

# North Pacific Fishery Management Council

Harold E. Lokken, Chairman  
Jim H. Branson, Executive Director

Suite 32, 333 West 4th Avenue  
Post Office Mall Building



Mailing Address: P.O. Box 3136DT  
Anchorage, Alaska 99510

Telephone: (907) 274-4563  
FTS 265-5435

## AGENDA

### FINANCE COMMITTEE MEETING FOR SEPTEMBER, 1978

- I. Review of Contract 78-1 "An Investigation of Joint U.S./Foreign Ventures in the Developing Commerical Fishery off Alaska" and make recommendations for payment.
- II. Review of final report of Contract 78-6 "A Study of the Socio-Economic Impacts of a Commerical Herring Fishery on the Villages and Residents of the Arctic/Yukon/Kuskokwim Area in Western Alaska".
- III. Review of FY79 Administrative budgets - and review of grant total as prepared by NMFS/NOAA Washington.
- IV. Any other business assigned by Council.



**UNITED STATES DEPARTMENT OF COMMERCE**  
**National Oceanic and Atmospheric Administration**  
 National Marine Fisheries Service  
 Washington, D.C. 20235

Fx5/MZ

TO: Ann, D. Terbush, NOAA Grants Office - AD113  
 FROM: *Winfred H. Meibohm*  
 Winfred H. Meibohm, Associate Director - NMFS  
 SUBJECT: FY79 Grant Funding - Regional Fishery Management Councils

Attached are completed grant applications from all eight Regional Councils for FY79. After a close examination of each request and the accompanying background materials, we recommend funding approval for administrative requirements as indicated below.

<u>Council</u>	<u>Amount to be Awarded</u> <u>Operations</u>	<u>Accounting Data</u>
New England	\$601K	FDB000/88F3NEEJ
Mid-Atlantic	540	" /88F3MAEJ
South Atlantic	480	" /88F3SAEJ
Caribbean	350	" /88F3CREJ
Gulf of Mexico	670	" /88F3GMEJ
Pacific	645	" /88F3PCEJ
Western Pacific	300	" /88F3WPEJ
North Pacific	<u>670</u>	" /88F3NPEJ
	\$4,256K	

We request that the following general stipulations be reflected in each grant:

1. Separate programmatic and administrative grants will again be written this year, with the latter extending for the current fiscal year only. After further consideration, NMFS feels that a number of key questions must be addressed before the one-grant/multi-year concept can be adopted. We understand that, should we decide on the multi-year approach, the FY79 grants could be extended accordingly.



2. The technical monitor will be Winfred H. Meibohm.
3. The clause which authorizes a mid-year review of administrative spending patterns should again be included this year.
4. Progress reports of substantive programmatic endeavors should be required on a semi-annual basis from each Council. (These should be distinguished from the annual report required by the FCMA, whereby the Councils report on such matters as meetings, attendance, etc.)

As you will note, the amounts approved above are in all cases lower than the actual amounts requested by the Councils. Attached is our analysis of each Council's request, wherein we describe the rationale behind our decisions as well as the action required from the various Councils to obtain additional funding in the future. If you have any questions regarding these materials, please contact Dave Rand or Mike Zambruski at 634-7444.

Attachment

Analysis - FY79 Budget and Grant Application

North Pacific Fishery Management Council

Administrative

A number of areas have been reduced by NMFS. As we have mentioned in reference to other Councils, across-the-board within-grade increases are inflationary and inappropriate, since federal employees above the step level of four do not receive increases on an annual basis. The travel requirements planned for the Council members, as well as the members of the SSC & APs, are very optimistic, by at least \$150,000. Additionally, we agree with Mr. Branson's feeling that contracts, communications, & printing will probably be less than that specified in the budget's request. Here we estimate a \$50,000 reduction.

The Council's budget for staff salaries includes nine personnel, an apparent increase of two full-time permanent positions over FY78. Regarding the additional clerical position, we must withhold approval of funding until formal endorsement for the position is given by NMFS/NOAA. Concerning the professional writer position, we feel that the salary level of \$32,000 is rather high. Not only would this individual be the second writer on the Council staff, but this individual would evidently be responsible for "a variety of necessary but non-substantive tasks," according to Harry Rietze's letter of August 3, 1978 (enclosed). Thirty-two thousand dollars per year is a very high salary for an individual performing non-substantive tasks. Accordingly, we also recommend that approval for this position be held in abeyance until the position itself is formally examined further by NOAA/NMFS.

In view of the above analyses, NMFS recommends that Council operations be funded in the amount of \$670,000.

Programmatic

Although a good portion of the programmatic fund request concerns the continuation of existing approved contracts, NMFS nevertheless needs additional information on how phase-two funding for these contracts would relate to prior year funding, accomplishments, etc. Therefore, no programmatic funding is recommended for disbursement at this time pending receipt of further background materials for all contracts which are contemplated.

General

Regarding page 1 of Mr. Branson's August 10, 1978 letter (para. 5), we are not aware that approval to buy equipment is no longer needed from NOAA. Please advise us on this.

