



NOAA
FISHERIES

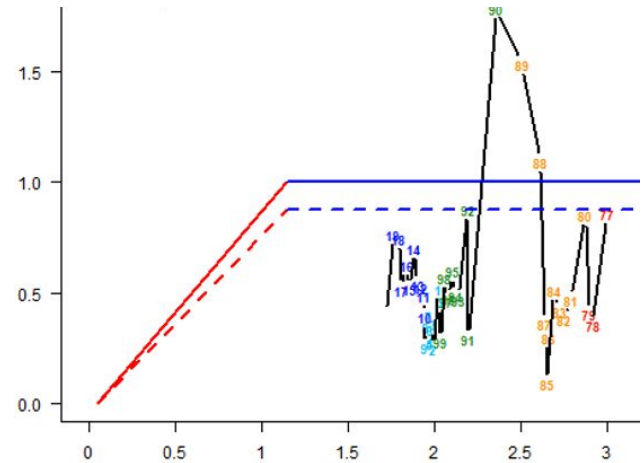
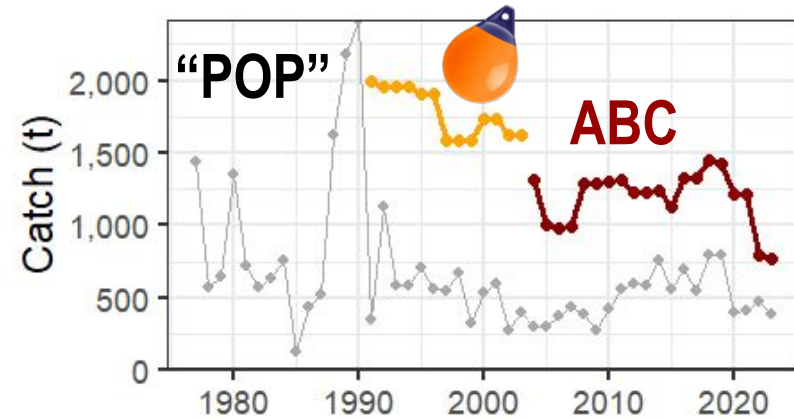
GOA rougheye and blackspotted rockfish (operational full)

Jane Sullivan, Joshua Zahner, Megsie Siple, and Bridget Ferriss
November 2023 Groundfish Plan Team
[Nov doc](#), [Sep doc](#), [Sep presentation](#)



RE/BS Background

1. Cryptic species, no directed fishery, lightly exploited, catch \ll ABC == TAC
2. 2021 assessment: Tier 3a, no model changes since 2015, large retro bias (Mohn's $\rho=0.6$), large drop in population scale and ABC
3. GPT/SSC/author recommended changes to biological assumptions, catchability, selectivity, data (index calculations, fleet structure, comp data), data weighting
4. In Sep 23, proposed staged approach to model dev, starting with new maturity, M, growth, and ageing error
5. Model did not respond well when new data was added in Oct, more work needed



Year	Biomass ¹	OFL	ABC
2020	40,336	1,452	1,209
2021	40,432	1,456	1,212
2022	26,062	947	788
2023	25,957	937	781

Risk Table Summary

Recommend reduction from max ABC

Author-recommended model was not reviewed in September

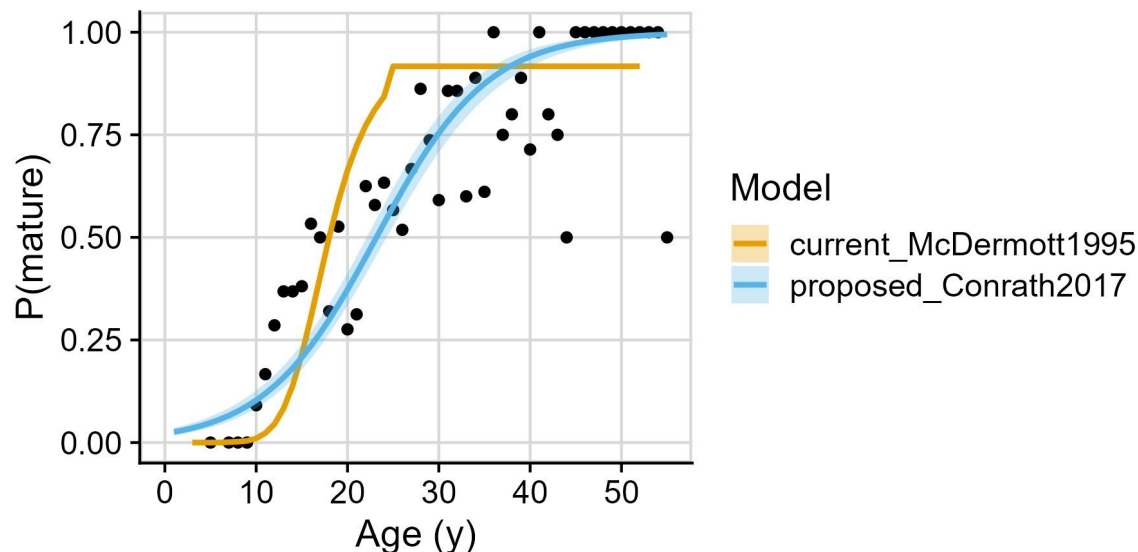
Assessment	Population Dynamics	Ecosystem	Fishery
2 - Major Concern	2 - Major Concern	1 - None	1 - None
<p>(Base model)</p> <ul style="list-style-type: none"> Severe one-way positive retrospective bias High uncertainty in stock scale <p>(Recommended model)</p> <ul style="list-style-type: none"> Improved stability, but poor fit and unable to account for recent declines in survey indices 	<ul style="list-style-type: none"> Declines in LLS and BTS indices in recent years 2023 LLS abundance lowest on record 2021 BTS lowest on record 	<ul style="list-style-type: none"> Average environmental conditions Some evidence of long-term declines in structural epifauna 	<ul style="list-style-type: none"> Incidental catch only Catch << ABC Not currently constraining target fisheries

LLS = longline survey
BTS = bottom trawl survey

GOA GPT Sep 23 (+SSC): “The Team recommended using the authors approach. Additionally, the **Team recommended alternative methods be explored that take skip spawning into account.**”

As discussed in Sep, we plan to address this recommendation in the next assessment.

FYI: it was noted during internal review that samples should be weighted by species-specific abundance. Currently, we assume sampling was proportional to abundance.



GOA GPT Sep 23 (+SSC): “Alternative methods that relied on a more precise prior were discussed such as computing a *distribution based on available ages* and *applying the ageing error matrix* to set the prior. **The Team supported the author’s investigation into M but recommended the author explore the application of the prior variance used for M.**”

Current prior: Mean=0.03, CV=0.1

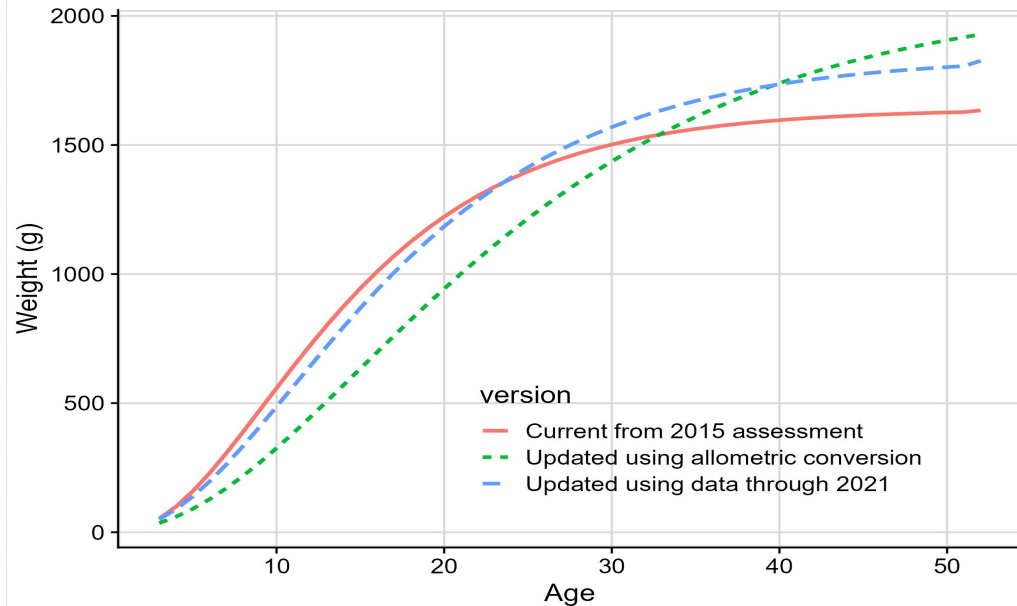
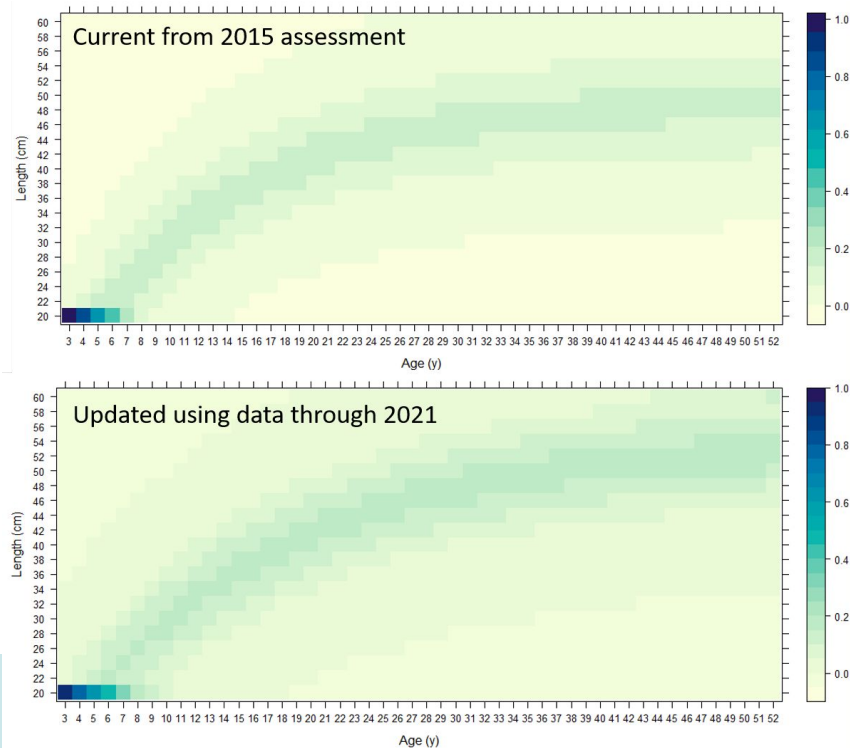
Sep 23: Mean=0.04 (oldest specimen=135 y), CV=0.31 (Hamel and Cope 2022)

Analysis in response to recommendation: Using the 5 oldest survey and fishery GOA RE/BS specimens (126-135 y) and the age-specific SD from the new ageing error matrix (Punt et al. 2008), we constructed a distribution of Ms using the Hamel and Cope (2022) max age estimator with Mean=0.042, CV=0.058

Nov 23: Author recommended model fixes M at 0.042

SSC Oct 23 (+GPT): “The SSC supports the author and GOA-GPT recommendation to incorporate new data for the aging error matrix, the size-at-age matrix, and weight-at-age vector. ”

In the absence of a strong recommendation to use WAA from a weight-based vonB (status quo) or a length-based vonB converted to weight using the weight-length relationship, we decided to remain with the status quo method in order to maintain consistency with the other Tier 3 GOA rockfish.



SSC Oct 21 (+GPT & author): “...because the surveys exhibit inconsistent trends and partition biomass differently among areas, it is unclear if these signals reflect a genuine conservation concern or are the byproduct of survey data conflicts. The SSC concurs with the author and the GOA GPT that it would be prudent to **estimate survey indices using the same depth strata definitions** and to **examine weighting CPUE by a variable other than total geographic area** that may be more relevant to this complex (e.g., Essential Fish Habitat within a stratum).”

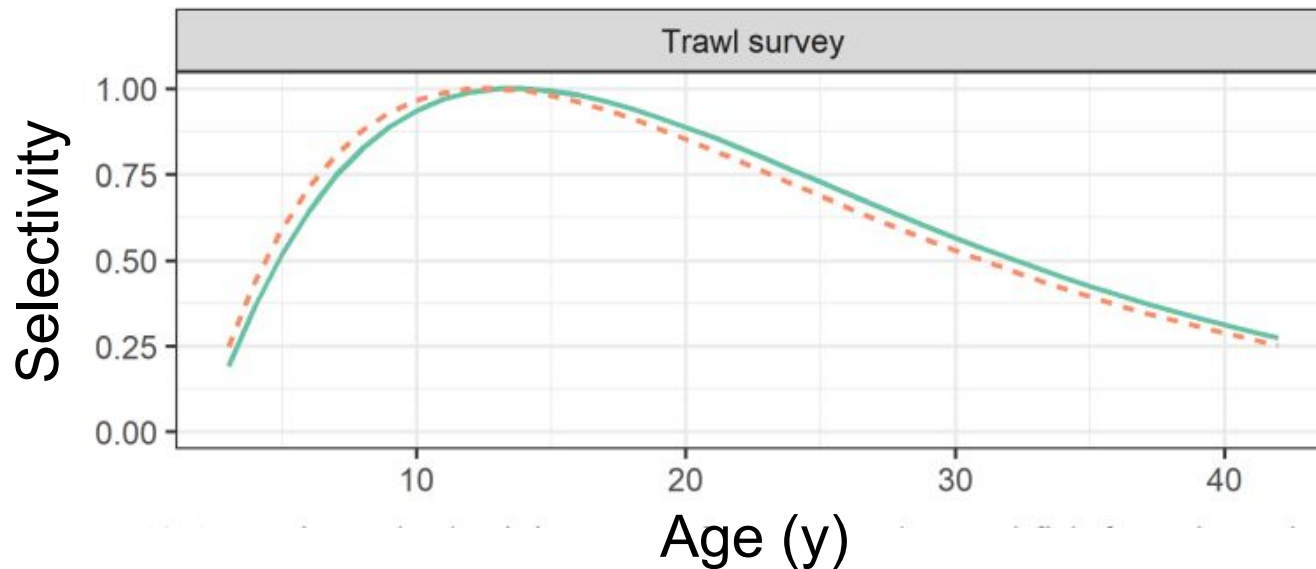
Author recommends no action on this topic at this time for the following reasons:

- (1) After digging in more, this is a large task.
- (2) EFH is defined using the trawl survey and is therefore not independent

See [2021 assessment](#) for detailed CPUE comparisons by depth and area

SSC Dec 21: “The dome-shaped trawl survey selectivity... the GOA GPT noted it was unclear why 40-year-old fish would be so much less selected than a 30-year-old fish. Future research could consider alternative parameterizations that would allow for more constrained estimates of selectivity at older ages. ”

Not addressed in this assessment, and it remains an issue. We welcome additional feedback or suggestions.



