## NOAA

 FISHERIESAlaska Fisheries

Science Center

## Assessment of Pacific cod in the Eastern Bering Sea

Grant Thompson, Jason Conner,<br>Kalei Shotwell, Ben Fissel, Tom Hurst, Ben Laurel,<br>Lauren Rogers, and Elizabeth Siddon

November 17, 2020

## Team and SSC comments

## Comments on assessments in general (1 of 4)

- JPT1: "The Teams recommended that authors continue to fill out the risk tables for full assessments." Response: A risk table is included in the "Risk Table" subsection of the "Harvest Recommendations" section.
- JPT2: "The Teams recommended that adjustment of ABC in response to levels of concern should be left to the discretion of the author, the Team(s), and/or the SSC, but should not be mandated by the inclusion of a $>1$ level in any particular category." Response: One category (environmental/ecosystem) is assigned a level of 2 in this assessment (the other categories are all level 1), but an ABC adjustment is not recommended.
- SSC1: "The SSC requests that the GPTs, as time allows, update the risk tables for the 2020 full assessments, as the SSC found this exercise to be very helpful." Response: See response to Comment JPT1.


## Comments on assessments in general (2 of 4)

- SSC2: "The SSC recommends dropping the overall risk scores in the tables." Response: The overall risk column has been omitted.
- SSC3: "The SSC requests that the table explanations be included in all the assessments which include a risk table for completeness." Response: The table explanations are included here.
- SSC4: "The SSC discussed whether increased risk or uncertainty was relative to previous assessments of the same stock, or relative to other stocks. Both are relevant and elaboration by the authors or GPTs as to what the elevated risk refers to is encouraged." Response: Uncertainty is evaluated relative to both previous assessments of EBS Pacific cod and assessments of other stocks. The evaluation with respect to previous assessments is undertaken primarily monitor between-year consistency, but the risk determinations themselves are based primarily on the evaluation relative to assessments of other stocks.


## Comments on assessments in general (3 of 4)

- JPT3: Referring to the analyses described by Bryan et al. (2020), "The Teams recommend that, to the extent practicable, authors consider these analyses, or analyses like them, for incorporation in the risk table." Response: The analysis of Bryan et al. was considered.
- SSC13: "The SSC recommends that standardized documentation (both format and content) will be very helpful to the authors, Plan Teams and SSC.... However, the SSC cautions against standardized model fitting (e.g., a single error distribution, set of covariates), other than as a starting point.... It is more important for each species to have a statistically rigorous model selection process resulting in good model fit and diagnostics than the simplicity of fitting the same approach to all species...." Response: The standardized documentation introduced in the preliminary assessment (Appendix 2.1) is retained here. Time since the October SSC meeting was insufficient to explore alternative VAST specifications, although this could be undertaken in the future.


## Comments on assessments in general (4 of 4)

- SSC14: "The SSC received a summary of the work done by Meaghan Bryan (NOAA-AFSC) and Grant Thompson (NOAA-AFSC) to inform the Joint Plan Team regarding the effects of missing survey data on groundfish stock assessments. The SSC notes that missing data are expected due to the biennial nature of the GOA and Al surveys, and that the planned or unplanned nature of missing data does not inherently imply bias in the stock assessment. The SSC supports the JPT's recommendation for authors to explicitly consider the survey loss analyses (or analyses like them) in developing their risk tables for this year, but does not suggest a standardized approach for all species, noting important differences in the behavior of individual assessments." Response: See response to Comment JPT3.


## Comments specific to this assessment (1 of 8)

- BPT10: "The Team recommends that the ESR and/or ESP provide an index of movement (e.g., using the standard EBS bottom trawl survey stations, evaluate the proportion of Pacific cod biomass over time in the northernmost survey stations that are located between $59^{\circ} \mathrm{N}$ and $60^{\circ} \mathrm{N}$ in years 1982-2019) to validate the movement indices in this model. This would be needed in November if these models move forward, or if not, should be included in the ESP for Pacific cod in 2021." Response: This assessment includes a draft Ecosystem and Socioeconomic Profile (ESP) for the first time (Appendix 2.2), which provides some information on potential movement covariates. In particular, sea ice indicators are shown to be highly correlated with the northings in the center of gravity output from VAST. See also Comment SSC22.


## Comments specific to this assessment (2 of 8)

- BPT11: "The Team recommends the author run the model ensemble averaging approach using models 19.12a., 20.4, 19.12e, 19.12, 19.15, and using last year's ensemble averaging methodology (without the exponential weighting as per the SSC recommendations from 2019)." Response: All of the Team's requested models except 19.12e are included here (see Comment SSC19). Last year's ensemble averaging methodology is retained, except that the arithmetic mean is used instead of exponential weighting. See also Comment SSC25.
- SSC15: "The SSC does think there is value in looking back at previously accepted models to consider what advice they would provide under current conditions." Response: Two of the models in Ensemble $B$ feature potentially dome-shaped survey selectivity, which was a regular feature of EBS Pacific cod assessment models prior to 2016.


## Comments specific to this assessment (3 of 8)

- SSC16: "The use of the Dirichlet-multinomial distribution was discussed because the sample size parameter was quite large, potentially allowing the sample size to represent more samples than hauls. The author did not think this was causing any convergence issues, but the SSC recommends that the authors explore this and potentially constrain that parameter." Response: There may have been some miscommunication during the SSC meeting, because one of the features of the Dirichlet-multinomial is that it does not allow the effective sample size to exceed the input sample size (here, number of hauls). All of the models presented here passed a "jitter" test (see "Convergence Behavior" subsection in the "Analytic Approach" section), indicating that convergence was not an issue, even though the log of the Dirichlet-multinomial parameter was invariably estimated to be near the upper bound $(=10.0)$ in the case of size composition data (but not age composition data).


## Comments specific to this assessment (4 of 8)

- SSC17: "The SSC was very interested to see the exploration of spatial models with movement.... However, the SSC notes that this is a fairly large modeling innovation that needs further review.... The structure of the underlying linear model for age-dependent movement across a certain subset of ages needs further justification.... The SSC would like to have clear evidence of spawning occurring in the NBS.... Fully vetting all of the models for these ensembles may be better suited for the upcoming CIE review in 2021...." Response: Further investigation of movement models will be proposed as a topic for the 2021 CIE review. Regarding the desire for clear evidence of spawning in the NBS, the sizecomp data from the 2019 NBS survey show a very pronounced peak in the size range corresponding to 1 -year-old fish (Figure 2.9b). Unless there was a very substantial migration of age 0 fish (in 2018) or early age 1 fish (in 2019) from the EBS to the NBS, the most likely explanation for this pronounced size mode is successful spawning in the NBS.


## Comments specific to this assessment (5 of 8)

- SSC18: "The SSC supports the BSAI-GPT recommendation of using the set of models that do not have a catchability prior." Response: None of the models included here feature a catchability prior.
- SSC19: "The SSC supports the models that the BSAI-GPT selected for inclusion in the ensemble, but not 19.12e because the SSC would like to see additional exploration of hypotheses about movement and spatial dynamics and how these are handled by the model. This reduces the model ensemble to four models. These models are 19.12a, 19.12, 20.4, and $19.15 \ldots$... This selection of models eliminates all four of the two-area models. If it were desired to have a fifth model in the ensemble or for consideration on its own, a simpler model that was previously used for management (e.g., 16.6i) might be a useful addition." Response: The four models requested by the SSC are included here, and Model 19.12e is not. Model 16.6 i is also not included.


## Comments specific to this assessment (6 of 8)

- SSC20: "The SSC suggests that spatial models that apportion movement and recruitment to sub-areas be considered research models..., including explorations of the relationships of the environmental covariates used, and other hypotheses about movement such as natal homing. The SSC suggests that if environmental covariates are to be used in the future, they should be sufficiently constrained by informative priors penalizing estimates toward no effect, such that only when there is strong evidence of their relationship will movement be substantially altered." Response: This comment will be forwarded for the 2021 CIE review.
- SSC21: "The SSC notes that the use of time-varying catchability in the NBS may be diluting the information content from that small set of surveys. It may be possible to include movement in a simple way by mirroring the NBS with the EBS catchability inversely (e.g., NBS $Q=1$ EBS Q)." Response: SS currently lacks the capability to implement this suggestion, although it is under consideration for inclusion in the future.


