Council funding will be by grant. Guidelines are in the Council operating manual (II-18-20) and in Annex D. The Council chairman should serve as grant administrative officer. The initial grant application for the first six month period of \$182,800.00 was not signed at the Council's first meeting, instead a revised grant application was submitted by the Council at that time for the sum of \$666,000.00. Tab 1 contains that grant application with the budget breakdown and letter of transmittal.



U.S. DEPARTMENT OF COMMERCE Mational Garanic and Atmospheric Administration National Marine Fisheries Service P. O. Box 1668, Juneau, Alaska 99802

HEN 2 1 7978

Mr. Elmer Rasmuson, Chairman North Pacific Fishery Management Council P.O. Box 600 Anchorage, Alaska 99501

#### Dear Elmer:

We need Council input into a set of critical decisions which may involve selective reprogramming of part of the \$22 million in FY 1977 Extended Jurisdiction (EJ) funding approved by Congress (see Attachment I) to carry out the Fisheries Conservation and Management Act of 1976 (P.L. 94-265). Three major areas of unmet needs which need to be considered and acted upon have been identified: Observer Program expansion, additional funds to support Council activities and increased State involvement, all of which were discussed briefly at the National Conference last September.

A basic constraint to meeting these needs is that any reprogramming exercise will be confined to within the \$22 million appropriated for Extended Jurisdiction. The three major unmet needs are discussed below.

## 1. Extended Jurisdiction Observer Program

A decision is required relative to the establishment of an Observer Program for onboard monitoring of authorized foreign fishing within the 200-mile fisheries zone. This action is necessary to respond to the concern expressed by various constituent groups, the NMFS Regional and Center Directors and Dave Wallace over the need for an Observer Program and to meet international commitments.

The following guidelines are proposed by NMFS for development of EJ Observer Programs:

o Primary function is data collection - Observers will have an active role in data collection, including determination of foreign vessel total catch, catch composition, fishing effort, gear utilized, disposition of catch, vessel efficiency, data recording and reporting accuracy, marine mammal catch and any violations of regulations and the terms of vessel permits. The observer's role in enforcement will be passive, i.e., his presence will serve as a deterrent, although he will record violations that can be acted upon by enforcement agents or considered in subsequent negotiations or allocations.

Data records can be used in assisting area enforcement activities and as prosecution evidence, if appropriate. Additionally the observer, based on his knowledge of violations, may be called upon to act as a witness in the prosecution of such cases. This approach facilitates use of other than full-time permanent (OTFTP) observers and reduces precruise training requirements, thereby reducing program/ceiling costs. Additionally, it does not involve observers actively in prosecuting violations.

- o EJ Observer Program to be single region-wide program -Each region-wide program should be developed with RD-CD concurrence and be structured and coordinated to meet the needs of the area involved.
- o Program administration Program administration should be assigned to the Center Director serving each region. The Marine Mammal Observers Program should remain a separate activity for budgetary and accounting purposes, and will continue to be administered in the regional office.
- o EJ Observer Programs will be funded through the data analysis line item FY 1977 base or FY 1978 increase funds for EJ Observers presently identified in other line items will be shifted as appropriate in the future.

### 2. Regional Council Operations

As indicated in Attachment I, the amount funded for Regional Council operations is \$3,111K. (This amount included \$46K in NOAA overhead not directly available to the Councils.) At the National Conference last September, the Councils voiced the opinion that they were underfunded and that they might need up to twice the amount of funding currently proposed. In this regard you are now being asked to submit a revised budget proposal for the second half of FY 1977, as well as for FY 1978 and FY 1979. Specific instructions and formats are contained in Attachment II.

We hope to receive your recommendations, and obtain a NOAA decision on the budget requests by the end of December or early January. It is anticipated that the total combined additional Council requests will not total more than \$1 - \$3 million in additional annual funding. A meeting with the Chairmen of the Councils to discuss national priorities may be required if the combined total requests greatly exceed funding available.

## 3. Needs of States

# A. Additional Support for State Directors

Concern has been expressed by some Councils as well as State Directors over the funding and personnel needs of the State Directors to fulfill their role vis-a-vis the Councils and to accommodate their expanded responsibilities in a host of related activities. For example, consider the concept of a State Director requiring a small staff in order to ensure effective participation in Council deliberations. Is it logical for the State Director to have contract funds, separate travel money, etc.? We are requesting Council comments on the concept of support for State Directors, the kinds and amounts of such support, and whether or not the support should be funded through the NMFS regional office or through the Council.

# B. Increased Contracting with States to Maximize Utilization of State Expertise

The State has program expertise which could be made selectively available to the Councils or to NMFS through contracts on reimbursable agreements. In this regard our Regional staff will be talking directly with the State to ascertain exactly where and at what level the State feels they can increase their participation in extended jurisdiction activities. Their increased support may be in such operations as resource surveys, data collection or analysis, enforcement, etc. Given the desirability of increasing State participation we would like to have the Council's opinion on what programs you feel we could look to for additional participation.

Your input regarding the observer programs, State needs, and budget proposals for FY 1977-79 (using the formats provided) were requested by December 3, 1976, but it is obvious that Council scheduling will not allow us to meet that deadline. We should submit your proposals as soon as possible, however, so they can be considered by NOAA when they make their decision in late December or early January.

# 4. FY '77 Extended Jurisdiction funding

Another subject the Council should consider in relation to its activities is the State-Federal Fisheries Management Initiated in 1972 this program was designed to Federally fund projects undertaken by various groups of states to develop coordinated management plans for specific fisheries which transcended state boundaries, or other management functions which could be expected to affect more than one state. In Alaska two contracts have been let to the Commercial Fisheries Entry Commission. The first in 1974 to develop techniques and methodology to implement the Alaska Limited Entry Plan. This contract was terminated in August, 1975. A second contract was initiated in October, 1976 for the Commercial Fisheries Entry Commission to do a bio-economic study related to Alaskan shellfish fisheries, aimed at developing a data base to furnish the necessary social and economic considerations to determine optimum yield.

With the advent of P.L. 94-265 and the creation of the North Pacific Fishery Management Council we should consider the value of this program. Should the program be retained to look at inter-state fishery problems other than those under the purview of the Council, or would the Council see merit in using this program as a vehicle to develop fishery management plans or assemble needed data for plans? We would appreciate the views of the Council.

Sincerely,

Harry L. Rietze

Director, Alaska Region

#### REHOTIA.

# FY1977 EXTENDED JURISDICTION FUNDING

Attachment	1
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	POS.	\$000'S
NATIONAL MARINE FISHERIES SERVICE	<u>176</u>	<u>9,991</u> .
DATA ANALYSIS	<sub>-</sub> 36	1,994
COASTAL ZONE MGMT. SUPPORT	8	280
INTERNATIONAL FISHERIES MGMT.	7	275
STATE/FEDERAL FISHERIES MGMT.		
REGIONAL FISHERIES MGMT. STAFF	25	515
NATIONAL REVIEW OF OPERATIONS	15	403
PERMIT SYSTEM	6	52
REGIONAL COUNCIL OPERATIONS	• • • • • • • • • • • • • • • • • • •	3,111
ENFORCEMENT/SURVEILLANCE	31	1,107
CON./COMM. FISHERIES STATISTICS		
REGIONAL DATA MGMT. SYSTEMS	18	715
BIO-ECONOMIC DATA BASES	28	1,024
RECREATIONAL FISHERIES	2	515
	77	11,012
NATIONAL OCEAN SURVEY	<u>37</u>	1,500
BOUNDARY 'SURVEYS	6	9,512
VESSEL CONSTRUCTION/SUPPORT	31	9,012
CCA CDANT		<u>500</u>
SEA GRANT	77	1107
EXECUTIVE ADMINISTRATION	<u>33</u>	<u>497</u>
TOTAL	246	22,000
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#### Call for Regional Council Grant Proposals

We are requesting, via this memorandum, your grant amendments for the 2nd half of FY 77, grant proposals for FY 1978, and work plans for both years. In addition, you are asked to estimate your funding needs for FY 1979. Information on FY 1979 requirements will be your initial input into the FY 1979 budget cycle.

Appendices A through C Provide formats and instructions for submission of Regional Council proposals. These should be submitted to the Director, NMFS by December 10, 1976. Specific documentation requirements are as follows:

- (1) Two SF-424s Application for Federal Grant (Appendix A). One's an amendment form for the second half of FY 77, and the other is an application form for FY 78.
- (2) An "Estimated Regional Council Budget" form (Appendix B).

  The first column of this form has been completed, and represents your grant for FY 77 1st half. The budget in column 2 (Appendix B) (2nd half of FY 77) should match item #13A on SF-424 Grant Amendment for 2nd half of FY 77. The funds currently allocated in the FY 78 budget for your Council's operation are \$367.2 K. This should be used as an initial basis for completing the FY 78 column (Column 3) in Appendix B. Any additional funds for either FY 77 or FY 78 will necessiate either specific Congressional action, or redirection of existing program funds. Your budget request for FY 79 should allow for the FY 78 proposed levels, plus any additional requirement which you foresee.
- (3) Work Plan (FY 77, 78, 79) (Appendix C).
- (4) Descriptive List of Contracts (FY 77, 78, 79 (Appendix C).

Council budget requests for FY 79 will be incorporated with those of NYTS and together they will be presented to the NOAA Administrator in early February 1977.

Because the NMFS FY 79 budget presentation to NOAA will combine Council and NMFS needs, I have directed our regional staffs to:

- 1. solicit your <u>general</u> recommendations for FY 79 <u>program</u> needs during November and
- coordinate their FY 79 increase requests with those of the Councils in order to encourage complementary proposals.

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SECTION IV-REMARKS (Please reference the proper item number from Sections I, II or III, if applicable)

#### GEMERAL INSTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facesheet for prerelications and applications submitted in accordance with Federal Memparient Circular 74-7. Second, it will used by Federal agencies to report to Clearinghouses on major actions taken on applications reviewed by clearinghouses in accordance with CMB Circular A-95. Third, it will be used by Federal agencies to notify States of grants-in-aid awarded in accordance with Treasury Circular 1082. Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

## APPLICANT PROCEDURES FOR SECTION

Applicant will complete all items in Section I. If an item is not applicable, write "NA". If additional space is needed, insert the remarks section on the back of the form. An explanation follows for each item:

m ·		Rem	
	Mark appropriate box. Pre-application and applica-		D. Insurance. Self explanatory.
	tion guidance is in FMC 74-7 and Federal agency program instructions. Notification of intent mild-	•	E. Other. Explain on remarks page.
	ance is in Circular A-95 procedures from clear- inghouse. Applicant will not use "Report of Federal Action" box.	10.	Governmental unit where significant and meaningful impact could be observed. List only largest unit or units offected, such as State, county, or city. If entire unit affected, list it rather than subunits.
	Applicant's own control number, if desired.	11.	Estimated number of persons directly benefiting from project.
.b.	Date Section I is prepared.		Use appropriate code letter. Definitions are:
Ba.	Number assigned by State clearinghouse, or if delegated by State, by areawide clearinghouse. All re-	. 12.	
	gated by State, by areawide coerning contain this identi- quests to Federal agencies must contain this identi- fier if the crosmm is covered by Circular A-95 and		A. Ricy. A submittel for the first time for a new project.
· -	required by annicable Scate/areawice clearing-	•	B. Renewal. An extension for an additional funding/
٠	house procedures. If in doubt, consult your clear- inghouse.	•	budget period for a project having no projected completion data, but for which Federal support
<b>5.</b>	Date applicant notified of clearinghouse identifier.		must be renewed each year.
	Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and	·	C. Revision. A modification to project nature or scope which may result in funding change (in- crease or decrease).
•	name and talephone number of person and can provide further information about this request.		<ul> <li>D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of</li> </ul>
i	Employer identification number of applicant as assigned by Internal Revenue Service.		years.
Sa.	Use Catalog of Faderal Domestic Assistance number assigned to program under which assistance is requested. If more than one program (e.g., jointfunding) write "multiple" and explain in remarks.	~	funds for a project previously awarded funds in the same funding/budget period. Project nature and scope unchanged.
	If unknown, cite Public Law or U.S. Code.	13.	Amount requested or to be contributed during the first funding/budget period by each contributor.
<b>6</b> 5.	Program title from Federal Catalog. Abbreviate if necessary.	• :	Value of in-kind contributions will be included. If the action is a change in dellar amount of an existing great (a revision or augmentation), Indicate
7.	Brief title and appropriate description of project. For notification of intent, continue in remarks section if necessary to convey proper description.		only the amount of the change. For excreases co- close the amount in parentheses. If both basic and
8.	Mostly self-explanatory, "City" includes town, town- ship or other municipality.	•	remarks. For multiple program funding, use totals and show program breakouts in remarks, Item definitions: 13a, amount requested from Federal Gov
9.	Check the type(s) of assistance requested. The definitions of the terms are:		emment, 13h, amount applicant vali contribute 13c, amount from State, if applicant is not a State 13d, amount from local agreement, if applicant is
	A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant.	•	not a local government; 13a, amount from any title sources, explain in remarks.
	greentamental Grant, A request to increase a	143.	
	basic grant in certain cases where the eligible applicant cannot supply the required matching	14b.	The district(s) where most of actual work will be accomplished. If city-wide or State-wide, covering

STANDARD FORM 424 PAGE 3 (10-75)

accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "State-wide."