Assessment Data Inputs

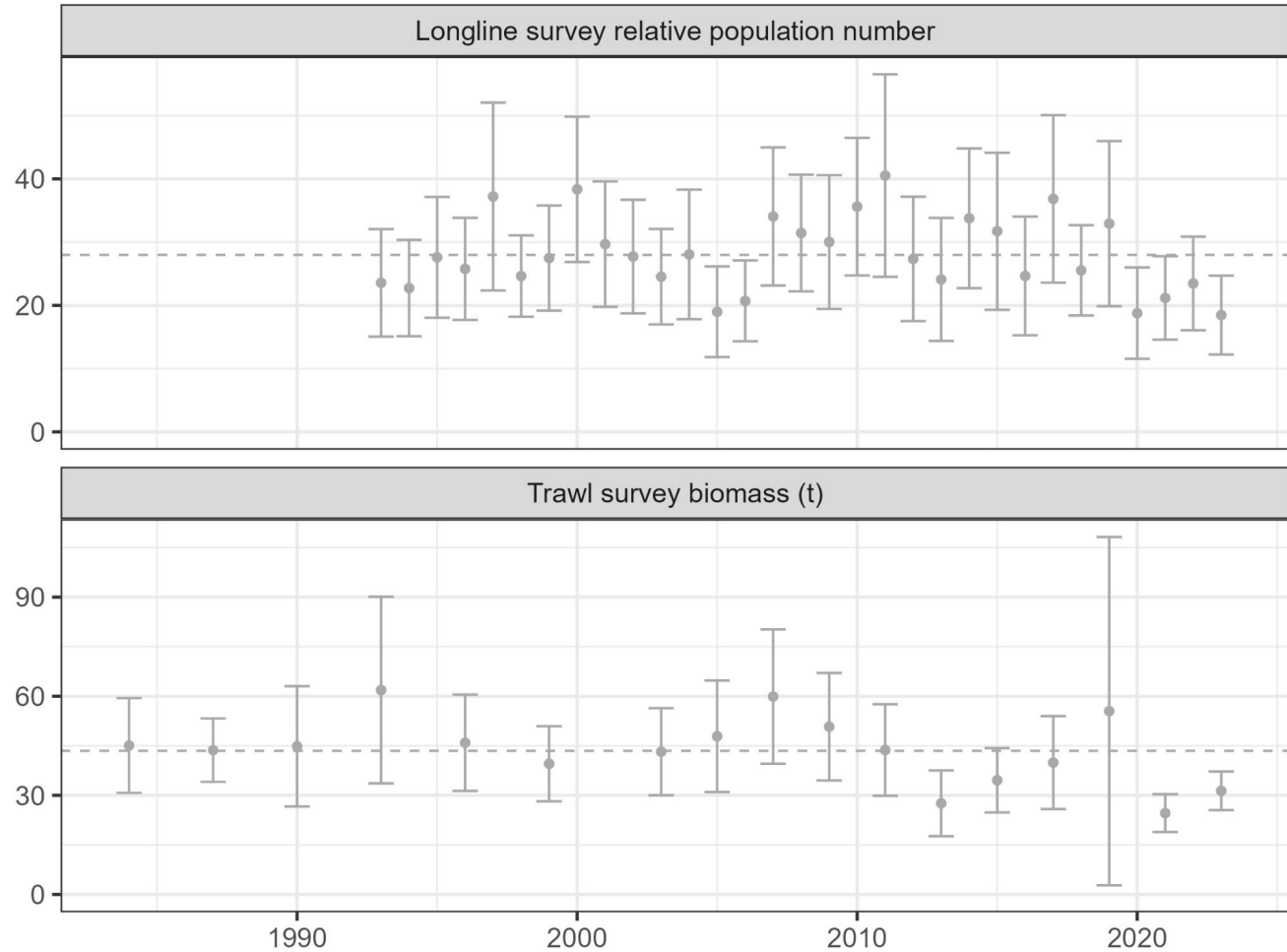
Source	Data	Years
Fisheries	Catch	1977-2021, 2022, 2023
	Age	1990, 2004, 2006, 2008, 2009, 2010, 2012, 2014, 2018, 2020, 2022
	Length	1991-1992, 2002-2003, 2005, 2007, 2011, 2013, 2017, 2019, 2021
AFSC bottom trawl survey	Biomass index	1984, 1987, 1990, 1993, 1996, 1999, 2003, 2005, 2009, 2011, 2013, 2015, 2017, 2019, 2021, 2023
	Age	1984, 1987, 1990, 1993, 1996, 1999, 2003, 2005, 2009, 2011, 2013, 2015, 2017, 2019, 2021
AFSC longline survey	Relative Population Number (RPN)	1993-2019, 2020, 2021, 2022, 2023
	Length	1993-2019, 2020, 2021, 2022, 2023

New data in bold

Abundance trends

LLS: 2023 lowest on record, 34% below mean

BTS: increase from 2021, which was the lowest on record, and 28% below mean



Demographic changes

Evidence of declines in mean length and age in recent years

Fishery: at least partially explained by shift away from hook-and-line gear



Alternative Model Configurations

Model	Natural Mortality	Bottom Trawl (BTS) & Longline Survey (LLS) q	Recruitment Variability	Maturity, Growth, Ageing Error
Model 15.4	<i>Estimated</i> with lognormal prior Mean=0.03, CV=0.1	<i>Estimated</i> with lognormal priors BTS Mean=1.0, CV=5.0 LLS Mean=1.0, CV=1.0	<i>Estimated</i> with lognormal prior Mean=1.1, CV=0.06	2015 assessment
Model 15.4a	McDermott 1994 (GSI estimator)			McDermott 1994 length-based maturity converted to age
Model 23.1	<i>Estimated</i> with lognormal prior Mean=0.042, CV=0.058	<i>Estimated</i> with lognormal priors BTS Mean=1.0, CV=0.05 LLS Mean=1.0, CV=0.05	<i>Fixed</i> at 1.1	Reviewed in Sep 2023
Model 23.1a	Based on updated prior using longevity estimator			Conrath 2017 age-based maturity
Model 23.1b (recommended)	<i>Fixed</i> at the updated prior mean=0.042			Status quo growth methods with new data
				Punt et al. 2008 age error

Selectivity and Comp Data Assumptions

Fishery marginal ages and length comps

- Age-based slx, nonparametric

Longline survey

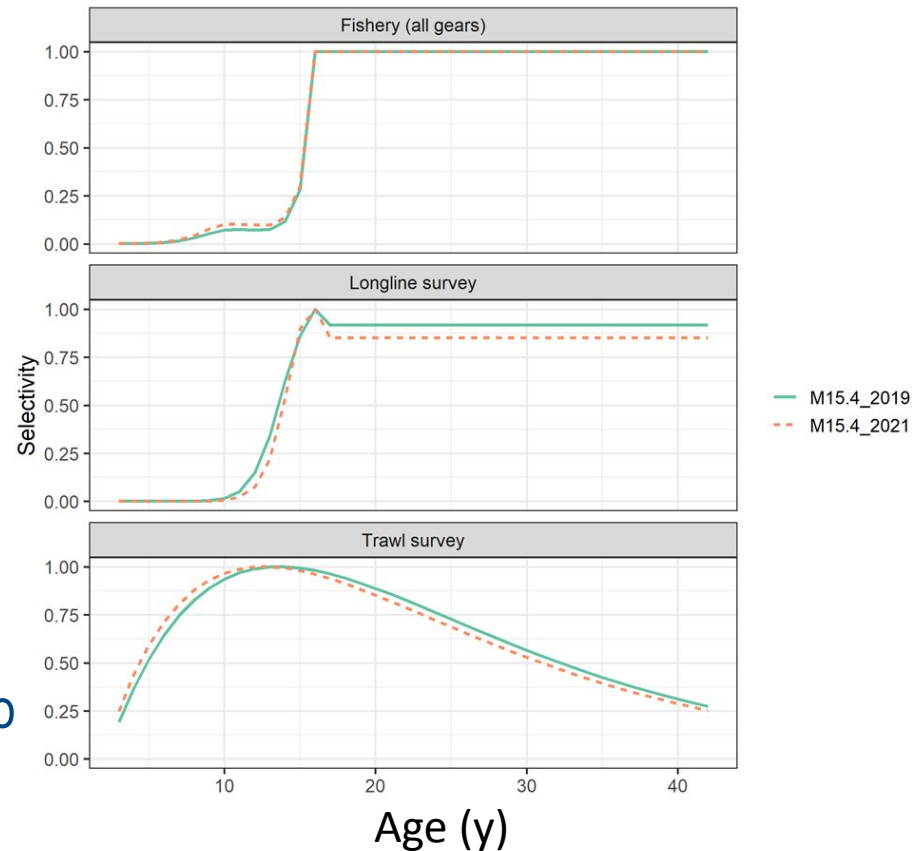
- Age-based slx, nonparametric

Bottom trawl survey

- Age-based slx, dome-shaped

Multinomial likelihood for comp data

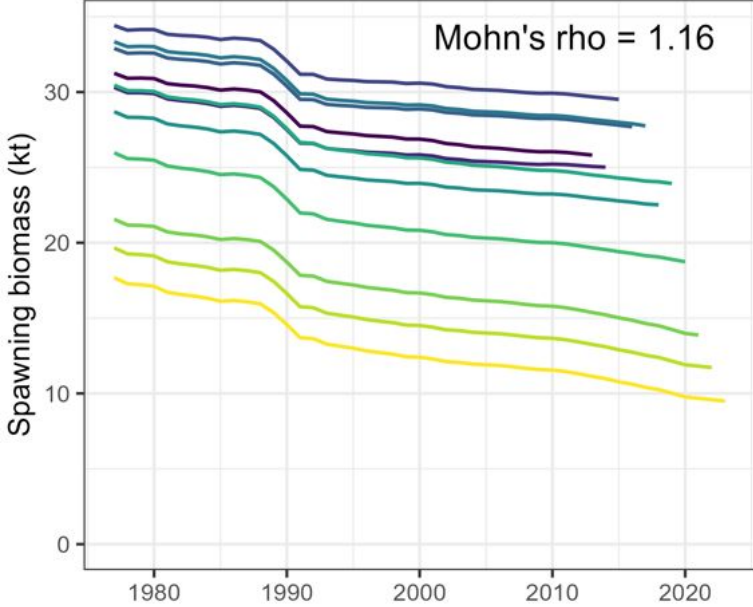
- Age input n: sqrt of total n
- Length input n: sqrt of total n scaled to a maximum of 100
- No data weighting algorithms used



Model	Mohn's rho	Key Results	2024 Age-3+ Biomass*	2024 SSB*	2024 ABC*
Model 15.4	1.05	<ul style="list-style-type: none"> - Severe positive retrospective bias in SSB - Strong retro patterns and high parameter correlation among both q's, M, mean recruitment - Unreasonably high estimates of trawl survey q (>2) - High reliance on length composition data 	29,081	9,642	794
Model 15.4a	1.16	<ul style="list-style-type: none"> - Same as Model 15.4 but with even worse retro behavior 	27,574	9,245	751
Model 23.1	0.42	<ul style="list-style-type: none"> - Bad retrospective bias in spawning biomass and strong retrospective trends in global scaling parameters - Unreasonably high estimates of both q's (>2) - Biomass scales that significantly lower than any model result to date - Slight improvements in the fits to the index data 	16,154	3,890	432
Model 23.1a	0.13	<ul style="list-style-type: none"> - Greatly improved retro behavior, except for continued retro trends in M - Biomass scales that are consistent with Model 15.4 results <i>before</i> it started exhibiting retrospective patterns - Degraded fits to index data in recent years - Recent biomass trajectories are inconsistent with survey trends 	45,252	11,876	1,460
Model 23.1b	0.14	<ul style="list-style-type: none"> - Same as Model 23.1a but with no retrospective pattern in M 	46,129	13,022	1,305

Summary of Results

Model	Mohn's rho	Key Results	2024 Age-3+ Biomass*	2024 SSB*	2024 ABC*
Model 15.4	1.05	<ul style="list-style-type: none"> - Severe positive retrospective bias in SSB - Strong retro patterns and high parameter correlation among both q's, M, mean recruitment - Unreasonably high estimates of trawl survey q (>2) - High reliance on length composition data 	29,081	9,642	794
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M15.4a

- Large declines in estimates of population productivity/scale (recruitment, M)
- High estimates of q (LLS_ q =1.54, BTS_ q =2.63)