## Comments specific to this assessment (7 of 8)

- SSC22: "The BSAI-GPT recommended examining survey catches at the northern stations in the EBS survey over time as a potential index of movement. In addition to the BSAI-GPT request, there are some outputs of VAST that might be useful including the index of area occupied and the center of gravity estimates." Response: See Comment BPT10.
- SSC23: "The SSC recommends trying to obtain some fishery size and age composition samples in the NBS to verify that selectivities for both areas are similar." Response: It is likely that size composition data from the fishery in the NBS will become available in time for use next year. The assumption that NBS fishery selectivity mirrors EBS fishery selectivity was used in this year's preliminary assessment only because NBS fishery size composition data were unavailable.


## Comments specific to this assessment (8 of 8)

- SSC24: "Finally, the cross-conditional decision analysis (CCDA) is interesting and the SSC really appreciates the work done to identify viable alternative methods for weighting ensemble models, and the comparison to machine learning. This is a novel effort to address model weighting which is one of the most controversial aspects of ensemble modeling. However, it was noted that CCDA is a new, computationallyintensive method that might be too difficult for use due to time limitations ... in the current assessment cycle. The SSC recommends that this methodology be reviewed at the upcoming CIE review." Response: The CCDA approach will be proposed as a topic for the 2021 CIE review.
- SSC25: "The SSC affirms that the authors should use last year's ensemble averaging methodology (without the exponential weighting...)." Response: See Comment BPT11.

Data

## Catch time series, 1977-2020 (by gear)



## Comparing the NBSRA and NBS survey area

## Northern Bering Sea Research Area Bering Sea shelf survey areas



## Catch time series, 2003-2020 (by area)



## Longline fishery year-and-month CPUE





## Catch-weighted, all-gear, annual mean CPUE



## Survey abundance (VAST)



## Recent survey sizecomps (EBS)

- 2011-14: strong age 1; 2015-18: weak age 1; 2019: strong age 1


NOAA FISHERIES

## Recent survey sizecomps (NBS)

- 2018 looks strong here, too (the result of NBS spawning?)



## Models

## Overview of models

- A pair of $2 \times 2$ factorial designs
- Ensemble A (requested by SSC; previewed in September)
- Factor A1: Allow $Q$ to vary?
- Factor A2: Combine EBS and NBS surveys?
- Ensemble B (prompted by industry review and comments)
- Factor B1: Use fishery CPUE?
- Factor B2: Allow domed survey selectivity?
- $\mathrm{AB}=$ union of A (blue) and B (yellow); base model = intersection (green)

| Factor A1: Allow $Q$ to vary? <br> Factor A2: Combine surveys? | no |  | yes |  | (yes) |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | no | yes | no | yes |  |  |  |
| Factor B1: Use fishery CPUE? | (no) |  |  | no |  | yes |  |
| Factor B2: Allow domed selex? |  |  |  | no | yes | no | yes |
| Model: | 20.4 | 19.12a | 19.15 | 19.12 | 20.8 | 20.9 | 20.10 |

## Base model (1 of 5)

- Sexes combined
- One season per year
- Natural mortality (constant across age and time) freely estimated
- Mean length at age follows a Richards growth function:
- Base value of length at age 1.5 freely estimated
- With constrained annual deviations on the log scale
- Von Bertalanffy (Brody) growth coefficient freely estimated
- Asymptotic length freely estimated
- Richards growth coefficient freely estimated
- SD of L_at_A varies linearly with L_at_A, parameters freely estimated
- Weight at length varies annually, estimated outside the model
- Maturity at length (constant across time) estimated outside the model


## Base model (2 of 5)

- Mean ageing error varies with age, freely estimated within each block:
- 1977-2007
- 2008-present
- Recruitment is independent of stock size:
- Mean freely estimated within each block:
- Pre-1977
- 1977-present
- With constrained annual deviations on the log scale


## Base model (3 of 5)

- One survey, covering the EBS and NBS combined
- Base value of log catchability freely estimated
- With constrained annual deviations
- Size-based, double-normal selectivity, with parameters as follow:
- Base value of first size with selectivity=1 freely estimated
- With constrained annual deviations on the log scale
- Logit of size range with selectivity=1 fixed at 10.0
- Base value of log of SD for $1^{\text {st }}$ normal pdf freely estimated
- With constrained annual deviations
- Log of SD for $2^{\text {nd }}$ normal pdf fixed at 10.0
- Logit of selectivity at minimum size fixed at -10.0
- Logit of selectivity at maximum size fixed at 10.0


## Base model (4 of 5)

- One fishery, covering the EBS and NBS combined
- Size-based, double-normal selectivity, with parameters as follow:
- First size with selectivity=1 freely estimated
- Logit of size range with selectivity=1 freely estimated
- Base value of log of SD for $1^{\text {st }}$ normal pdf freely estimated
- With constrained annual deviations
- Log of standard deviation for $2^{\text {nd }}$ normal pdf freely estimated
- Logit of selectivity at minimum size fixed at -10.0
- Base value of logit of selectivity at maximum size freely estimated
- With constrained annual deviations


## Base model (5 of 5)

- Input sample sizes (Nsamp) for compositional data range between zero and an initial number (Ninit) according to the formula Nsamp $=$ $(1+\exp (\ln \theta)$ Ninit $) /(1+\exp (\ln \theta))$, where $\ln \theta$ is a timeinvariant parameter (the "Dirichlet-multinomial" parameter, estimated in natural $\log$ space, so that $N s a m p$ approaches 0 as $\ln \theta$ approaches $-\infty$, Nsamp $=(1+$ Ninit $) / 2$ when $\ln \theta=0$, and Nsamp approaches Ninit as $\ln \theta$ approaches $+\infty$ ), freely estimated for each of the compositional data types (fishery size composition data, survey size composition data, and survey age composition data), where:
- For survey compositional data, Ninit is the number of sampled hauls
- For fishery compositional data, Ninit is equal to the number of sampled hauls rescaled so that the average Ninit for the fishery is equal to the average Ninit for the survey (so that, on average, fishery data are emphasized equally with survey data)


## Alternative models (1 of 2)

- Differences between 19.12 and the other Ensemble A models:
- Models 20.4 and 19.15 include 5 additional true parameters:
- Base log catchability in the NBS survey
- Two parameters for the NBS survey selectivity:
- First size with selectivity=1
- Log standard deviation for $1^{\text {st }}$ normal pdf
- Two Dirichlet-multinomial parameters for the NBS survey:
- One for size composition
- One for age composition
- Models 20.4 and 19.12a lack annual devs for survey $\ln (Q)$.
- Model 19.15 includes a set of annual devs for NBS survey $\ln (Q)$.


## Alternative models (2 of 2)

- Differences between 19.12 and the other Ensemble B models:
- Models 20.9 and 20.10 include a base value for fishery $\ln (Q)$, and, potentially, annual devs for fishery $\ln (Q)$
- Models 20.8 and 20.10 include 3 additional survey selectivity parameters:
- Logit of size range with selectivity=1
- Log of standard deviation for $2^{\text {nd }}$ normal pdf
- Logit of selectivity at maximum size


## Results

## Goodness of fit: abundance indices (1 of 2)

- Root-mean-squared-standardized-residual (RMSSR)

| Index: | EBS |  | NBS |  |
| :--- | :---: | :---: | :---: | :---: |
| Model: | M20.4 | M19.15 | M20.4 | M19.15 |
| RMSSR: | 2.448 | 1.001 | 6.516 | 1.000 |


| Index: | EBS+NBS |  |  |  | Fishery |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Model: | M19.12a | M19.12 | M20.8 | M20.9 | M20. | M20.9 | M20.9 |
| RMSSR: | 2.319 | 0.999 | 1.000 | 0.999 | 1.000 | 0.992 | 0.659 |

