

# CEATTLE:

## Climate enhanced Age-based model with Temperature specific Trophic linkages & Energetics

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(alphabetical):

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Martin Dorn, Jim Ianelli, André Punt,  
Ingrid Spies, Grant Thompson

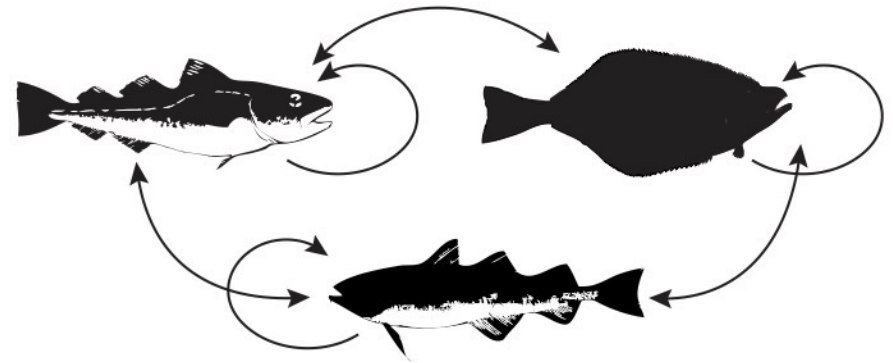
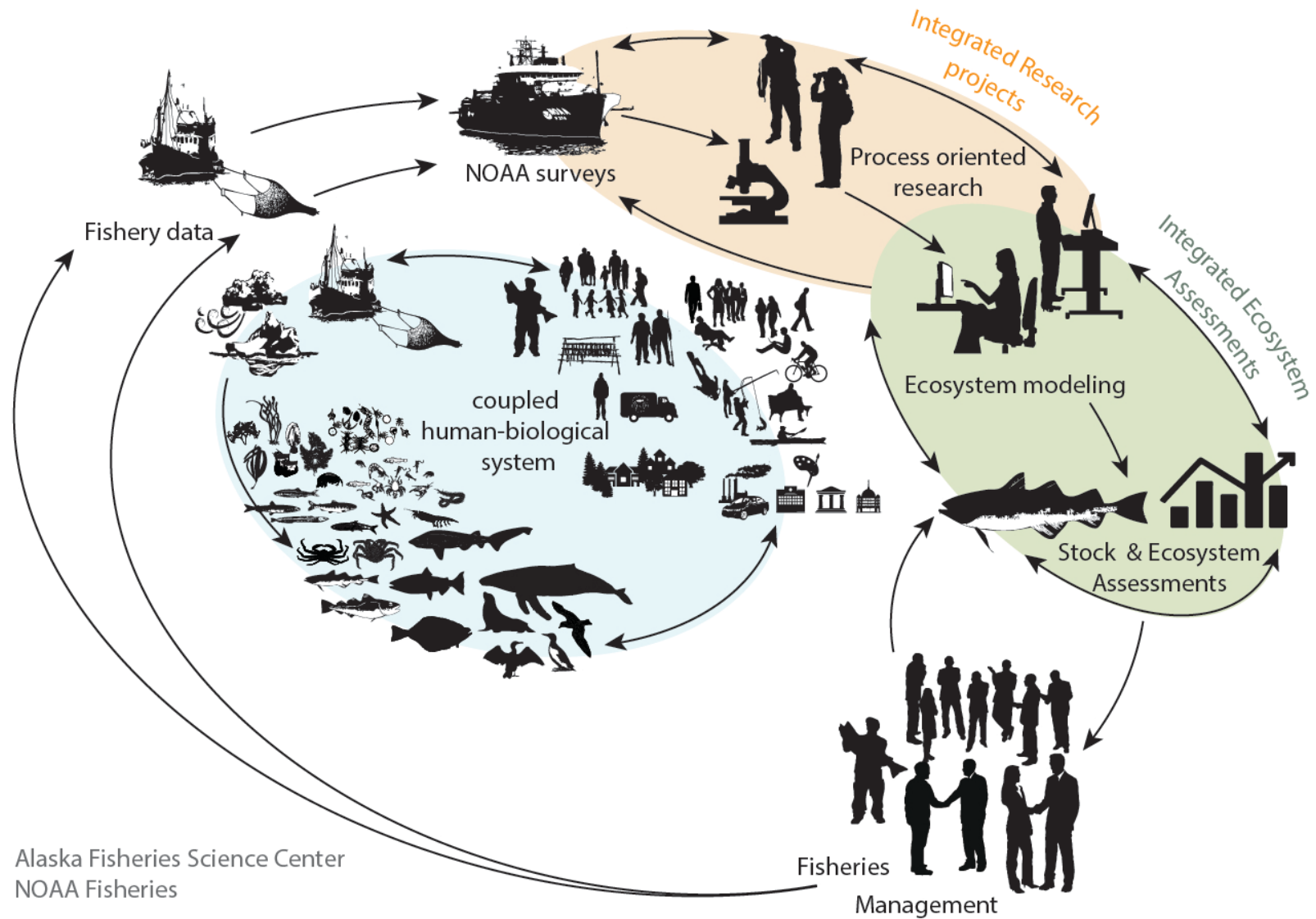


Photo: Mark Holsman

# AFSC Ecosystem Based Fisheries Management



# CEATTLE overview



Photo: Mark Holsman

# CEATTLE methods references



ELSEVIER

Contents lists available at [ScienceDirect](#)

## Deep-Sea Research II

journal homepage: [www.elsevier.com/locate/dsr2](http://www.elsevier.com/locate/dsr2)



### A comparison of fisheries biological reference points estimated from temperature-specific multi-species and single-species climate-enhanced stock assessment models

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#### ARTICLE INFO

#### ABSTRACT

Multi-species statistical catch at age models (MSCAA) can quantify interacting effects of climate and fisheries harvest on species populations, and evaluate management trade-offs for fisheries that target

Holsman, KK, J Ianelli, K Aydin, AE Punt, EA Moffitt (2016). Comparative biological reference points estimated from temperature-specific multispecies and single species stock assessment models. Deep Sea Res II. doi:10.1016/j.dsr2.2015.08.001.

Moffitt, E, AE Punt, KK Holsman, KY Aydin, JN Ianelli, I Ortiz (2016). Moving towards Ecosystem Based Fisheries Management: options for parameterizing multi-species harvest control rules. Deep Sea Res II. doi:10.1016/j.dsr2.2015.08.002

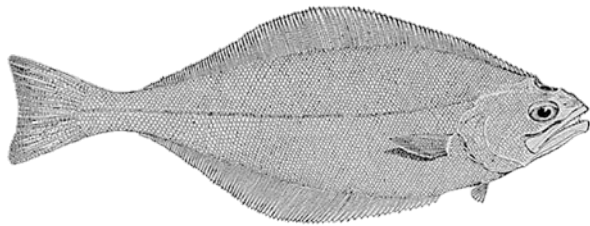


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# CEATTLE Multi-species model



Walleye pollock  
(*Gadus chalcogrammus*)

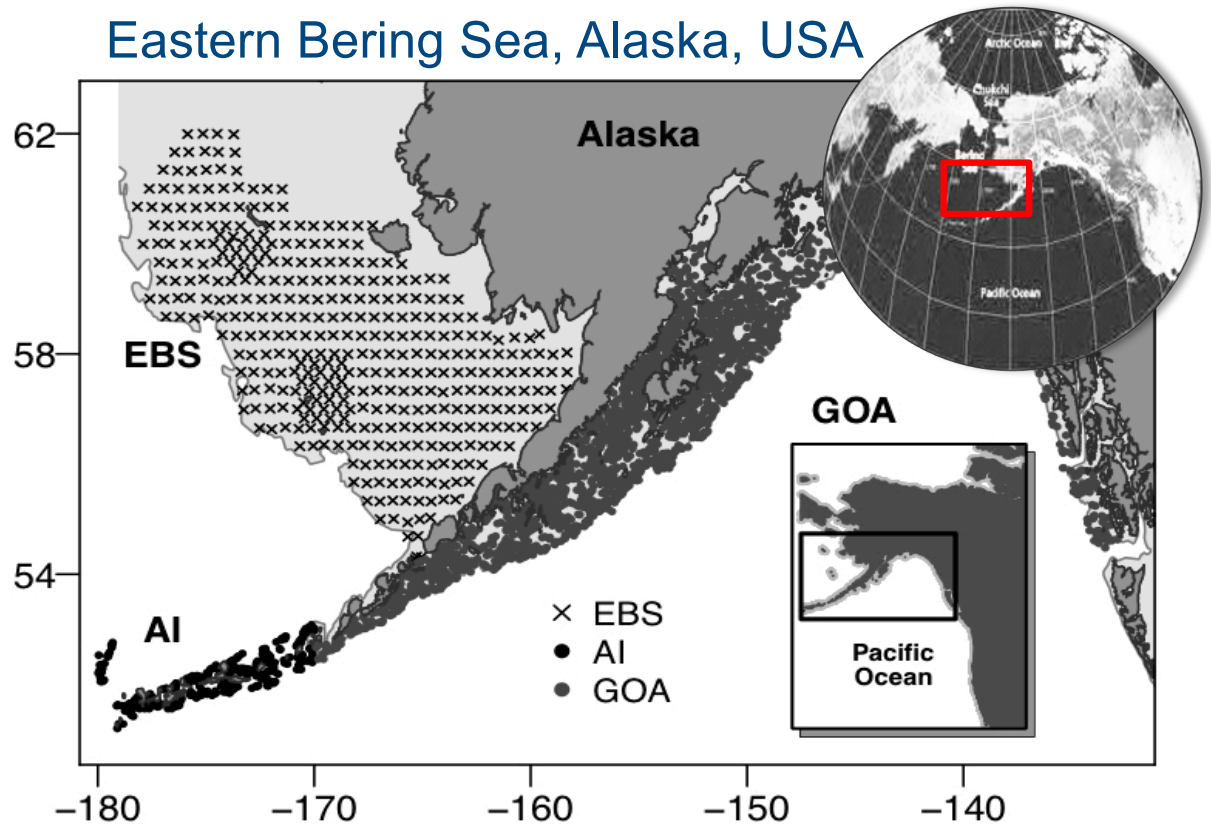


Arrowtooth flounder  
(*Atheresthes stomias*)