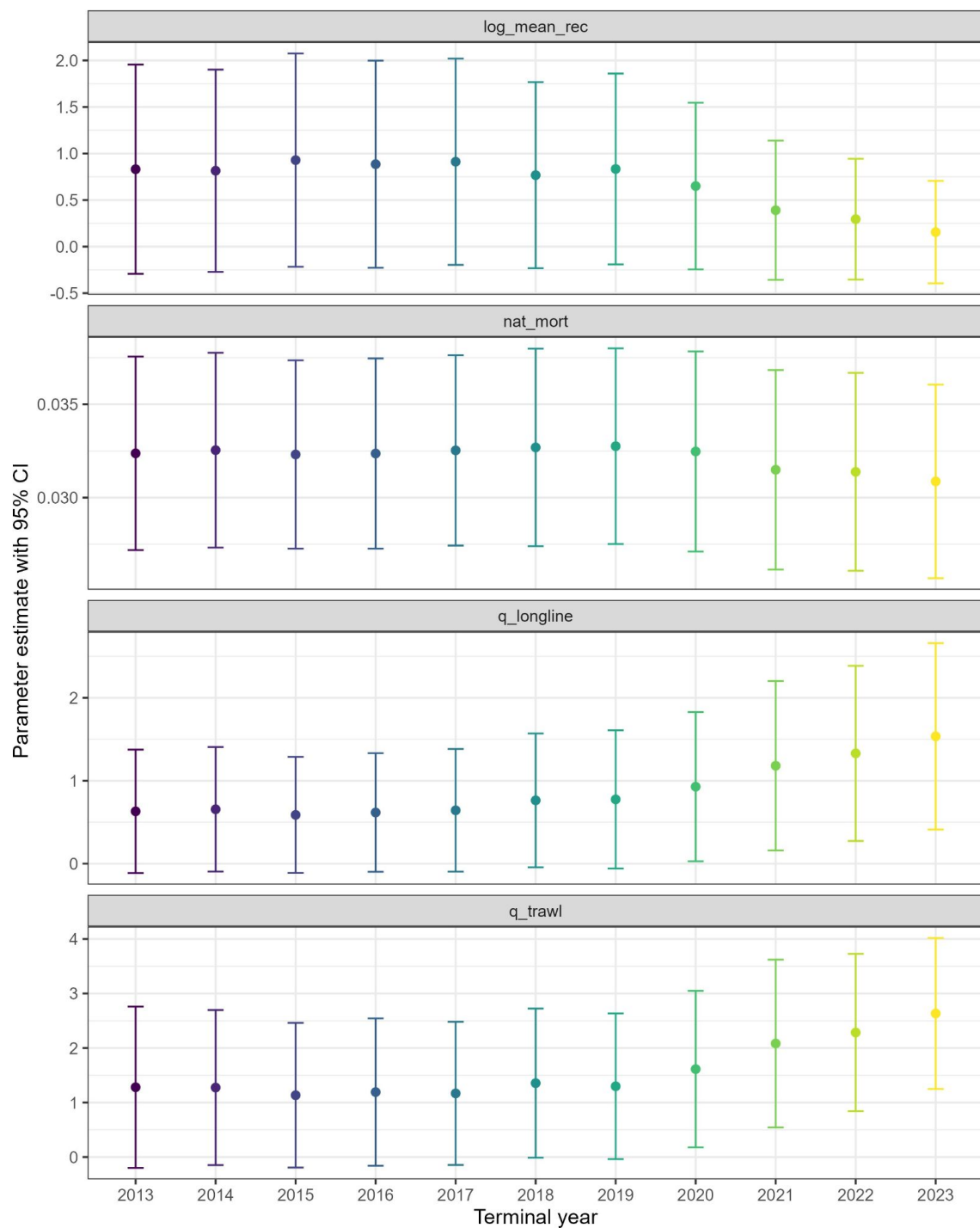


Figure 13-9, Table 13-15

What are “reasonable” estimates of q ?

$$q < 1.0$$

Survey underestimates
abundance
(e.g., untrawlable habitat)



$$q = 1.0$$

Survey abundance =
True abundance

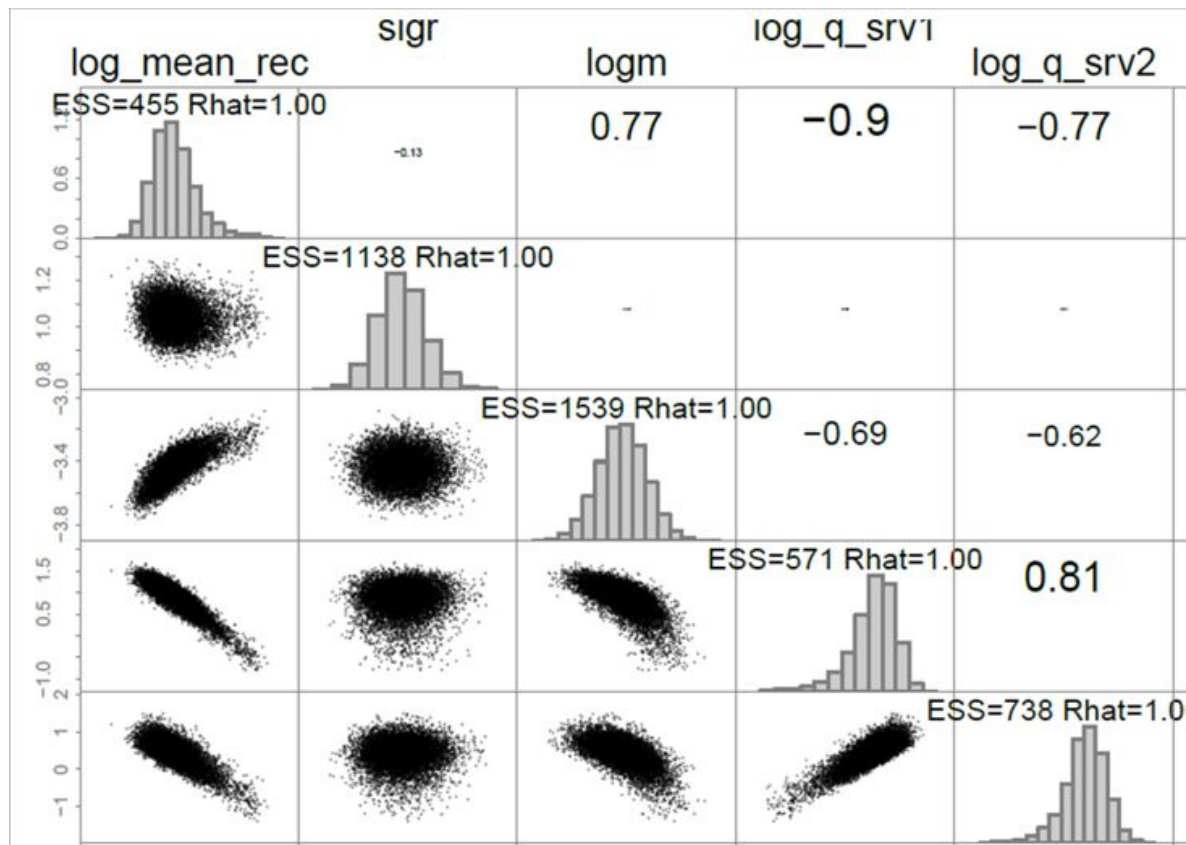
$$q > 1.0$$

Survey overestimates
abundance (e.g., herding
fish into trawl gear)

- Jones et al. 2021, Somerton et al. 1999, Krieger and Sigler 1996 - no mention of slope rockfish, all highlight the importance of size-selectivity
- No clear mechanism for high q of RE/BS in trawl or longline gear

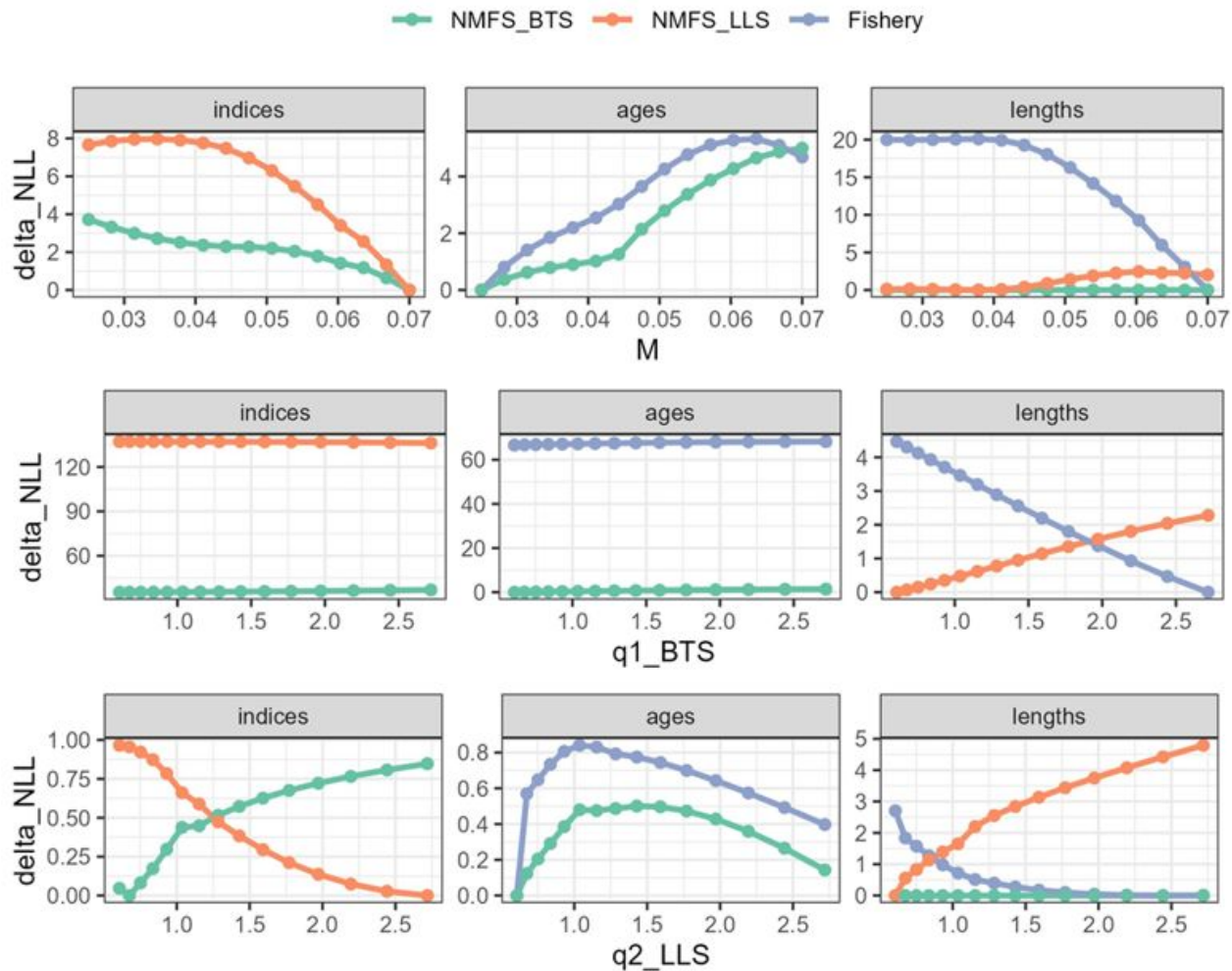
High parameter correlation

M15.4a pairwise plots of the MCMC marginal posterior distributions (Figure 13-10)



What data is informing scale?

M15.4a likelihood profiles/Piner plots (Figure 13-14)



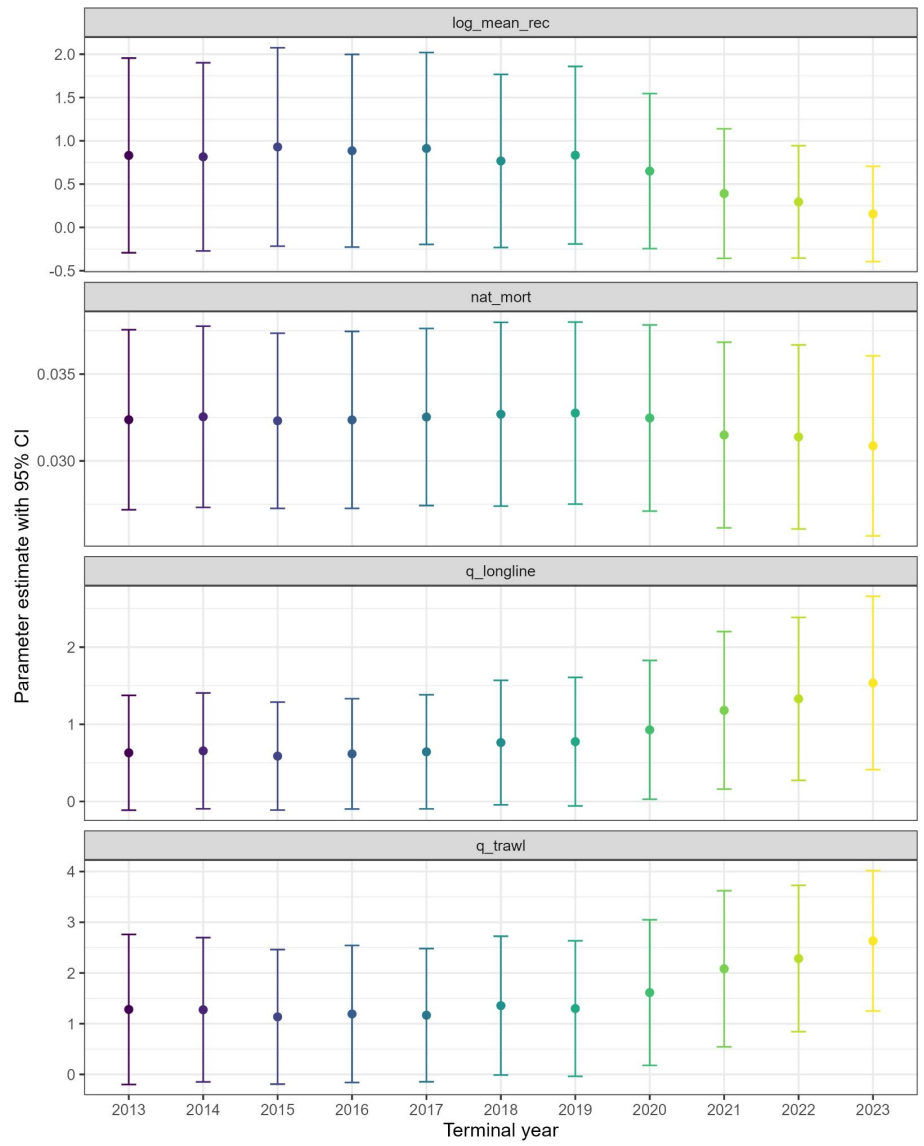
Model	Mohn's rho	Key Results	2024 Age-3+ Biomass*	2024 SSB*	2024 ABC*
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What happens when we update the biological assumptions as proposed in Sep?