Complete only for revisions (item 12c), or augmen

tations (item 12e).

15.

applicant cannot supply the required matching

share of the basic Federal program (a.g., grants awarded by the Appulaciona Regional Commis-

sion to provide the applicant a matching share).

C. Loan, Self explanatory.

· Kem	•	lta:m	
S.	Approximate date project expected to begin (usually associated with estimated date of availability of funding).	19.	Existing Federal Identification number if this is not a new request and directly relates to a provious Federal action. Otherwise write "pta".
17.	Estimated number of months to complete project after Foderal funds are evallable.	20.	Indicate Federal accept to which this require
12.	Estimated data preapplication/explication will be	٠.	addressed. Street address not required, but do use ZIP.
	submitted to Frderel agency if this project requires clearinghouse review. If review not required, this date would esually be same as date in item 25.	21.	Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks are attached.

NOTICES

# APPLICANT PROCEDURES FOR SECTION II -

Applicants will always complete items 23a, 23b, and 25c. If clearinghouse review is required, Item 22b must be fully complated. An explanation follows for each item:

l:em		Itam		
226.	List clearinghouses to which submitted and show in appropriate blocks the status of their responses.	235.	Self explanatory.	
•	For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached.	23c.	Self explanatory.	
<b>23</b> a.	Name and title of authorized representative of legal applicant.	- Koter :	Applicant complete lift is completed by	s only Sections I and II. Section Federal agencies.

# FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Federal action, Federal agency will complete Section III only. An explanation for each item follows:

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	•	- "	
	Executive department or independent agency having program administration responsibility.	35.	Name and telephone no. of egency person who can provide more information regarding this assistance.
25.	Self explanatory.	35.	Date after which funds will no longer be evaluable.
26.	Primary organizational unit below department level having direct program management responsibility.	37.	Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment
27.	Office directly monitoring the program.		of additional remarks.
28.	Use to Identify non-award actions where Federal grant identifier in item 30 is not applicable or will not suffice.	39.	For use with A-95 action notices only. Name and telephone of person who can assure that approximate A-95 action has been taken—If same as person the A-95 action for the A-95 action notices only. Name and telephone of the A-95 action notices only. Name and telephone of the A-95 action notices only. Name and telephone of person who can assure that approximately action to the A-95 action notices only. Name and telephone of person who can assure that approximately action to the A-95 action has been taken.
29.	Complete address of administering caice shown in item 25.	•	shown in item 35, write "same". If not applicable, write "NA".

### Federal Agency Procedures—special considerations

- A. Treasury Circular 1032 compliance. Federal agency will assure proper completion of Sections I and III. If Section I is being completed by Federal agency, all applicable liams must be filled in. Addresses of State Information Reception Agencies (SCIRA's) are provided by Treasury Decartment to each agency. This form replaces SF 240, which will no longer be used.
- B. OMB Circular A-95 compliance. Federal agency will assure proper completion of Sections I, II, and III. This form is required for notifying all reviewing cleaninghouses of major actions on all programs reviewed under A-95. Addresses of State and ereawide clearinghouses are provided by OMB to each agency. Substantive differences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded wat be explained in A-95 notifications to clearinghouses.
- C. Special note, in most, but not all States, the A-95 State clearinghouse and the (FC 1002) SCIPA are the same office. In such cases, the A-95 award notice to the State clearinghouse will fulfill the TC 1032 award notice requirement to the State SCIRA. Duplicate notification should be avoided.

Self explanatory. Use remarks section to amplify where appropriate. 32. Amount to be contributed during the first funding/ budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding; use totals and show program breakouts in remarks, item definitions: 32a, emount awarded by Federal Government; \$25, emount applicant will contribute: 32c, amount from State, if applicant is not a State; 32d, amount from local government if applicant is not a local government; 32e, amount from any other sources, explain in remarks.

Federal application identifier in item 28.

Use to identify award actions where different from

- 33. Date action was taken on this request.
- Date funds will become available.

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31.

FEDERAL AGENCY A-95 ACTION

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SETTION IV-REMARKS (Please reference the proper item number from Sections I, That ISA if applicable)

#### GENERAL HISTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facesheet for preapplications and applications submitted in accordance with Federal Management Circular 74-7. Second, it will used by Federal agencies to report to Clearinghouses on major petions taken on applications reviewed by clearinghouses in accordance with CLIB Circular A-95. Third, it will be used by Federal agencies to notify States of grants-in-aid awarded in accordance with Treasury Circular 1032. Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

## APPLICANT PROCEDURES FOR SECTION I

Applicant will complete all items in Section I. If an item is not applicable, write "NA". If additional space is needed, Insert an actorisk "e", and use the remarks section on the back of the form. An explanation follows for each item:

#### Itom Mark appropriate box. Pre-application and applica-1. tion guidance is in FMC 74-7 and Federal agency program instructions. Notification of intent guid-ance is in Circular A-95 procedures from clearinghouse. Applicant will not use "Report of Federal Action" box. Applicant's own control number, if desired. 2a. Date Section I is prepared. 25. Number assigned by State clearinghouse, or if dele-32. gated by State, by areawide clearinghouse. All requests to Federal agencies must contain this identifier if the program is covered by Circular A-S5 and required by applicable State/areamide clearing. house procedures. If in doubt, consult your clearinghouse. Date applicant notified of clearinghouse identifier. 4h. Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of person who can provide further information about this request. Employer identification number of applicant as 65-5. . signed by Internal Revenue Service.

- Use Catalog of Faderal Domestic Assistance num-6а. ber assigned to program under which assistance is requested. If more than one program (e.g., jointfunding) write "multiple" and explain in remarks. If unknown, cite Public Law or U.S. Code.
- Program title from Federal Catalog. Abbreviate if 65. necessary.
- Brief title and appropriate description of project. 7. For notification of intent, continue in remarks section if necessary to convey proper description.
- Mostly self-explanatory. "City" includes town, town-8. ship or other municipality.
- Check the type(s) of assistance requested. The 9. definitions of the terms are:
  - A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant
  - B. Supplemental Grant. A request to increase a basic great in certain cases where the eligible applicant cannot supply the required matching share of the basic federal program (e.g., grants awarded by the Appalachien Regional Commission to provide the applicant a matching share).
  - C. Loan. Self explanatory.

liem

10.

D. Insurance. Self explanatory.

E. Other! Explain on remarks page.

Governmental unit where significant and meaningful impact could be observed. List only largest unit or units affected, such as State, county, or city. If entire unit affected, list it rather than subunits.

- Estimated number of persons directly benefiting 11. from project
- Use appropriate code letter. Definitions are: 12
  - A. New, A submittel for the first time for a new project
  - B. Renewal, An extension for an additional funding/ budget period for a project having no projected completion date, but for which Federal support must be renewed each year.
  - C. Revision. A modification to project nature or scope which may result in funding change (incrass or decresso).
  - D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of years.
  - E Augmentation. A requirement for additional funds for a project previously awarded funds in the same funding/budget period. Project nature and scope unchanged.
  - Amount requested or to be contributed during the 13. first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in deliar amount of an existing grant (a revision or augmentation), Indicate only the amount of the change. For decreases enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals . and show program breakouts in remarks, Item delinitions: 13a, amount requested from Federal Govemment, 13h, amount applicant will contribute; 13s, amount from State, if applicant is not a State; 12d, amount from local government, if applicant is not a local government; 13e, amount from any other sources, explain in remarks.
  - Self explanatory. 143.
  - The district(s) where most of actual work will be 14b. accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "Ctate-wide."
  - Complete only for revisions (item 12c), or augmen-15. tations (item 12e).

STANDARD FORM 424 PAGE 3 (10-75)

#### ROTICES

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16:	Approximate drive project expected test only (mostly necocleted with outlineated date of everlability of funding).	19.	Existing incored identification number it this is not a new request and directly relates to a provious Federal action. Otherwise write "NA".
17.	Estimated number of months to complete project after Federal funds are available.	20.	Indicate Federal agency to which this request is addressed. Street eddress not required, but do use
18.	Estimated data presnatication/application will be		ZIP.

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are attached.

## APPLICANT PROCEDURES FOR SECTION II -

submitted to Federal agency if this project requires

clearlaghouse review. If review not required, this

date would usually be some as date in Item 2b.

Applicants will always complete items 23s, 23s, and 25s. If cleaninghouse raview is required, item 22s must be fully completed. An explanation follows for each item:

1.0111		ltom	
22b.	List clearinghouses to which submitted and show in appropriate blocks the status of their responses.	, 235.	Self explanatory.
	For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached.	23c.	Self explanatory.
23a.	Name and title of authorized representative of legal applicant.	. Note:	Applicant completes only Sections I and II. Section III is completed by Federal agencies.

#### FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Federal action, the

Item		Item			
<del>2</del> 4.	Executive department or independent agency having program administration responsibility.	35. <sub>.</sub> .	Name and telephone no. of agency person who can provide more information regarding this acceptance.		
. 25.	Self explanatory.	36.	Date after which funds will no longer be available.		
25.	Primary organizational unit below department level having direct program management responsibility.	37.	Check appropriate box as to whether Section IV of form centains Federal remarks and/or attachment		
27.	Office directly monitoring the program.		of additional remarks.		
28.	Use to Identify non-sward actions where Federal grant Identifier in item 30 is not applicable or will not suffice.	38. · ·	For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as person shown in item 35, write "same". If not applicable,		
29.	Complete address of administering office shown in tem 26.		willo "ii/.".		
30. \	Use to identify award actions where different from Federal application identifier in item 23.	Federal Agency Procedures—special considera  A. Treasury Circular 1032 compliance. Federal agence assure proper compiletion of Sections 1 and III. If Section 5 being completed by Federal agency, all applied. It			
31.	Self explanatory. Use remarks section to emplify where appropriate.				
32.	Amount to be contributed during the first funding/ budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revi-	tior mei will	st be filled in. Addresses of State Information Recep- Agencies (SDIRA's) ere provided by Treesur, E-enart- nt to each agency. This form replaces SF 240, which no longer be used.		
	sion or augmentation), indicate only the amount of change. For decreases, enclose the amount in pa-		B Circular A-95 compliance. Federal agency will associate completion of Sections I, II, and III. This form		

- rentheses. If both basic and supplemental amounts\_ are included, breakout in remarks. For multiple program funding; use totals and show program breakouts in remarks, item definitions: 32a, amount owarded by Federal Government; 320, smount apbetween applicant's request and/or clearinghouse receinmendations, and the project as finally awarded will be plicant will contribute; 32c, amount from State, if explained in A-95 notifications to clearinghouses. applicant is not a State; 326, amount from local government if applicant is not a local government; 320, amount from any other sources, explain in
- 33, Date action was taken on this request,
- 34. Dato funds will become available.

which vill as-Is required for notifying all reviewing cleaninghouses of major actions on all programs reviewed under A-95. Addresses of State and areavide clearinghouses are provided by ONB to each agency. Substantive differences

Check appropriate box as to whether Section IV of

form contains remarks and/or additional remarks -

C. Special note, in most, but not all States, the A-95 State clearinghouse and the (FQ 1002) SCHRA are the same office. In such cases, the A-95 award notice to the State clearinghouse will fulfill the TC 1032 award not to requirement to the State SCIRA. Duplicate national should be avoided.

