2	FM/V	300.62-13(2)	UNLAWFUL POSSESSION OF DISFIGURED IFQ HALIBUT
AK003004A	1	MM/I	216.11	UNLAWFUL TAKE OF MARINE MAMMALS (WHALE HARASSMENT)
AK003005A	1	FM/V	679.7(a)(2)	ROCKFISH BYCATCH OVERAGE
AK003006A	1	SR/C	STATE REGS	FAILURE TO SUBMIT STATE FISH TICKETS TO IPHC
AK003007A	1	FM/C	679.5(g)	FAILURE TO SUBMIT PTR'S IN A TIMELY MANNER
AK003008A	1	FM/V	679.7(a)(3)	FAILURE TO OBTAIN ADEQUATE OBSERVER COVERAGE
AK003009A	1	MM/C	216.23	UNLAWFUL SALE OF MARINE MAMMAL PARTS
AK003010A	1	MM/I	216.13(b)	ILLEGAL SALE OF SPERM WHALE IVORY
AK003011A	1	SR/V	STATE REGS	BYCATCH OVERAGE
AK003012A	1	FH/V	300	UNLAWFUL TAKE/POSSESSION OF UNDERSIZE HALIBUT
AK003013A	1	FM/V	679.7(a)(1)	UNLAWFUL TAKE/POSSESSION OF GROUND FISH WITHOUT PERMIT
AK003014A	1	FM/C	679.7(f)(1)	FAILURE TO OBTAIN PRIOR NOTICE OF LANDING
AK003015A	1	FM/C	679.7(f)(1)	
AK003016A	1	FM/V	679.5(g)	LATE SUBMISSION OF PRODUCT TRANSFER REPORTS
AK003016A	2	FM/V	679.7(a)(10)	LATE SUBMISSION OF PRODUCT TRANSFER REPORTS
AK003017A	1	MM/C	216	

### CASES OPENED (Continued)

Case

Number	Cnt	Type	Regulation	Description of Violation
AK003018A	1	FM/V	679.7 (a) (13)	UNLAWFUL DISCARD OF IFQ HALIBUT
AK003019A	1	FM/V	679.7 (f) (13)	INVALID IFQ HIRED SKIPPER CARD USED FOR IFQ LANDING
AK003020A	1	FM/V	679.7 (a) (10)	FAILURE TO COMPLY WITH RECORDKEEPING AND REPORTING REGS
AK003021A	1	MM/C	216	SAFETY CONCERNS WITH SEA LIONS ON DOCKS
AK003022A	1	FM/V	679.7 (f)	IFQ SABLEFISH OVERAGE
AK003023A	1	FM/I	679.5	FAILURE TO SUBMIT WEEKLY PRODUCTION REPORTS
AK991068A	1	FM/V	679.7 (a) (2)	SABLEFISH/ROCKFISH BYCATCH OVERAGE
AK991078A	1	FM/V	STATE REGS	OVERAGE
AK991211A	1	FM/V	679.7 (a) (2)	PACIFIC COD BYCATCH OVERAGE
AK992209A	1	FM/V	679.7 (a) (16)	ROCKFISH BYCATCH OVERAGE
AK992210A	1	FM/V	679.7 (a) (16)	ROCKFISH BYCATCH OVERAGE

**CATCH SEIZURES**  
01/01/2000 - 03/31/2000

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK002017A	21-MAR-2000	KATRINA	13 UNDERSIZE HALIBUT	1.00
AK002018A	21-MAR-2000	HALEY MARIE	ONE UNDERSIZE HALIBUT	1.00
AK003012A	19-MAR-2000	SIERRA MAR	6 UNDERSIZE HALIBUT	1.00
AK003013A	17-MAR-2000	TENACIOUS	YELLOWEYE ROCKFISH	1.00
AK003022A	24-MAR-2000	BUCK & ANN	PROCEEDS FROM IFQ SABLEFISH OVERAGE	1,046.43
<b>Total</b>				<b>\$1,050.43</b>

# CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

01/01/2000 - 03/31/2000

Total Number of Cases Forwarded to GC = 56

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK000001A	1	679.7(f)(8)	FAIL TO RETAIN ROCKFISH WITH IFQ ON BOARD	
AK000001A	1	679.7(f)(8)	FAIL TO RETAIN ROCKFISH WITH IFQ ON BOARD	
AK000001A	2	679.7(a)(10)	RECORDKEEPING VIOLATIONS	
AK000001A	2	679.7(a)(10)	RECORDKEEPING VIOLATIONS	
AK000003A	1	50 CFR 679.7(f)(1)	FAILURE TO LOG DISCARDS	
AK000003A	1	50 CFR 679.7(f)(1)	FAILURE TO LOG DISCARDS	
AK000003A	2	50 CFR 679.7(f)(4)	IFQ SABLEFISH OVERAGE	
AK000003A	2	50 CFR 679.7(f)(4)	IFQ SABLEFISH OVERAGE	
AK001006A	1	679.7(i)(2)	POLLOCK TRIP LIMIT OVERAGE (136 MT)	
AK960554A	1	679.7(f)(10)	FAILURE TO LAND IFQ HALIBUT TO A REGISTERED BUYER	1.00
AK960554A	2	679.7(f)(12)	UNLAWFUL LANDING OF IFQ HALIBUT (NO PENDING LANDING)	
AK960554A	3	679.5	FAILURE TO LAND IFQ HALIBUT WITHIN AUTHORIZED TIME LIMITS	
AK970326A	1	679.4(d)(2)	IFQ HALIBUT OVERAGE / UNLAWFUL LINGCOD	301.15
AK970430A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	3,031.76
AK970701A	1	679.7(c)(2)	UNLAWFUL RETENTION OF KING/TANNER CRAB	1.00
AK971030A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	677.37
AK981106A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	2,350.19
AK981109A	1	679.7(a)(2)	PACIFIC COD BYCATCH OVERAGE	692.16
AK981147A	1	676.16(d)	IFQ HALIBUT OVERAGE	1,740.36
AK981148A	1	676.16(d)	IFQ HALIBUT OVERAGE	3,302.98
AK981152A	1	676.16(d)	IFQ HALIBUT OVERAGE	2,110.95
AK981208A	1	676.16(d)	IFQ HALIBUT OVERAGE	1,374.08
AK981244A	1	679.7(f)(3)	RETENTION OF HALIBUT WITHOUT VALID IFQ PERMIT	14,918.80
AK981244A	2	679.7(f)(5)	UNLAWFUL POSSESSION AND TRANSPORTATION OF IFQ HALIBUT	
AK982095A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	1,687.27
AK982155A	1	679.7(d)(2)	SUBMIT INACCURATE INFORMATION ON IFQ REPORT	450.00
AK990079A	1	679.7(f)	IFQ HALIBUT OVERAGE	5,340.84
AK990083A	1	679.7(f)	IFQ CARD IS NOT IN NAME OF PERSON ONBOARD VESSEL	
AK990094A	1	679.7(f)(8)	FAIL TO RETAIN PACIFIC COD WITH IFQ HALIBUT ON BOARD	
AK990095A	1	50 CFR 679.7(g)(6)	DID NOT MEET OBSERVER COVERAGE (2ND QUARTER)	
AK991013A	1	679.7(i)(2)	POLLOCK TRIP LIMIT OVERAGE	
AK991085A	1	676.16(d)	IFQ HALIBUT OVERAGE	4,697.65
AK991089A	1	679.7(d)(5)	EXCEED CDQ	
AK991095A	1	679.7(f)(5)	UNLAWFULLY POSSESS, BUY, SELL, TRANSPORT IFQ SABLEFISH	
AK991095A	2	679.7(f)(3)(ii)	FAILURE TO HAVE VALID IFQ PERMIT	



Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK991095A	3	679.7(f)(2)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	
AK991098A	1	676.16(d)	IFQ HALIBUT OVERAGE	979.37
AK991099A	1	676.16(d)	IFQ HALIBUT OVERAGE	397.21
AK991107A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE (AREAS 3A & 3B)	
AK991114A	1	16 USC 773e, 300.62	UNLAWFUL RETENTION OF SABLEFISH	
AK991114A	2	679.7(f)(5)	UNLAWFUL POSSESSION OF IFQ SABLEFISH	1.00
AK991114A	3	679.7(a)(10)	FAILURE TO RECORD GROUND FISH SPECIES AS RETAINED	
AK991115A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	737.08
AK991116A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	4,493.34
AK991118A	1	679.7(d)(4)	UNLAWFUL HARVEST OF CDQ BY AN INELIGIBLE VESSEL	
AK991125A	1	676.16(d)	IFQ HALIBUT OVERAGE	821.78
AK991127A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	1,729.81
AK991136A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	14,552.81
AK991151A	1	679.7(f)(6)	FAILURE TO HAVE VALID IFQ PERMIT CARD FOR IFQ LANDING	
AK991156A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	572.89
AK991157A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	774.86
AK991159A	1	676.16(d)	IFQ HALIBUT OVERAGE	11,921.90
AK991161A	1	679.7(f)(9)	IFQ HALIBUT OVERAGE	921.57
AK991162A	1	679.7(a)(2)	UNLAWFUL FISHING FOR GREENLAND TURBOT WHILE CLOSED	1.00
AK991163A	1	679.7(f)(3)(i)	UNLAWFUL RETENTION OF IFQ HALIBUT (NO PERMIT ONBOARD)	6,470.40
AK991170A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	3,457.35
AK991172A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	8,695.17
AK991187A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	2,574.47
AK991188A	1	676.16(d)	IFQ HALIBUT OVERAGE	5,598.26
AK991191A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	1,155.47
AK991192A	1	679.7(i)(2)	EXCEED POLLOCK TRIP LIMIT	
AK991192A	2	679.7(i)(2)	EXCEED POLLOCK TRIP LIMIT	
AK991197A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	12,361.84
AK991227A	1	679.7(i)(2)	POLLOCK TRIP LIMIT OVERAGE	
AK992006A	1	216.23(a)(3)	TAKING A MARINE MAMMAL IN A WASTEFUL MANNER	
AK992079A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	1,919.97
AK992091A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	723.49
AK992128A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	717.06
AK992145A	1	679.7(f)(4)	IFQ SABLEFISH OVERAGE	987.66
AK993008A	1	679.7(f)(4)	IFQ HALIBUT OVERAGE	761.13

## OTHER CASE ACTIONS

01/01/2000 - 03/31/2000

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
8	Dismissed by Enf., no violation				
14	Closed by Enf. due to lack of evidence				
15	Closed by Enf. due to lack of resources				
6	Transferred to another Region or agency				
9	Written warning issued by Enf.				
4	Written warning issued by GC				
1	Written warning (FIX-IT) issued by F/EN				
1	Summary settlement issued by Regional Office				
9	Summary Settlement issued by field or USCG				
48	Summary settlement paid	\$57,575.80			
10	Property forfeited or abandoned				\$8,504.71
2	Sent to General Counsel				
70	NOVA issued		\$522,047.53		
51	Settlement agreement conditions met				
18	Temporarily suspended; case remains open				
12	Settlement agreement signed by GC				
1	Case/count merged				
3	Declined by ENF				
2	Declined by GC				
3	Criminal prosecution declined				
1	Criminal case suspended				
1	Conviction or order of forfeiture				
1	Written Warning dismissed after review				
1	Sent to GCEL by GCAK				
1	Summary Settlement ignored or refused				