Model 23.1	0.42	<ul style="list-style-type: none"> - Bad retrospective bias in spawning biomass and strong retrospective trends in global scaling parameters - Unreasonably high estimates of both q's (>2) - Biomass scales that significantly lower than any model result to date - Slight improvements in the fits to the index data 	16,154	3,890	432
Model 23.1a	0.13	<ul style="list-style-type: none"> - Greatly improved trends in M - Biomass scales <i>before it started</i> - Degraded fits to - Recent biomass 	45,252	11,876	1,460
Model 23.1b	0.14	- Same as Model 23.1a	46,129	13,022	1,305

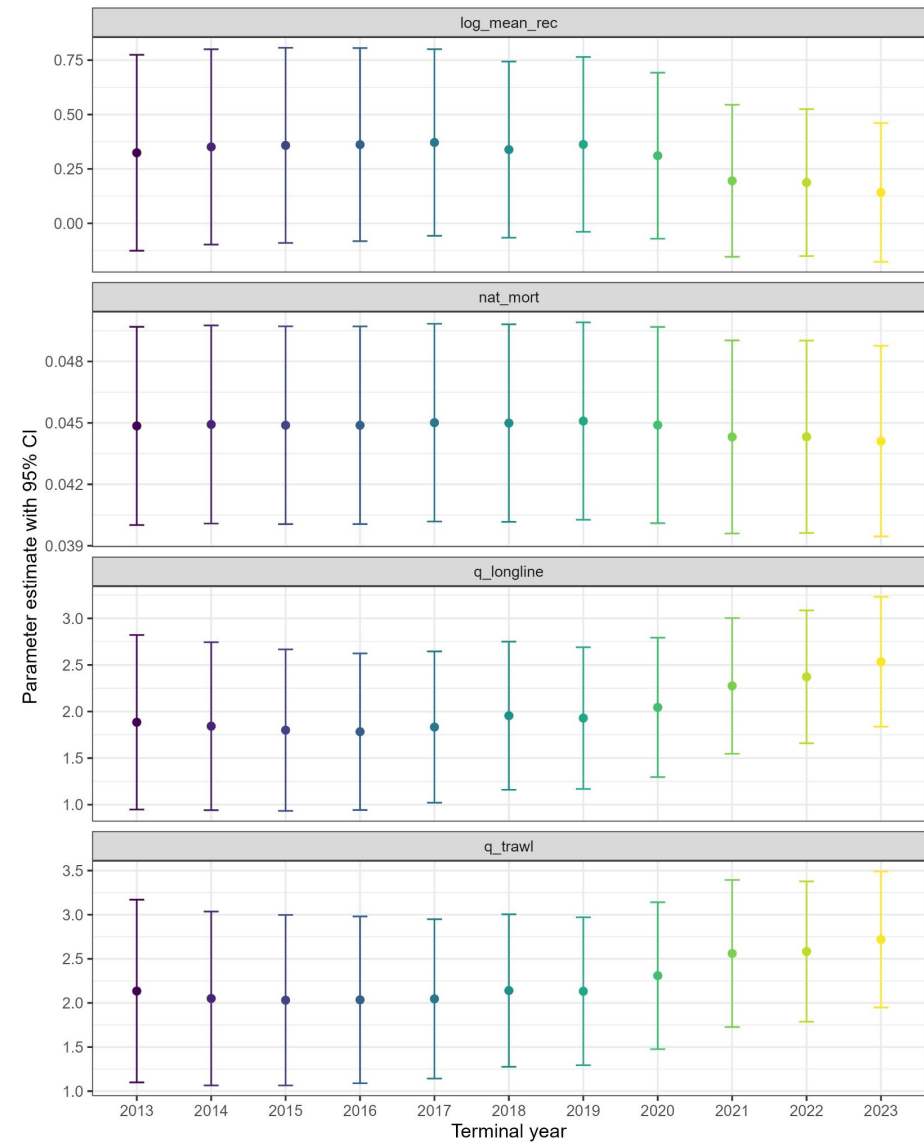


M15.4a (drop 1980s BTS)



LLS_q=1.54, BTS_q=2.63

M23.1 (just new bio assumptions)



LLS_q=2.53, BTS_q=2.72

Model	Mohn's rho	Key Results	2024 Age-3+ Biomass*	2024 SSB*	2024 ABC*
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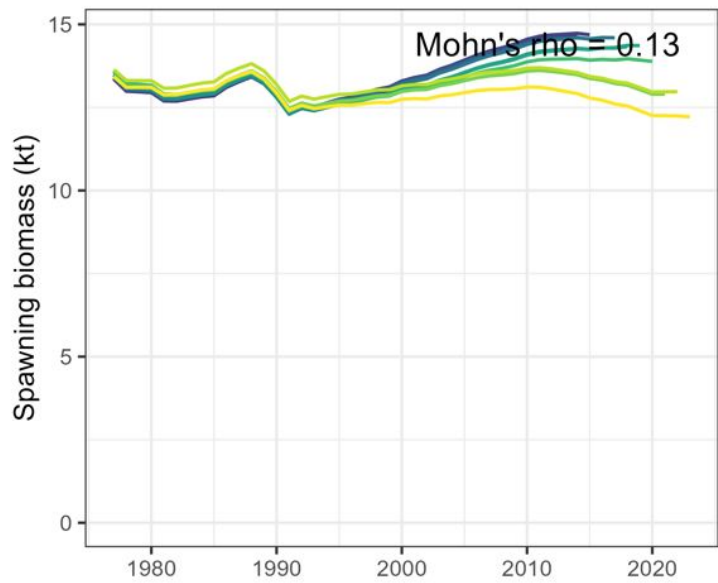
Introducing scale into the RE/BS model:

Constrained q_{BTS} and q_{LLS} Mean=1.0, CV=0.05 (BSAI BS/RE, Spencer et al. 2022)

Fixed σ_R at 1.1 (prior mean)



Model 23.1a	0.13	<ul style="list-style-type: none"> - Greatly improved retro behavior, except for continued retro trends in M - Biomass scales that are consistent with Model 15.4 results <i>before</i> it started exhibiting retrospective patterns - Degraded fits to index data in recent years - Recent biomass trajectories are inconsistent with survey trends 	45,252	11,876	1,460
Model 23.1b	0.14	- Same as Model 23.1a but with no retrospective pattern in M	46,129	13,022	1,305



M23.1a

- Improved model stability, biomass estimates similar in scale to what has been estimated in the past
- Retrospective shift (increase) in estimates of population productivity (recruitment, M)