#### ESTIMATED REGIONAL COUNCIL BUDGET

The following format summarizes the elements of cost to be considered in budget planning. In addition to a listing of staff positions to support the labor portion of the budget, the derivation of the other budget elements must be given. The "Current Year" estimate will reflect the most recent plans concerning the distribution of funds within the grant that will have been awarded at the beginning of the current fiscal year. Grants will be issued on the basis of the amounts estimated in the "Budget Year" column. The amounts estimated for "Budget Year + 1" will constitute the Council's input to the budget cycle and will be the basis for granting funds in the following year.

Amendments to grants already awarded or to the estimates for the "Budget Year" or "Budget Year + 1" must be kept separate from the grant proposal itself. Amendments should be in the same format, with only the relevant columns completed and accompanied by an explanation/justification. Requests for increases will be granted subject to the availability of funds and the urgency of the need.

APLANDIY D (COMPUNION)

# ESTIMATED REGIONAL COUNCIL BUDGET

BUDGET CATEGORIES	FY 77 Let Half (Oct 1-Ma N.PACIF	r 31)	(2) FY 77 2nd Half (Apr 1- Sep	30)	(3) FY 78 Budget Year	(4) FY 79 Budget Year +1
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Non-Fed Contracts		5.0				
Supplies		4.0				
Capital Equipment		6.0				
Non-Capital Equipment		5.0				•
Tax and Insurance		1.0		•		•
		182.8	•		. •	

# SOURCES OF FUNDING

TOTAL

Federal Funds Required
Estimated Funding from
Other Sources (Specify)

Total (Same Amount as Total Planned Budget Line)

#### WORKPLAN

The work plan must show what work will be done for the funds requested. It relates dollars and people to the decisions of the Councils on priorities among fishery management units and defines the pace for development of management plans. Submissions of management plans to the Secretary will be the major milestones of Council activity. Planning this activity two-plus years ahed is of benefit to the Councils by helping to structure their work, and to NMFS by helping it synchronize its scientific and technical support to the needs of the Councils. No format is specified for the workplan, but as a minimum it should contain a schedule of management plan development and have each fiscal year's activity separately identified. The work plan should also identify, specifically, what additional program outputs are anticipated or a result of any new funds in FY 78, and FY 79, above the prior year's level. Progress in meeting the management plan schedule and an updating of it must be reported within 60 days after the end of each fiscal year.

#### Descriptive List of Contracts

This part of the grant proposal will represent the effort of the Councils to foresee their needs for contract expertise in view of their workplan. Each contract anticipated should be briefly described and located on the time frame of the workplan. The description shall include the estimated cost of the contract. Contracts must be in accordance with Attachment 0 of OMB Circular No. A-110

100-08 Branson

NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

Section F Tabl

October 6, 1976

Mr. Robert W. Schoning, Director National Marine Fisheries Service Washington, D.C. 20235

Dear Mr. Schoning:

On behalf of the North Pacific Fishery Management Council, I am submitting a grant application for the amount \$666,000 which our Council estimates will permit us to carry out our obligations under the law during the first six months of operation from October 1, 1976 through March 31, 1977. An examination of our application will indicate that we are requesting a substantial increase over the initial estimate made by your Washington Office. You will remember that the North Pacific Council members present at the Breakout meeting in Arlington, Virginia, in September, indicated that they believed the original estimate was low. Careful study of our workload, and consideration of the deadlines we must meet in supplying the Secretary of Commerce with contingent fishery management plans for the 12 or more major fisheries located within the North Pacific Council region, has convinced us that additional funds are necessary if we are to accomplish our task. You will find a breakdown of this budget attached.

The differences in our budget request from the initial Washington estimate lies in salaries, travel, and non-Federal contracts. With respect to salaries and travel, after considering the number of fisheries plans which must be prepared, the large number of fishing communities stretching over thousands of miles of coastline in Alaska requires substantially more public hearings and travel for Council members and staff than was anticipated in the preliminary budget. The details of our estimates are supplied in the backup material for the budget estimate. With the tremendous area to cover and with fishing communities stretching literally from the Arctic Circle to Seattle, it will be necessary for this Council to have a relatively large advisory committee, both public and scientific. The Council's plans and recommended regulations will vitally affect the coastal communities of Alaska and the Pacific Northwest and we believe it is essential that adequate public hearings be held, particularly during this first year to acquaint fishermen and the public with the purposes and intent of the new law. This is particularly important because it is likely that significant foreign fishing will continue for some time in waters off Alaska and in regions where the coastal communities are almost soley dependent upon fishing and fisheries for their existence.

The second large increase in our grant obligation involves \$200,000 for non-Federal contracts. We understand that the Service has set aside \$660,000 for such contracts which would be allocated as contract applications are made. From the standpoint of the North Pacific Management Council's point of view, this is not a satisfactory procedure. We see the need for contracting in a short time frame with the State of Alaska Fish and Game Department, the Universities of Alaska and Washington, and perhaps in certain instances with individual scientists or specialists who will be able to respond rapidly to our needs of preparing contingent management plans before March 1, 1977.

Very specifically we anticipate contracting on an urgent basis with the Fisheries Research Institute of the University of Washington and the State of Alaska Fish and Game Department for studies of improving estimates of optimum escapement of Bristol Bay salmon runs and improving our methods of prediction for the same red salmon runs that are fished extensively on the high seas by Japan. We believe that the current negotiations for the GIFA and the negotiations between our government and the government of Japan relating to high seas fishing for salmon in the future may well depend upon our ability to convince Japan that our estimates for optimum escapement levels and our predictions of the size of the returning runs of red salmon are realistic and reasonably accurate. In the Council's view, these two critical problems may well mean success or failure in our efforts to rationally manage this widely migrating stock of salmon which has been harvested heavily by Japan on the high seas since 1952. We believe that during this first year, contracts of about \$100,000, will be necessary. We anticipate that these contracts may be initiated very shortly. Review by your agency is provided since your Regional Director is a voting member of the Council.

The second area requiring immediate study in the North Pacific is the study required to satisfy the technical requirements of the law for setting optimum yield for those fisheries under our purview. That is to say, the Service and the State of Alaska have provided our Council with excellent background material relating to the physical biological yield from the fisheries, but the law requires that consideration be given to "any relevant economic, social, or ecological factor." In our view, we believe that economic and social studies must be made or at least must be underway almost immediately so that the Council can satisfy this requirement of law in setting the optimum yield for those fisheries in the area requiring fishery management plans. This will ultimately require such information for over a dozen fisheries. Here we would anticipate the use of resource economists and social science specialists from the Universities of Alaska and Washington. We know that some studies are underway, but we also realize that they are quite insufficient so far and there is need for immediate attention to these legal requirements of the law.

We have again reviewed the Extended Jurisdiction budget of \$22,000,000. Our opinion has not changed since we expressed it at the recent general

meeting in Arlington, Va. We strongly recommend that at least one of the vessels planned for construction in the FY 1977 budget be deferredabout 3.5 million—and that money be reallocated to the operations of the Regional Councils. In reviewing this, we have had the advantage of consultation with scientists from the various agencies advising us. Our recommendation in no way implies that we do not appreciate the need for additional research vessels; it does indicate our views of the priorities which should be set during this first critical year of operation under the new Fishery Conservation and Management Act.

In summary, our Council has begun its enormous task with serious intent and with determination. We intend to submit management plans to the Secretary of Commerce very soon. We are very much impressed by the data that have been compiled by your staff and by the cooperation at all levels from State and Federal fisheries authorities. We want to thank you for your cooperation and we look forward to early approval of our budget proposal. Please be assured that we have thoroughly reviewed our requirements, and we believe that our estimates are modest and absolutely necessary for us to expeditiously and efficiently carry out our obligations.

Sincerely,

Elmer E. Rasmuson

Chairman

North Pacific Fishery

Management Council

Attachment

cc. Senator Magnuson Senator Stevens





National Oceanic and Atmospheric Administration Rockville, Md. 20952

#### Gentlemen:

Pursuant to Department of Commerce Regulations (15 CFR Part 8) implementing Title VI of the Civil Rights Act of 1964, an applicant for Federal financial assistance under a program administered by the Department of Commerce shall provide assurance that the program will be conducted in compliance with all requirements of the non-discrimination clause appropriate to the specific financial assistance program. Accordingly, enclosed are two copies of the required assurance and thereto appended nondiscrimination clause. Please have one copy signed by an authorized official and returned to the U. S. Department of Commerce, National Oceanic and Atmospheric Administration, Procurement and Grants Management Section, ADIII, Attention: Mrs. Barbara F. McLaughlin, Rockville, Maryland 20852.

Sincerely, Partino 7. M Laughler.

Barbara F. McLaughlin Grants Officer

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#### Grant Proposal

#### Authority

The Fishery Conservation and Management Act of 1976 directs the establishment of eight Regional Fishery Management Councils. Each Council is directed to:

- (1) prepare and submit to the Secretary a fishery management plan with respect to each fishery within its geographical area of authority and, from time to time, such amendments to each plan as are necessary;
- (2) prepare comments on any application for foreign fishing transmitted to it under the Act, and any fishery management plan or amendment transmitted to it by the Secretary as provided in the Act;
- (3) conduct public hearings, at appropriate times and in appropriate locations in the geographical area concerned, so as to allow all interested persons an opportunity to be heard in the development of fishery management plans and amendments to such plans, and with respect to the administration and implementation of the provisions of the Act;
- (4) submit to the Secretary -
  - (A) a report, before February 1 of each year, on the Council's activities during the immediately preceding calendar year,
  - (B) such periodic reports as the Council deems appropriate, and
  - (C) any other relevant report which may be requested by the Secretary;
- (5) review on a continuing basis, and revise as appropriate the assessments and specifications made with respect to the optimum yield from, and the total allowable level of foreign fishing in each fishery within its geographical area of authority; and
- (6) conduct any other activities which are required by, or provided for in, the Act or which are necessary and appropriate to the foregoing functions.

In addition, the Secretary is directed to provide to each Council such administrative and technical support services as are necessary for the effective functioning of such Council and to pay:

(A) the compensation and expenses of specified members of the Councils;

- (B) approriate compensation to employees appointed by the Councils and deemed necessary by the Secretary;
- (C) the amounts required for reimbursement of other Federal agencies for details of personnel and for the services authorized to be provided by GSA;
- (D) the actual expenses of members of the committees and panels provided for in the Act; and
- (E) such other costs as the Secretary determines are necessary to the performance of the functions of the Councils.

These responsibilities of the Secretary, among others, have been delegated to the Director, National Marine Fisheries Service.

#### Scope

No duration is specified by the Act for the functions of the Councils. In the absence of Congressional action and on the basis of the functions assigned, they must be assumed to continue indefinitely. The Director, NMFS, intends to fund Council operations through annual grants. This proposal will cover Council operations from October 1, 1976, until March 31, 1977. It is meant to be a preliminary grant to permit the Councils to organize themselves and define their tasks preparatory to their submission of grant proposals for the remainder of FY 77 and for FY 78. Estimated amounts to cover Council needs in these two fiscal years are included in the NOAA budgets for those years and the FY 77 NOAA appropriation.