Also, we agree with Mr. Branson (top, p. 2) that a private audit this coming year would be unnecessary, in view of the recent DOC audit.

SUMMARY OF REDUCTIONS

Personnel	-100
Fringe Benefits	-20
Travel	-195
Contractual	-14
Other	<u>-40</u>
TOTAL	-369

NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

FY 79 BUDGETS

9-26-78

	FY 79 Revised	FY 79 Council Approved	FY 79 NOAA/ NMFS Grant
Personnel	238	373	273
Fringe Benefits	31	64	44
Travel	140	415	220
Equipment	5	5	5
Supplies	6	8	8
Contractual	18	35	21
Other	122*	139	99
Management Plans	105.5	0	0
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TOTAL	666	1,038	670

\* Includes 50K Pass-thru Funds.

## FINANCIAL STATUS REPORT FOR ADMINISTRATIVE FY78 GRANT

Item	August Expenses	Expended to date	Revised Budget 7-78	Balance 8-30-78	Sept. Projected Expenses	Projected Balance 9-30-78
<b>SALARIES</b>						
Staff	15,279.84	158,587.95	177,000	18,412.05	18,412.05	0
Council	4,111.20	34,260.00	55,800	21,540.00	6,000.00	15,540.00
Parttime-Consultants	4,587.11	5,001.11	5,000	-1.11	200.00	-201.11
Benefits	2,375.47	29,269.05	31,357	2,087.95	2,500.00	-412.05
<b>TRAVEL</b>						
Council Members	6,011.51	28,683.98	35,200	6,516.02	6,516.02	0
AP	6,369.09	31,641.27	52,000	20,358.73	10,000.00	10,358.00
SSC	3,069.09	19,243.08	27,800	8,556.92	5,000.00	3,556.00
Staff	1,985.08	9,239.15	25,000	15,760.85	3,000.00	12,760.85
Freight	--	160.12	1,000	839.88	500.00	339.88
Rents	5,312.69	47,649.08	55,000	7,350.92	7,350.00	0
Communications	529.41	14,115.85	13,000	-1,115.85	2,000.00	-3,115.85
Printing	--	260.06	2,000	1,739.94	1,739.94	0
Contracts	1,673.82	15,887.13	18,000	2,112.87	2,112.87	0
Supplies	590.00	6,336.83	6,000	-336.83	600.00	-936.83
Equipment	219.77	3,763.36	5,000	1,236.64	1,236.64	0
Insurance	--	151.00	151	0	0	0
Training	--	647.95	800	152.05	152.05	0
s thru funds	--	1,962.52	50,000	48,037.48	48,037.48	0
<b>MANAGEMENT PLANS</b>						
Tanner Crab	--	2,339.98	12,191	9,851.02	0	9,851.02
King Crab	--	801.58	2,801	1,999.42	0	1,499.42
Gulf groundfish	--	2,475.29	9,498	7,022.71	2,500.00	4,522.00
High Seas Salmon	--	--	10,000	10,000.00	8,170.00	1,830.00
Troll Salmon	1,361.03	14,628.03	23,024	8,395.97	8,395.97	0
Clam	--	2,418.98	23,026	20,607.02	20,607.02	0
Bering Sea GF	52.55	2,108.21	9,902	7,793.79	2,000.00	5,793.79
Herring	358.89	1,289.62	6,453	5,163.38	3,163.38	2,000.00
Halibut	--	754.77	5,500	4,745.23	3,000.00	-1,745.23
Shrimp	--	--	4,000	4,000.00	0	4,000.00
<b>TOTAL</b>	<u>52,882.22</u>	<u>433,675.95</u>	<u>-666,500</u>	<u>232,827.05</u>	<u>163,693.42</u>	<u>69,130.35</u>



UNIVERSITY OF ALASKA, FAIRBANKS  
Fairbanks, Alaska 99701  
Grants and Contracts  
112 Bunnell Building

September 1, 1978

Mr. Mark I. Hutton  
Acting Executive Director  
North Pacific Fishery Management Council  
P.O. Box 3136 DT  
Anchorage, AK 99510

Dear Mr. Hutton:

Enclosed are copies of vouchers 3, 4 and 5 on a contract between you and the University of Alaska entitled "an Investigation of Joint US/Foreign Ventures in the Developing Commercial Fishery Off Alaska." These total \$16,182.13 and have not been paid,

By letter signed by Jim Branson on February 16, 1978, monthly provisional payments were to be made. We have not received a payment since April.

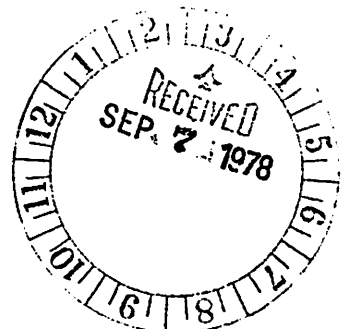
Please send your check for these outstanding vouchers to the address above.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "D. V. Richardson".