Pacific cod  
(*Gadus macrocephalus*)

Eastern Bering Sea, Alaska, USA



$W @ \text{Age} \sim f(\text{Temperature})$   
 $\text{Pred/prey} \sim f(\text{Temperature})$

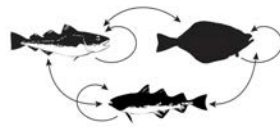
Climate-Enhanced, Age-based model with Temperature-specific Trophic Linkages and Energetics



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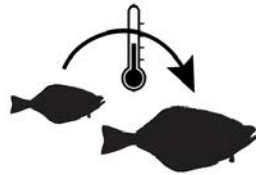
# CEATTLE-EBS: Options

## Mortality



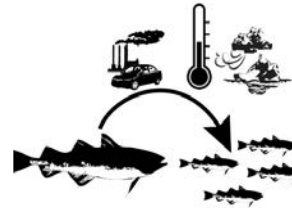
- Empirical diets
- Bioenergetics

## Weight @ Age



- Empirical
- VonB with Temp

## Rec



- Climate-S/R
- S/R
- mean R

## HCRs



- Climate ABC
- MMSY
- MEY
- SPR
- Aggregate MSY



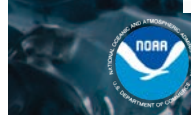
# Table 1: Model equations

Definition	Equation		
Recruitment	$N_{i1,y} = R_{i,y} = R_{0,i}e^{\tau_{i,y}}$	$\tau_{i,y} \sim N(0, \sigma^2)$	T1.1
Initial abundance	$N_{ij,1} = \begin{cases} R_{0,i}e^{(-j M1_{ij})}N_{0,ij} \\ R_{0,i}e^{(-j M1_{i,A_i})}N_{0,i,A_i}/(1 - e^{(-j M1_{i,A_i})}) \end{cases}$	$y = 1 \quad 1 < j \leq A_i$ $y = 1 \quad j > A_i$	T1.2
Numbers at age	$N_{i,j+1,y+1} = N_{ij,y}e^{-Z_{ij,y}} \quad 1 \leq y \leq n_y \quad 1 \leq j < A_i$ $N_{i,A_i,y+1} = N_{i,A_i-1,y}e^{-Z_{i,A_i-1,y}} + N_{i,A_i,y}e^{-Z_{i,A_i,y}} \quad 1 \leq y \leq n_y \quad j > A_i$		T1.3
Catch	$C_{ij,y} = \frac{F_{ij,y}}{Z_{ij,y}}(1 - e^{-Z_{ij,y}})N_{ij,y}$		T1.4
Total yield (kg)	$Y_{i,y} = \sum_j^{A_i} \left( \frac{F_{ij,y}}{Z_{ij,y}}(1 - e^{-Z_{ij,y}})N_{ij,y}W_{ij,y} \right)$ $B_{ij,y} = N_{ij,y}W_{ij,y}$ $SSP_{i,y} = \sum_j^{A_i} B_{ij,y}$		T1.5
Residual Natural Mortality	$Z_{ij,y} = M1_{ij} + M2_{ij,y} + F_{ij,y}$	$\epsilon_{i,y} \sim N(0, \sigma_{F,i}^2)$	
	$F_{ij,y} = F_{0,i}e^{\epsilon_{i,y}}S_{ij}^s$		
	$W_{ij,y} = W_{\infty,ij} \left( 1 - e^{(-K_i(1-d_{i,y})(j-t_{0,i}))} \right)^{\frac{1}{1-d_{i,y}}}$		T1.10b
	$d_{i,y} = e^{(\alpha_{d,i,y} + \alpha_{0,d,i} + \beta_{d,i}T_y)}$		T1.10c
	$W_{\infty,ij} = \left( \frac{H_i}{K_i} \right)^{1/(1-d_{i,y})}$		T1.11
BT survey biomass (kg)	$\hat{\beta}_{i,y}^s = \sum_j^{A_i} (N_{ij,y}e^{-0.5 Z_{ij,y}} W_{ij,y} S_{ij}^s)$		T1.12
EIT survey			T1.13
Fishery			T1.14
BT survey			T1.15
EIT survey			T1.16
BT selectivity	$S_{ij}^s = \frac{1}{1 + e^{(-b_{ij}^s(j-a_{ij}^s))}}$		T1.17
Fishery selectivity	$S_{ij}^f = \begin{cases} e^{\eta_{ij}} & j \leq A_{\eta,i} \\ e^{\eta_{i,A_i}} & j > A_{\eta,i} \end{cases}$	$\eta_{ij} \sim N(0, \sigma_{\eta,i}^2)$	T1.18
Proportion females	$\omega_{ij} = \frac{e^{-j M_{fem}}}{e^{-j M_{fem}} + e^{-j M_{male}}}$		T1.19
Proportion of mature females	$\rho_{ij} = \omega_{ij} \phi_{ij}$		T1.20
Weight at age (kg)	$W_{ij,y} = W_{ij,y}^{\text{fem}} \omega_{ij} + (1 - \omega_{ij}) W_{ij,y}^{\text{male}}$		T1.21
Residual natural mortality	$M1_{ij} = M_{ij}^{\text{fem}} \omega_{ij} + (1 - \omega_{ij}) M_{ij}^{\text{male}}$		

$$Z_{ij,y} = M1_{ij} + M2_{ij,y} + F_{ij,y}$$

Residual Natural Mortality

Predation Natural Mortality



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Table 2. Predation mortality ( $M2$ ) equations for predators  $p$  of age  $a$ , and prey  $i$  of age  $j$ .

Definition	Equation	
Predation mortality	$M2_{ij,y} = \sum_{pa} \left( \frac{N_{pa,y} \delta_{pa,y} \bar{S}_{paij}}{(\sum_{ij} \bar{S}_{paij} B_{ij,y}) + B_p^{other} (1 - \sum_{ij} (\bar{S}_{paij}))} \right)$	T2.1
Predator-prey suitability	<b>Age-specific prey selectivity</b>	T2.2
Mean gravimetric diet proportion	$\bar{U}_{paij} = \frac{U_{paij}}{\sum_{ij} U_{paij}}$	T2.3
Individual specific ration (kg kg <sup>-1</sup> yr <sup>-1</sup> )	<b>Size-specific annual ration</b>	T2.3
Temperature scaling consumption algorithm	$f(T) = V X e^{(X(1-V))}$	T2.5
	<b>Temperature specific</b>	T2.5a
	$X = \left( Z^2 \left( 1 + (1 + 40/Y)^{0.9} \right) \right) / 400$	T2.5b
	$Z = \ln \left( Q_p^c \right) \left( T_p^{cm} - T_p^{co} \right)$	T2.5c
	$Y = \ln \left( Q_p^c \right) \left( T_p^{cm} - T_p^{co} + 2 \right)$	T2.5d





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Table 2. Predation mortality ( $M_2$ ) equations for predators  $p$  of age  $a$ , and prey  $i$  of age  $j$ .