## Statement of Work

The principal function of the Council is to develop Fishery Management Plans for all fisheries within its geographical area of authority. The first six months of operation will be devoted to laying the ground work for this activity. It is also likely that actual work will begin on the development of selected Fishery Management Plans. The Council will perform its tasks during periodic plenary meetings, through public hearings and in working groups, with coordination and other services provided by a permanent administrative staff.

# Administrative Activities

Since the period of this grant proposal includes the first meeting of the Council, much of the early work will consist of developing internal procedures, and of staffing and planning the program for the substantive activities of the Council for the first two years.

Included in the administrative activities are:

- the selection of a chairman and other officers of the Council as needed.
- the determination of the location of the permanent Council office,
- the determination of a staffing plan and the recruitment and hiring for Council staff positions,
  - the installation of a financial management system,
  - the establishment of , and selection of members for, a Scientific and Statistical Committee and such other advisory panels as the Council deems necessary.
  - the preparation of grant proposals for the balance of FY 77 and FY 78, and of budget estimates for FY 79, including a work plan through FY 79,
  - the preparation of an annual report due February 1, 1977,
  - the preparation and publication of a Statement of Organization, Practices and Procedures by March 1, 1977.

As of March 1, each Council should have a functioning staff, an approved accounting system and a settled mode of operation to routinely service the Council's administrative needs.

# Program Planning Activities

The Council's initial program activities will center primarily on assessing the scope of the region's fisheries problems, the resources available to deal with them and the pace of management plan development.

The planning for the substantive work of the Council will involve:

- a comprehensive review of the fisheries under Council jurisdiction,
- a comprehensive review of fisheries information resources,
- a review of negotiation of governing international fisheries agreements, the status of existing international agreements, reciprocity, and boundary negotiations,
- a review of preliminary fishery management plans and regulations prepared by the Secretary,
- investigations of the concept and measurement of optimum yield and of the U.S. capacity to harvest the optimum yield of fisheries that are to be subjects of Fishery Management Plans.

The goal of such planning is the designation of fishery management units and the assignment of priorities among them for Fishery Management Plan development. The output of this process will be a work plan for the current and two succeeding fiscal years,

along with a specification of contracts required in the course of the work plan.

## Operational Activities

Following the program planning process will be work on the Fishery Management Plan themselves. Beyond the internal organization of the Council itself, the Council will institute an operational structure to assist it in assembling the necessary information on a given fishery management unit and in defining the management options available to solve the particular problems of that fishery management unit. The operational structure devised for this purpose will be described in the Statement of Organization, Practices and Procedures mentioned above. Decisions on the nature of the Fishery Management Plan are made by the Council based on the input from the operational structure, the advice of the Council's advisory groups, and input from public hearings.

The Council will also begin the routine review of foreign fishing applications routed to it by the Secretary of State and the preparation of comments on such applications.

# U. S. DEPARTMENT OF COMMERCE NATIONAL OCEANIC & ATMOSPHERIC ADMINISTRATION

ASSURANCE OF COMPLIANCE WITH THE NONDISCRIMINATION CLAUSE applicable to:

Implementation of Fishery Conservation and Management Act of 1976 - Alaska Region October 7, 1976

(insert in the space above the title, date, and/or other identification of the application/proposal to which this assurance is applicable)

NORTH PACIFIC FISHERY MANAGEMENT COUNCIL - BOX 1668 - JUNEAU, AK 99802 Name and address of organization submitting application/proposal

- (1)hereby warrants, covenants, agrees, and assures that it will conduct the program/project described by the above identified application/ proposal, or as it may be revised or modified prior to any grant award or subsequent to any grant award, in compliance with all requirements of the "recipient" imposed by or pursuant to the Nondiscrimination clause appended thereto, which clause shall also be incorporated into any grant awarded on the basis of such proposal, and
- (2)agrees and acknowledges that this assurance of compliance is a prerequisite condition to approval of the proposal or any grant or grant
  modification or amendment extending any Federal financial assistance
  pursuant thereto, that any such Federal financial assistance which may
  be extended to it by the U.S. Department of Commerce will be in
  reliance on the representation made by this assurance and that the
  United States shall have the right to seek Judicial enforcement thereof,
  and that this assurance of compliance shall be binding upon it, its
  successors, assignees, and transferees.

October 7, 1976

Date

Signature of official authorized to sign this assurance on behalf of the above identified organization

Elmer Rasmuson

Typed Name

Chairman,
North Pacific Fishery Management Council
Title

#### NONDISCRIMINATION

- This clause incorporates the assurances that the particular program will be conducted in compliance with appropriate requirements imposed by or pursuant to Part 8 of Subtitle A of Title 15 of the Code of Federal Regulations, which sets forth the Department of Commerce regulations which purpose is to effectuate the provisions of Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d.4). It is in the form and incorporates the contents specified by the responsible Department official for the Federal financial assistance program under which Federal financial assistance is provided.
- 2. Definitions for the purposes of this clause:
- (a) "Department" means the Department of Commerce, and includes each of its primary organization and equivalent other units.
- (b) "Secretary" means the Secretary of Commerce.
- (c) "United States" means the States of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Wake Island, the Canal Zone, and the territories and possessions of the United Sates, and the term "State" means any one of the foregoing.
- (d) "Person" means an individual in the United States who is or is to be a participant in or a beneficiary of the program which receives Federal financial assistance, and includes an individual who is an owner or member of a firm, orporation or other business or organization which is or is eligible to be a carticipant in or a beneficiary of such program.
- (e) "Responsible Department official" with respect to the program receiving Federal financial assistance means the Secretary or other official of the Department who by law or by delegation has the principal authority within the Department for the administration of a law extending such assistance. It also means any officials so designated by due delegation of authority within the Department to act in such capacity with regard to the program under this clause.
- (f) "Federal financial assistance" includes (1) grants of Federal funds, (2) the grant or donation of Federal property or interests in property, (3) the sale or lease of, or the permission to use (on other than a casual or transient basis), Federal property or any interest in such property in which the Federal Government has an interest, without consideration, or at a nominal consideration, or at a consideration which is reduced, for the purpose of assisting the recipient, or in the recognition of the public interest to be served by such sale or lease to or use by the recipient.
- (g) "Program" includes any program, project, or activity for the provision of services, financial aid, property or other benefits to persons, or for the provision of facilities for furnishing services, financial aid, property, or other benefits to persons, whether provided by the recipient of Federal financial assistance or with the aid of any non-Federal funds, property, facilities or other resources provided to meet the conditions under which Federal financial ssistance will be received. It includes programs supported by grants to

recipients which make further grants to, or contracts with, participants in the program or to persons who are the ultimate beneficiaries.

i) "Recipient" means any State, political subdivision of any State, or instrumentality of any State or political subdivision, or any public or private business or other agency, institution, organization, or other entity, or any individual, in any State, who applies for Federal financial assistance, or to whom Federal financial assistance is extended directly or through another recipient for or in connection with the program. Recipient further includes any successors, assigns, or transferees of any kind of the recipient, but does not include any ultimate beneficiary under the program.

# 3.-1. The recipient:

- (a) Will not participate directly or indirectly (through contractual or other arrangements)
  - (1) in excluding any person in the United States, on the ground of race, color or national origin, from participating in, in denying the benefits of, or otherwise subjecting to discrimination under, the program to which this clause applies.
  - (2) in any act or course of conduct which on the ground of race, color or national origin:
    - (i) Denies to a person any service, financial aid, or other benefit provided under the program;
    - (ii) Provides any service, financial aid, or other benefit, to a person which is different, or is provided in a different manner, from that provided to others under the program;
    - (iii) Subjects a person to segregation or separate treatment in any matter related to his receiptof any such service, financial aid, property, or other benefit under the program;
    - (iv) Restricts the person in any way in the enjoyment of services, facilities, or any other advantage, privilege, property, or benefit provided to others under the program;
    - (v) Treats a person differently from others in determining whether he satisfies any admission, enrollment, quota, eligibility, membership, or other requirement or condition which persons must meet in order to be provided any service, financial aid, or other benefit provided under the program;

- (vi) Denies a person an opportunity to participate in the program through the provision of property or services or otherwise, or affords him an opportunity to do so which is different from that afforded others under the program; and
- (vii) Denies to the person the same opportunity or consideration given others to be selected or retained or otherwise to participate as a contractor or subcontractor when a program is applicable thereto.
- (3) in utilizing criteria or methods of administration in determining the types of services, financial aid, or other benefits, or facilities which will be provided or the class of persons to whom, or the situations in which, such services financial aid, other benefits, or facilities will be provided, or the class of persons to be afforded an opportunity to participate in, the program to which this clause applies, which have the effect of subjecting persons to discrimination because of their race, color, or national origin, or have the effect of defeating or substantially impairing accomplishment of the objectives of the program as respect any persons of a particular race, color, or national origin.
- Para 3.-1(a) Notes: 1. As used herein, the services, financial aid, or other benefits provided under a program receiving Federal financial assistance shall be deemed to include any service, financial aid, or other benefit provided in or through a facility provided or connected with the aid of Federal financial assistance.
  - 2. the enumeration of specific forms of prohibited discrimination in subparagraphs (a)(2) and (a)(3) does not limit the generality of the prohibition in subparagraph (a)(1).
  - agrees to secure the compliance or to cooperate actively with (b) the Department to secure the compliance by others with this nondiscrimination clause. For instance, the recipient may be requested by the responsible Department official to undertake and agree (i) to obtain or enforce, or to assist and cooperate actively with the responsible Department official in obtaining or enforcing, the compliance of contractors, subcontractors, lessees, and other parties subject to this part with the nondiscrimination required by this part and their respective contracts; (ii) to insert appropriate nondiscrimination clauses in the respective contracts with such parties; (iii) to obtain and to furnish to the responsible Department official such information as he may require for the supervision or securing of such compliance; (iv) to carry out sanctions for noncompliance with the obligations imposed upon contractors and other parties subject to this part; and (v) to comply with such additional provisions as the responsible Department official deems appropriate to establish and protect the interests of the United States in the enforcement of these obligations. the event that the cooperating recipient becomes involved in

litigations with a non-complying party as a result of such Departmental direction, the cooperating recipient may request the Department to enter into such litigation to protect the interests of the United States.

- (c) shall not take action that is calculated to bring about indirectly what this clause forbids it to accomplish directly.
- (d) gives the United States a right to seek Judicial enforcement of the provisions under this clause.
- (e) if an institution of higher education or any other institution, insofar as this clause relates to the institution's practices with respect to admission of students or other treatment of persons participating in the program or its services or other benefits, agrees this clause shall be applicable to the entire institution unless the recipient establishes, to the satisfaction of the responsible Department official, that the institution's practices in designated parts or programs of the institution will in no way affect its practices in the program of the institution for which Federal financial assistance is or is sought to be provided, or the beneficiaries of or participants in such program.
- 3.-2 Illustrations of the applicability of the discrimination prohibitions set forth in 3.-1 above to Federal financial assistance programs administered by the Department to which this clause may be made applicable by receipt of an assurance of compliance therewith and/or incorporation into the document of Federal financial assistance (e.g. the accepted grant award) are set forth on the Exhibit hereto.
- Where the Federal financial assistance is to provide: (i) personal property, this clause shall be in effect for as long as the recipient retains ownership or possession of the property; and (ii) any other type or form of assistance, this clause shall be in effect for the duration of the period during which Federal financial assistance is extended to the program.
- Provisions concerning cooperation, compliance reports and reviews, access to records, information, investigations, procedures for effecting compliance, hearings, decisions and notices, judicial review, and supplemental instructions are set forth under Subpart B-General Compliance, sections 8.7 through 8.15\*, of the aforementioned 15 CFR Subtitle A, Part 8, incorporated herein by attachment, and to the extent they concern the rights, obligations and responsibilities of the recipient, the recipient assures compliance or otherwise agrees therewith.
- 6. The recipient will include the provisions of paragraphs 1 through 5 in every subgrant, contract, subcontract or lease so that such provisions will be binding upon such subgrantee, contractor, subcontractor or lessee, when such subgrantee, contractor, subcontractor or lessee directly or indirectly receives reimbursement or payment from the Federal financial assistance provided under this specific program or non-Federal funds, property, facilities, or other resources provided to meet the conditions under which such Federal

financial assistance will be received, but this requirement excludes contracts, subcontracts, or leases (including purchase orders) for commercial equipment, supplies or services (including utilities), the price for which is based on established catalog or market prices for such items or services sold in substantial quantities to the general public or is set by law or regulation, and such items or services sold in substantial quantities to the general public or is set by law or regulation, and such price is the sole consideration to be received by the contractor, subcontractor or lessee.