D. V. Richardson  
Chief, Grants and Contracts

DR/e1/28747  
Enclosure  
cc: Don Rosenberg  
Director, Alaska Sea Grant





Grants and Contracts  
112 Bunnell Building  
Fairbanks, AK 99701

May 18, 1978

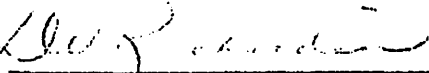
North Pacific Fishery Management Council  
P.O. Box 3136 DT  
Anchorage, AK 99510

Analysis of costs recorded under contract with the North Pacific Management Council entitled, "an Investigation of Joint U.S./foreign Ventures in the Developing Commercial Fishery Off Alaska", in the amount of \$33,431.00. From inception to April 1978. Voucher #3.

	<u>Current Charges</u>	<u>Cumulative Charges to date</u>
Direct Labor	\$3,494.52	\$4,179.71
Travel	407.92	1,430.11
Direct Services	141.26	178.06
Staff Benefits 17.9%	625.52	748.17
Overhead 37.8% of Salaries and Wages	<u>1,320.92</u>	<u>1,579.92</u>
Total Claim Submitted	<u>5,990.14</u>	<u>8,115.97</u>
TOTAL CHARGES SUBJECT TO REIMBURSEMENT	<u>\$5,990.14</u>	8,115.97
Paid on Voucher 2		1,931.31
Voucher 1 Outstanding		<u>194.52</u>
Net Amount This Claim		<u>\$5,990.14</u>

"I certify that all expenditures reported are for appropriate purposes and in accordance with the agreement set forth in the application and award document."

CERTIFIED CORRECT



D. V. Richardson  
Chief, Grants and Contracts

BJ/el  
cc: Sea Grant  
28747

Grants and Contracts  
112 Bunnell Building  
Fairbanks, AK 99701

June 26, 1978

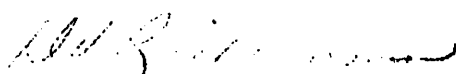
North Pacific Fishery Management Council  
P.O. Box 3136 DT  
Anchorage, AK 99510

Analysis of costs recorded under contract with the North Pacific Fishery Management Council entitled, "an Investigation of Joint U.S./foreign Ventures in the Developing Commercial Fishery Off Alaska", in the amount of \$33,431.00. From inception to May 1978. Voucher #4

	<u>Current Charges</u>	<u>Cumulative Charges to date</u>
Direct Labor	\$2,740.80	\$ 6,920.51
Travel	1,749.94	3,180.05
Direct Services	188.46	366.52
Staff Benefits 17.9%	490.60	1,238.77
Overhead 37.8% of Salaries and Wages	<u>1,036.02</u>	<u>2,615.94</u>
Total Claim Submitted	<u>6,205.82</u>	<u>14,321.79</u>
TOTAL CHARGES SUBJECT TO REIMBURSEMENT	<u>\$6,205.82</u>	14,321.79
Paid on Voucher 1 and 2		2,125.83
Voucher 3 Outstanding		<u>5,990.14</u>
Net Amount This Claim		<u>\$ 6,205.82</u>

"I certify that all expenditures reported are for appropriate purposes and in accordance with the agreement set forth in the application and award document."

CERTIFIED CORRECT

  
\_\_\_\_\_  
D. V. Richardson  
Chief, Grants and Contracts

BJ/el  
cc: Sea Grant  
28747

Grants and Contracts  
112 Bunnell Building  
Fairbanks, AK 99701

July 26, 1978

North Pacific Fishery Management Council  
P.O. Box 3136 DT  
Anchorage, AK 99510

Analysis of costs recorded under contract with the North Pacific Fishery Management Council entitled "An Investigation of Joint U.S./Foreign Ventures in the Developing Commercial Fishery Off Alaska" in the amount of \$33,431.00. From inception to June 1978. Voucher #5.

	<u>Current Charges</u>	<u>Cumulative Charges to date</u>
Direct Labor	\$2,299.46	\$ 9,219.97
Travel	67.47	3,247.52
Direct Services	264.54	631.06
Direct Materials	73.90	73.90
Staff Benefits 17.9%	411.60	1,650.37
Overhead 37.8% of Salaries & Wages	<u>869.20</u>	<u>3,485.14</u>
Total Claim Submitted	<u>3,986.17</u>	<u>18,307.96</u>
TOTAL CHARGES SUBJECT TO REIMBURSEMENT	<u>\$3,986.17</u>	18,307.96
Paid on Voucher 1 and 2		2,125.83
Voucher 3 and 4 Outstanding		<u>12,195.96</u>
Net Amount This Claim		<u>\$ 3,986.17</u>

"I certify that all expenditures reported are for appropriate purposes and in accordance with the agreement set forth in the application and award document."

CERTIFIED CORRECT

  
\_\_\_\_\_  
D. V. Richardson  
Chief, Grants and Contracts

BJ/el  
cc: Sea Grant  
28747