## Goodness of fit: abundance indices (2 of 2)

- Top left: EBS; top right: EBS+NBS; bottom left: NBS; bottom right: fishery






## Goodness of fit: size and age composition

- Size composition

|  | Fleet: | Fishery |  |  |  |  |  |  |
| :---: | :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | Model: | M20.4 | M19.12a | M19.15 | M19.12 | M20.8 | M20.9 | M20.10 |
|  | Nave: | 356 | 356 | 356 | 356 | 356 | 356 | 356 |
| McAllister- | Neff: | 820 | 824 | 823 | 820 | 816 | 795 | 835 |
| Ianelli | Ratio: | 2.305 | 2.316 | 2.313 | 2.306 | 2.295 | 2.236 | 2.346 |
| Thorson et | $\ln (\theta):$ | 9.989 | 9.989 | 9.989 | 9.989 | 9.989 | 9.988 | 9.989 |
|  | Neff: | 356 | 356 | 356 | 356 | 356 | 356 | 356 |
|  | Ratio: | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 |


|  | Fleet: | EBS survey |  | NBS survey |  | EBS+NBS survey |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Model: | M20.4 | M19.15 | M20.4 | M19.15 | M19.12a | M19.12 | M20.8 | M20.9 | M20.10 |
|  | Nave: | 347 | 347 | 96 | 96 | 356 | 356 | 356 | 356 | 356 |
| McAllister- | Neff: | 584 | 607 | 84 | 85 | 596 | 621 | 630 | 601 | 599 |
| Ianelli | Ratio: | 1.683 | 1.750 | 0.873 | 0.880 | 1.676 | 1.746 | 1.772 | 1.690 | 1.683 |
| Thorson et al. | $\ln (\theta)$ : | 9.984 | 9.984 | 9.117 | 9.236 | 9.983 | 9.984 | 9.985 | 9.982 | 9.986 |
|  | Neff: | 347 | 347 | 96 | 96 | 356 | 356 | 356 | 356 | 356 |
|  | Ratio: | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 | 1.000 |

- Age composition

|  | Fleet: | EBS survey |  | NBS survey |  | EBS+NBS survey |  |  |  |  |
| :---: | :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | Model: | M20.4 | M19.15 | M20.4 | M19.15 | M19.12a | M19.12 | M20.8 | M20.9 | M20.10 |
|  | Nave: | 360 | 360 | 85 | 85 | 373 | 373 | 373 | 373 |  |
| McAllister- | Neff: | 119 | 125 | 23 | 24 | 106 | 113 | 109 | 91 |  |
| Ianelli | Ratio: | 0.332 | 0.349 | 0.278 | 0.284 | 0.284 | 0.303 | 0.292 | 0.244 | 0.229 |
| Thorson et | $\ln (\theta):$ | 0.253 | 0.363 | -0.367 | -0.314 | -0.044 | 0.045 | -0.211 | -0.547 | -0.922 |
|  | Neff: | 203 | 212 | 35 | 36 | 183 | 191 | 167 | 137 | 107 |
|  | Ratio: | 0.564 | 0.591 | 0.416 | 0.429 | 0.490 | 0.513 | 0.449 | 0.368 | 0.287 |

## Retrospective analysis: Ensemble A models

Model $20.4(\rho=0.0601)$


Model $19.15(\rho=0.1046)$


Model 19.12a $(\rho=-0.0211)$


Model $19.12(\rho=-0.0028)$


## Retrospective analysis: Ensemble B models

Model $19.12(\rho=-0.0028)$


Model $20.9(\rho=0.1533)$


Model $20.8(\rho=0.0076)$


Model $20.10(\rho=0.0071)$


## Team/SSC model weighting criteria/emphases

- Same criteria and emphases as last year:
- Emphasis = 3
- Plausible hypothesis
- Plausible catchability
- Acceptable retrospective bias
- Emphasis = 2
- Comparable complexity
- Dev sigmas estimated appropriately
- Fits consistent with variances
- Emphasis = 1
- Incremental changes
- Objective criterion for sample sizes
- Change in ageing criteria addressed


## Evaluating the models w.r.t. criteria 1-3

## 1. Plausible hypothesis:

- Hypothesis 1 is gone; all models are Hypothesis 2 or 3

2. Plausible catchability:

| Year | 20.4 |  |  | 19.15 |  |  | EBS+NBS |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | EBS | NBS | Sum | EBS | NBS | Sum | 19.12a | 19.12 | 20.8 | 20.9 | 20.10 |
| 2017 | 0.894 | 0.430 | 1.324 | 0.838 | 0.441 | 1.279 | 0.986 | 0.952 | 1.023 | 0.771 | 1.084 |
| 2018 | 0.894 | 0.430 | 1.324 | 0.894 | 0.928 | 1.822 | 0.986 | 1.193 | 1.298 | 0.972 | 1.401 |
| 2019 | 0.894 | 0.430 | 1.324 | 0.906 | 0.884 | 1.790 | 0.986 | 1.113 | 1.278 | 0.900 | 1.456 |
| Mean | 0.894 | 0.430 | 1.324 | 0.879 | 0.751 | 1.630 | 0.986 | 1.086 | 1.199 | 0.881 | 1.314 |

3. Acceptable retrospective bias (based on Hurtado-Ferro et al. (2015)):

| Allow $Q$ to vary? <br> Combine surveys? | no |  | yes |  | (yes) |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | no | yes | no | yes |  |  |  |
| Use fishery CPUE? <br> Allow domed selex? | (no) |  |  | no |  | yes |  |
|  |  |  |  | no | yes | no | yes |
| Quantity | 20.4 | 19.12a | 19.15 | 19.12 | 20.8 | 20.9 | 20.10 |
| M | 0.3713 | 0.3543 | 0.3615 | 0.3422 | 0.2944 | 0.3410 | 0.2124 |
| Mohn's $\rho$ | 0.0601 | -0.0211 | 0.1046 | -0.0028 | 0.0076 | 0.1533 | 0.0071 |
| $\rho$ min | -0.2099 | -0.2040 | -0.2065 | -0.1998 | -0.1831 | -0.1993 | -0.1543 |
| $\rho$ max | 0.2856 | 0.2772 | 0.2808 | 0.2711 | 0.2472 | 0.2705 | 0.2062 |

NOAA FISHERIES

## Evaluating the models w.r.t. criteria 4-9

4. All models are substantially more complex than typical BSAI Tier 3
5. All models use the same approach for tuning $\sigma$ terms as M19.12
6. All models with $0.99<$ RMSSR $<1.01$ for the index data (or that "tune out" $\ln (Q)$ devs) exhibit fits that are consistent with specified variances
7. All models have 0 , 1 , or 2 changes from M19.12, so are incremental
8. All models use Dirichlet-multinomial, so have objective weighting
9. All models estimate ageing bias separately for pre-2008 and post-2007

## Computing the model weights

- Separate sets of weights computed for Ensemble A and Ensemble AB

| Factor A1: Allow $Q$ to vary? <br> Factor A2: Combine surveys? |  | no |  | yes |  | (yes) |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | no | yes | no | yes |  |  |  |
| Factor B1: Use fishery CPUE? <br> Factor B2: Allow domed selex? |  | (no) |  |  | no |  | yes |  |
|  |  | no | yes | no | yes |  |  |  |
| Criterion | Emph. |  |  |  | 20.4 | 19.12a | 19.15 | 19.12 | 20.8 | 20.9 | 20.10 |
| Plausible hypothesis | 3 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Plausible catchability | 3 | 0 | 1 | 0 | 1 | 1 | 1 | 0 |
| Acceptable retrospective bias | 3 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Comparable complexity | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dev sigmas estimated appropriately | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fits consistent with variances | 2 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Incremental changes | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Objective criterion for sample sizes | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Change in ageing criteria addressed | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Average emphasis: |  | 0.6111 | 0.7778 | 0.7222 | 0.8889 | 0.8889 | 0.8889 | 0.7222 |
| Model weight (Ensemble A): |  | 0.2037 | 0.2593 | 0.2407 | 0.2963 |  |  |  |
| Model weight (Ensemble AB): |  | 0.1111 | 0.1414 | 0.1313 | 0.1616 | 0.1616 | 0.1616 | 0.1313 |

## Retrospective analysis: ensemble averages

Ensemble A ( $\rho=0.0311$ )