7. In addition to the explicit and implicit statements in this section affecting discrimination for reasons of race, creed or national origin, discrimination against persons for reasons of sex are prohibited

<sup>\*</sup>Except that the following shall represent equivalent content to section 8.11(b), Noncompliance with section 8.5, for the purpose of this clause:

<sup>&</sup>quot;if an applicant fails or refuses, as a condition to approval of and to the extension of Federal financial assistance, to furnish an assurance of compliance with this clause or otherwise fails or refuses to comply with a requirement imposed by or pursuant to this clause, Federal financial assistance may be refused in accordance with the procedures of paragraph (c) of this section. The Department shall not be required to provide assistance in such a case during the pendency of the administrative proceedings under said para.

# FY77 BUDGET ESTIMATES (FIRST 6 MONTHS) NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

OBJECT CLASS	Initial Grant Application 8/20/76	Proposed Revision 10/6/76	
Salaries Non-Govt Voting Members Reimb. to other Federal Agencies Staff	\$ 21.4 -0- 37.9 1/	\$ 55.0 10.0 45.02/	
Sub-Total	\$ 59.3	\$ 110.0	
Benefits (9.6%)	5.7	11.0	
COLA (Staff & Alaska Members of Council	L) <u>9.5</u>	23.0	
Total Salaries & Benefits	\$ 74.5	\$ 144.0	
Travel	50.0	233.0	
Trans. of Things	15.0	21.0	
Rents	10.0	15.0	
Communications	5.0	5.0	
Utilities /	1.3	1.0	
Printing	6.0	10.0	
Non-Fed Contracts Operational Social Studies	5.0 -0-	15.0 200.0	
Supplies	4.0	5.0	
Capital Equipment	6.0	10.0	
Non-Capital Equipment	5.0	5.0	
Tax and Insurance	1.0	2.0	
TOTAL	\$ 182.8	\$ 666.0	

<sup>1/ (1 -</sup> GS15, 1 - GS13, 2 - GS11, 1 - GS6, 2 - GS5 equivalent)
 Salaries for Council Staffs planned at 81.25%
 lst Quarter Lapse of 50%, 2nd Quarter Lapse of 25%.

<sup>2/</sup> Lapse for first 6 months = 35%;

## BACKUP FOR FY77 BUDGET ESTIMATES NORTH PACIFIC FISHERY MANAGEMENT COUNCIL (FIRST 6 MONTHS)

SALARIES Staff	ANNUAL Rate		
GS15-8	\$ 37,800	•	
GS13-5	26,000		
GS11-6	19,000	•	
GS11-4	17,900		
GS6-5	11,300		
GS5-4	9,800		
GS5-4	9,800		
	\$131,600 x 1.05	5(pay raise) = <u>\$1</u>	$\frac{38,000}{2} = $69,000$

\$ 44,850

Less salary lapse (35%) -24,150

(rounded to \$45,000)

Noi-Gov't. Voting Members (GS18) (\$37,800 annual or \$18.17/hr.)

6 Council Meetings - 6 days each = 36 days  $\times$  8 hrs. = 288 hours each 288  $\times$  \$18.17 = \$5,232.96

4 Public Hearings - 3 days each = 12 days x 8 hrs. = 96 hours each 96 x \$18.17 =  $\frac{1,744.32}{$6,977.28}$ 

There will be 6 public hearings - it is estimated each Council member will attend 4 (3 days each including travel time)

Douglas B. Eaton - Kodiak, AK

Prof. Donald L. McKernan - Seattle, WA

Elmer Rasmuson - Anchorage, AK

Harold E. Lokken - Seattle, WA

Henry L. Eaton - Kodiak, AK

Clement Tillion - Halibut Cove, AK -- 6 x \$6,977.28 = \$41,863.68

(rounded to \$42,000.

Working time of Council members - other than attendance at meetings - 15 days each = 120 hrs.  $120 \times 18.17 = 2,180.00$ 

120 x 18.17 = 2,180.00 2,180.00 x 6= 13,080.00 (rounded to \$13,000.00

\$13,000.

Total Salaries for Non-Gov't Voting Members =

\$55,000

Reimb. to other Federal Agencies: Estimate (Ref. Section 302(f)(7)(c)-- P.L.94-265)

\$10,000.00

 $\text{NEMEFITS} = 9.6% \times \$110,000 = \$10,560.00 \text{ (rounded to } 11,000.00)$ 

#### COLA

\$110,000 - 18,000 (Salaries of Mr. Lokken and Mr. McKernan)

 $$92,000 \times 25\% = $23,000$ 

# BACKUP FOR FY77 BUDGET ESTIMATES NORTH PACIFIC FISHERY MANAGEMENT COUNCIL (FIRST 6 MONTHS)

TRAVEL

Council Members (12 members - all except Mr. Riffe, Mr. Nakatsu & Adm. Hayes)

The state of the s	•			
	Tra	val	Dow	Diem
There will be 6 Council meetings	Council	Public	Council	Public
and 6 public hearings-it is	Meetings	Hearings	Meetings H	· ·
estimated that each Council member	6 round	4 round	6 trips-	4 each-
will attend 6 meetings (6 days each	h <u>trips</u>	<u>trips</u>		3 days
including travel time) and 4 public	C		<u>each</u>	<u>each</u>
hearings(3 days each including			Average Per	
travel time)	¢000 00	¢200_00	Rat	e = \$70.00
Average air fare:	-	\$200.00 v /î	\$70.00 \$70	. 00
· .	x 6 1,200.00	800 00	\$70.00 \$70 <u>x 36</u> <u>x</u>	, 12
12 Council members	: x 12	x 12	\$2,520	<u>( 12</u> 5840
12 Council members \$1	4,400.00	\$9,600.00	x 12 x	(12
			$\frac{x}{30,240}$ \$10,	,080
		•	• .	
	\$24	,000.00	\$40,320.0	)0
Special travel of Council members		·		
not related to meetings or heari	ngs 2	2,000.00	3,000.0	)0
		<del></del>	```	
Tot	al <u>\$26</u>	,000.00	<u>\$43,320.0</u>	<u>)0</u>
Advisory Committee (25 members)		4 round		l each
Average air fare:	trips	<u>trips</u> \$200.00	6 days 3 each	3 days each
Average all laie.	-	•		\$70.00
	\$800,00	$\frac{x}{$800.00}$	<u>x 24</u>	x 12
25 Committee members:	x 25	x 25	\$1,680	\$840
	$\frac{x \ 25}{20,000}$	x 25 \$20,000	x 25	x 25
It is estimated that each Committee	•		\$42,000 \$2	21,000
member will attend 4 Council meeti			***	
and 4 Public Hearings T	otal $\frac{$40}{}$	0,000.00	\$63,000	
Colonticio O Ctatistical Committee	/10	>		
Scientific & Statistical Committee	(10 member	·s)	•	
	4 round	4 round	4 trips	4 trips
	trips	trips	6 days	3 days
Average air fare:	\$200.00	\$200.00	each	<u>each</u>
	<u>x 4</u>	<u>x 4</u>	\$70.00	\$70.00
	800.00	800.00	x 24 \$1,680	x 12
•	x 10 \$8,000	× 10 \$8,000	x 10	⇒ 840 x 10
	ψυ <b>,</b> 000	90,000	\$16,800	\$8,400
		•	+ - J	4-31-00
	Total <u>\$16</u>	5,000.00	\$25,200	.00

#### T ESTIMATES Revised 10/6/76

## BACKUP FOR FY77 BUDGET ESTIMATES NORTH PACIFIC FISHERY MANAGEMENT COUNCIL (FIRST 6 MONTHS)

#### TRAVEL - Continued

Council Staff			Travel			Per	<u> Diem</u>
4 r	new appointees on-local)	-	\$500.00 x 4 \$ 2,000	each	(Average	air	fare)

Actual subsistence while occupying temporary quarters

\$1,500 each x 4 = \$6,000

Operational travel: (includes job interviews)	\$ 6,000	6,000
Total:	\$ 8,000	\$12,000

SUMMARY OF TRAVEL COSTS: (rounded to nearest thousand)	Travel	Per Diem	_Total
Council Members	\$26,000	\$43,000	\$69,000
Advisory Committee	40,000	63,000	103,000
Scientific & Statistical Committee	16,000	25,000	41,000
Council Staff	8,000	12,000	20,000
Total	\$90,000	\$143,000	\$233,000

### BACKUP FOR FY77 BUDGET ESTIMATES NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

#### (FIRST 6 MONTHS)

TRANSPORTATION OF THI	NGS	
-----------------------	-----	--

Household Goods for 4 new appointees at \$5,000.00 each = \$20,000.00

Operational Costs . 1,000.00

Total \$ 21,000.00

RENTS

Office Space - 1,250 square feet (Est. of \$20.00 per sq. ft. per year)

\$20.00 x 1,250

Annual rent  $\frac{$25,000}{2}$  (6 months) = \$12,500.00

Equipment Rental (IBM Typewriters, etc.)

2,000.00

Miscellaneous

500.00

Total

\$15,000.00

COMMUNICATIONS

7 telephones @ \$15.00/month = \$105.00/month

Toll Charges: 500.00/month

\$605.00/month x 5 months = \$3,025.00

Postage, etc.

1,975.00

Total

\$5,000.00

<u>UTILITIES</u>
Nomina

Nominal

\$1,000.00

PRINTING

Printing of Fishery Management Plans, etc. (500 copies)

\$10,000.00

NON-FEDERAL CONTRACTS

Secretarial Help at Public Hearings

\$2,000.00

Recording and transcribing minutes of public hearings 7 Council Meetings

8,000.00

Janitorial Service

5,000.00

Total Operational

\$15,000.00

Special Studies

Optimum escapement and forecasting in Bristol Bay \$100,000

Economic - Social Studies 100.000

\$200,000.00

## BACKUP FOR FY77 BUDGET ESTIMATES NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

(FIRST 6 MONTHS)

Office furniture, stationery, etc.	\$ 5,000.00
CAPITAL EQUIPMENT (Depreciable items - generally over \$200.00)  Typewriters, calculators, vehicle, etc.	\$10,000.00
NON-CAPITAL EQUIPMENT (Non-depreciable items under \$200.00)  Estimated Cost	\$ 5,000.00
TAX AND INSURANCE  Estimated costs are included since the Councils are non-Federal entities and are subject to taxation and are not self-insured.	\$ 2,000.00



OCT 21 1976

U.S. DEPARTMENT OF COMMERCE REG DIR. AND NATIONAL MARINE FISHERIES SERVICE REGISTRATION OF COMMERCE REGISTRATION NATIONAL MARINE FISHERIES SERVICE

Washington, D.C. 20235

TO: Distr

Distribution\*

FROM:

Robert Schoning, Director

National Marine Fisheries Service

SUBJECT: Revised DEIS/DPFMP Schedule

The following is a revised schedule covering a portion of the events in the DEIS/DPFMP process:

- Oct. 12 Notice to Regions to print (with corrections) DEIS/DPFMP (except Pacific and Atlantic Longline Fisheries).
  (Suggest 500 copies be printed.)
- Oct. 21-22 Regions provide Central Office copies of Notice of Public Meetings to be published in Federal Register to appear on October 29 (except NE and SE). Requires departmental clearance.
- Oct. 22

  DEIS/DPFMP printed and available for public distribution
  (except NE and SE). 50 copies transmitted to Central
  Office by pilot carrier (to <u>arrive</u> not later than Friday
  morning, Oct. 22) for distribution to CEQ (by noon, Oct. 22),
  Heads of Agencies, members of Congress, and selected
  national conservation and private agency groups.
- Oct. 22 Central Office notifies and distributes to CEQ copies of DEIS/DPFMP. Regions and Central Office begin distribution of DEIS/DPFMP (CEQ intends that all scheduled reviewers have their copy in hand when the Federal Register notice is printed and the 45-day period begins October 29).
- Oct. 22 Central Office will send all "Galler transmittal letters" to complete mailing list (for the Regional distribution list noting "... sending under separate cover ...").
- Oct. 22-28 NE and SE DEIS/DPFMP printed and available for public distribution.
- Oct. 28-29
  50 copies of each SE and NE documents to Central Office by pilot carrier for distribution to CEQ (by noon Oct. 29), Heads of Agencies, etc. SE and NE to provide Central Office with copies of Notice of Public Meetings for publication in Federal Register (Nov. 5). (Requires departmental clearance.)