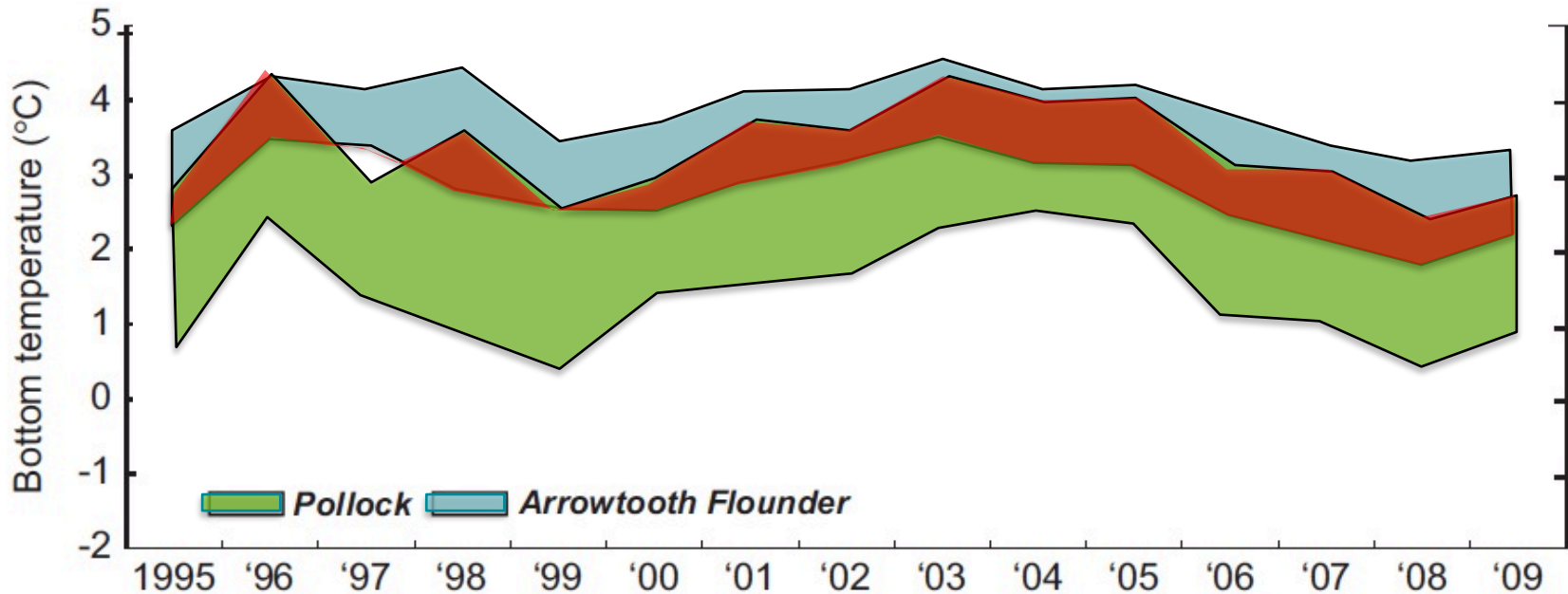
Definition	Equation	
Predation mortality	$M_{2ij,y} = \sum_{pa} \left( \frac{N_{pa,y} \delta_{pa,y} \bar{S}_{paij}}{\left( \sum_{ij} \bar{S}_{paij} B_{ij,y} \right) + B_p^{other} \left( 1 - \sum_{ij} \left( \bar{S}_{paij} \right) \right)} \right)$	T2.1
Predator-prey suitability	$\bar{S}_{paij} = \frac{1}{n_y} \sum_y \left( \frac{\frac{\bar{U}_{paij}}{B_{ij,y}}}{\sum_{ij} \left( \frac{\bar{U}_{paij}}{B_{ij,y}} \right) + \frac{1 + \sum_{ij} \bar{U}_{paij}}{B_p^{other}}} \right)$	T2.2
Mean gravimetric diet proportion	$\bar{U}_{paij} = \frac{U_{paij}}{n_y}$	T2.3
Individual specific ration (kg kg <sup>-1</sup> yr <sup>-1</sup> )	$\delta_{pa,y} = \hat{\varphi}_p \alpha_\delta W_{pa,y}^{(1+\beta_\delta)} f(T_y)_p$	T2.3
Temperature scaling consumption algorithm	$f(T_y)_p = V^X e^{(X(1-V))}$	T2.5
	$V = (T_p^{cm} - T_y) / (T_p^{cm} - T_p^{co})$	T2.5a
	$X = \left( Z^2 \left( 1 + (1 + 40/Y)^{0.5} \right)^2 \right) / 400$	T2.5b
	$Z = \ln \left( Q_p^c \right) \left( T_p^{cm} - T_p^{co} \right)$	T2.5c
	$Y = \ln \left( Q_p^c \right) \left( T_p^{cm} - T_p^{co} + 2 \right)$	T2.5d

*Suit = Overlap Index \* S<sub>paij</sub>*



# Predator- Prey Overlap

*Set to 1.0 in this assessment*



*Stabeno et al. (2013) A comparison of the physics of the northern and southern shelves of the eastern Bering Sea and some implications for the ecosystem. Deep-Sea Res II 65-7014-30.*



**Table 4.** Objective function components.

Description	Equation
Annual catch	$\sum_i \sum_t \left[ \ln(C_{\text{tot},i,t} + 0.001) - \ln(\widehat{C}_{\text{tot},i,t} + 0.001) \right]^2$
Annual survey abundance	$\sum_i \sum_t \left[ \ln(S_{\text{tot},i,t} + 0.001) - \ln(\widehat{S}_{\text{tot},i,t} + 0.001) \right]^2$
Catch at age	$-\sum_i \sum_a \sum_t \left[ \left( \frac{C_{i,a,t}}{C_{\text{tot},i,t}} + 0.0001 \right) \cdot \ln \left( \frac{\widehat{C}_{i,a,t}}{\widehat{C}_{\text{tot},i,t}} + 0.0001 \right) \right]$
Survey abundance at age	$-\sum_i \sum_a \sum_t \left[ \left( \frac{S_{i,a,t}}{S_{\text{tot},i,t}} + 0.0001 \right) \cdot \ln \left( \frac{\widehat{S}_{i,a,t}}{\widehat{S}_{\text{tot},i,t}} + 0.0001 \right) \right]$
Stomach contents	$\sum_i \sum_a \sum_j \sum_b \sum_t \left[ \left( \frac{\varphi_{i,a,j,b,t}}{\varphi_{j,b,t}} + 0.0001 \right) - \left( \frac{\widehat{\varphi}_{i,a,j,b,t}}{\widehat{\varphi}_{j,b,t}} + 0.0001 \right) \right]^2$

**Note:** A caret denotes a quantity estimated by the model.

biomass of prey species  $i,a$  in year  $t$ . [This is analogous to the Baranov catch equation  $C = F\bar{N}$ .] The definition of  $P$  in eq. 1, however, is recursive in that predation mortality, as an element of total mortality  $Z$ , is also present in the calculation of  $\bar{B}_{i,a,t}$ . Without  $Z$ , mean prey abundance  $\bar{N}_{i,a,t}$  and mean prey biomass  $\bar{B}_{i,a,t}$  cannot be calculated. Following Lewy and Vinther (2004), we therefore approximate the true instantaneous rate of predation mortality as

$$(2) \quad P_{i,a,t} = \frac{1}{B_{i,a,t}} \sum_j \sum_b I_{j,b} N_{j,b,t} \frac{\varphi_{i,a,j,b,t}}{\varphi_{j,b,t}}$$

using abundance and biomass of predators and prey at the beginning of each year.

The ratio  $\varphi_{i,a,j,b,t}/\varphi_{j,b,t}$  is the proportion of prey  $i,a$  in all food available to predator  $j,b$  in year  $t$ , which is assumed equal to the proportion of food within the stomach of predator  $j,b$  in year  $t$  composed of prey  $i,a$ . Availability is the product of a suitability coefficient  $\nu$  and prey biomass:

size-preference coefficient for all prey of a given size regardless of species, but  $g$  carries the subscript for both species and age as different prey species differ in size at age.

The total food available to a given predator  $j,b$  in the GOA includes many species beyond those explicitly defined in the model. To ensure that the stomach quotient (eq. 2) above correctly reflects this diet diversity, the divisor contains a nonmodeled prey component in addition to modeled prey as

$$(6) \quad \varphi_{j,b,t} = B_{\text{oth}} + \sum_i \sum_a \nu_{i,a,j,b,t} B_{i,a,t}$$

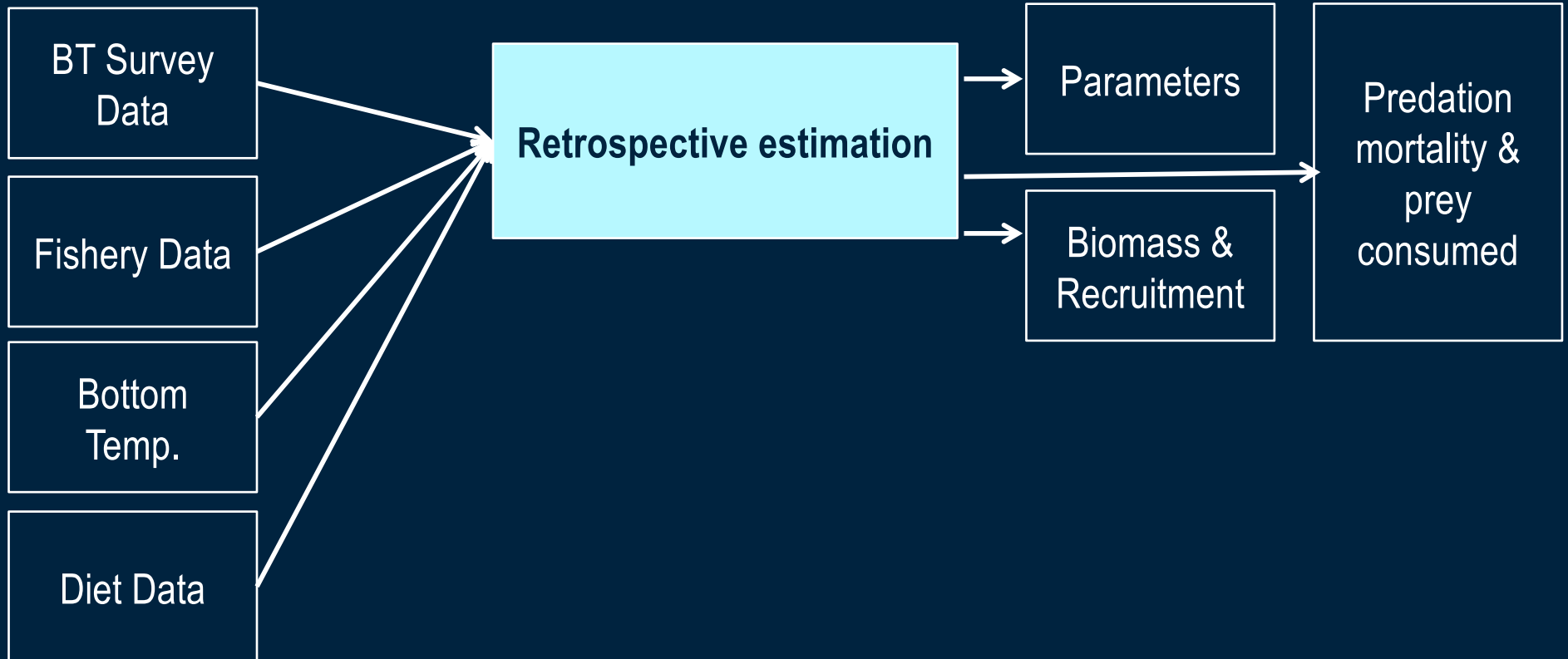
in which  $B_{\text{oth}}$  refers to the biomass of the nonmodeled prey, constant over time and across species and age. As this biomass is constant (set to  $e^{15}$  through trial and error), its suitability coefficient is fixed at 1, allowing size- and species-preference parameters for modeled species to be estimated relative to other prey.