Ensemble AB $(\rho=0.0439)$


## Base values of non-selectivity parameters

| A1: Allow $Q$ to vary? <br> A2: Combine surveys? | no |  |  |  | yes |  |  |  | (yes) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | no |  | yes |  | no |  | yes |  |  |  |  |  |  |  |  |  |  |  |
| B1: Use fishery CPUE? <br> B2: Allow domed selex? | (no) |  |  |  |  |  | no |  |  |  | yes |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  | no |  | yes |  | no |  | yes |  |  |  |  |  |
| Model: | 20.4 |  | 19.12a |  | 19.15 |  | 19.12 |  | 20.8 |  | 20.9 |  | 20.10 |  | Ensemble A |  | Ensemble AB |  |
| Parameter | Est. | SD | Est. | SD | Est. | SD | Est. | SD | Est. | SD | Est. | SD | Est. | SD | Est. | SD | Est. | SD |
| Natural mortality | 0.371 | 0.012 | 0.354 | 0.011 | 0.362 | 0.013 | 0.342 | 0.013 | 0.294 | 0.017 | 0.341 | 0.013 | 0.212 | 0.016 | 0.356 | 0.016 | 0.325 | 0.051 |
| Mean length at age 1.5 | 14.766 | 0.396 | 14.784 | 0.388 | 14.831 | 0.405 | 14.872 | 0.391 | 14.915 | 0.376 | 14.887 | 0.389 | 14.766 | 0.362 | 14.818 | 0.397 | 14.838 | 0.391 |
| Asymptotic length | 113.710 | 3.117 | 113.400 | 3.130 | 114.788 | 3.253 | 115.298 | 3.356 | 102.316 | 2.561 | 117.562 | 3.535 | 94.646 | 1.138 | 114.360 | 3.322 | 110.342 | 8.322 |
| Brody growth coefficient | 0.118 | 0.009 | 0.117 | 0.009 | 0.116 | 0.009 | 0.113 | 0.009 | 0.163 | 0.013 | 0.102 | 0.009 | 0.204 | 0.009 | 0.116 | 0.009 | 0.133 | 0.035 |
| Richards growth coefficient | 1.428 | 0.042 | 1.443 | 0.042 | 1.423 | 0.043 | 1.444 | 0.042 | 1.264 | 0.053 | 1.507 | 0.042 | 1.154 | 0.043 | 1.435 | 0.043 | 1.382 | 0.123 |
| SD(length at age 1) | 3.479 | 0.065 | 3.483 | 0.067 | 3.483 | 0.065 | 3.498 | 0.065 | 3.527 | 0.067 | 3.493 | 0.067 | 3.636 | 0.072 | 3.487 | 0.066 | 3.514 | 0.084 |
| SD (length at age 20) | 9.927 | 0.383 | 9.956 | 0.381 | 9.789 | 0.389 | 9.773 | 0.388 | 8.784 | 0.343 | 10.160 | 0.464 | 7.832 | 0.251 | 9.856 | 0.394 | 9.466 | 0.856 |
| Mean ageing bias at age 1 | 0.349 | 0.015 | 0.338 | 0.017 | 0.347 | 0.015 | 0.336 | 0.017 | 0.331 | 0.018 | 0.339 | 0.019 | 0.333 | 0.022 | 0.342 | 0.017 | 0.338 | 0.019 |
| Mean ageing bias at age 20 | 0.779 | 0.206 | 0.973 | 0.222 | 0.826 | 0.207 | 1.015 | 0.222 | 1.122 | 0.242 | 1.059 | 0.259 | 1.266 | 0.300 | 0.911 | 0.236 | 1.016 | 0.281 |
| Mean bias at age 1 (2008+) | -0.010 | 0.024 | 0.011 | 0.024 | -0.008 | 0.024 | 0.014 | 0.024 | 0.016 | 0.026 | 0.018 | 0.027 | 0.019 | 0.030 | 0.003 | 0.026 | 0.010 | 0.028 |
| Mean bias at age 20 (2008+) | -1.635 | 0.324 | -1.640 | 0.315 | -1.831 | 0.346 | -1.822 | 0.327 | -1.929 | 0.355 | -2.413 | 0.480 | -2.231 | 0.467 | -1.739 | 0.341 | -1.943 | 0.468 |
| $\ln$ (mean post-1976 recruits) | 13.275 | 0.099 | 13.177 | 0.096 | 13.179 | 0.106 | 13.072 | 0.104 | 12.846 | 0.136 | 13.177 | 0.115 | 12.513 | 0.160 | 13.166 | 0.124 | 13.031 | 0.267 |
| $\ln$ (pre-1977 recruits offset) | -0.890 | 0.205 | -0.905 | 0.198 | -0.899 | 0.199 | -0.933 | 0.189 | -0.607 | 0.187 | -0.893 | 0.190 | -0.272 | 0.136 | -0.909 | 0.198 | -0.774 | 0.292 |
| Pre-1977 fishing mortality | 0.125 | 0.039 | 0.122 | 0.037 | 0.130 | 0.041 | 0.128 | 0.039 | 0.071 | 0.019 | 0.115 | 0.040 | 0.041 | 0.012 | 0.126 | 0.039 | 0.104 | 0.047 |
| $\ln$ (Fishery catchability) |  |  |  |  |  |  |  |  |  |  | -13.015 | 0.071 | -13.618 | 0.107 | n/a | n/a | -13.285 | 0.312 |
| $\ln$ (EBS survey catchability) | -0.112 | 0.066 |  |  | -0.058 | 0.070 |  |  |  |  |  |  |  |  | -0.083 | 0.073 | -0.083 | 0.073 |
| $\ln$ (NBS survey catchability) | -0.844 | 0.107 |  |  | -1.998 | 0.257 |  |  |  |  |  |  |  |  | -1.469 | 0.610 | -1.469 | 0.610 |
| $\ln$ (XBS survey catchability) |  |  | -0.014 | 0.062 |  |  | 0.045 | 0.068 | 0.155 | 0.090 | -0.087 | 0.077 | 0.274 | 0.120 | 0.017 | 0.071 | 0.069 | 0.151 |
| $\ln (\mathrm{DM})$ _fishery_sizecomp | 9.989 | 0.346 | 9.989 | 0.348 | 9.989 | 0.346 | 9.989 | 0.347 | 9.989 | 0.356 | 9.988 | 0.373 | 9.989 | 0.336 | 9.989 | 0.347 | 9.989 | 0.351 |
| $\ln (\mathrm{DM})$ _EBS_surv_sizecomp | 9.984 | 0.502 |  |  | 9.984 | 0.505 |  |  |  |  |  |  |  |  | 9.984 | 0.504 | 9.984 | 0.504 |
| $\ln (\mathrm{DM})$ _NBS_surv_sizecomp | 9.117 | 18.864 |  |  | 9.236 | 18.346 |  |  |  |  |  |  |  |  | 9.182 | 18.586 | 9.182 | 18.586 |
| $\ln (\mathrm{DM})$ _XBS_surv_sizecomp |  |  | 9.983 | 0.547 |  |  | 9.984 | 0.520 | 9.985 | 0.463 | 9.982 | 0.565 | 9.986 | 0.448 | 9.983 | 0.533 | 9.984 | 0.512 |
| $\ln (\mathrm{DM})$ _EBS_surv_agecomp | 0.253 | 0.242 |  |  | 0.363 | 0.260 |  |  |  |  |  |  |  |  | 0.313 | 0.258 | 0.313 | 0.258 |
| $\ln (\mathrm{DM})$ _NBS_surv_agecomp | -0.367 | 0.362 |  |  | -0.314 | 0.366 |  |  |  |  |  |  |  |  | -0.338 | 0.365 | -0.338 | 0.365 |
| $\ln (\mathrm{DM})$ _XBS_surv_agecomp |  |  | -0.044 | 0.205 |  |  | 0.045 | 0.217 | -0.211 | 0.200 | -0.547 | 0.163 | -0.922 | 0.143 |  | 0.216 | -0.320 | 0.393 |