FIIK



Oct. 29 CEQ DEIS listing (notice of availability) appears in Federal Register (FR). (The 45-day review process begins. PFMP cannot be adopted for at least 90 days.)

Oct. 29 Notice of Public Meetings published in FR (15 days must elapse between CEQ notice of availability and date of meeting).

Nov. 5 CEQ DEIS listing (notice of availability) appears in Federal Register. (The 45-day review process begins. PFMP cannot be adopted for at least 90 days.) (For NE and SE.)

Nov. 5 Notice of Public Meetings published in FR (15 days must elapse between CEQ notice of availability and date of meeting). (For SE and NE.)

Nov. 15-19 Public Meetings conducted in Regions (except NE and SE--to be scheduled).

Nov. 20 thru

Dec. 14

Regions compile and assess public comments; consultation
with Coast Guard, State Department, and Councils. (Regions
must accomplish this task in accordance with the Preliminary
Guidelines for Preparation of EIS's--memo from F to CD's
and RD's of July 8, 1976.)

Any DEIS/DPFMP not received in the Central Office by Friday (October 22) morning will be delayed at least an additional week. Any questions regarding this schedule should be directed to Bob Scott on 634-7516.

#### \*Distribution:

Directors: FNE, FNW, FSW, FSE, FAK

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incidental catch only, not to exceed-some percentage of target catch allocation
 facific ocean perch only
 full modificance

187

<sup>3.500</sup> mt of expected to be taken incidentally to trawl fishery; about 14.000 mt available for allocation to foreign setline fishery. 1977 larvae survey has results similar to those of 1976, TAC and foreign allocation will have to be substantially reduced to this may be taken by gillnet. seat; live weight about 3.7 times greater or 11,100 mt.

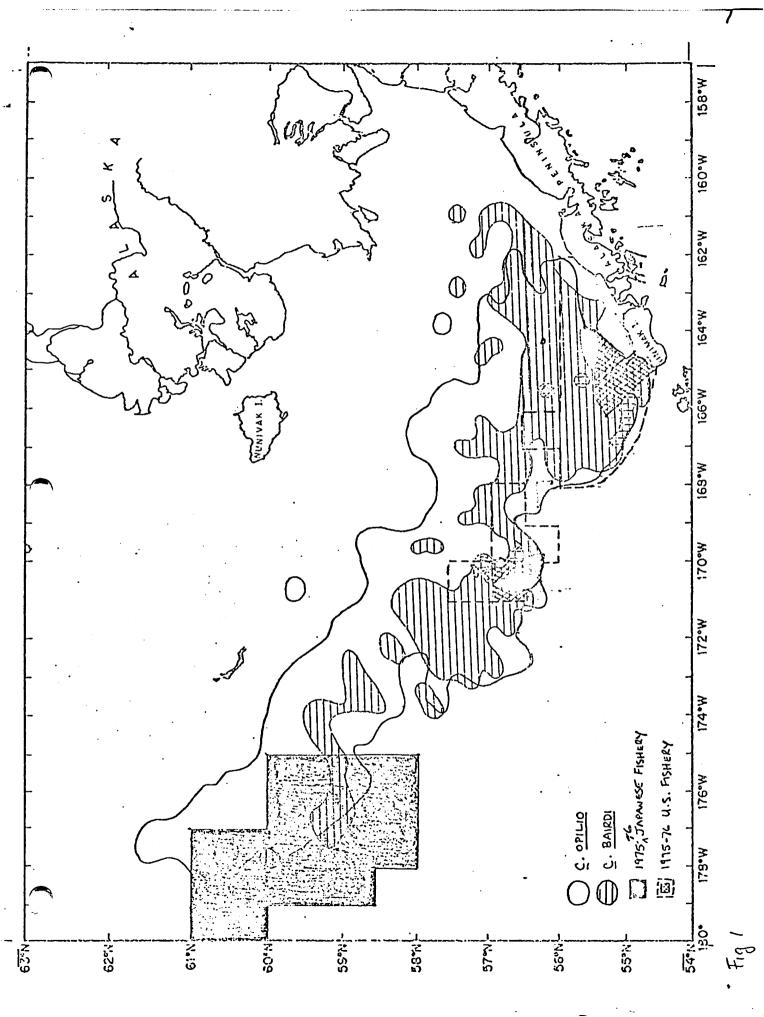


Table 11.--Maximum allocations to foreign fisheries in the Gulf of Alaska trawl fishery.

Species	Da: bas		1974 foreign catch	Est. MSY	Est. E.Y.	Chan -		U.S.	Max. foreign
Pollock	<b>.</b> .	_				Status	1977 TAC	<u>capacity</u>	allocation
	Fair	` Tr.	61,000	168,000- 338,000		nealthy; underutilized except near Kodiak where foreign catches may be approaching low end of MSY range.	126,000	1,000	125,600
Rockfishes (primarily POF	Good P)	l Tr.	55,000	125,000	50,000	Overfished in mid-1960's, no recovery since.	50,000	3,000	47,000
Flounders	Fair	Tr.	11,000	50,000- 70,000		Complex underutilized; some species or stocks may be fully or over utilized.	37,500	7,000	30,500
Sablefish	Good		25,000	22,000- 25,000	.2.000	Fully utilized in 1974; growth of ROK fishery in 1975-76 probably resulted in MSY being exceeded.	22,000	4,500	17,500inci- dental catch only in thowl filmery, not to exceed 2: of total trawl catch.
itka mackerel	Foor	0	18,000	?	?	Unknown; no signs of overfishing.	22,0002/	0	
od	Poor	Tr.	5,000	?	?	Unknown; recruitment probably quite variable due to environmental factors.	6,3002/	5,000	22,000 1,300
		ent 9,700	Tr.	20,000	(10-790?)	Overfished; recovery being hampered by mortality induced by incidental trawl catch.	(10,000?)3	/10,000	0No incidental catch allowed, must be incediately dis- carded when tuken.
thers	Poor	Tr.	18,000	?	÷ .	Unknown.	16,200	1,300	14,900

<sup>1/</sup> See separate Preliminary Management.Plan for Sablefish Setline and Trap Fishery. 2/ Preemptive; 125 of 1974 cutch. 3/ To be set by International Pacific Halibut Coumission.

#### TRAWL FISHERY OF THE BERING SEA AND ALEUTIAN ISLANDS

Table 11 --Maximum allocations to foreign Acharman in the Bering Son and Alcutian Islands Trawl Fishery.

ipectes	Cata Base	1974 US Catch	1974 Foreign Catch	Est. MSY	Est. E.Y.	Status	1977 TAC (	U.S. Capacity	Maximum Foreign Allocation
'o 11ock	Good	0	1,600,000	1,100,000- 1,600,000	850,000- 1,000,000	Overfished from 1972-75; near-future recruitment looks poor; heavy reli- ance on juveniles.	850,000	8,000	842,000
Yellowfia sole	Good	0	51,000	?	226,000- 314,000	Greatly overexploited in early 1960's; signs of significant recovery in 1975-76.	105,000	1,000	105,000
Other flounders	Fair	0	100,000	100,000÷ 150,000?	105,000	Slightly underfished as a complex; some species or stocks may now be over-exploited, others may have substantially more potential.	105,000	5,000	100,000
Pacific ocean perch	Good	0	<del>83.8</del> 0 <del>0</del> 57.600	110,0007	21,500	Greatly overexploited in early 1960'sremain depressed.	$\begin{cases} 6.500 \\ 15.000 \end{cases}$	0	6.500 - E. Bering Sea 15,000 - Alcutians
Sablefish	Fair	. 0	8,000	10,000- 20,000	8,000- 10,000	'Slight overfishing for 15 years has caused gradual decline to current low level.	$\begin{cases} 5,000 \\ 2,500 \end{cases}$	0	5,000 - E. Bering Sea applies to combined longline & trawl catch
iod	Fair	0	64,909	85,000?	58,000	Fully utilized; current recruitment appears lower than in recent past.	58,000	1,000	57,000
Mailbut	Excellent	3037\	300	?	?	Commercially extinct in terms of setline fishery due to high incidental mortality of juveniles caused by trawling.	Tr.2/	>Tr.	0 - no retention
Herring	Poor	Tr,	26,600	50,000?	. i	Overfished; probably subject to highly variable recruitment.	21,000	1,000	20,000 - no more than 1,000 of by gillnet
Others	Poor	0	164,000	. 1	?		\$52,500 34,000	0	59,600 - E. Sering Sca 34,000 - Aleutian

 $<sup>\</sup>underline{\mathcal{M}}$  includes Canadian catch.

<sup>2/</sup> Limited Horth American setline fishery for resource assessment purposes,

# North Pacific Fishery Management Council Summary of Actions Second Plenary Session December 2-5, 1976, Anchorage, Alaska

The Advisory Panel, with twenty members present, organized and Mr. Jack B. Cotant was appointed Chairman, Mr. Nick Szabo Vice-Chairman. Mr. Donald Bevan was removed from the Advisory Panel, retaining his seat on the Scientific and Statistical Committee. Mr. Keith Specking, Mr. Alan D. Otness, Mr. Bob Starck and Mr. Bob Alverson were appointed to replace the three original nominees who were unable to serve, i.e., Mr. Gordon Jensen, Mr. Thomas Dunn and Mr. Jack Phillips, and to take the vacancy created by Dr. Bevan.

On the recommendation of the Scientific and Statistical Committee, appointed Dr. Dayton L. Alverson Chairman and Mr. Steve Pennoyer Vice-Chairman of that Committee.

Requested changes in the Charters of the Scientific and Statistical Committee and the Advisory Panel Committee (see inclusions).

De-emphasized development of Council management plans governing domestic fisheries now under regulation, instructed the Scientific and Statistical Committee to give greatest priority to those fisheries not now regulated by the State of Alaska with priority on the tanner crab fishery in the eastern Bering Sea, trawl fishery in the Bering Sea and Aleutian Islands and trawl fishery in the Gulf of Alaska.

Acted on Secretarial preliminary management plans as per inclusion No. 22.

Requested an extension of time to comment on interim regulations governing Council operations.

Prepared and passed grant applications and budgets for North Pacific Council operations for the second half of FY77, all of FY78 and a budget proposal for FY79.

Directed the Acting Executive Director to locate and obtain office space for the Council in Anchorage and have it in operation prior to the next meeting.

Met jointly with the Alaska Board of Fisheries to determine methods of cooperation in the management of the resources off Alaska.

Voted to send the Chairman, Vice-Chairman and Mr. McKernan to the January 11 meeting of Councils in Houston, Texas.

Requested by letter to the Secretary an equal role in the development of the management plans for the troll salmon fishery of the Pacific.

Requested by letter to Mr. Schoning funding of a fisheries development program through NMFS in conjunction with the State of Alaska and industry.

Next Council meeting was set for January 26 through 28, 1977 in Anchorage, Alaska.

Chairman was asked by the Council to appoint a screening committee for a final screening of applicants for Executive Director prior to the next Council meeting.