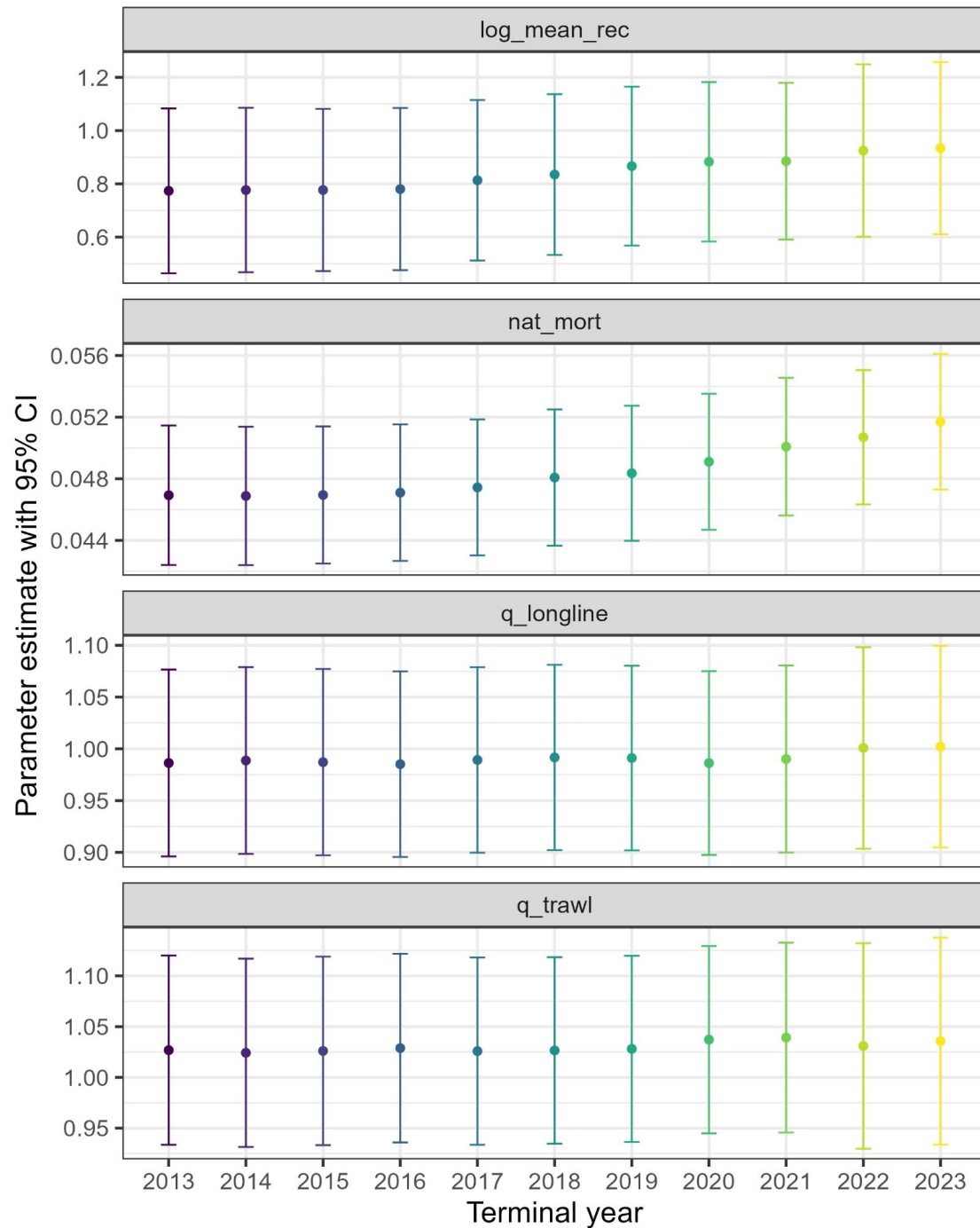


Figure 13-9, Table 13-15

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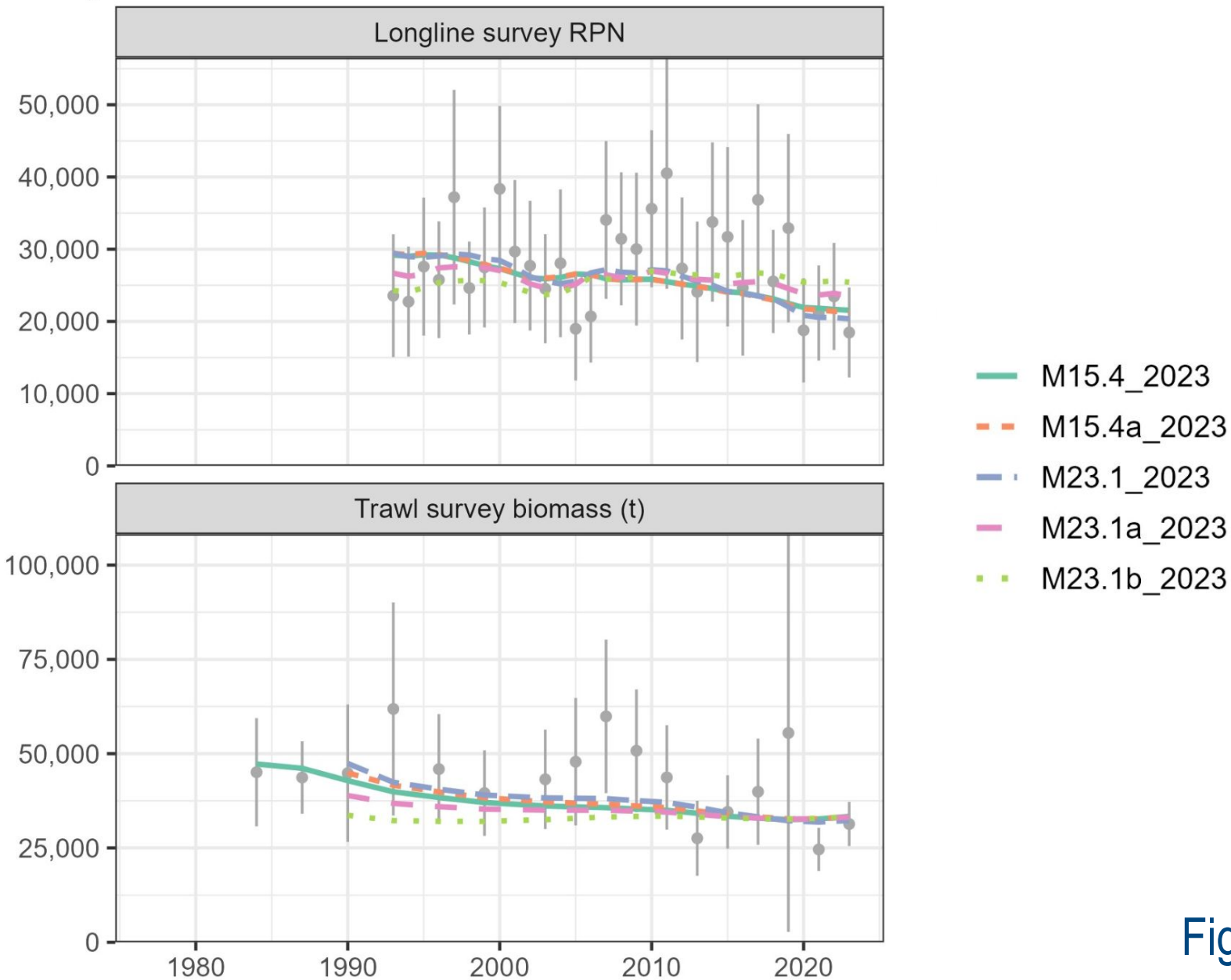
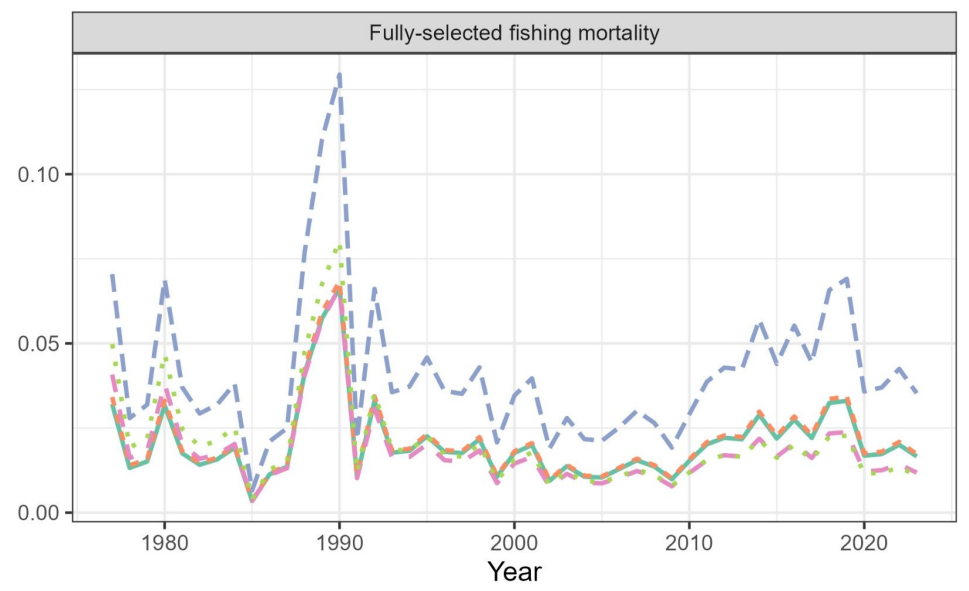
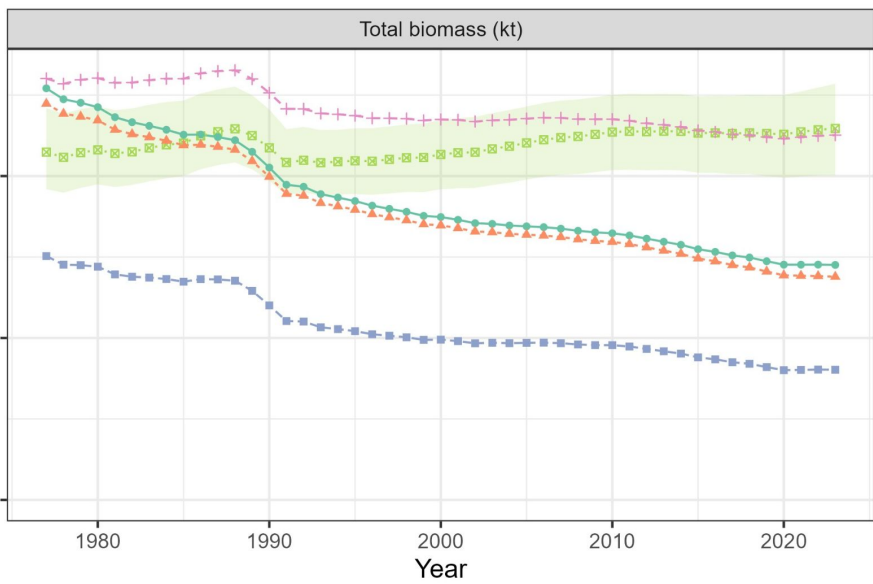
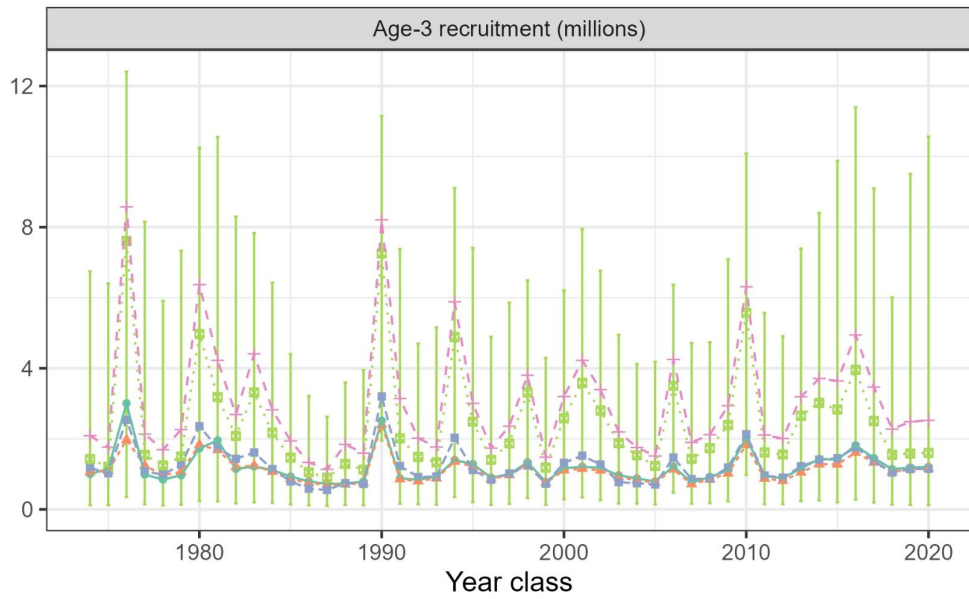
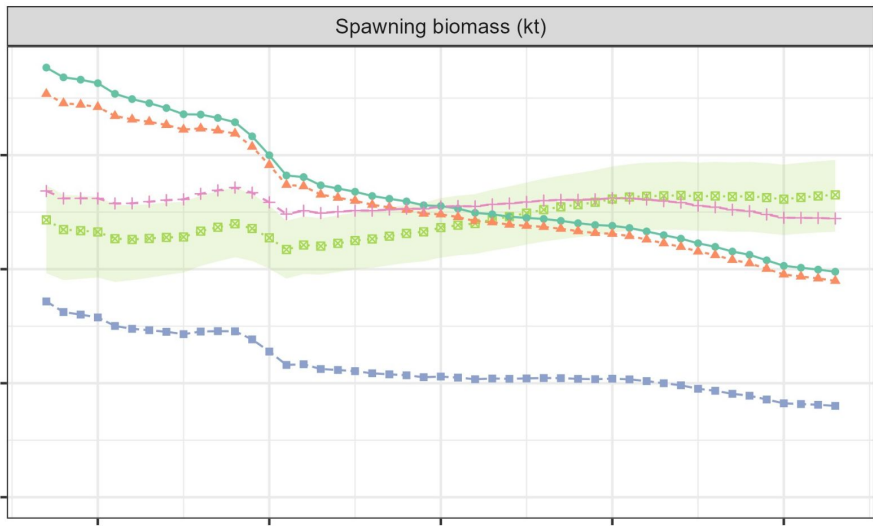


Figure 13-1



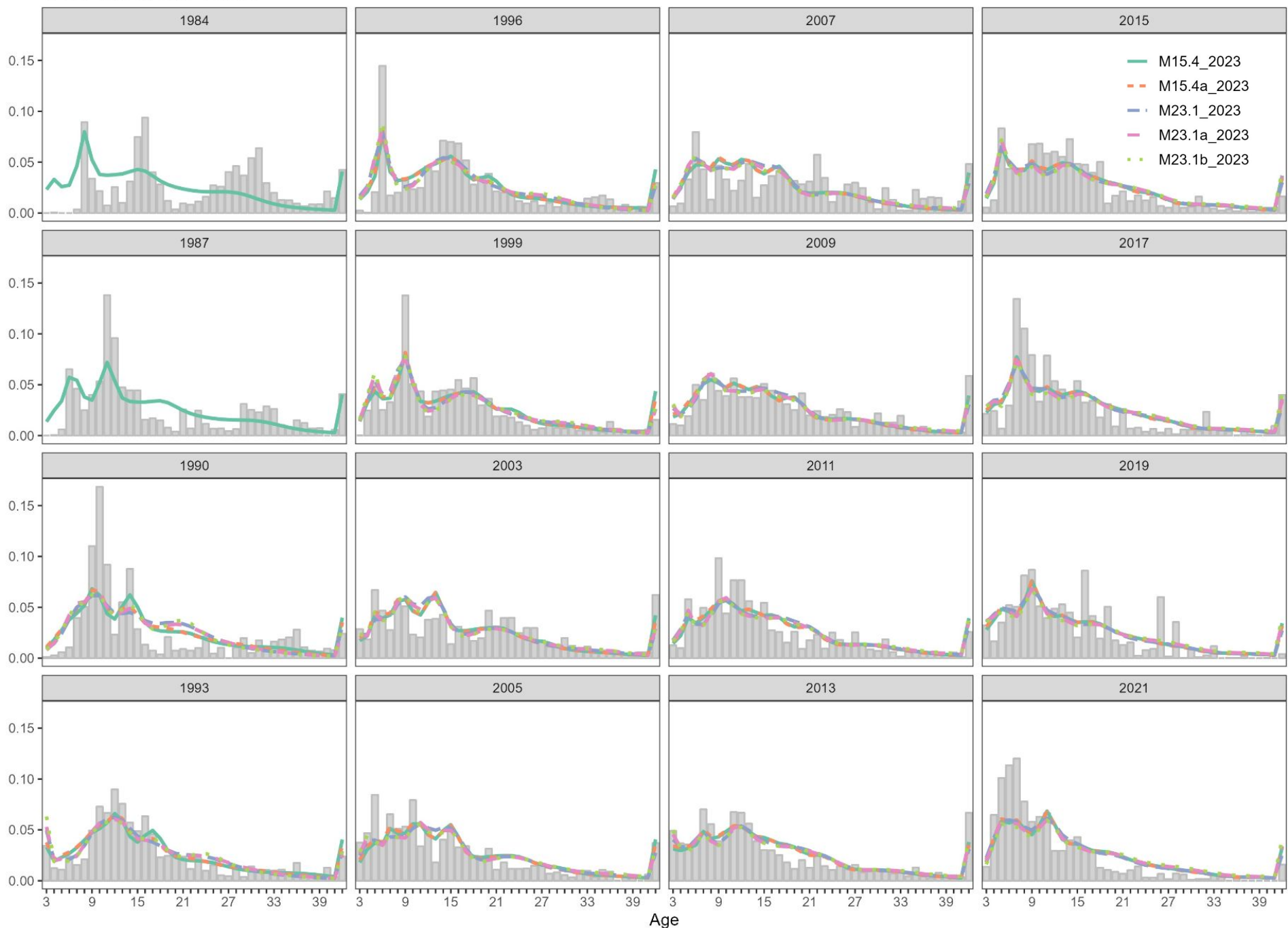
- M15.4_2023
- - M15.4a_2023
- - M23.1_2023
- - M23.1a_2023
- M23.1b_2023

Figure 13-9, Table 13-15

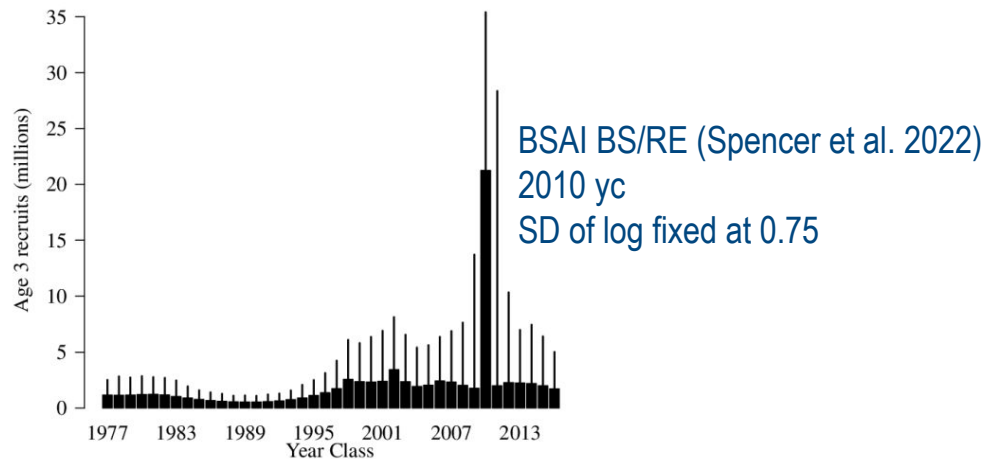
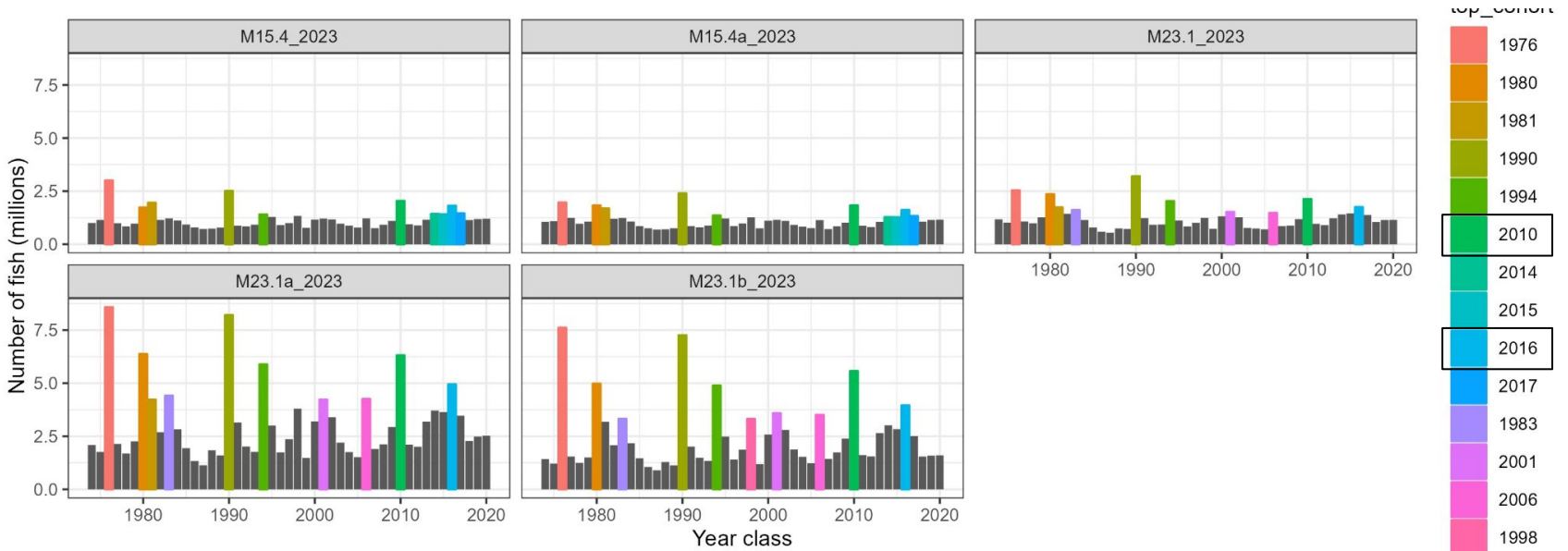
Model	Quantity	MLE Mean	CI-Lower	CI-Upper	MCMC Mean	MCMC Median	BCI-Lower	BCI-Upper
M15.4a_2023	Log Rbar	0.156	-0.394	0.712	-0.073	-0.114	-0.619	0.709
M23.1_2023	Log Rbar	0.142	-0.177	0.464	-0.285	-0.283	-0.676	0.106
M23.1a_2023	Log Rbar	0.934	0.611	1.261	0.581	0.579	0.268	0.898
M23.1b_2023	Log Rbar	0.478	0.249	0.708	0.161	0.162	-0.099	0.419