## Base values of selectivity parameters

| A1: Allow $Q$ to vary? <br> A2: Combine surveys? | no |  | yes |  | (yes) |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | no | yes | no | yes |  |  |  |  |  |  |  |
| B1: Use fishery CPUE? | (no) |  |  | no |  | yes |  |  |  |  |  |
| B2: Allow domed selex? |  |  |  | no | yes | no | yes |  |  |  |  |
| Parameter | 20.4 | 19.12a | 19.15 | 19.12 | 20.8 | 20.9 | 20.10 | Ensemble A |  | Ensemble AB |  |
|  | Est. SD | Est. SD | Est. SD | Est. SD | Est. SD | Est. SD | Est. SD | Est. | SD | Est. | SD |
| Fishery_Begin_top | 75.0120 .038 | 74.9830 .044 | 75.0120 .039 | 75.01310 .045 | 71.840 | 75.956 | 67.4730 .490 | 75.005 | 0.044 | 73.658 | 2.744 |
| Fishery_Logit(top) | -9.650 9.886 | -9.745 7.227 | -9.701 8.331 | -9.742 7.450 | -9.627 9.879 | -9.939 1.889 | -9.926 2.276 | -9.714 | 8.161 | -9.764 | 7.306 |
| Fishery_Ln(SD1) | 5.9020 .026 | 5.910 | 5.9040 .026 | 5.9140 .028 | 5.850 | 5.9680 .034 | 5.750 | 5.908 | 0.028 | 5.888 | 0.072 |
| Fishery_Ln(SD2) | -9.952 1.488 | -9.844 4.711 | -9.932 2.094 | -9.899 3.060 | 4.1390 .476 | -8.651 12.381 | 4.6690 .120 | -9.903 | 3.168 | -5.518 | 8.430 |
| Fishery_Logit(start) | -10 | -10 | -10 | -10 | -10 | -10 | -10 | n/a | n/a | n/a | n/a |
| Fishery_Logit(end) | $\begin{array}{lll}2.005 & 0.276\end{array}$ | $2.145 \quad 0.314$ | $2.060 \quad 0.289$ | $2.232 \quad 0.349$ | $0.662 \quad 0.348$ | $1.558 \quad 0.298$ | -0.544 0.247 | 2.122 | 0.324 | 1.445 | 0.985 |
| EBSsrv_Begin_top | $21.038 \quad 0.799$ |  | 20.9480 .840 |  |  |  |  | 20.989 | 0.823 | 20.989 | 0.823 |
| EBSsrv_Logit(top) | 10 _ |  | 10 |  |  |  |  | n/a | n/a | $\mathrm{n} / \mathrm{a}$ | n/a |
| EBSsrv_Ln(SD1) | $3.529 \quad 0.152$ |  | 3.5070 .161 |  |  |  |  | 3.517 | 0.157 | 3.517 | 0.157 |
| EBSsrv_Ln(SD2) | $10^{+}$ |  | 10 |  |  |  |  | n/a | n/a | $\mathrm{n} / \mathrm{a}$ | $\mathrm{n} / \mathrm{a}$ |
| EBSsrv_Logit(start) | -10 |  | -10 |  |  |  |  | n | $\mathrm{n} / \mathrm{a}$ | $\mathrm{n} / \mathrm{a}$ | $\mathrm{n} / \mathrm{a}$ |
| EBSsrv_Logit(end) | 10 |  | 10 |  |  |  |  | n/a | n/a | n/a | n/a |
| NBSsrv_Begin_top | $79.998 \quad 0.073$ |  | 79.9970 .099 |  |  |  |  | 79.997 | 0.088 | 79.997 | 0.088 |
| NBSsrv_Logit(top) | 10 _ |  | 10 |  |  |  |  | n/a | n/a | n/a | n/a |
| NBSsrv_Ln(SD1) | 7.9230 .167 |  | 7.9080 .163 |  |  |  |  | 7.915 | 0.165 | 7.915 | 0.165 |
| NBSsrv_Ln(SD2) | 10 |  | 10 |  |  |  |  |  | n/a | $\mathrm{n} / \mathrm{a}$ | n/a |
| NBSsrv_Logit(start) | -10 |  | -10 |  |  |  |  | $\mathrm{n} / \mathrm{a}$ | n/a | $\mathrm{n} / \mathrm{a}$ | $\mathrm{n} / \mathrm{a}$ |
| NBSsrv_Logit(end) | 10 |  | 10 |  |  |  |  | n/a | n/a | n/a | n/a |
| XBSsrv_Begin_top |  | 20.9030 .781 |  | 20.7820 .821 | 20.678 | $20.613 \quad 0.850$ | 19.7370 .702 | 20.839 | 0.805 | 20.565 | 0.891 |
| XBSsrv_Logit(top) |  | 10 |  | 10 | -1.346 0.221 | 10 | -1.610 0.180 | n/a | n/a | 2.612 | 5.491 |
| XBSsrv_Ln(SD1) |  | $3.526 \quad 0.152$ |  | 3.4960 .160 | 3.510 | 3.4610 .168 | 3.366 | 3.510 | 0.157 | 3.474 | 0.168 |
| XBSsrv_Ln(SD2) |  | 10 |  | 10 | 7.3140 .459 | 10 | 6.9620 .170 | n/a | n/a | 7.156 | 0.400 |
| XBSsrv_Logit(start) |  | -10 |  | -10 | -10 | -10 | -10 | $\mathrm{n} / \mathrm{a}$ | n/a | $\mathrm{n} / \mathrm{a}$ | n/a |
| XBSsrv_Logit(end) |  | 10 |  | 10 | -0.859 | 10 | -2.664 0.364 | $\mathrm{n} / \mathrm{a}$ | n/a | -1.668 | 1.025 |

## Sigmas for annual deviations (except $\ln (Q)$ )



| B1: Use fishery CPUE? <br> B2: Allow domed selex? | no |  |  |  |  | yes |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | no |  | yes |  |  | no |  |  | yes |  |  |
| Parameter | Model 19.12 |  | Model 20.8 |  |  | Model 20.9 |  |  | Model 20.10 |  |  |
|  | var_dev ave_var\| | sigma | var_dev | ave_var | sigma | var_dev | ave_var | sigma | var_dev | ave_var | sigma |
| $\ln$ (Recruits) |  |  | 0.4470 | 0.0135 | 0.6787 | 0.4320 | 0.0142 | 0.6678 | 0.4252 | 0.0141 | 0.6630 |
| Length_at_1.5 |  |  | 0.8017 | 0.1985 | 0.1424 | 0.7869 | 0.2133 | 0.1452 | 0.7928 | 0.2068 | 0.1360 |
| Sel_fsh_lnSD1 | above) |  | 0.7042 | 0.2957 | 0.1722 | 0.7844 | 0.2158 | 0.1932 | 0.7557 | 0.2442 | 0.2433 |
| Sel_fsh_logitEnd | above) |  | 0.3473 | 0.6454 | 0.6106 | 0.6467 | 0.3561 | 1.5431 | 0.7956 | 0.2045 | 1.1177 |
| Sel_XBS_srv_PeakStart |  |  | 0.8419 | 0.1594 | 0.2129 | 0.8515 | 0.1497 | 0.2302 | 0.8438 | 0.1535 | 0.1826 |
| Sel_XBS_srv_lnSD1 |  |  | 0.7147 | 0.2846 | 0.8049 | 0.7468 | 0.2551 | 0.8804 | 0.6548 | 0.3445 | 0.6427 |

## Sigmas for $\ln (Q)$ and back-transformed values

| Index | 19.15 | 19.12 | 20.8 | 20.9 | 20.10 |
| :--- | :---: | :---: | :---: | :---: | :---: |
| EBS survey | 0.0797 |  |  |  |  |
| NBS survey | 0.5993 |  |  |  |  |
| EBS+NBS survey |  | 0.0807 | 0.0785 | 0.0910 | 0.0889 |
| Fishery CPUE |  |  |  | 0.0188 | 0.0000 |



## Fishery selectivity: Ensemble A models


U.S. Department of Commerce | National Oceanic and Atmospheric Administration | NOAA Fisheries | Page 48 This information is distributed solely for the purpose of pre-dissemination peer review under applicable information quality guidelines. It has not been formally disseminated by the National Marine Fisheries Service and should not be construed to represent any agency determination or policy.

## Fishery selectivity: Ensemble B models



## "Main" survey selectivity: Ensemble A



## "Main" selectivity: Ensemble B

Model 19.12 (EBS+NBS)


Model 20.9 (EBS+NBS)


Model 20.8 (EBS+NBS)