U.S. DEPARTMENT OF COMMERCE Methodal Osaanic and Atmosphusic Administration National Marine Fisheries Service P. O. Box 1668, Juneau, Alaska 99802

MON 2 1 7976

Mr. Elmer Rasmuson, Chairman North Pacific Fishery Management Council P.O. Box 600 Anchorage, Alaska 99501

#### Dear Elmer:

We need Council input into a set of critical decisions which may involve selective reprogramming of part of the \$22 million in FY 1977 Extended Jurisdiction (EJ) funding approved by Congress (see Attachment I) to carry out the Fisheries Conservation and Management Act of 1976 (P.L. 94-265). Three major areas of unmet needs which need to be considered and acted upon have been identified: Observer Program expansion, additional funds to support Council activities and increased State involvement, all of which were discussed briefly at the National Conference last September.

A basic constraint to meeting these needs is that any reprogramming exercise will be confined to within the \$22 million appropriated for Extended Jurisdiction. The three major unmet needs are discussed below.

#### 1. Extended Jurisdiction Observer Program

A decision is required relative to the establishment of an Observer Program for onboard monitoring of authorized foreign fishing within the 200-mile fisheries zone. This action is necessary to respond to the concern expressed by various constituent groups, the NMFS Regional and Center Directors and Dave Wallace over the need for an Observer Program and to meet international commitments.

The following guidelines are proposed by NMFS for development of EJ Observer Programs:

o Primary function is data collection - Observers will have an active role in data collection, including determination of foreign vessel total catch, catch composition, fishing effort, gear utilized, disposition of catch, vessel efficiency, data recording and reporting accuracy, marine mammal catch and any violations of regulations and the terms of vessel permits. The observer's role in enforcement will be passive, i.e., his presence will serve as a deterrent, although he will record violations that can be acted upon by enforcement agents or considered in subsequent negotiations or allocations.

Data records can be used in assisting area enforcement activities and as prosecution evidence, if appropriate. Additionally the observer, based on his knowledge of violations, may be called upon to act as a witness in the prosecution of such cases. This approach facilitates use of other than full-time permanent (OTFTP) observers and reduces precruise training requirements, thereby reducing program/ceiling costs. Additionally, it does not involve observers actively in prosecuting violations.

- o EJ Observer Program to be single region-wide program Each region-wide program should be developed with RD-CD concurrence and be structured and coordinated to meet the needs of the area involved.
- o Program administration Program administration should be assigned to the Center Director serving each region. The Marine Mammal Observers Program should remain a separate activity for budgetary and accounting purposes, and will continue to be administered in the regional office.
- o EJ Observer Programs will be funded through the data analysis line item FY 1977 base or FY 1978 increase funds for EJ Observers presently identified in other line items will be shifted as appropriate in the future.

#### 2. Regional Council Operations

As indicated in Attachment I, the amount funded for Regional Council operations is \$3,111K. (This amount included \$46K in NOAA overhead not directly available to the Councils.) At the National Conference last September, the Councils voiced the opinion that they were underfunded and that they might need up to twice the amount of funding currently proposed. In this regard you are now being asked to submit a revised budget proposal for the second half of FY 1977, as well as for FY 1978 and FY 1979. Specific instructions and formats are contained in Attachment II.

We hope to receive your recommendations, and obtain a NOAA decision on the budget requests by the end of December or early January. It is anticipated that the total combined additional Council requests will not total more than \$1 - \$3 million in additional annual funding. A meeting with the Chairmen of the Councils to discuss national priorities may be required if the combined total requests greatly exceed funding available.

#### 3. Needs of States

#### A. Additional Support for State Directors

Concern has been expressed by some Councils as well as State Directors over the funding and personnel needs of the State Directors to fulfill their role vis-a-vis the Councils and to accommodate their expanded responsibilities in a host of related activities. For example, consider the concept of a State Director requiring a small staff in order to ensure effective participation in Council deliberations. Is it logical for the State Director to have contract funds, separate travel money, etc.? We are requesting Council comments on the concept of support for State Directors, the kinds and amounts of such support, and whether or not the support should be funded through the NMFS regional office or through the Council.

### B. Increased Contracting with States to Maximize Utilization of State Expertise

The State has program expertise which could be made selectively available to the Councils or to NMFS through contracts on reimbursable agreements. In this regard our Regional staff will be talking directly with the State to ascertain exactly where and at what level the State feels they can increase their participation in extended jurisdiction activities. Their increased support may be in such operations as resource surveys, data collection or analysis, enforcement, etc. Given the desirability of increasing State participation we would like to have the Council's opinion on what programs you feel we could look to for additional participation.

Your input regarding the observer programs, State needs, and budget proposals for FY 1977-79 (using the formats provided) were requested by December 3, 1976, but it is obvious that Council scheduling will not allow us to meet that deadline. We should submit your proposals as soon as possible, however, so they can be considered by NOAA when they make their decision in late December or early January.

#### 4. FY '77 Extended Jurisdiction funding

Another subject the Council should consider in relation to its activities is the State-Federal Fisheries Management Initiated in 1972 this program was designed to Federally fund projects undertaken by various groups of states to develop coordinated management plans for specific fisheries which transcended state boundaries, or other management functions which could be expected to affect more than one state. In Alaska two contracts have been let to the Commercial Fisheries Entry Commission. The first in 1974 to develop techniques and methodology to implement the Alaska Limited Entry Plan. This contract was terminated in August, 1975. A second contract was initiated in October, 1976 for the Commercial Fisheries Entry Commission to do a bio-economic study related to Alaskan shellfish fisheries, aimed at developing a data base to furnish the necessary social and economic considerations to determine optimum yield.

With the advent of P.L. 94-265 and the creation of the North Pacific Fishery Management Council we should consider the value of this program. Should the program be retained to look at inter-state fishery problems other than those under the purview of the Council, or would the Council see merit in using this program as a vehicle to develop fishery management plans or assemble needed data for plans? We would appreciate the views of the Council.

Sincerely,

Harry L. Rietze

Director, Alaska Region

## FY1977 EXTENDED JURISDICTION FUNDING

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Acceemment 1		•	
	•	POS.	\$000'S
NATIONAL MARINE FISHERIES SERVICE		<u>176</u>	<u>9,991</u> .
DATA ANALYSIS		<sub>-</sub> 36	1,994
COASTAL ZONE MGMT. SUPPORT	• • • • • • • • • • • • • • • • • • • •	8	280
INTERNATIONAL FISHERIES MGMT.		7	275
STATE/FEDERAL FISHERIES MGMT.			
REGIONAL FISHERIES MGMT. STAFF		25	515
		15	403
NATIONAL REVIEW OF OPERATIONS		6	<b>52</b>
PERMIT SYSTEM			3,111
REGIONAL COUNCIL OPERATIONS		31	1,107
ENFORCEMENT/SURVEILLANCE	. •	71	
ECON./COMM. FISHERIES STATISTICS		18	715
REGIONAL DATA MGMT. SYSTEMS			1,024
BIO-ECONOMIC DATA BASES	•	28	
RECREATIONAL FISHERIES		2	515
0110727		37	11,012
NATIONAL OCEAN SURVEY	•	<u>5/</u>	1,500
BOUNDARY 'SURVEYS			
VESSEL CONSTRUCTION/SUPPORT		31	9,512
			500
SEA GRANT _	_		
EXECUTIVE ADMINISTRATION	·	<u>33</u>	<u>497</u>
		- 21 <sub>1</sub> C	22,000
TOTAL		<u>246</u>	. 22,000
•		•	

#### Call for Regional Council Crant Proposals

We are requesting, via this memorandum, your grant emendments for the 2nd half of FY 77, grant proposals for FY 1978, and work plans for both years. In addition, you are asked to estimate your funding needs for FY 1979. Information on FY 1979 requirements will be your initial input into the FY 1979 budget cycle.

Appendices A through C Provide formats and instructions for submission of Regional Council proposals. These should be submitted to the Director, NMFS by December 10, 1976. Specific documentation requirements are as follows:

- (1) Two SF-424s Application for Federal Grant (Appendix A). One's an amendment form for the second half of FY 77, and the other is an application form for FY 78.
- (2) An "Estimated Regional Council Budget" form (Appendix B).

  The first column of this form has been completed, and represents your grant for FY 77 1st half. The budget in column 2 (Appendix B) (2nd half of FY 77) should match item #13A on SF-424 Grant Amendment for 2nd half of FY 77. The funds currently allocated in the FY 78 budget for your Council's operation are \$367.2 K. This should be used as an initial basis for completing the FY 78 column (Column 3) in Appendix B. Any additional funds for either FY 77 or FY 78 will necessiate either specific Congressional action, or redirection of existing program funds. Your budget request for FY 79 should allow for the FY 78 proposed levels, plus any additional requirement which you foresee.
- (3) Work Plan (FY 77, 78, 79) (Appendix C).
- (4) Descriptive List of Contracts (FY 77, 78, 79 (Appendix C).

Council budget requests for FY 79 will be incorporated with those of NYFS and together they will be presented to the NOAA Administrator in early February 1977.

Because the NMFS FY 79 budget presentation to NOAA will combine Council and NMFS needs, I have directed our regional staffs to:

- solicit your <u>general</u> recommendations for FY 79 <u>program</u> needs during November and
- coordinate their FY 79 increase requests with those of the Councils in order to encourage complementary proposals.

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SECTION IV-REMAINTS (Please reference the proper item number from Sections I, II or III, if applicable)

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17.	Estimated number of months to complete project after Federal funds are evallable.	20.	Indicate Foderal agency to which this request is addressed. Street address not required, but do use
12.	Estimated data prapplication/application will be	.•	zip.
	submitted to Endorel agency if this project requires clearinghouse review. If review not required, this date would usually be same as date in item 2b.	21.	Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks are attached.

#### APPLICANT PROCEDURES FOR SECTION II

Applicants will always complete items 23a, 23b, and 25c. If clearinghouse review is required, Item 22b must be fully complated. An explanation follows for each item:

	•			٠
Item		<b>item</b>		• :
22b.	List clearinghouses to which submitted and show in appropriate blocks the status of their responses.	235.	Self explanatory.	
	For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be etiached.	23c.	Self explanatory.	
23a.	Name and title of authorized representative of legal applicant.	. Note:	Applicant completes only Sections I and II. Section III is completed by Federal agencies.	n
•				

### FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II noods no updating or adjustment to fit the final Federal a Federal agency will complete Section III only. An explanation for each item follows:

-éu		. Item	
24.	Executive department or independent agency having program administration responsibility.	35.	Name and telephone no, of agency person who can provide more information regarding this assistance.
25.	Self explanatory.	35.	Date after which funds will no longer be available.
26.	Primary organizational unit below department level having direct program management responsibility.	37.	Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment
27.	Office directly monitoring the program.		of additional remarks.
28.	Use to Identify non-award actions where Federal grant identifier in item 30 is not applicable or will not suffice.	33.	For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as cerson
29.	Complete address of administering chice shown in item 20.	•	shown in item 35, write "same". If not applicable, write "NA".
30. \	Use to identify eward actions where different from	Federal	Asoncy Procedures—special considerations

- A. Treasury Circular 1032 compliance. Federal agency with assure proper completion of Sections I and III. If Section I is being completed by Federal agency, all applicable hams must be filled in. Addresses of State Information Reception Agencies (SCIRA's) are provided by Treasury Densitment to each agency. This form replaces SF 240, which will no longer be used.
- B. OMB Circular A-95 compliance. Federal agency with assure proper completion of Sections I, II, and III. This form is required for notifying all reviewing cleaninghouses of major actions on all programs reviewed under A-95. Addresses of State and ereawide clearinghouses are provided by OMB to each agency. Substantive differences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded wit be explained in A-95 notifications to clearinghouses.
- C. Special note. In most, but not all States, the A-95 States clearinghouse and the (FG 1002) SCIRA are the same office. In such cases, the A-95 award notice to the State cicaringhouse will fulfill the TC 1032 award netice requirement to the State SCIRA, Duplicate notification should be avoided.
- 31. Self explanatory. Uso remarks section to amplify where appropriate. 32. Amount to be contributed during the first funding/ budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts, are included, breakout in remarks. For multiple program funding; use totals and show program breakouts in remarks, item definitions: 32a, emount, awarded by Federal Covernment; \$25, amount applicant will contribute: 32c, amount from State, if applicant is not a State; 32d, amount from local

government if applicant is not a local government;

32e, amount from any other sources, explain in

Federal application identifier in item 28.