M15.4a_2023	M	0.031	0.026	0.036	0.032	0.032	0.027	0.038
M23.1_2023	M	0.044	0.039	0.049	0.044	0.044	0.039	0.049
M23.1a_2023	M	0.052	0.047	0.056	0.052	0.052	0.048	0.057
M23.1b_2023	M	0.042	-	-	0.042	0.042	-	-
M15.4a_2023	Longline q	1.54	0.41	2.67	1.64	1.59	0.73	2.84
M23.1_2023	Longline q	2.53	1.84	3.24	2.64	2.64	1.94	3.37
M23.1a_2023	Longline q	1.00	0.90	1.10	1.01	1.01	0.92	1.11
M23.1b_2023	Longline q	1.01	0.92	1.11	1.02	1.02	0.93	1.11
M15.4a_2023	Trawl q	2.63	1.25	4.03	2.54	2.53	1.15	3.96
M23.1_2023	Trawl q	2.72	1.95	3.50	2.70	2.69	1.96	3.52
M23.1a_2023	Trawl q	1.04	0.93	1.14	1.03	1.03	0.94	1.12
M23.1b_2023	Trawl q	1.06	0.97	1.16	1.06	1.05	0.96	1.15
M15.4a_2023	sigmaR	0.78	0.69	0.88	1.04	1.04	0.92	1.17
M23.1_2023	sigmaR	0.81	0.71	0.91	1.08	1.08	0.96	1.22
M23.1a_2023	sigmaR	1.10	-	-	1.10	1.10	-	-
M23.1b_2023	sigmaR	1.10	-	-	1.10	1.10	-	-