AD Model Builder (ADMB, Otter Research Ltd. 2004)

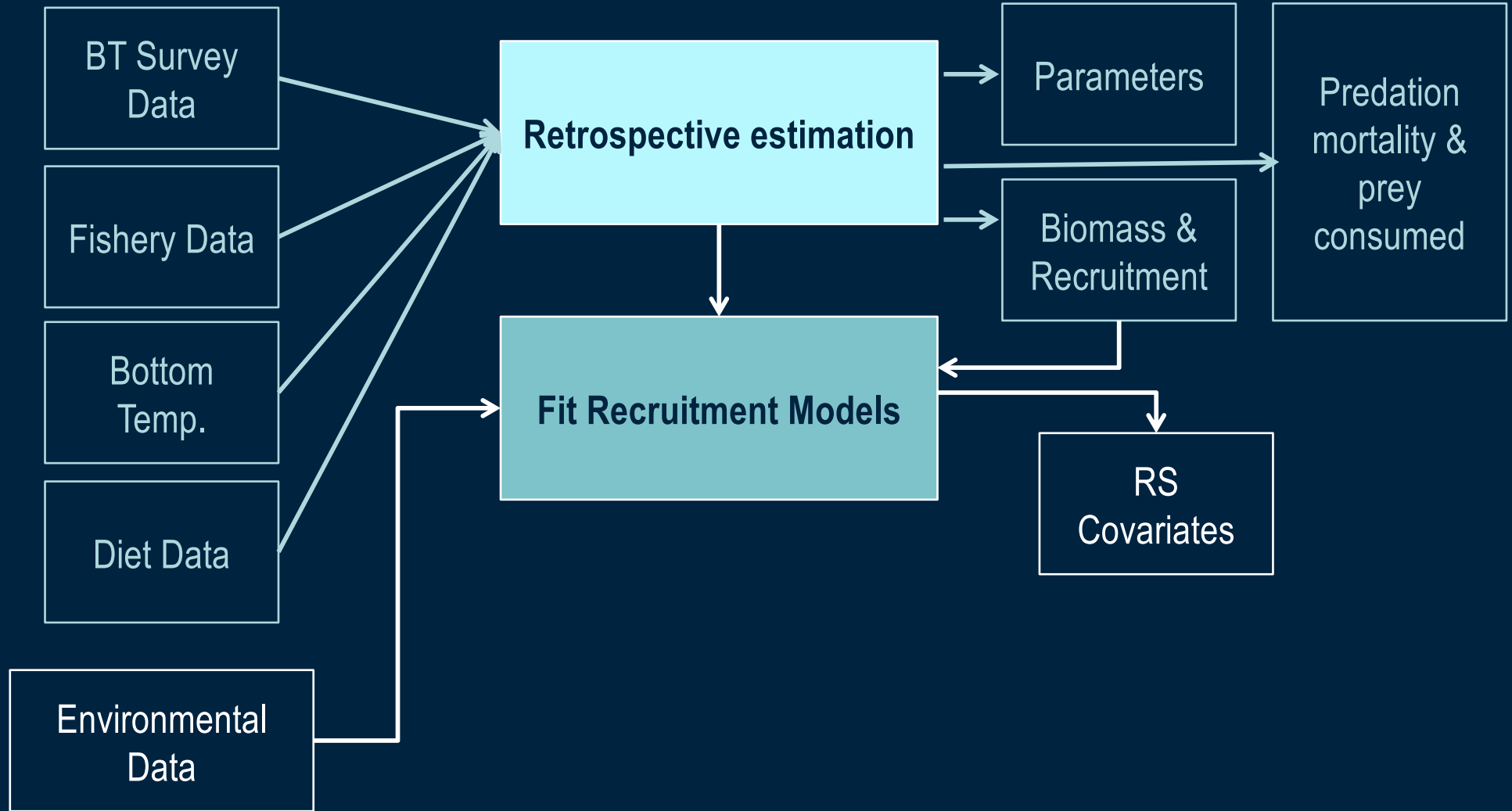
Response name	Type	Number of estimable parameters	$\phi_{k,a,y}^{r,u}$ (from equation 7)
Linear	I	1	$\theta^{r,u} v_k^r$
Asymptotic (Holling Type II)	II	2	$\frac{v_k^r \theta^{r,u} [1 + \tilde{v}_k^r]}{1 + \tilde{v}_k^r \Phi_y^{r,u}}$
Sigmoid (Holling Type III)	III	3	$\frac{v_k^r \theta^{r,u} (1 + \tilde{v}_k^r) (\Phi_y^{r,u})^{\tilde{v}_k^r - 1}}{1 + \tilde{v}_k^r (\Phi_y^{r,u})^{\tilde{v}_k^r}}$
Interference	IV	3	$\frac{v_k^r \theta^{r,u} [1 + \tilde{v}_k^r]}{1 + \tilde{v}_k^r \Phi_y^{r,u} + \tilde{v}_k^r (\Psi_y^{k,a} - 1)}$
Pre-emption	V	3	$\frac{v_k^r \theta^{r,u} [1 + \tilde{v}_k^r]}{(1 + \tilde{v}_k^r \Phi_y^{r,u}) [1 + \tilde{v}_k^r (\Psi_y^{k,a} - 1)]}$
Hassell–Varley	VI	3	$\frac{v_k^r \theta^{r,u} [1 + \tilde{v}_k^r]}{\tilde{v}_k^r \Phi_y^{r,u} + (\Phi_y^{r,u})^{\tilde{v}_k^r}}$
Ecosim	VII	2	$\frac{v_k^r \theta^{r,u}}{1 + \tilde{v}_k^r (\Psi_y^{k,a} - 1)}$