## NBS survey selectivity: Models 20.4 and 19.15



Model 20.4

Model 19.15


## Time series: female spawning biomass

- Values are in millions of $t$



## Time series: relative spawning biomass

- Relative to $B_{100 \%}$



## Time series: age 0 recruitment

- Values are in billions of fish



## Time series: fishing mortality

- Instantaneous full-selection fishing mortality rate



## Ensemble time series: fem. spawn. biomass

- Values are in millions of $t$



## Ensemble time series: rel. spawning biomass

- Relative to $B_{100 \%}$



## Ensemble time series: age 0 recruitment

- Values are in billions of fish



## Ensemble time series: fishing mortality

- Instantaneous, full selection fishing mortality rate



## Phase plane: Ensemble A



## Phase plane: Ensemble AB



## Probability densities: 2021 ABC



## Probability densities: 2021 OFL



## Probability densities: 2022 ABC



## Probability densities: 2022 OFL



## Management reference points

| Facto | 1: Allow $Q$ to vary? |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Factor | A2: Combine surveys? | no | yes | no | yes |  | (yes) |  |  |  |
| Factor | B1: Use fishery CPUE? |  |  |  |  |  |  |  |  |  |
| Factor | 32: Allow domed selex? |  | ) |  | no | yes | no | yes | Ens | ble |
| Year | Quantity | 20.4 | 19.12a | 19.15 | 19.12 | 20.8 | 20.9 | 20.10 | A | AB |
| n/a | B100\% | 632,190 | 659,545 | 629,325 | 669,025 | 805,200 | 734,275 | 1,283,340 | 649,506 | 771,600 |
| n/a | B40\% | 252,876 | 263,818 | 251,730 | 267,610 | 322,080 | 293,710 | 513,336 | 259,803 | 308,640 |
| n/a | B35\% | 221,267 | 230,841 | 220,264 | 234,159 | 281,820 | 256,996 | 449,169 | 227,328 | 270,060 |
| n/a | F40\% | 0.37 | 0.35 | 0.36 | 0.33 | 0.27 | 0.35 | 0.22 | 0.35 | 0.32 |
| n/a | F35\% | 0.46 | 0.43 | 0.44 | 0.40 | 0.33 | 0.43 | 0.25 | 0.43 | 0.39 |
| 2021 | Female spawning biomass | 164,682 | 228,219 | 126,883 | 210,551 | 293,096 | 304,723 | 576,525 | 185,645 | 273,584 |
| 2021 | Relative spawning biomass | 0.26 | 0.35 | 0.20 | 0.31 | 0.36 | 0.41 | 0.45 | 0.28 | 0.34 |
| 2021 | $\operatorname{Pr}(\mathrm{B} / \mathrm{B} 100 \%<0.2)$ | 0.02 | 0.00 | 0.48 | 0.00 | 0.00 | 0.00 | 0.00 | 0.12 | 0.06 |
| 2021 | maxFABC | 0.24 | 0.30 | 0.17 | 0.26 | 0.25 | 0.35 | 0.22 | 0.24 | 0.26 |
| 2021 | maxABC | 72,848 | 123,805 | 42,029 | 99,310 | 123,210 | 179,712 | 166,665 | 86,480 | 118,013 |
| 2021 | Catch | 72,848 | 123,805 | 42,029 | 99,310 | 123,210 | 179,712 | 166,665 | 86,480 | 118,013 |
| 2021 | FOFL | 0.29 | 0.37 | 0.21 | 0.31 | 0.30 | 0.43 | 0.25 | 0.30 | 0.31 |
| 2021 | OFL | 87,678 | 147,949 | 50,770 | 118,895 | 145,354 | 213,427 | 193,833 | 103,668 | 139,984 |
| 2021 | $\operatorname{Pr}(\max A B C>t r u O F L)$ | 0.23 | 0.18 | 0.30 | 0.25 | 0.28 | 0.07 | 0.16 | 0.38 | 0.37 |
| 2022 | Female spawning biomass | 170,874 | 205,906 | 142,384 | 197,652 | 265,895 | 261,637 | 529,300 | 181,032 | 253,506 |
| 2022 | Relative spawning biomass | 0.27 | 0.31 | 0.23 | 0.30 | 0.33 | 0.36 | 0.41 | 0.28 | 0.32 |
| 2022 | $\operatorname{Pr}(\mathrm{B} / \mathrm{B} 100 \%<0.2)$ | 0.00 | 0.00 | 0.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.03 | 0.02 |
| 2022 | maxFABC | 0.25 | 0.27 | 0.19 | 0.24 | 0.22 | 0.32 | 0.22 | 0.24 | 0.25 |
| 2022 | maxABC | 84,295 | 106,852 | 56,788 | 91,845 | 108,512 | 146,209 | 162,378 | 85,758 | 109,266 |
| 2022 | Catch | 84,295 | 106,852 | 56,788 | 91,845 | 108,512 | 146,209 | 162,378 | 85,758 | 109,266 |
| 2022 | FOFL | 0.30 | 0.33 | 0.24 | 0.29 | 0.27 | 0.39 | 0.25 | 0.29 | 0.30 |
| 2022 | OFL | 101,682 | 128,340 | 68,639 | 110,353 | 128,447 | 174,509 | 188,997 | 103,208 | 130,076 |
| 2022 | $\operatorname{Pr}(\max A B C>$ truOFL $)$ | 0.23 | 0.20 | 0.29 | 0.26 | 0.29 | 0.21 | 0.18 | 0.30 | 0.37 |

NOAA FISHERIES

## Why does ABC decrease so much?

- Related: Why are the catch/biomass ratios lower than before?
- Two years may be helpful to examine as examples, using Model 19.12:

1. 2012 , because the 2020 assessment indicates that the catch/biomass ratios in that year were at or near the peak of the time series over the last 20 years
2. 2019, because the 2020 assessment indicates that it was the last year that the stock was above $B_{40 \%}$

## Example 1: 2012 (1 of 5)

- Three sets of comparisons involving aggregate quantities for 2012:

| Quantity | Equilibrium | 2012 | Difference |
| :--- | ---: | ---: | ---: |
| Age 0+ biomass | 942,000 | 855,000 | 87,000 |
| Fem. spawn. bio. | 268,000 | 188,000 | 80,000 |
| Rel. spawn. bio. | 0.40 | 0.28 | 0.12 |
| Fishing mortality | 0.33 | 0.62 | -0.29 |
| Catch | 165,000 | 233,000 | $-68,000$ |


| Quantity | 2021 | 2012 | Difference |
| :--- | ---: | ---: | ---: |
| Age 0+ biomass | 693,000 | 855,000 | $-161,000$ |
| Fem. spawn. bio. | 211,000 | 188,000 | 23,000 |
| Rel. spawn. bio. | 0.32 | 0.28 | 0.03 |
| Fishing mortality | 0.26 | 0.62 | -0.36 |
| Catch | 99,000 | 233,000 | $-133,000$ |


| Quantity | 2012 (ideal) | 2012 (actual) | Difference |
| :--- | ---: | ---: | ---: |
| Fishing mortality | 0.23 | 0.62 | -0.39 |
| Catch | 95,000 | 233,000 | -138000 |

## Example 1: 2012 (2 of 5)

- From 2020 assessment: equilibrium values minus 2012 values

| Quantity | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Beg.-year nos. at age (1000s) | 74765 | -300027 | -117226 | 114519 | -149317 | 27208 | -19272 | 14963 | 9908 | 5108 | 2833 | 1664 |
| Beg.-year wt. at age (kg) | 0.000 | 0.004 | -0.013 | 0.069 | 0.001 | 0.144 | 0.256 | 0.327 | 0.354 | 0.450 | 0.377 | 0.639 |
| Beg.-year biom. at age (t) | 0 | -1260 | -23742 | 78498 | -208243 | 70406 | -49158 | 70707 | 57449 | 35811 | 22963 | 15310 |
| Fecundity at age | 0.000 | 0.000 | 0.000 | 0.017 | -0.004 | 0.119 | 0.243 | 0.314 | 0.331 | 0.431 | 0.347 | 0.625 |
| Fem. spawn. biom. at age (t) | 0 | 0 | -197 | 4147 | -30889 | 19729 | -15912 | 29925 | 26097 | 16919 | 11074 | 7479 |
| Fatur |  |  |  |  |  |  |  |  |  |  |  |  |
| Natural mortality (M) | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Full-selection F | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 | -0.289 |
| -0.289 |  |  |  |  |  |  |  |  |  |  |  |  |
| Selectivity at age | 0.000 | 0.000 | 0.003 | 0.028 | 0.012 | 0.035 | 0.027 | 0.009 | 0.002 | 0.001 | 0.001 | 0.001 |
| Exploitation rate at age | 0.000 | 0.000 | -0.001 | -0.008 | -0.060 | -0.096 | -0.127 | -0.143 | -0.148 | -0.149 | -0.148 | -0.148 |
| -0.148 |  |  |  |  |  |  |  |  |  |  |  |  |
| Mid-year wt. at age (kg) | -0.001 | 0.018 | -0.085 | -0.002 | -0.096 | 0.039 | 0.134 | 0.202 | 0.236 | 0.335 | 0.273 | 0.538 |
| Catch at age (t) | 0 | 0 | -499 | 3041 | -62736 | -1412 | -45089 | 10221 | 10950 | 6577 | 4375 | 3192 |
| maxFABC | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 | 0.101 |
| maxFABC expl. rate at age | 0.000 | 0.000 | 0.001 | 0.013 | 0.026 | 0.049 | 0.059 | 0.061 | 0.062 | 0.061 | 0.061 | 0.061 |
| maxABC at age (t) | 0 | 1 | 38 | 4455 | -12153 | 19686 | 1165 | 19405 | 14606 | 9009 | 5632 | 3604 |