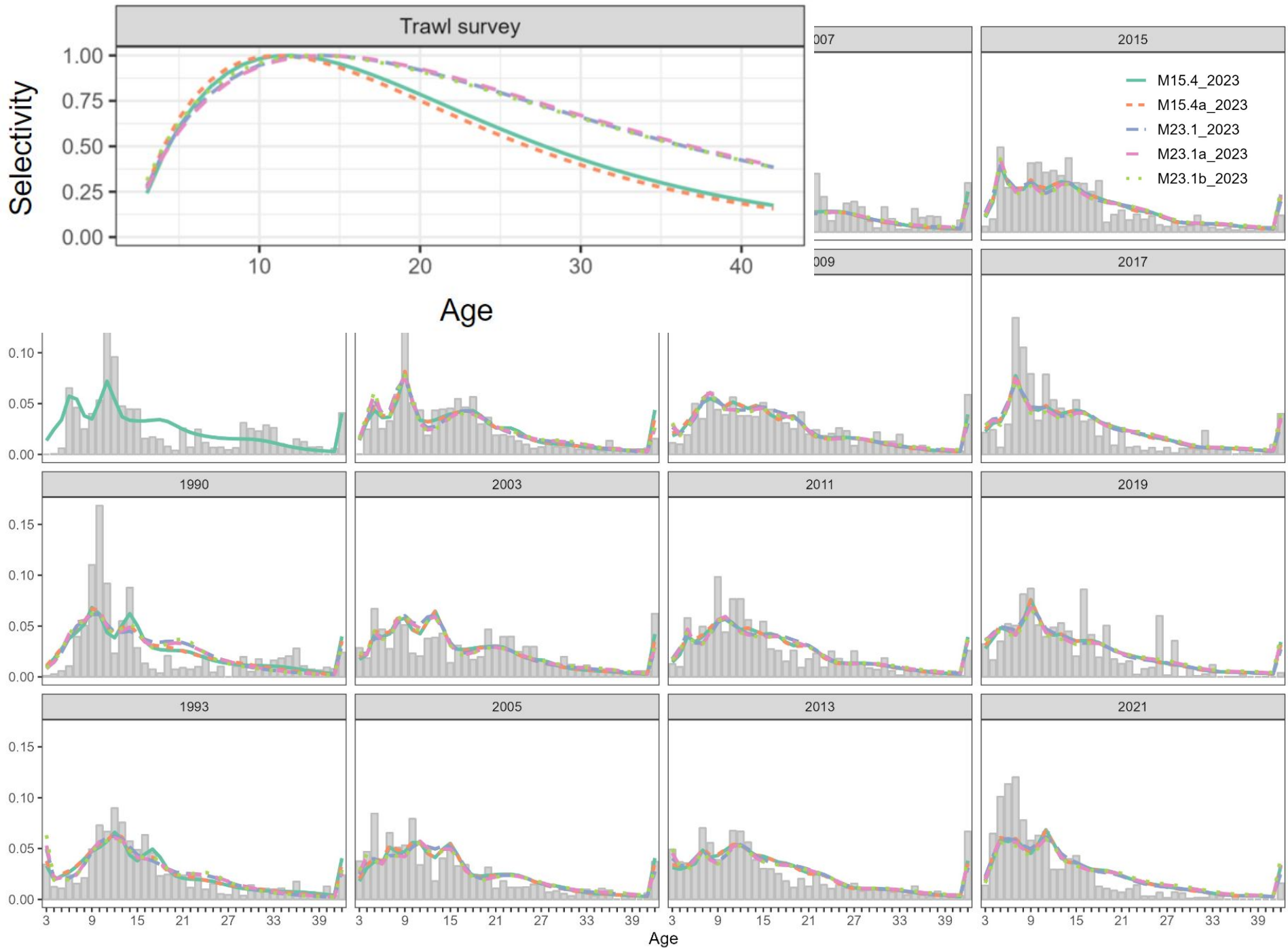
Table 13-15

Trawl survey age comps

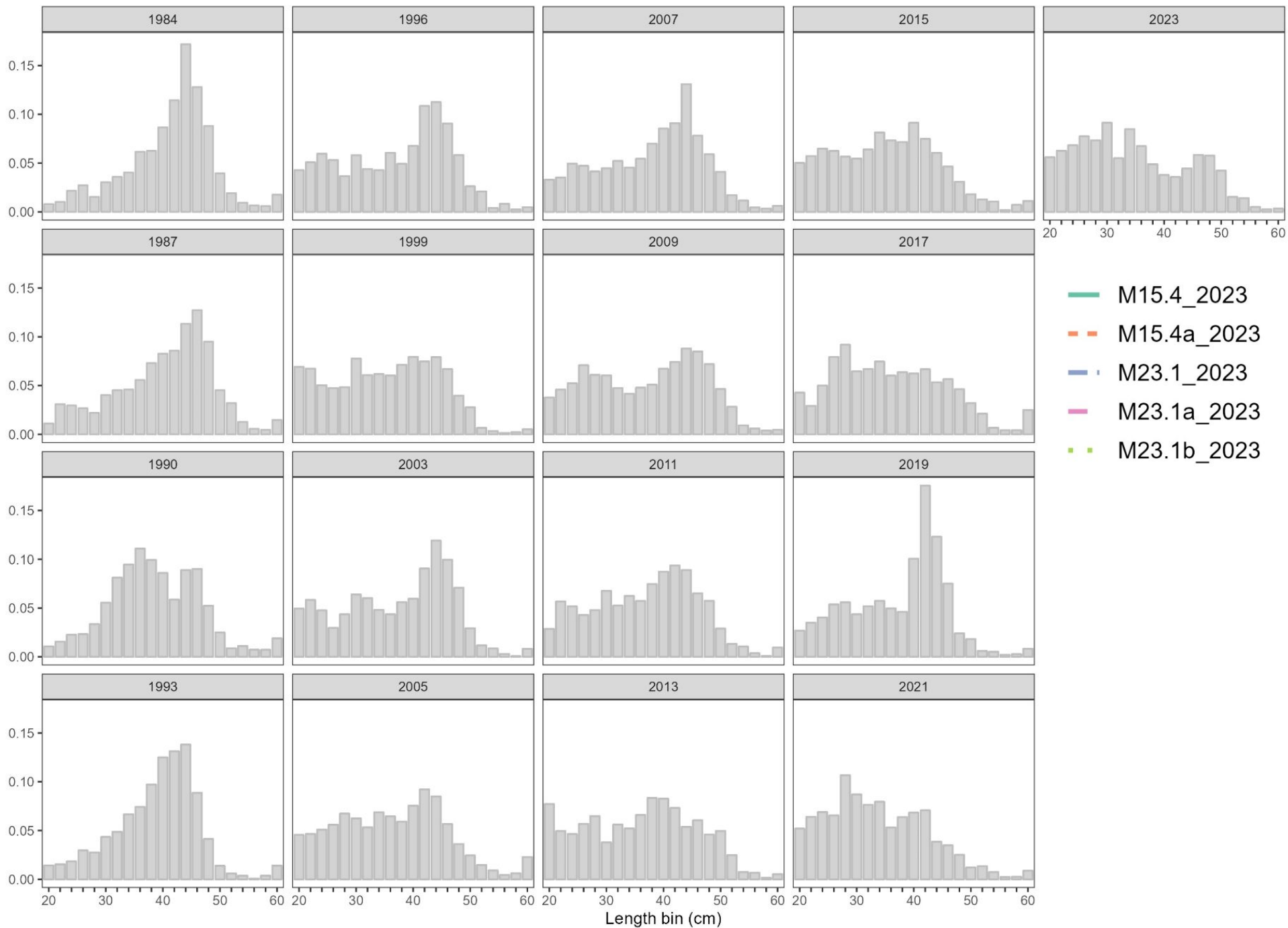


Top cohorts

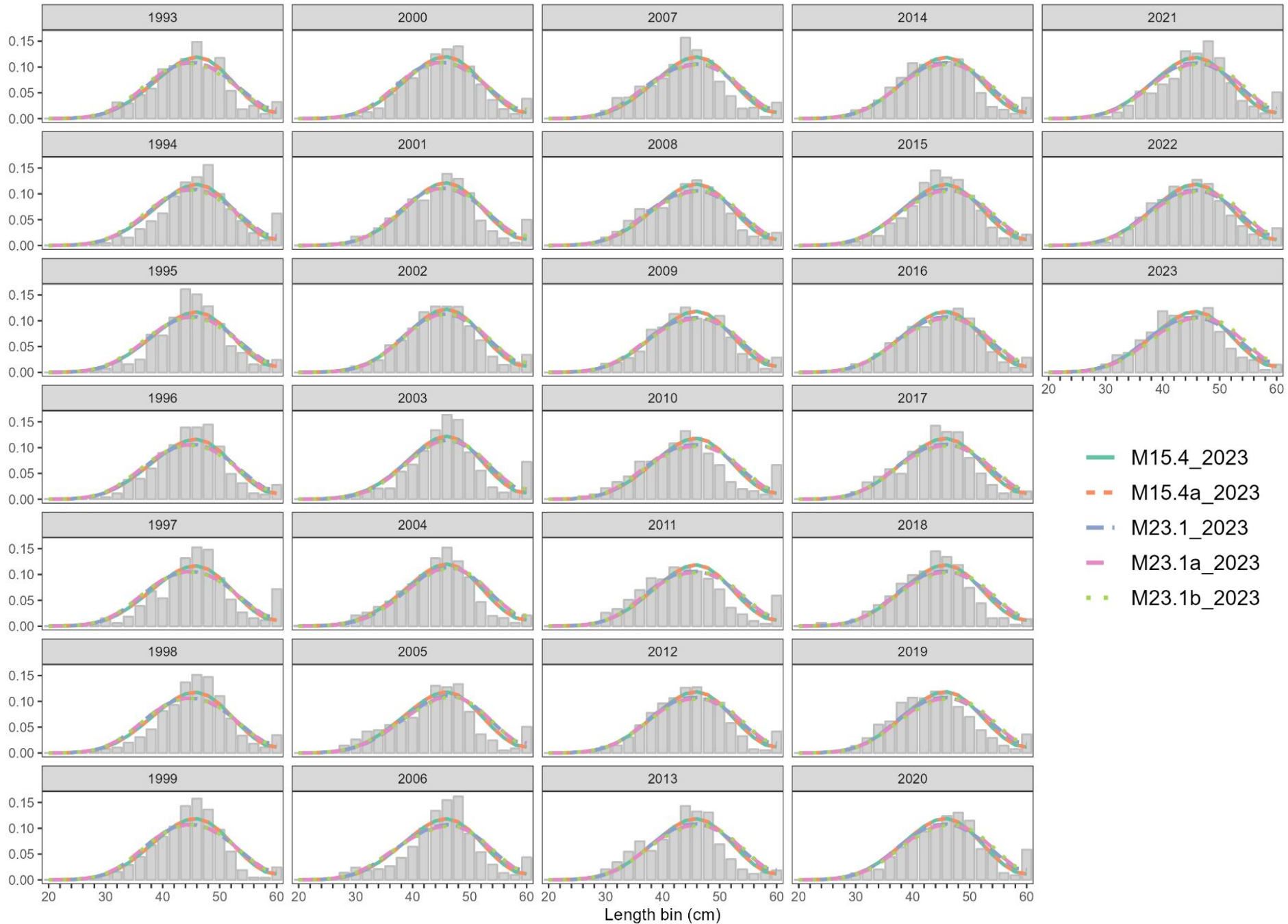


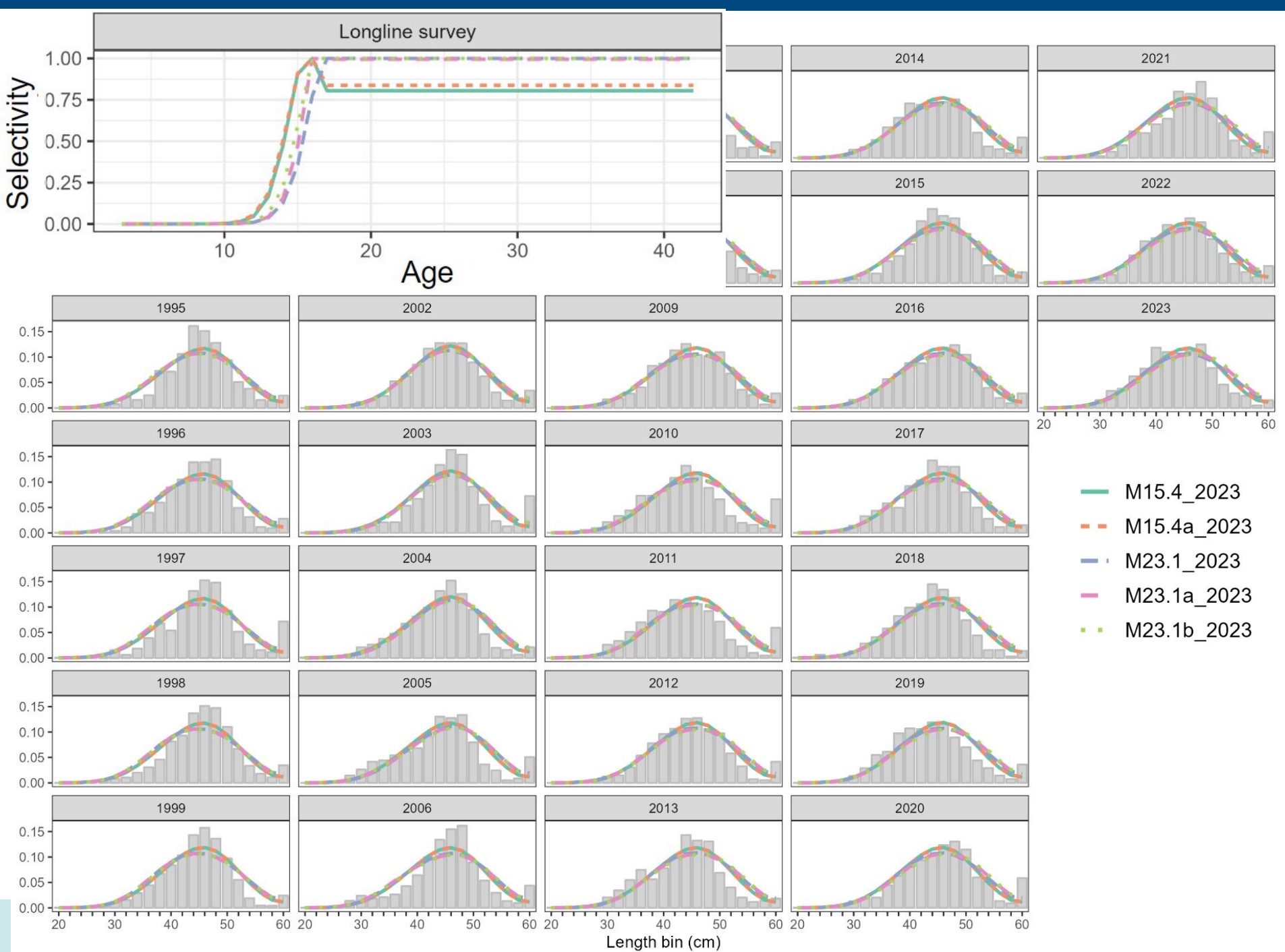


Trawl survey length comps

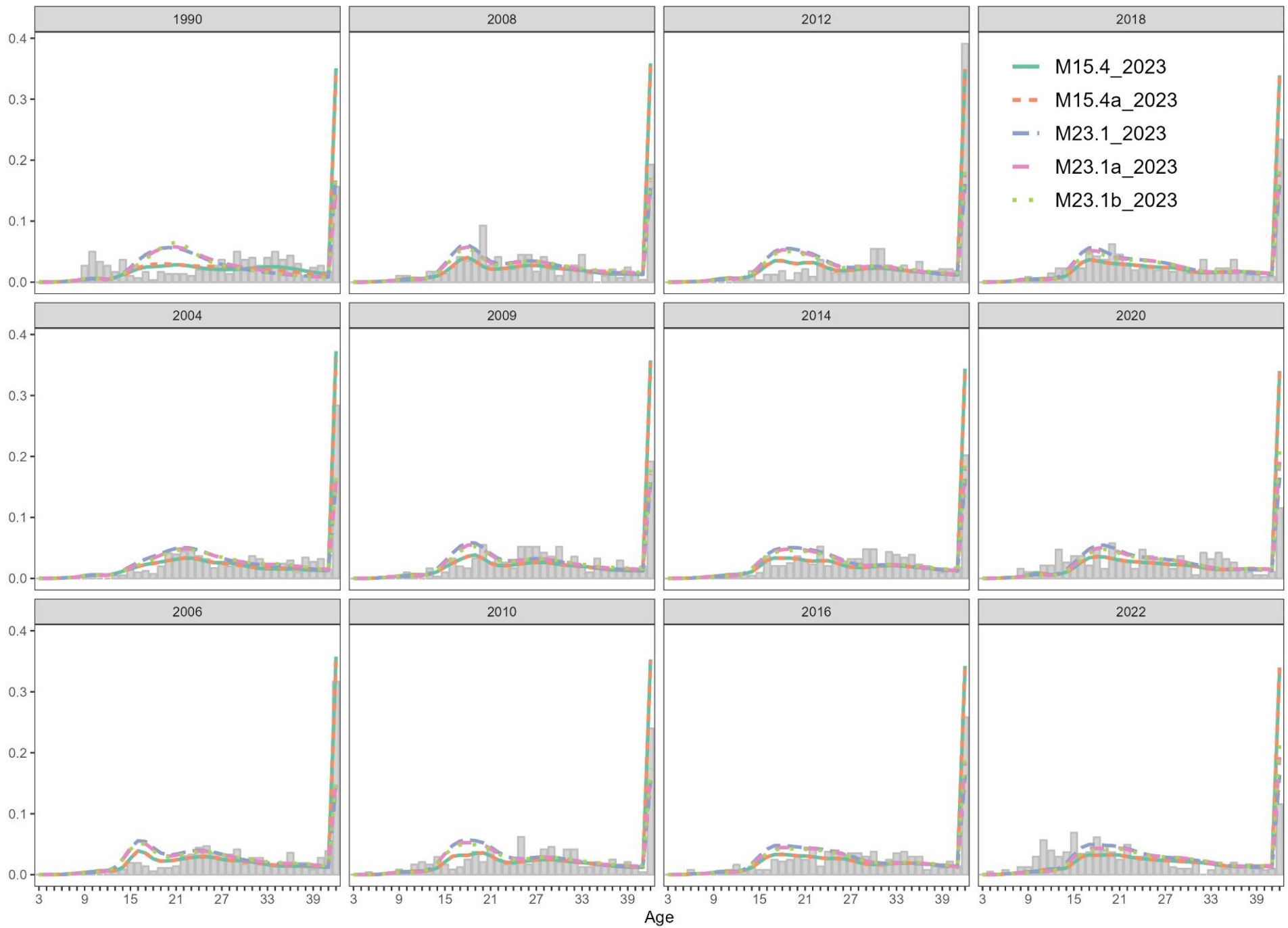


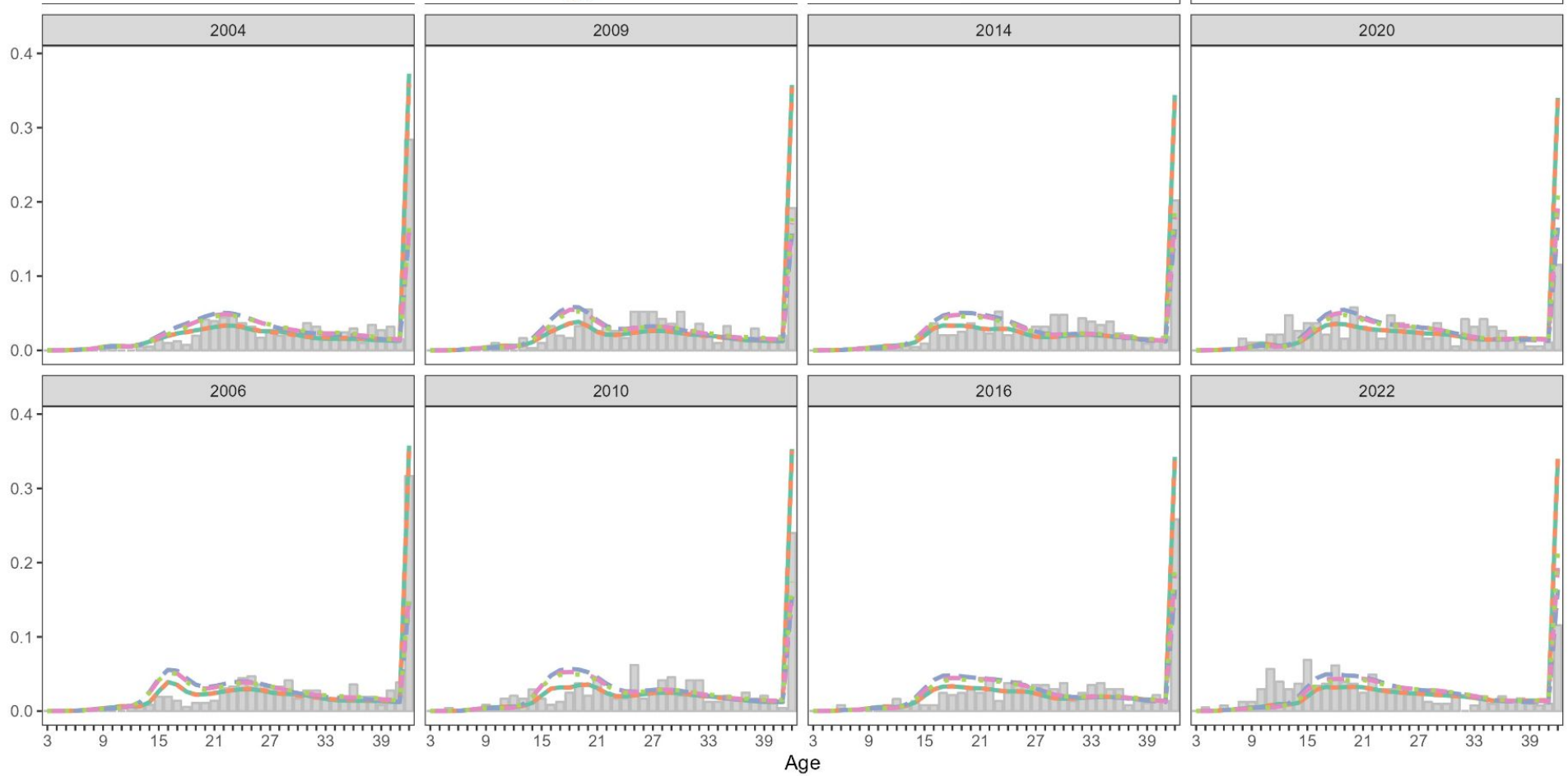
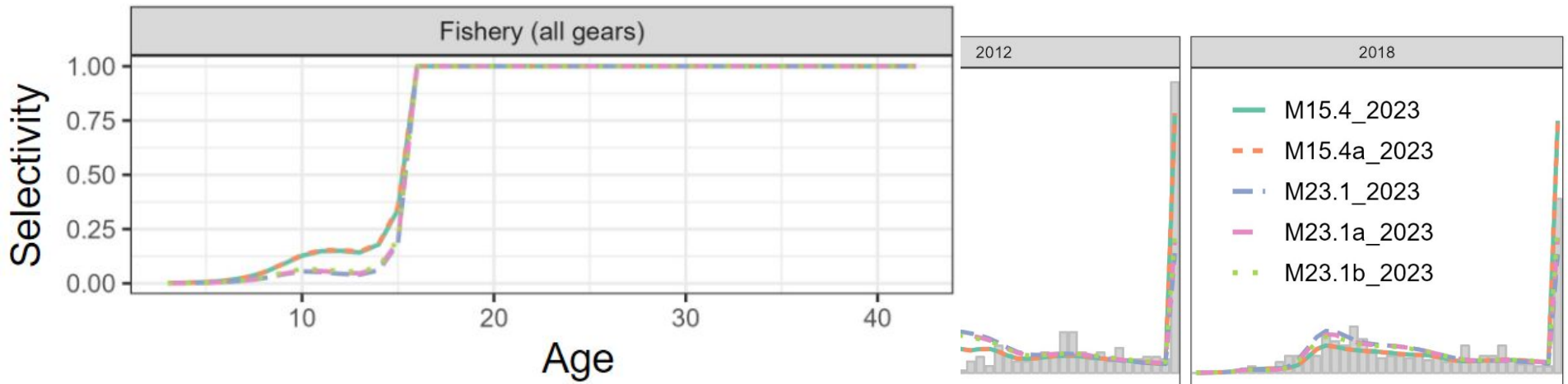
Longline survey length comps