Kinzey & Punt 2009

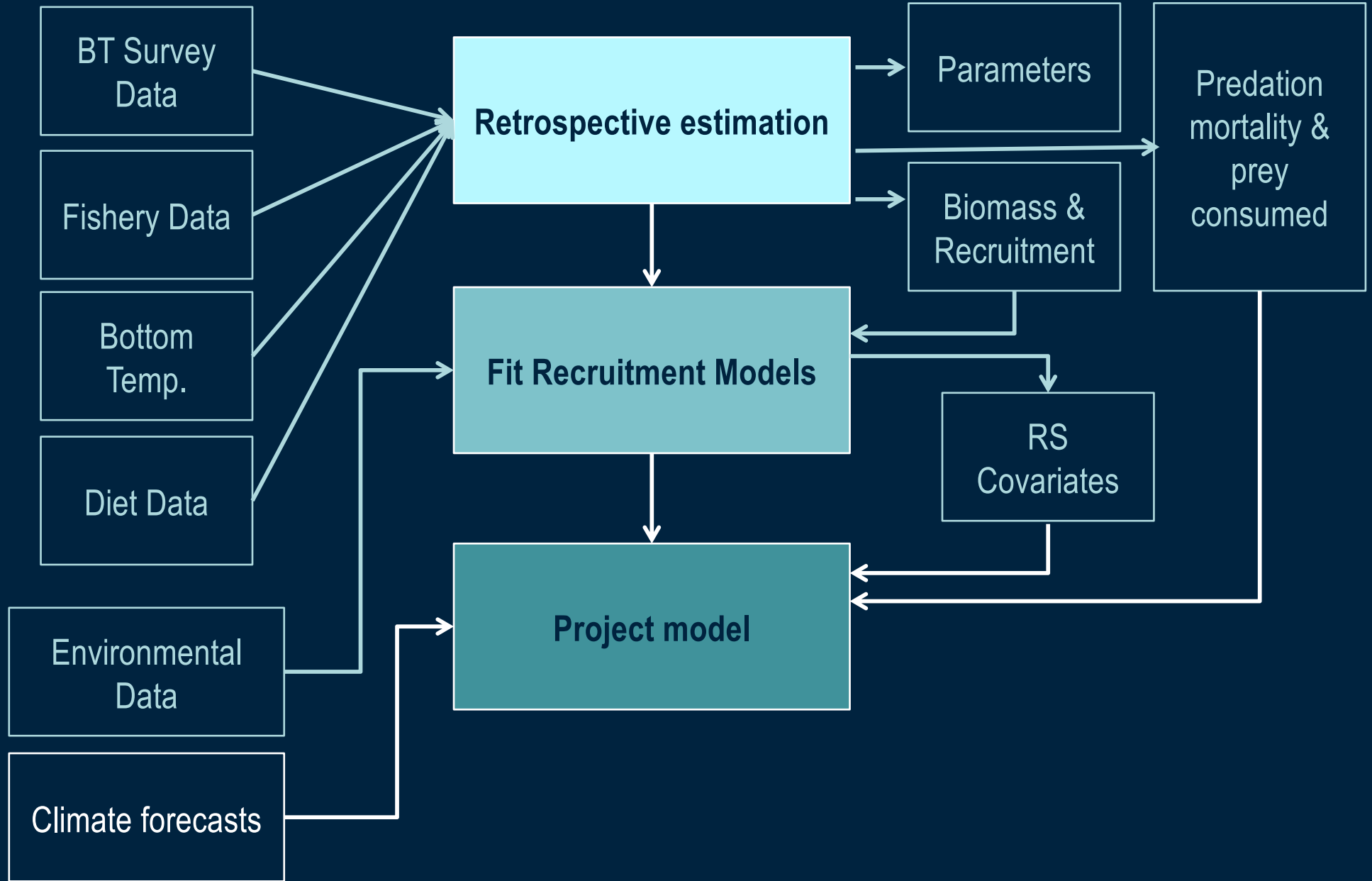
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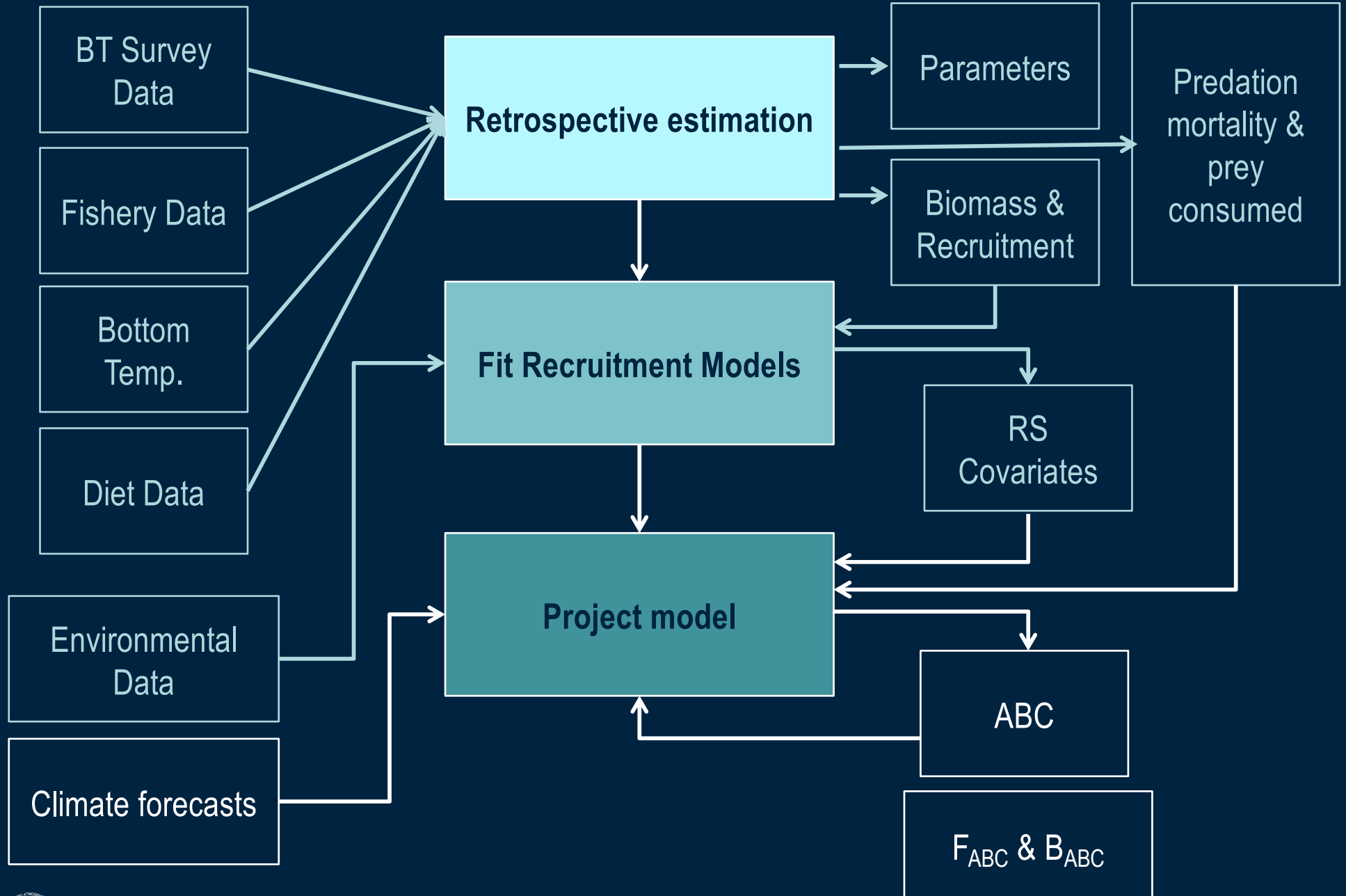
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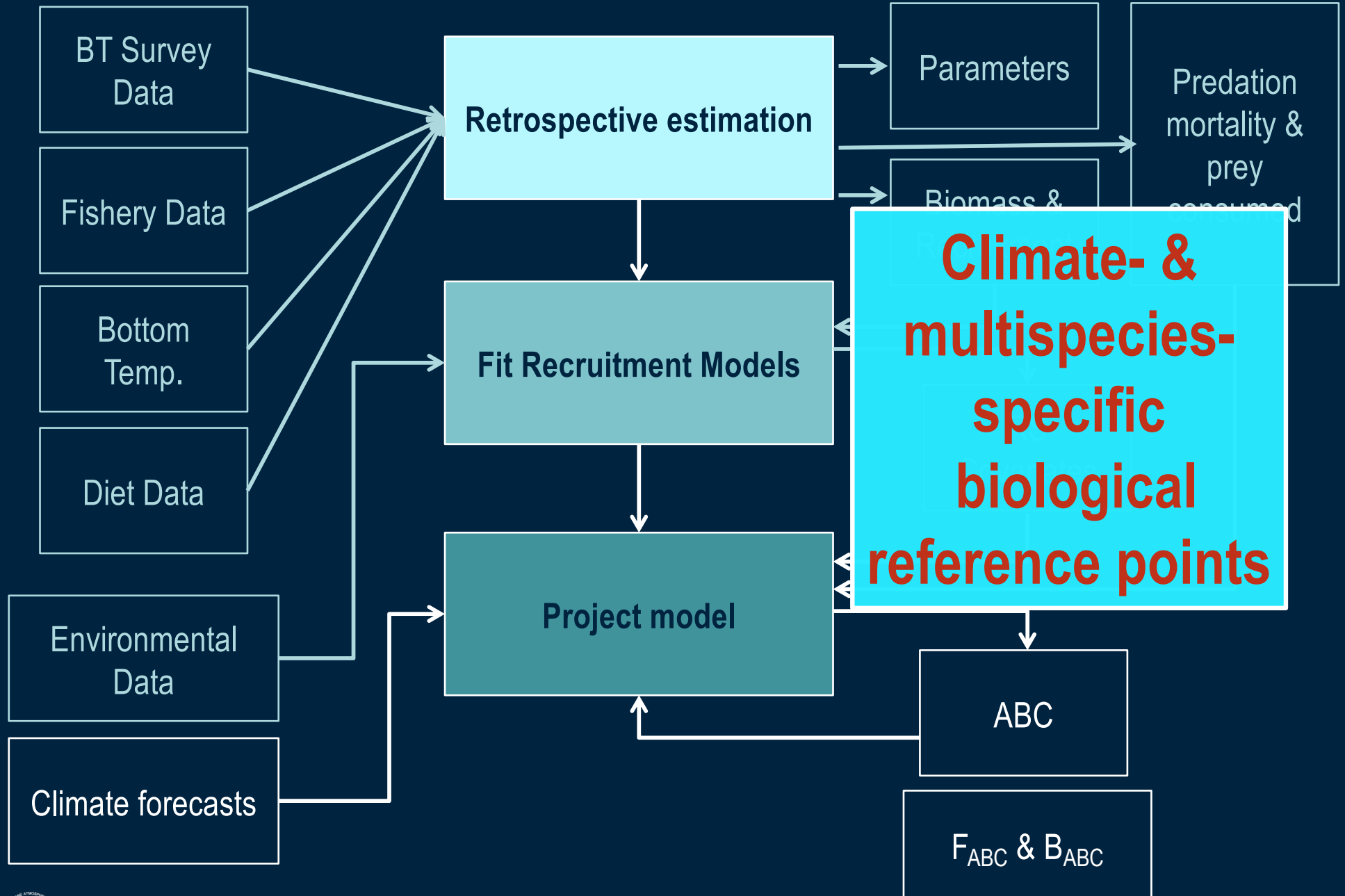


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# “Shadow Assessment”:

## Multispecies supplement to the BSAI pollock assessment

2016

### Multi-species Stock Assessment for walleye pollock, Pacific cod, and arrowtooth flounder in the Eastern Bering Sea

2017

### 2017 Multi-species Stock Assessment for walleye pollock, Pacific cod, and arrowtooth flounder in the Eastern Bering Sea

Kirstin K. Holsman, James N. Ianelli, Kerim Aydin

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2018

### 2018 Climate-enhanced multi-species Stock Assessment for walleye pollock, Pacific cod, and arrowtooth flounder in the Eastern Bering Sea

Kirstin K. Holsman, James N. Ianelli, Kerim Aydin, Ingrid Spies, Grant Adams, Kelly Kearney

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November 2018

Alaska Fisheries Science Center, National Marine Fisheries Service, NOAA,  
7600 Sand Point Way N.E., Seattle, Washington 98115