- 33. Date action was taken on this request.
- Date funds will become available.

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SEITION IV-REMARKS (Pleace reference the proper item number from Sections I, II or III, if applicable)

#### GENERAL MISTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facesheet for pre-Mications and applications submitted in accordance with Federal Management Circular 74-7. Second, it will be used by Federal agencies to report to Clearinghouses on major actions taken on applications reviewed, by clearinghouses in accordance with OMB Circular A-95. Third, it will be used by Federal agencies to notify States of grants-in-aid awarded in accordance with Treasury Circular 1032, Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

#### APPLICANT PROCEDURES FOR SECTION 1

Applicant will complete all items in Section I. If an item is not applicable, write "NA", if additional space is needed, insert an exterisk "e", and use the remarks section on the back of the form. An explanation follows for each item:

#### Item Mark appropriate box. Pre-application and applica-1. tion guidance is in FMC 74-7 and Federal agency program instructions. Notification of intent guid-ance is in Circular A-95 procedures from clodeinghouse. Applicant will not use "Report of Federal Action" box. Applicant's own control number, if desired. 2a. Date Section I is prepared. 25. Number assigned by State clearinghouse, or if dele-32. gated by State, by areawide clearinghouse. All requests to Federal agencies must contain this identifier if the presion is powered by Circular A-\$5 and required by applicable State/area wide clearing. house procedures. If in doubt, consult your clearinghouse. Date applicant notified of clearinghouse identifier. 4a-4h. Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of person who can provide further information about this request.

- Employer identification number of applicant as es-5. . signed by Internal Revenue Service.
- Use Catalog of Federal Demestic Assistance num-6a. ber assigned to program under which assistance is requested. If more than one program (e.g., jointfunding) write "multiple" and explain in remarks. If unknown, cite Public Law or U.S. Code.
- Program title from Federal Catalog. Abbreviate if 65. necessary.
- Brief title and appropriate description of project 7. For notification of intent, continue in remarks section if necessary to convey proper description.
- Mostly self-explanatory, "City" includes town, town-8. ship or other municipality.
- Check the type(s) of assistance requested. The definitions of the terms are:
  - A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant
  - B. Supplemental Grant. A request to increaso a basic grant in certain cases where the eligible applicant cannot supply the required matching share of the basic Federal program (e.g., grants awarded by the Appalachien Regional Commission to provide the applicant a matching share).
  - C. Loan. Self explanatory.

liem

- D. Insurance. Self explanatory.
- E. Other: Explain on remarks page.
- Governmental unit where significant and meaning-10. ful impact could be observed. List only largest unit or units affected, such as State, county, or city. If entire unit affected, list it rather than subunits.
- Estimated number of persons directly benefiting 11. from project
- Use appropriate code letter. Definitions are: 12.
  - A. How. A submittel for the first time for a new project
  - B. Renewal, An extension for an additional funding/ budget period for a project having no projected completion date, but for which Federal support must be ranswed each year.
  - C. Revision. A medification to project nature or scope which may result in funding change (increase or decrease).
  - D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of years.
  - E Augmentation. A requirement for additional funds for a project previously awarded funds in the same funding/budget period. Project nature and scope unchanged.
  - Amount requested or to be contributed during the 13. first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of the change. For decreases enclose the amount in parentheses. If both basic and supplemental amounts are induced, breakout in remarks. For multiple program funding, use totals and show program broakouts in remarks, Item delinitions: 13a, amount requested from Federal Govemment, 13b, amount applicant will contribute; 13c, amount from State, if applicant is not a State; 12d, amount from local government, if applicant is not a local government; 13e, amount from any other sources, explain in remarks.
  - Self explanatory. 143.
  - The district(s) where most of actual work will be 14b. accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "State-wide."
  - Complete only for revisions (item 12c), or augmen-15. tations (item 12e).

STANDARD FORM 424 PAGE 3 (10-75)

#### ROTICES

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16:	Approximate differences expected to Lagle (an ally associated with eatherted date of availability of funding).	19.	Disting record Identification number it this is not a new request and directly relates to a previous Federal action. Otherwise write "NA".
17.	Estimated number of months to complete project after Federal funds are available.	20.	Indicato Federal agency to which this request is addressed. Street eddress not required, but do use
18.,	Estimated data preapplication/application will be		ZIP.
	submitted to Endorsh agency if this project requires clearlaghouse review. If review not required, this date would usually be some as date in from 2b.	21.	Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks -

#### APPLICANT PROCEDURES FOR SECTION II -

Applicants will always complete Roma 23s, 23b, and 25s. If cleaninghouse review is required, item 22b must be fully completed. An explanation follows for each item:

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225.	List clearinghouses to which submitted and show in appropriate blocks the status of their responses.	, 23b.	Self explanatory.
• •	For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached.	23c.	Self explanatory.
232.	Name and title of authorized representative of legal applicant.	Note:	Applicant completes only Sections I and II. Section III is completed by Federal agencies.

#### FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Fede

Item		Item				
4.	Executive department or independent agency having program administration responsibility.	35.	Name and telephone no, of agency person who can provide more information regarding this newstance.			
. 25.	Self explanatory.	36.	Date ofter which funds will no longer be available.			
25.	Primary organizational unit below department level baving direct program management responsibility.	37.	Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment			
27.	Office directly monitoring the program.		of additional remarks.			
28.	Use to Identify non-sward actions where Federal grant Identifier in item 30 is not applicable or will not suffice.	38	For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as gerson though in Name 25 with Name II. I have as gerson.			
29.	Complete address of administering clines shown in tem 26.		shown in item 35, write "same". If not applicable, write "NA".			
30. \	Use to identify award actions where different from Federal application identifier in item 23.		al Agency Procedures—special considerations			
31.	Self explanatory. Use remarks section to amplify where appropriate.	255	esury Circular 1032 compliance. Federal escaps will ure croper completion of Sections I and III. If Section I wing completed by Federal egency, all septice. It items			
32.	Amount to be contributed during the 6mt funding!	must be filled in. Addresses of State Information D				

#### Amount to be contributed during the first funding/ budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in deltar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts, are included, breakout in remarks. For multiple program funding; use totels and show program breakouts in remarks, item definitions: 332, amount owarded by Federal Government; 320, smoont opplicant will contribute; 32c, amount from State, if applicant is not a State; 32d, amount from local government if applicant is not a local government; 320, amount from any other sources, explain in

- 33, Date notion was taken on this request.
- 34. Date funds will become nurilable.

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tion Agencies (SOIRA's) are provided by Treasur, Deportment to each agency. This form replaces SF 243, which will no longer be used.

- B. OMB Circular A-95 compliance. Federal agency will assure proper completion of Sections I, II, and III. This form Is required for notifying all reviewing cleaninghouses of major actions on all programs reviewed under 4-95. Addresses of State and electrical clearing houses are provided by OMB to each agency. Substantive of prences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded will be explained in A-95 notifications to clearinghouses.
- C. Special note, in most, but not all States, the 7-95 State clearinghouse and the (FO 1002) SCIRA are the same office. In such cases, the A-95 eward notice to the State clearinghouse will fulfill the 10 1032 award not to requirement to the State SCIRA, Duplicate nationalism should be evolded.

#### ESTIMATED REGIONAL COUNCIL BUDGET

The following format summarizes the elements of cost to be considered in budget planning. In addition to a listing of staff positions to support the labor portion of the budget, the derivation of the other budget elements must be given. The "Current Year" estimate will reflect the most recent plans concerning the distribution of funds within the grant that will have been awarded at the beginning of the current fiscal year. Grants will be issued on the basis of the amounts estimated in the "Budget Year" column. The amounts estimated for "Budget Year + 1" will constitute the Council's input to the budget cycle and will be the basis for granting funds in the following year.

Amendments to grants already awarded or to the estimates for the "Budget Year" or "Budget Year + 1" must be kept separate from the grant proposal itself. Amendments should be in the same format, with only the relevant columns completed and accompanied by an explanation/justification. Requests for increases will be granted subject to the availability of funds and the urgency of the need.

#### ESTIMATED REGIONAL COUNCIL BUDGET

BUDGET CATEGORIES	FY 77 1st Half (Oct 1-Ma	. 31)	(2) FY 77 2nd Half (Apr 1- Sep	30)	(3) FY 78 Budget Year	(4) FY 79 Budget Year +1
·	N.PACIF	<u>10</u>				
Salaries Non-Govt Voting Member Staff 1/	s ş 	21.4				
. Sub-Total	\$	59.3	•		•	•
Benefits (9.6%)	•	5.7	•	•	•	
COLA (Staff Only)		9.5				
Total Salaries & Benefit	s \$	74.5			• .	•
Travel		50.0			•	•
Trans. of Things		15.0	•			
Rents		10.0				
Communications		5.0				•
Utilities		1.3				
Printing		6.0				
Non-Fed Contracts	•	5.0		•		. •
Supplies		4.0				
Capital Equipment	•	6.0				
Non-Capital Equipment		5.0		•		
Tax and Insurance		1.0				•
TOTAL		182.8	* .	•		

### SOURCES OF FUNDING

Federal Funds Required
Estimated Funding from
Other Sources (Specify)

Total (Same Amount as Total Planned Budget Line)

#### WORKPLAN

The work plan must show what work will be done for the funds requested. It relates dollars and people to the decisions of the Councils on priorities among fishery management units and defines the pace for development of management plans. Submissions of management plans to the Secretary will be the major milestones of Council activity. Planning this activity two-plus years ahed is of benefit to the Councils by helping to structure their work, and to NMFS by helping it synchronize its scientific and technical support to the needs of the Councils. No format is specified for the workplan, but as a minimum it should contain a schedule of management plan development and have each fiscal year's activity separately identified. The work plan should also identify, specifically, what additional program outputs are anticipated or a result of any new funds in FY 78, and FY 79, above the prior year's level. Progress in meeting the management plan schedule and an updating of it must be reported within 60 days after the end of each fiscal year.

#### Descriptive List of Contracts

This part of the grant proposal will represent the effort of the Councils to foresee their needs for contract expertise in view of their workplan. Each contract anticipated should be briefly described and located on the time frame of the workplan. The description shall include the estimated cost of the contract. Contracts must be in accordance with Attachment 0 of OMB Circular No. A-110

#### PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL

November 24, 1976

Mr. Bruce A. Lentz, Chairman South Atlantic Regional Fishery Management Council Box 1910 Hickory, NC 28601

Dear Mr. Lentz:

Your letter of November 12, 1976, suggested a January 11 meeting of Regional Council Chairmen to discuss common problems. Our Council reviewed your letter at its November 22-23 meeting and supported the need for such a meeting, if it could be held before a final decision is made by NMFS on reprogramming FY77 extended jurisdiction funds.

We have checked with Director Schoning's office and they have agreed that by January 11 they will have a tentative FY77 budget proposal we could review prior to their making a final decision.

We would propose considering the following subjects at a joint meeting on . January 11.

Review of 1977 extended jurisdiction budget and NMFS reprogram-Budgets: ming proposal.

Optimum Yield: The various Councils are considering definitions and procedures to comply with the requirements for meeting optimum yield requirements of management plans. We see the need for consistency between Councils. Attached is a paper by Dr. Crutchfield which speaks to this matter.

Development of a National Organization of Councils: We see a need for a continuing coordination between Councils and there is merit in considering some approach to accomplish this. It could include establishing a central coordinating office in Washington, D.C.

We commend your initiative in suggesting this intercouncil meeting.

Sincerely,

of homes E. Kruse John W. McKean, Chairman

Attachment

Council Members cc:

Regional Council Chairmen

Mr. Schoning, F