## Example 1: 2012 (3 of 5)

- From 2020 assessment: 2021 values minus 2012 values

| Quantity | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Beg.-year nos. at age (1000 | 74765 | -300027 | -117218 | 181395 | -239165 | -25130 | -39236 | 503 | 25329 | 3702 | 4825 | 1546 | -82 |
| Beg.-year wt. at age (kg) | 0.000 | 0.003 | -0.021 | 0.050 | -0.095 | 0.470 | 0.412 | 0.595 | 0.598 | 0.459 | 0.331 | 0.659 | . 576 |
| Beg.-year biom. at age (t) | -9 | -1762 | -25797 | 117682 | -336375 | -44299 | 113089 | 7910 | 152159 | 26206 | 38660 | 14269 | -682 |
| Fecundity at age | 0.000 | 0.000 | 0.000 | 0.013 | -0.062 | 0.482 | 0.440 | 0.640 | 0.616 | 0.444 | 0.300 | 0.646 | 0.558 |
| Fem. spawn. biom. at ag | 0 | -1 | -240 | 5846 | -49896 | -8621 | -38008 | 3995 | 69980 | 12395 | 18640 | 6973 | -337 |
| Natural mortality (M) | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Full-selection F | -0.361 | 0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 | -0.361 |
| Selectivity at age | 0.000 | 0.000 | 0.001 | 0.024 | -0.014 | 0.121 | 0.052 | 0.023 | 0.006 | 0.004 | 0.004 | 0.004 | 0.004 |
| Exploitation rate at age | 0.000 | 0.000 | -0.002 | -0.014 | -0.082 | -0.110 | -0.161 | -0.183 | -0.190 | -0.191 | -0.191 | -0.190 | -0.190 |
| Mid-year wt. at age (k | -0.001 | 0.023 | -0.043 | 0.066 | -0.081 | 0.478 | 0.422 | 0.605 | 0.635 | 0.513 | 0.391 | 0.714 | 0.635 |
| Catch at age (t) | 0 | 0 | -589 | 3597 | -79326 | -24782 | -62993 | -6739 | 26483 | 2842 | 6194 | 2297 | -595 |
| maxFABC | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 | 0.029 |
| maxFABC expl. rate at age | 0.000 | 0.000 | 0.001 | 0.007 | 0.004 | 0.035 | 0.025 | 0.022 | 0.019 | 0.019 | 0.019 | 0.019 | 0.019 |
| maxABC at age ( t ) | 0 | 0 | -52 | 5012 | -28744 | -3682 | -16736 | 2447 | 30146 | 5275 | 7452 | 2710 | -78 |

## Example 1: 2012 (4 of 5)

- From 2020 assessment: equilibrium values and 2012 values



## Example 1: 2012 (5 of 5)

- From 2020 assessment: 2021 values and 2012 values



## Example 2: 2019 (1 of 5)

- Three sets of comparisons involving aggregate quantities for 2019:

| Quantity | Equilibrium | 2019 | Difference |
| :--- | ---: | ---: | ---: |
| Age 0+ biomass | 942,000 | 875,000 | 66,000 |
| Fem. spawn. bio. | 268,000 | 300,000 | $-33,000$ |
| Rel. spawn. bio. | 0.40 | 0.45 | -0.05 |
| Fishing mortality | 0.33 | 0.31 | 0.02 |
| Catch | 165,000 | 179,000 | $-14,000$ |


| Quantity | 2021 | 2019 | Difference |
| :--- | ---: | ---: | ---: |
| Age 0+ biomass | 693,000 | 875,000 | $-182,000$ |
| Fem. spawn. bio. | 211,000 | 300,000 | $-90,000$ |
| Rel. spawn. bio. | 0.32 | 0.45 | -0.13 |
| Fishing mortality | 0.26 | 0.31 | -0.06 |
| Catch | 99,000 | 179,000 | $-79,000$ |


| Quantity | 2019 (ideal) | 2019 (actual) | Difference |
| :--- | ---: | ---: | ---: |
| Fishing mortality | 0.33 | 0.31 | 0.02 |
| Catch | 187,000 | 179,000 | 8000 |

## Example 2: 2019 (2 of 5)

- From 2020 assessment: equilibrium values minus 2019 values

| Quantity | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Beg.-year nos. at age (1000 | 0 | -133315 | 184496 | 115444 | 50936 | 43919 | -53948 | 5123 | -7013 | 483 | 2978 | 305 | 791 |
| Beg.-year wt. at age (kg) | 0.000 | -0.017 | 0.042 | 0.192 | -0.105 | -0.234 | -0.261 | -0.071 | -0.051 | -0.172 | -0.063 | -0.275 | -0.216 |
| Beg.-year biom. at age (t) | 25 | -9637 | 32630 | 4901 | 64222 | 95190 | -208539 | 21826 | -40949 | 2235 | 23805 | 2342 | 7998 |
| Fecundity at age | 0.000 | 0.000 | 0.001 | -0.058 | -0.084 | -0.260 | -0.309 | -0.073 | -0.042 | -0.171 | -0.049 | -0.273 | -0.210 |
| Fem. spawn. biom. at ag |  | -26 | 307 | 2132 | 7580 | 23214 | -81279 | 9052 | -18547 | 1029 | 11490 | 1141 | 3936 |
| Natural mortality (M) | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | . 000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Full-selection F | 0.016 | 016 | 0.016 | . 016 | 0.016 | . 016 | . 016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 |
| Selectivity at age | 0.0 | 0.000 | 0.004 | . 048 | -0.03 | -0.058 | -0.028 | . 008 | 0.015 | 0.019 | . 02 | . 02 | . 023 |
| Exploitation rate at age | 0.00 | 0.000 | 0.001 | -0.011 | -0.005 | -0.006 | . 003 | 0.011 | 0.013 | 0.013 | 0.014 | 0.014 | 0.014 |
| Mid-year wt. at age (kg | 0.001 | -0.100 | 0.019 | -0.338 | -0.248 | -0.379 | -0.400 | -0.240 | -0.226 | -0.345 | -0.239 | -0.448 | -0.384 |
| Catch at age ( t ) | 0 | -11 | 306 | 2193 | 6647 | 17508 | -48881 | 5677 | -8874 | 938 | 5526 | 700 | 1835 |
| maxFABC | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| maxFABC expl. rate at age | 0.000 | 0.000 | 0.001 | -0.013 | -0.009 | -0.013 | -0.006 | 0.002 | 0.003 | 0.004 | 0.004 | 0.005 | 0.005 |
| maxABC at age ( t ) | 0 | -12 | 303 | 2035 | 5990 | 16728 | -52703 | 4743 | -10059 | 490 | 5479 | 554 | 1815 |

## Example 2: 2019 (3 of 5)

- From 2020 assessment: 2021 values minus 2019 values

| Quantity | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Beg.-year |  | -133315 | 184504 | 182320 | -38911 | -8419 | -73912 | -9337 | 8408 | -924 | 4970 | 187 | 37 |
| Beg.-year wt. at age (kg) | 0.000 | -0.018 | 0.034 | -0.211 | -0.202 | 0.092 | -0.104 | 0.198 | 0.193 | -0.163 | -0.108 | -0.256 | -0.334 |
| Beg.-year biom. at age (t) | 16 | -10138 | 30575 | 104085 | -63910 | -19515 | -272470 | -40971 | 53761 | -7370 | 39502 | 1301 | -437 |
| Fecundity at age | 0.000 | 0.000 | 0.001 | -0.062 | -0.141 | 0.104 | -0.112 | 0.253 | 0.242 | -0.157 | -0.096 | -0.252 | -0.332 |
| Fem. spawn. biom. at age (t) | 0 | -28 | 264 | 3831 | -11426 | -5136 | 103375 | -16878 | 25336 | -3495 | 19056 | 634 | 215 |
| Natural mortality (M) | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Full-selection F | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 | -0.055 |
| Selectivity at age | 0.000 | -0.001 | 0.003 | -0.052 | -0.061 | 0.028 | -0.002 | 0.021 | 0.019 | 0.022 | 0.024 | 0.026 | 0.027 |
| Exploitation rate at age | 0.000 | 0.000 | 0.000 | -0.017 | -0.027 | -0.020 | -0.031 | -0.029 | -0.030 | -0.029 | -0.028 | -0.028 | -0.028 |
| Mid-year wt. at age (kg) | 0.001 | -0.095 | 0.061 | -0.270 | -0.233 | 0.060 | -0.112 | 0.164 | 0.174 | -0.168 | -0.121 | -0.272 | -0.353 |
| Catch at age | 0 | -12 | 215 | 2749 | -9944 | -5863 | -66785 | -11283 | 6660 | -2797 | 7345 | -196 | -143 |
| maxFABC | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 | -0.071 |
| maxFABC expl. rate at age | 0.000 | 0.000 | 0.000 | -0.019 | -0.031 | -0.027 | -0.040 | -0.038 | -0.039 | -0.038 | -0.038 | -0.037 | -0.037 |
| maxABC at age ( t ) | 0 | -13 | 213 | 2592 | -10600 | -6640 | -70604 | -12215 | 5481 | -3244 | 7299 | -341 | -163 |