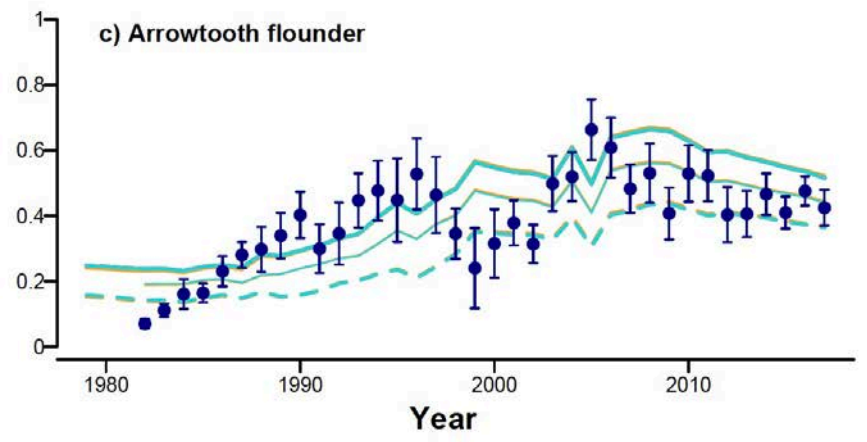
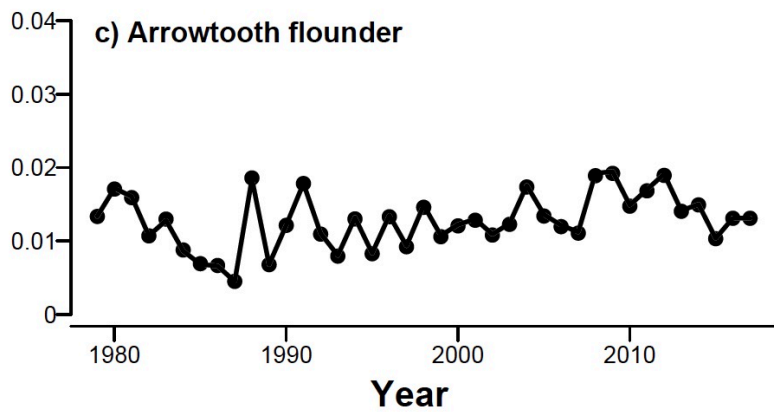
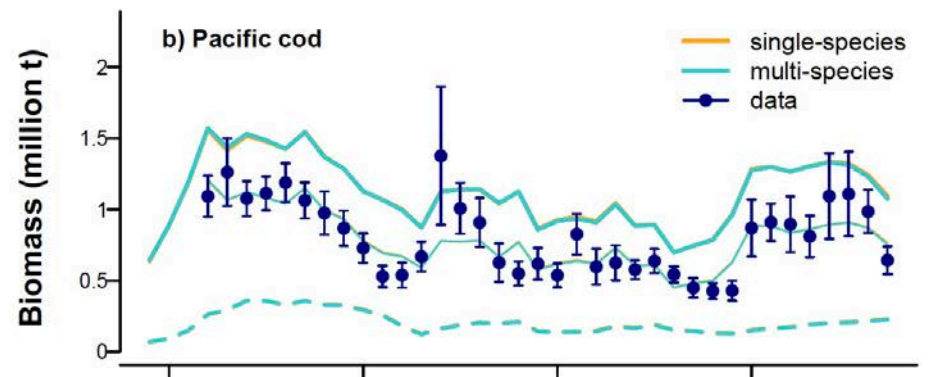
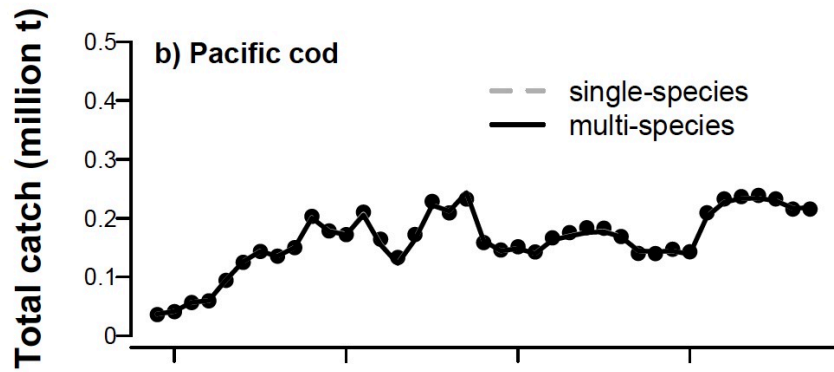
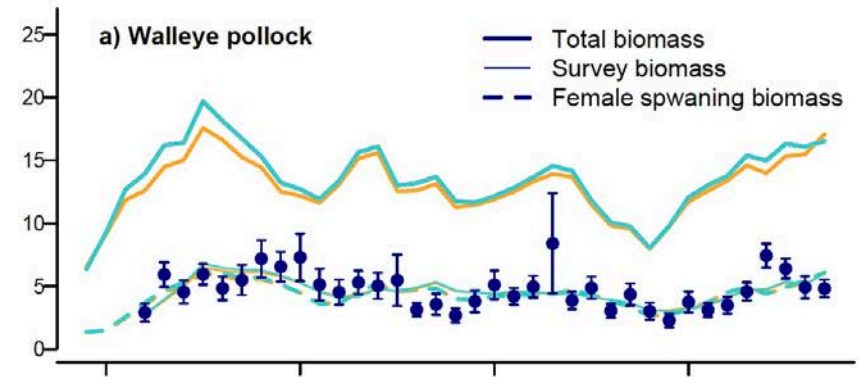
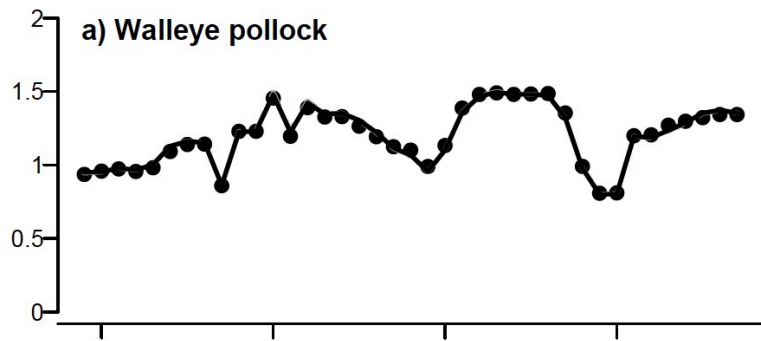
#### Summary of assessment results for 2018:

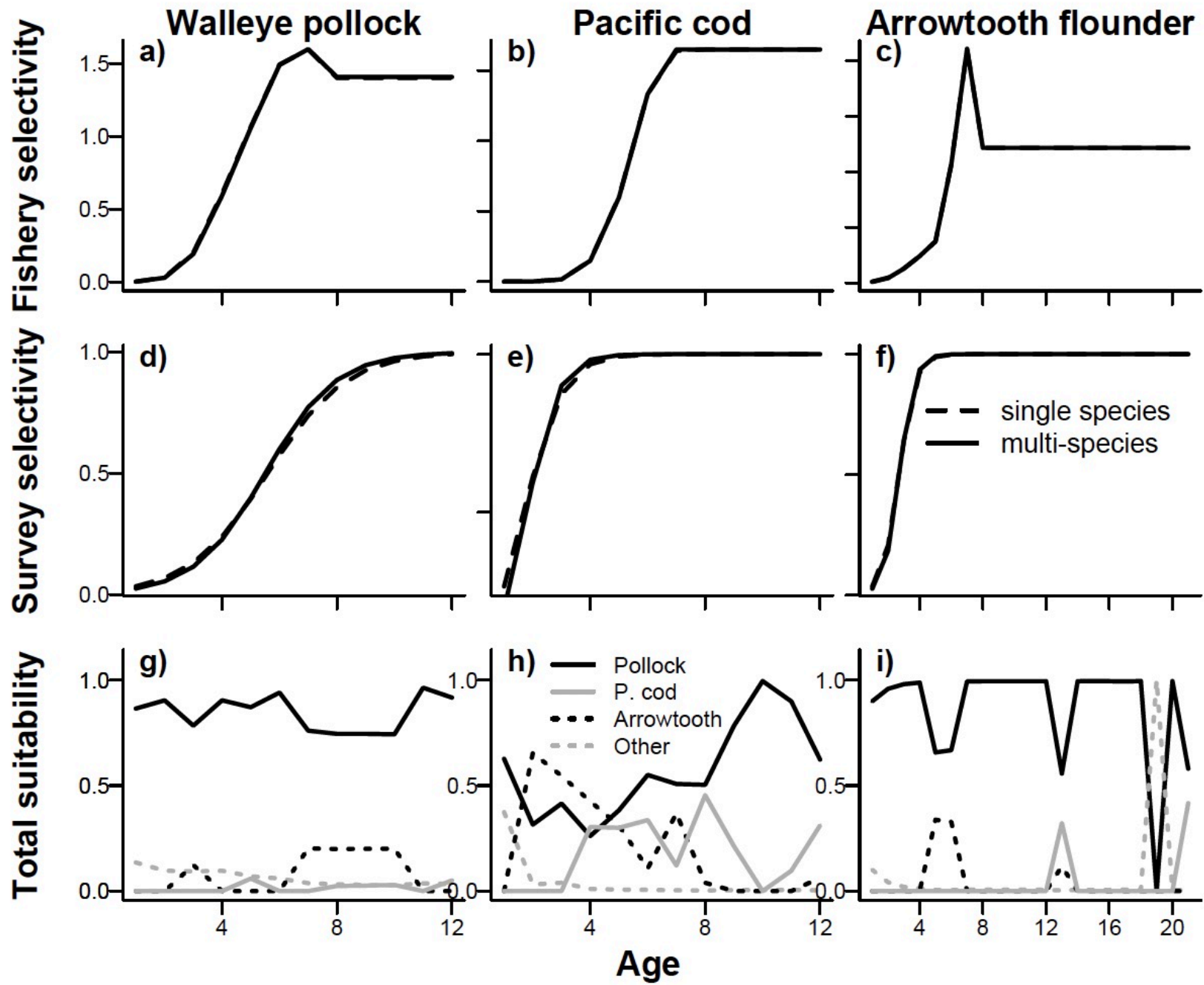
##### *Biomass*

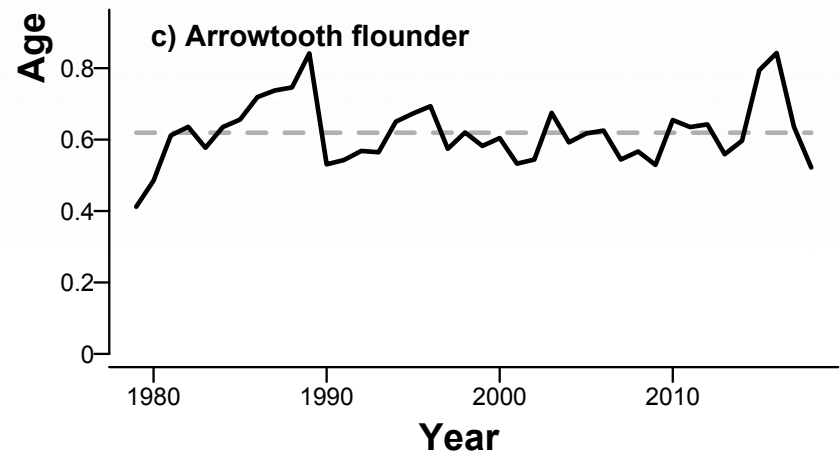
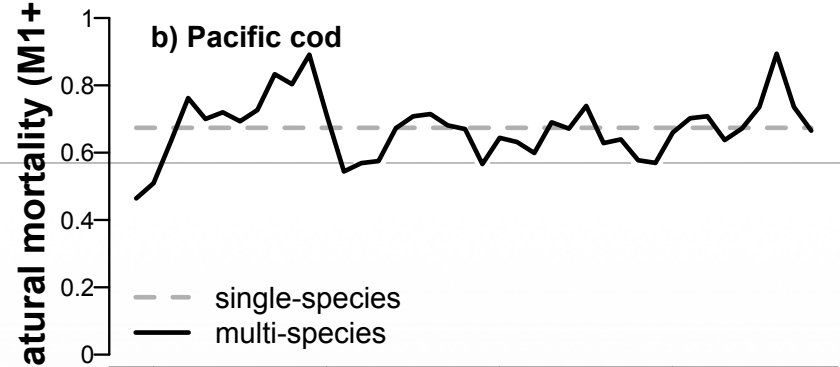
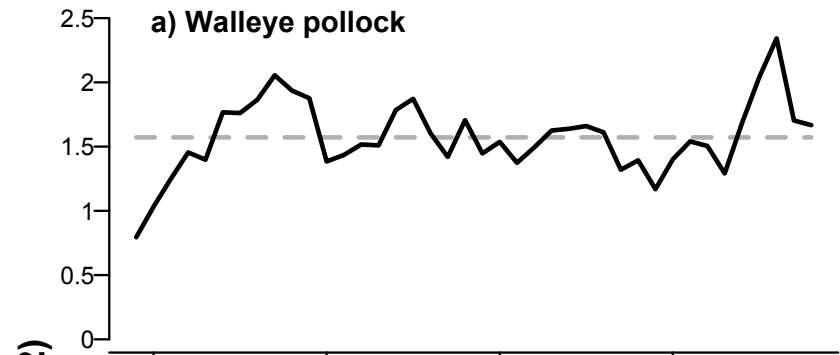
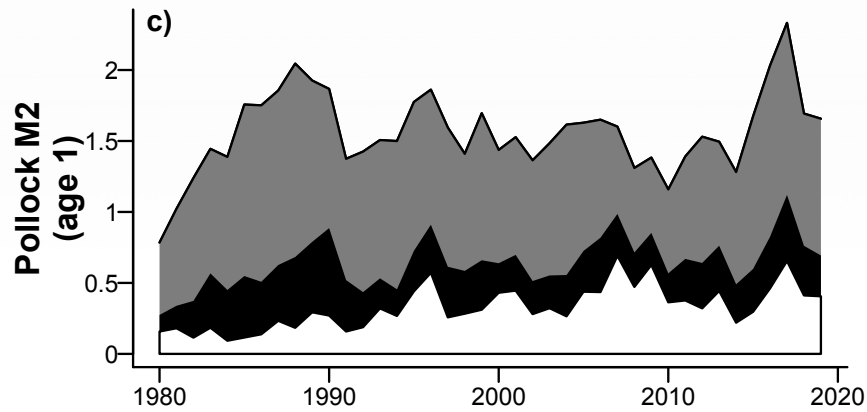
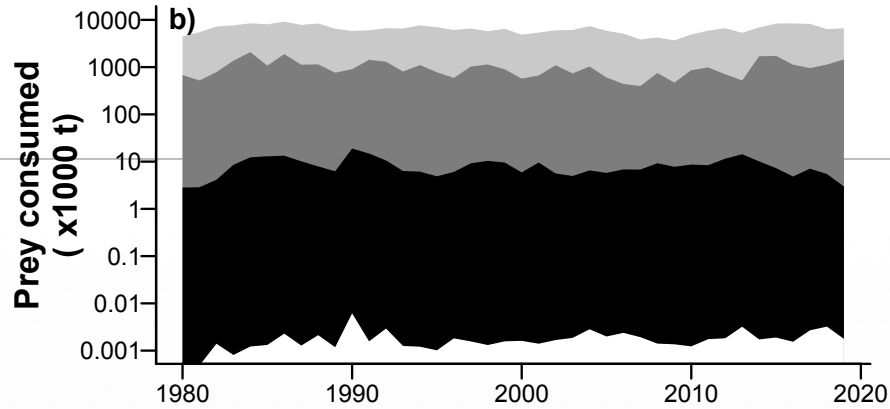
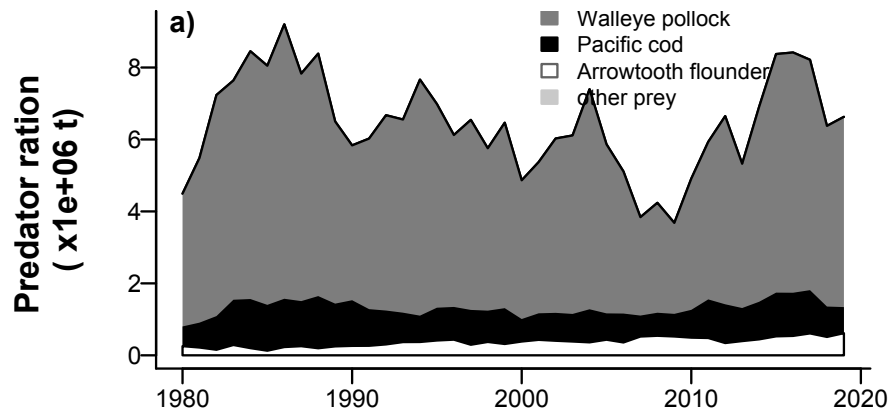
- Results from model runs show that pollock spawning biomass remains slightly above average and is similar to 2015 estimates; there was a slight decrease in estimates of 2018 spawning biomass for pollock



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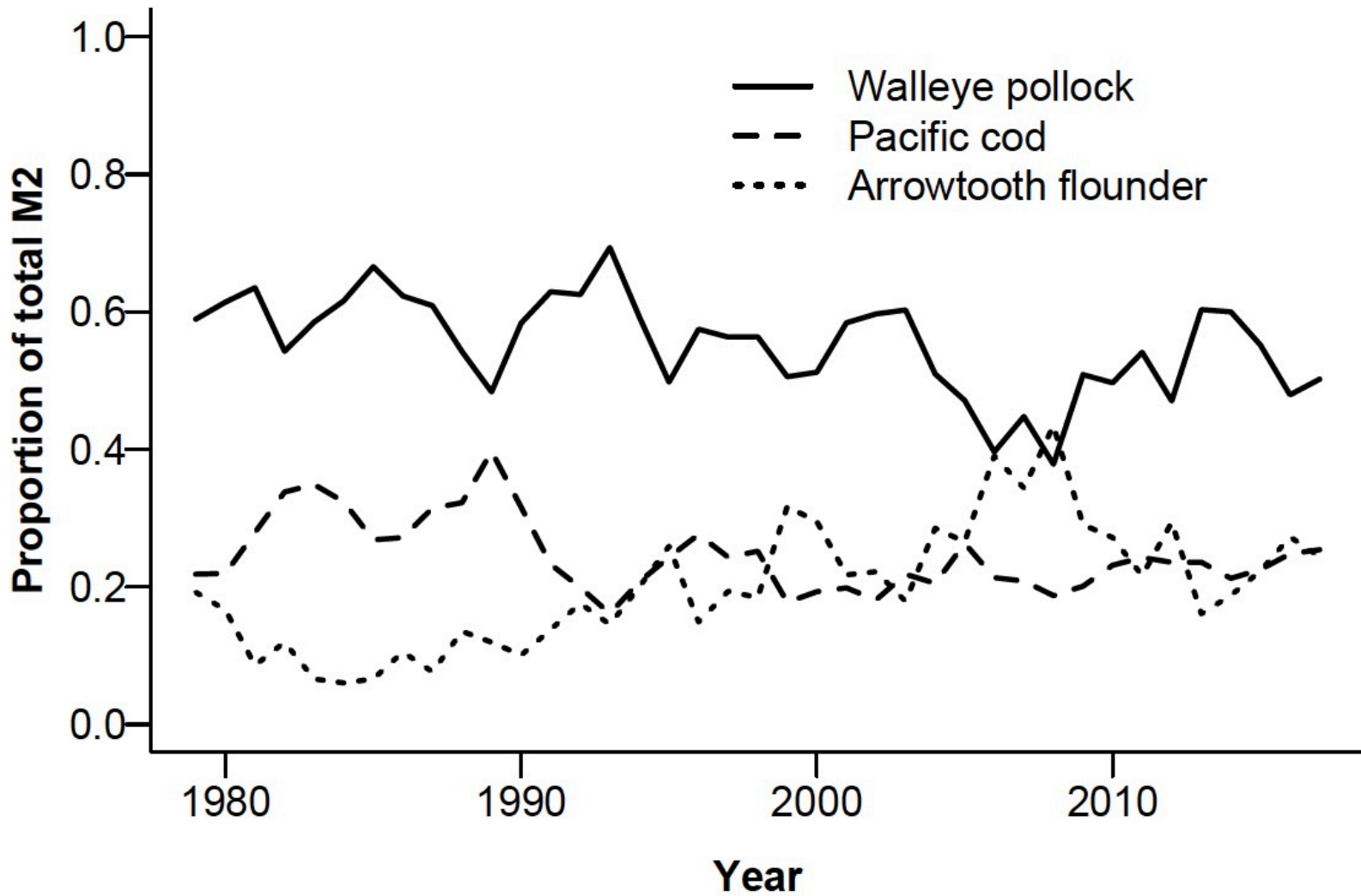