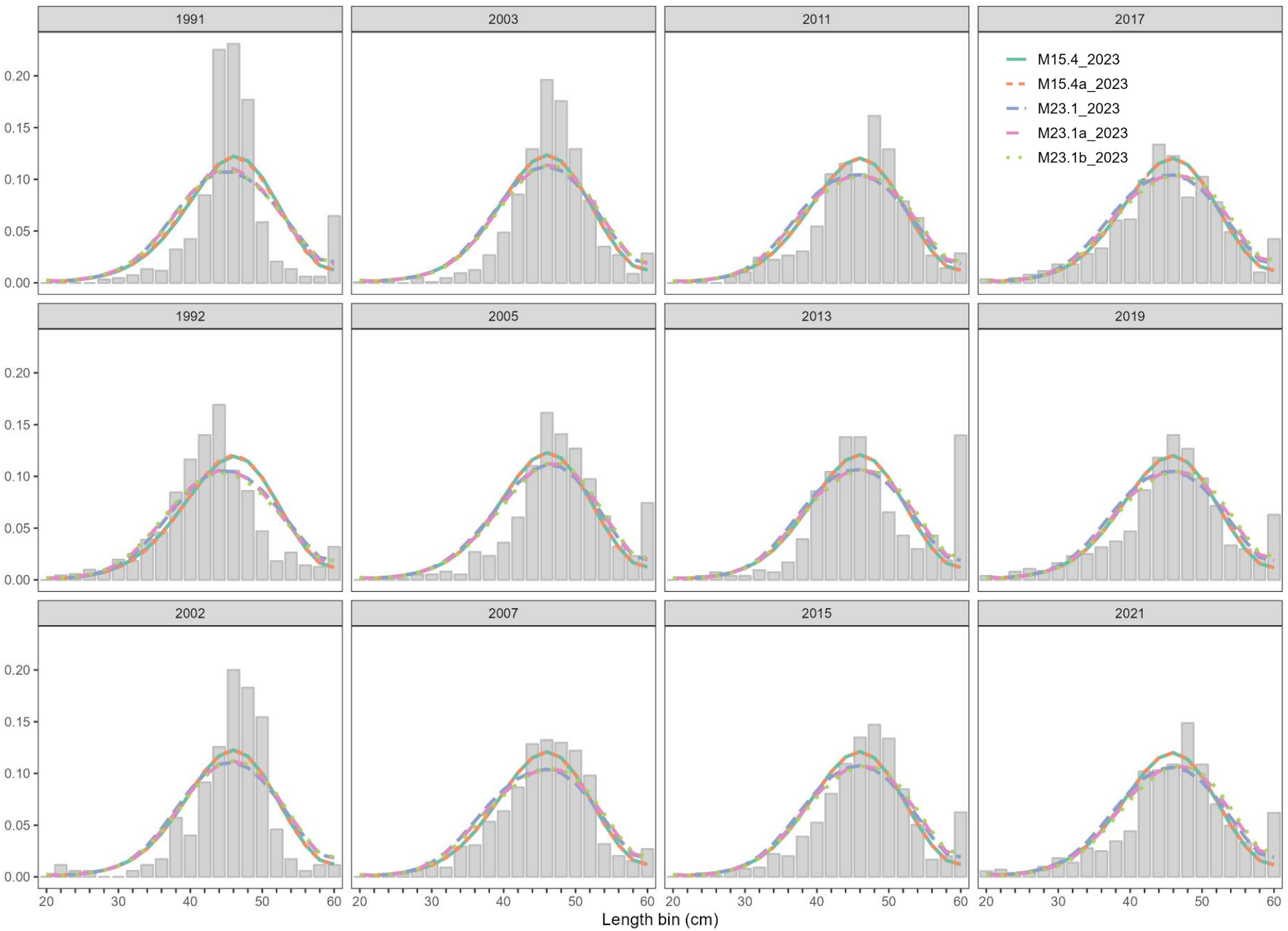


Fishery age comps





Fishery length comps

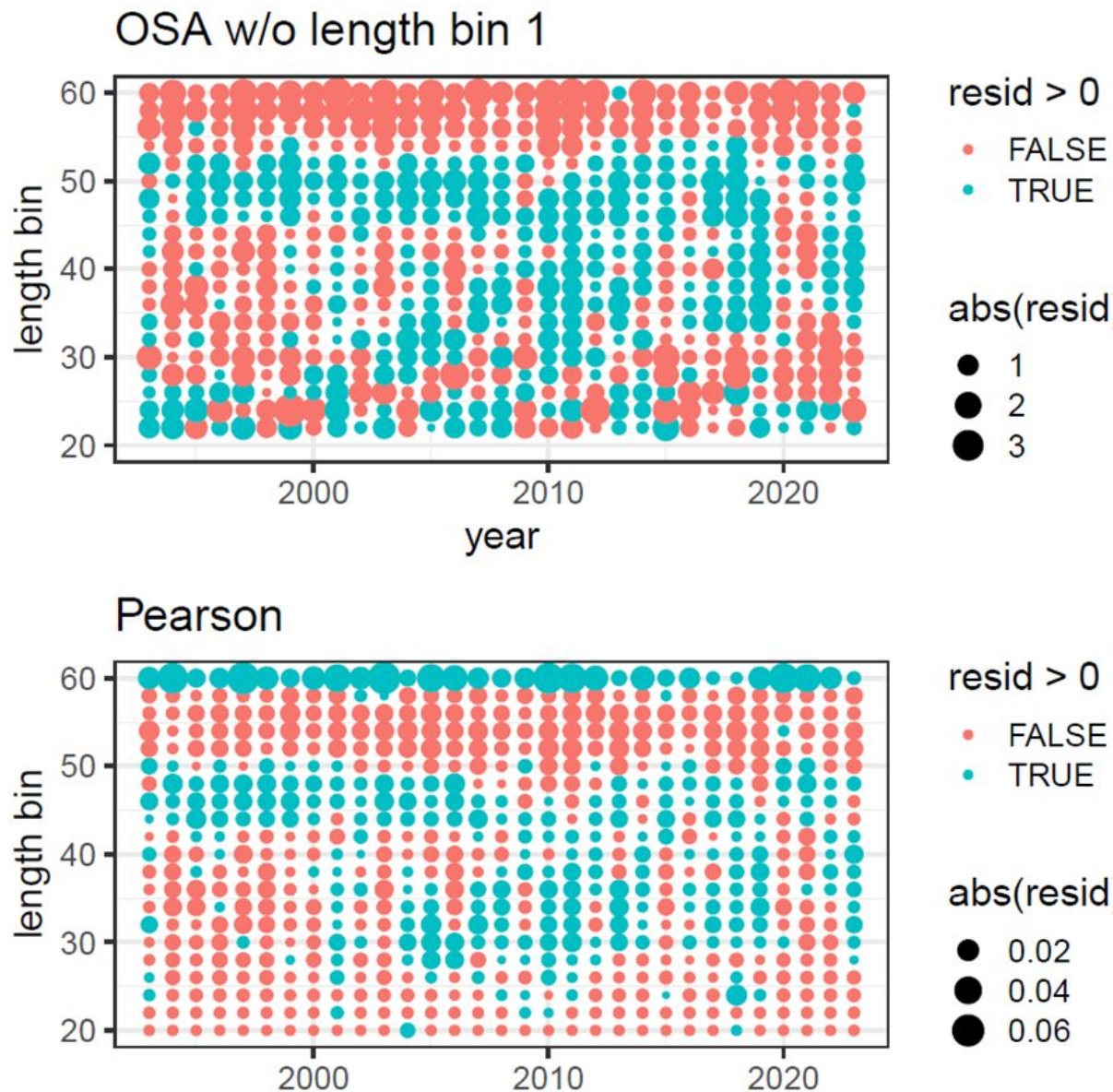


All around poor fits to comp data

Red = overestimating

Blue = underestimating

Figures 13-11 – 13-14



RE/BS Assessment Summary

- Base model M15.4 first accepted in 2015
- In Sep 2023, we presented new M, maturity, ageing error, and growth
- When new data were added, the retrospective bias went from bad in 2021 (Mohn's $\rho=0.61$) to worse (Mohn's $\rho=1.05$)
- Bias caused by large retrospective patterns in both survey catchabilities ($q_{\text{trawl}} > 2$), M, and recruitment (all estimated in M15.4)
- Constrained scaling parameters in recommended M23.1b stabilized the model (Mohn's $\rho=0.14$); however, M23.1b has degraded fits to the survey data and biomass trajectories that are inconsistent with recent trends in survey abundance
- More work needed to address model misspecification

Recommended reduction from max ABC

Quantity	As estimated or specified last year for:		As estimated or recommended this year for:	
	2023	2024	2024	2025
<i>M</i> (natural mortality rate)	0.034	0.034	0.042	0.042
Tier	3a	3a	3a	3a
Projected total (ages 3+) biomass (t)	25,837	25,755	46,029	46,109
Projected female spawning biomass (t)	8,554	8,514	12,986	13,005
<i>B</i> _{100%}	14,776	14,776	21,878	21,878
<i>B</i> _{40%}	5,911	5,911	8,751	8,751
<i>B</i> _{35%}	5,172	5,172	7,657	7,657
<i>F</i> _{OFL}	0.046	0.046	0.045	0.045
<i>max F</i>_{ABC}	0.038	0.038	0.038	0.038
<i>F</i> _{ABC}	0.038	0.038	0.030	0.030
OFL (t)	930	927	1,555	1,566
maxABC (t)	775	772	1,302	1,310
ABC (t)	775	772	1,037	1,041
Status	As determined last year for:		As determined this year for:	
	2021	2022	2022	2023
Overfishing	No	n/a	No	n/a
Overfished	n/a	No	n/a	No
Approaching overfished	n/a	No	n/a	No

Method: Split the difference between last year's and this year's projected ABCs

- A large increase from last year, but 20% less than the 2010-2020 average ABC

Year	OFL	ABC (=TAC)	Catch
2010	1,568	1,302	426
2011	1,579	1,312	557
2012	1,472	1,223	599
2013	1,482	1,232	580
2014	1,497	1,244	760
2015	1,345	1,122	564
2016	1,596	1,328	697
2017	1,594	1,327	553
2018	1,735	1,444	795
2019	1,715	1,428	790
2020	1,452	1,209	398
2021	1,456	1,212	407
2022	947	788	469
2023	930	775	487*
2024	1,555	1,037	

If this was a Tier 5 stock: ABC = 889 t, OFL = 1,185 t

Risk Table Summary

Recommend reduction from max ABC

Author-recommended model was not reviewed in September

Assessment	Population Dynamics	Ecosystem	Fishery
2 - Major Concern	2 - Major Concern	1 - None	1 - None
<p>(Base model)</p> <ul style="list-style-type: none"> Severe one-way positive retrospective bias High uncertainty in stock scale <p>(Recommended model)</p> <ul style="list-style-type: none"> Improved stability, but unable to account for recent declines in survey indices 	<ul style="list-style-type: none"> Declines in LLS and BTS indices in recent years 2023 LLS abundance lowest on record 2021 BTS lowest on record 	<ul style="list-style-type: none"> Average environmental conditions Some evidence of long-term declines in structural epifauna 	<ul style="list-style-type: none"> Incidental catch only Catch << ABC Not currently constraining target fisheries

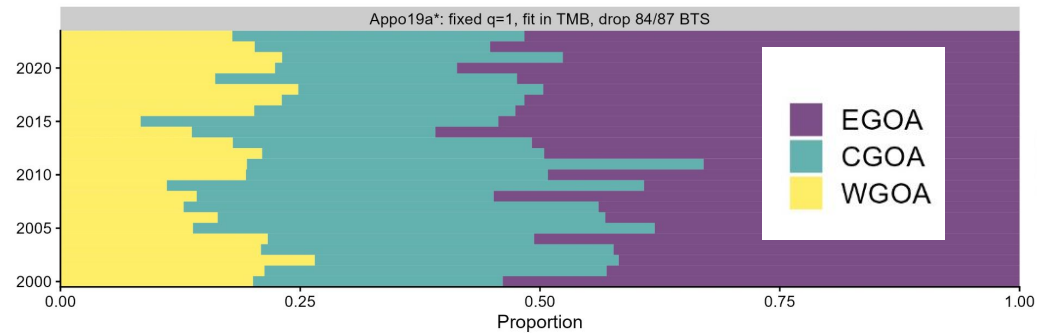
LLS = longline survey
BTS = bottom trawl survey

RE/BS Apportionment Summary

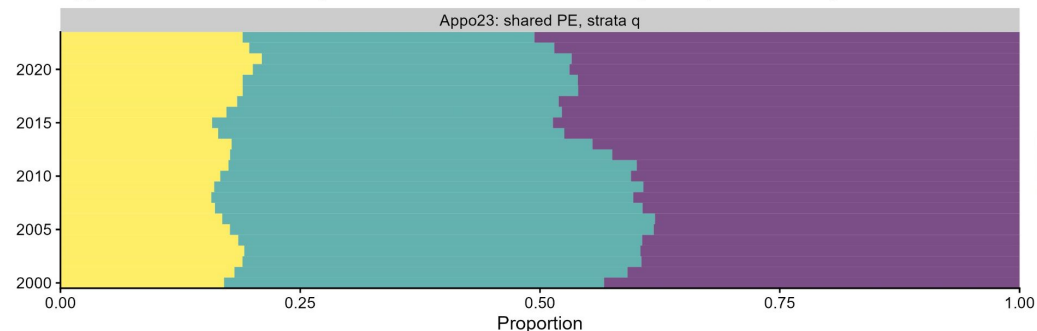
- Two-survey random effects (REMA) model first accepted in 2019
- LLS scaling parameters fixed at 1.0 to balance LLS/BTS data conflict
- Recommend estimating area-specific scaling parameters, greatly improves model performance
- Recommend using the average area-specific proportions of REMA-predicted biomass and REMA-predicted relative population weights from the LLS in order to more appropriately balance BTS/LLS data

Stock/ Assemblage	Area	2023				2024	
		OFL	ABC	TAC	Catch ²	OFL	ABC
RE/BS complex	W		180	180	101		197
	C		232	232	135		315
	E		363	363	149		525
	Total	930	775	775	385	1,555	1,037

Apportionment based on fixed q and predicted biomass by area (CURRENT)



Apportionment based on predicted biomass and RPWs by area (PROPOSED)



Planning for 2025+

1. RE/BS model development team
2. Model: q , selectivity, and recruitment
3. Refinement to maturity, survey indices, fisheries data, BTS length comps
4. Evaluation of uncertainty with MCMC
5. Continued organization, documentation, and modernization of code



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