## Example 2: 2019 (4 of 5)

- From 2020 assessment: equilibrium values and 2019 values



## Example 2: 2019 (5 of 5)

- From 2020 assessment: 2021 values and 2019 values



## Recommendations and discussion

## Model recommendation

- Ensemble $A B$ is recommended for the purpose of harvest specifications
- Pro:
- Responsive to both Team/SSC and public comment
- Given the large decrease in ABC projected last year, it seems prudent to consider a wide range of alternative model structures, so long as they are appropriately weighted
- Con:
- Alternative models in Ensemble B not previewed in September
- Team policy (11/18): The "standard for acceptance" of such models "will be higher" than for models that are previewed
- Allowing dome-shaped survey selectivity may not be reasonable
- Fishery CPUE may not be a good index of abundance
- See next 6 slides


## Allowing dome-shaped survey selectivity

- Allowing dome-shaped survey selectivity was a standard feature of EBS Pacific cod assessment models for many years prior to 2016
- 2016 CIE review and 2016 Joint Team subcommittee recommended shifting to models with "reasonable" fits, as opposed to optimized fits
- Weinberg et al. (2016) found that the evidence from field studies did not lend support to dome-shaped selectivity
- Comparing survey sizecomp to summer and winter fishery sizecomp:



## Fishery CPUE: effort distribution (1 of 5)



## Fishery CPUE: effort distribution (2 of 5)

2017 Longline fishery 257,600 km^2

## Fishery CPUE: effort distribution (3 of 5)

## 2018 Longline fishery $\mathbf{2 6 0 , 8 0 0} \mathbf{~ k m}{ }^{\wedge} \mathbf{2}$



## Fishery CPUE: effort distribution (4 of 5)



## Fishery CPUE: effort distribution (5 of 5)



## Risk table: assessment (1 of 2)

- Recent range expansion of the stock into the NBS has made assessment modeling more difficult
- Detailed investigation of multiple models gives some confidence that relevant uncertainties have been explored
- Use of model averaging likewise gives some confidence that alternative explanations of the data are considered
- Individual ABC values span a wide range
- Ageing bias, and changes in ageing criteria, are addressed
- Data-rich assessment, with (almost) annual surveys showing small CVs
- Bryan et al. (2020) show that, for this stock, uncertainty resulting from a missed survey is about average for stocks in general
- (Continued on next slide)


## Risk table: assessment (2 of 2)

- Review of all BSAI and GOA ABC recommendations from 2003-2017 found only 1 case where survey cancellation led to a reduction
- Models are not affected by whether lack of a survey was anticipated
- Relative to other assessments, the assessment of this stock must be considered among the most intensively explored and reviewed, with full results for multiple models presented for Team and SSC review twice every year (Appendix 2.3), including responses to dozens of Team and SSC comments annually
- Rating: Level 1 (same as last year)
- More specifically, toward the low end of Level 1


## Risk table: population dynamics

- EBS survey biomass has been going sharply down since 2015
- EBS+NBS survey biomass decline is much less pronounced
- Numerical abundance in 2019 was up sharply, due to 2018 cohort
- Is the 2018 cohort truly strong?
- Surveys in both EBS and NBS say yes
- Did high 2018 temps cause inshore fish to move into survey area?
- Models 20.4 and 19.12a (constant $Q$ ) give higher estimates
- All models say 2018 is $39-62 \%$ above average (CVs = 0.10-0.17)
- 2014-2017 cohorts all very weak (2016-2017 are 2 of all-time lowest 3)
- Note that all of the above are accounted for in the models
- Rating: Level 1 (same as last year)
- More specifically, toward the lower end of Level 1


## Risk table: environmental/ecosystem (1 of 2)

- Sea ice formation was delayed into late winter 2019
- A rapid build-up of sea ice occurred after late winter, even exceeding median ice extent in parts of February and March 2020
- Sea ice concentration (i.e., thickness) was low, and retreated at a faster rate than the previous 5 years after June
- Late winter sea surface temperatures were closer to the long term means over the southeastern and northern shelves
- Above-average temperatures returned in spring and summer, especially over the southeast shelf
- Summer temperatures remained above average in the SEBS and NBS
- Bottom water temperatures from ROMS show 2020 was an average year
- Spatial extent of the cold pool in 2020 most closely resembles 1997
- (Continued on next slide)


## Risk table: environmental/ecosystem (2 of 2)

- Pacific cod expanded their range into the NBS in 2018 and 2019
- Based on conditions metrics, both juvenile and adult Pacific cod were able to find sufficient prey resources in 2018 and 2019
- Low abundances of euphausiids were observed in 2018 (MACE acoustic survey), while higher abundances were indicated in 2019 (RPA RZA)
- Effects of cannibalism might be mediated by spatial mismatch between juvenile and adult cod
- 2019/2020 gray whale UME reflects poor feeding conditions in the NBS during 2018/2019
- 2019 Shearwater die-offs could reflect poor 2018 NBS feeding conditions
- Decoupling of recruitment time series for cod and walleye pollock around 2008-2009 suggests a shift in drivers of survival; cod less understood
- Rating: Level 2 (same as last year)
- More specifically, toward the lower end of Level 2


## Risk table: fishery performance

- The estimated "year effect" for longline fishery mean CPUE in 2020 (not catch-weighted) is the highest since 1997 and is $26 \%$ above the average for the time series
- The catch-weighted, all-gear mean CPUE estimate for 2020 is the alltime high for the time series, and is $67 \%$ above the time series average
- The fact that the fishery CPUE data tend to paint a somewhat different picture than the survey data is concerning, but hardly a reason to reduce ABC, as including fishery CPUE data in the assessment model (20.9 and 20.10) results in higher, not lower, maxABC values
- Recent expansion of the fishery into the NBS is noteworthy, but is not necessarily a concern in terms of fishery performance
- Rating: Level 1 (same as last year
- More specifically, toward the lower end of Level 1


## Risk table: summary (1 of 2)

- If all categories had been ranked as level 1 (normal), the expectation would be that no reduction is necessary
- However, because one of the category ratings exceeds level 1 , it is necessary to consider whether ABC may need to be reduced
- Last year the same set ratings was assigned, and the SSC chose to reduce ABC
- Nevertheless, the SSC has also said that identification of a level greater than 1 does not mandate an ABC reduction
- Appendix 2.6 was developed in an attempt to provide a formulaic approach to answering the following pair of questions:
- How do the levels of concern identified in the risk table map into the need for an ABC reduction?
- If an ABC reduction is needed, how large should the reduction be?


## Risk table: summary (2 of 2)

- Based on the method described in Appendix 2.6 and given the 2021 OFL distributions for Ensembles A and AB described in Table 2.39 and Figure 2.26 b , a reduction in this particular case would be necessary only if the degree of concern for each of the four categories was, on average, much closer to the upper end of the assigned level than to the lower end
- Specifically, for both Ensembles A and AB, the degree of concern would have to be about twice as far from the lower end of the respective level than the upper end, on average
- However, as indicated above, this is not the case, as the degree of concern for each category was identified as being near the lower end of the designated level
- Therefore, no ABC reduction is recommended here, and the authors' ABC recommendations for 2021 and 2022 are 118,013 t and 109,266 t, respectively, based on Ensemble AB


## Some context for the recommended 2021 ABC

- ABCs of the magnitudes suggested by Model 19.12, Ensemble A, or Ensemble AB would be smaller than any EBS catch since 1983
- Change in 2021 ABC relative to 2020 ABC:

| Ens. A | M19.12 | Ens. AB |
| :---: | :---: | :---: |
| $-45 \%$ | $-36 \%$ | $-24 \%$ |

- Change in 2021 ABC relative to 2021 ABC as currently specified:

Ens. A M19.12 Ens. AB
$-16 \% \quad-4 \% \quad 15 \%$