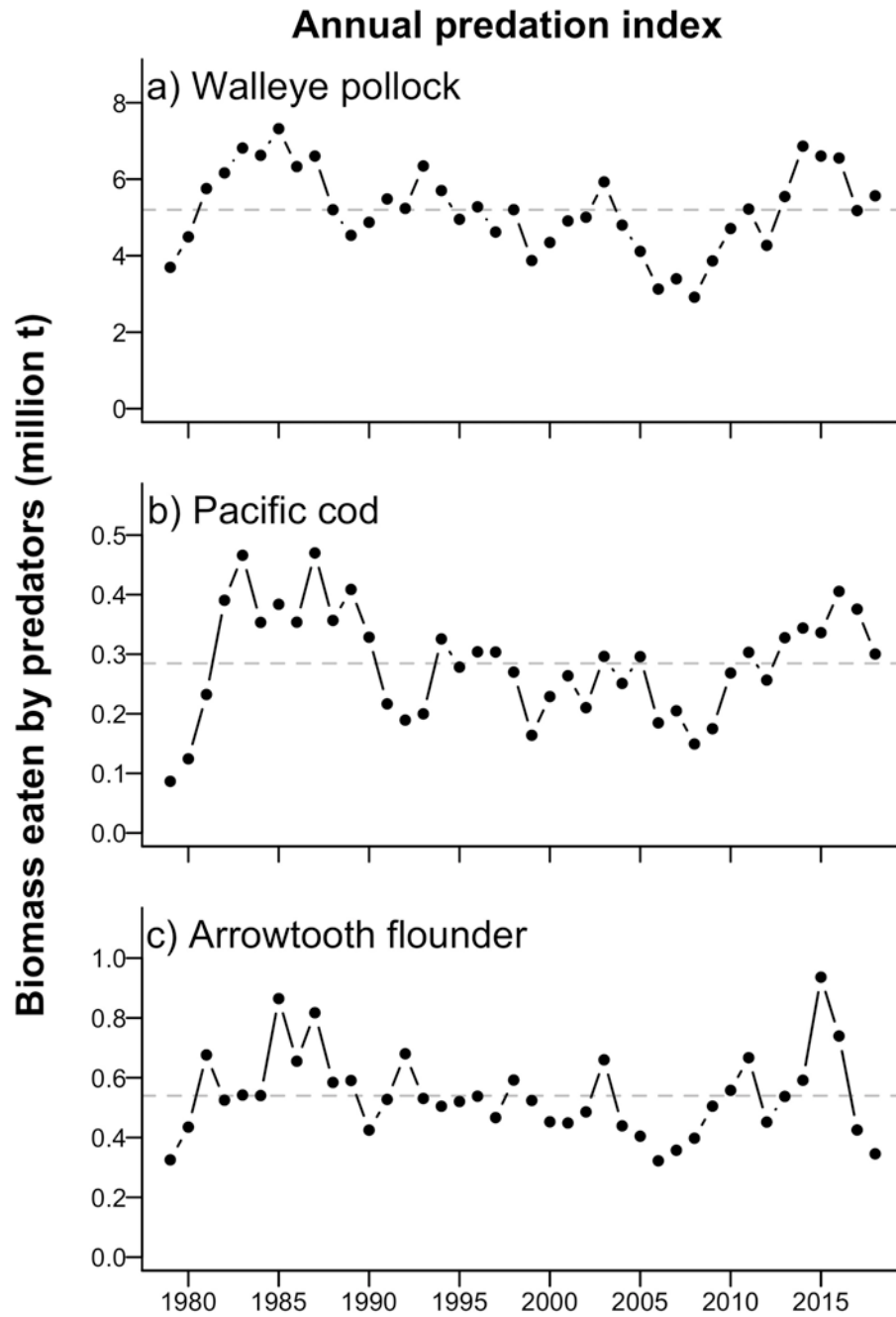


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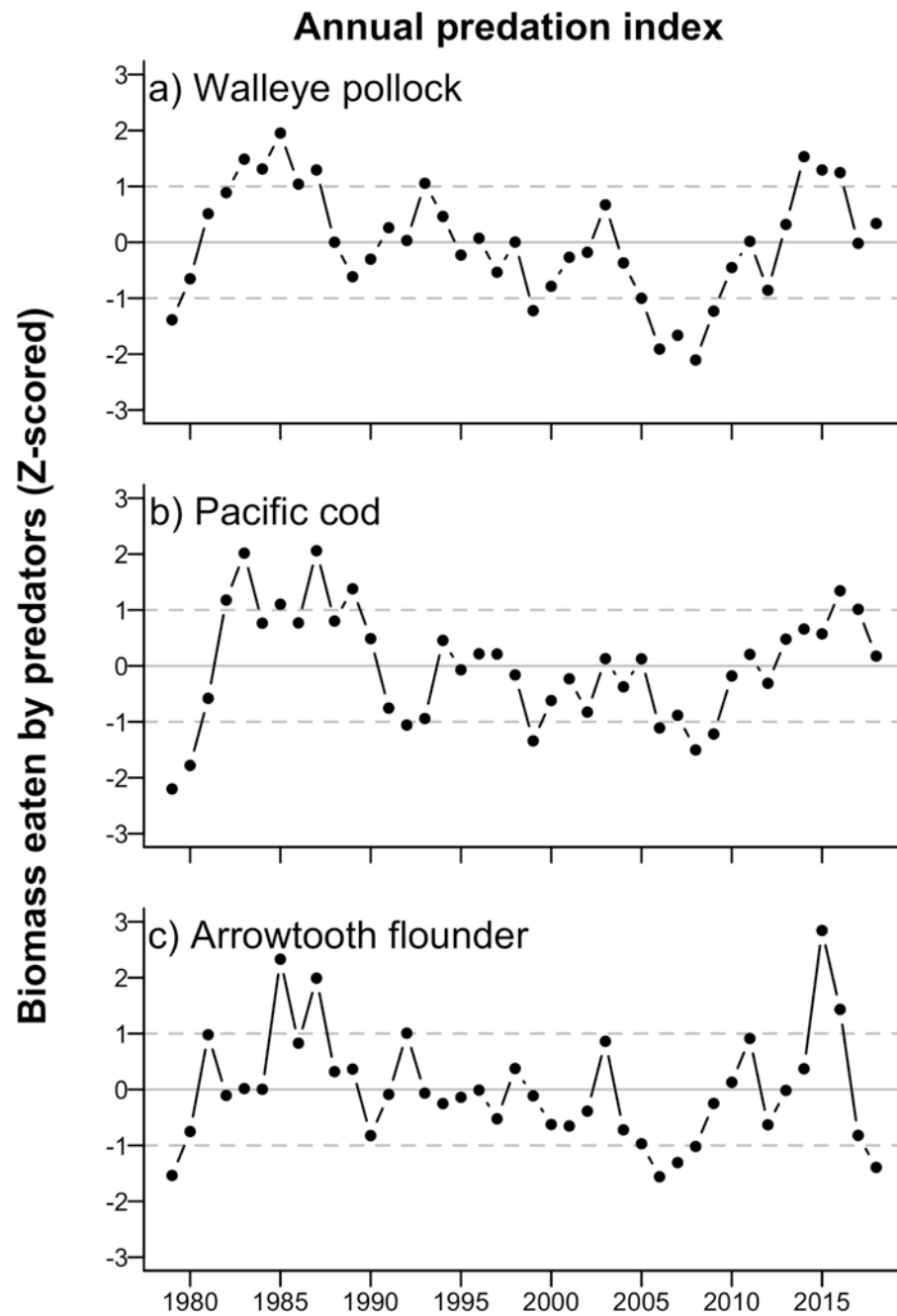
# Pollock M2



# Predation Index

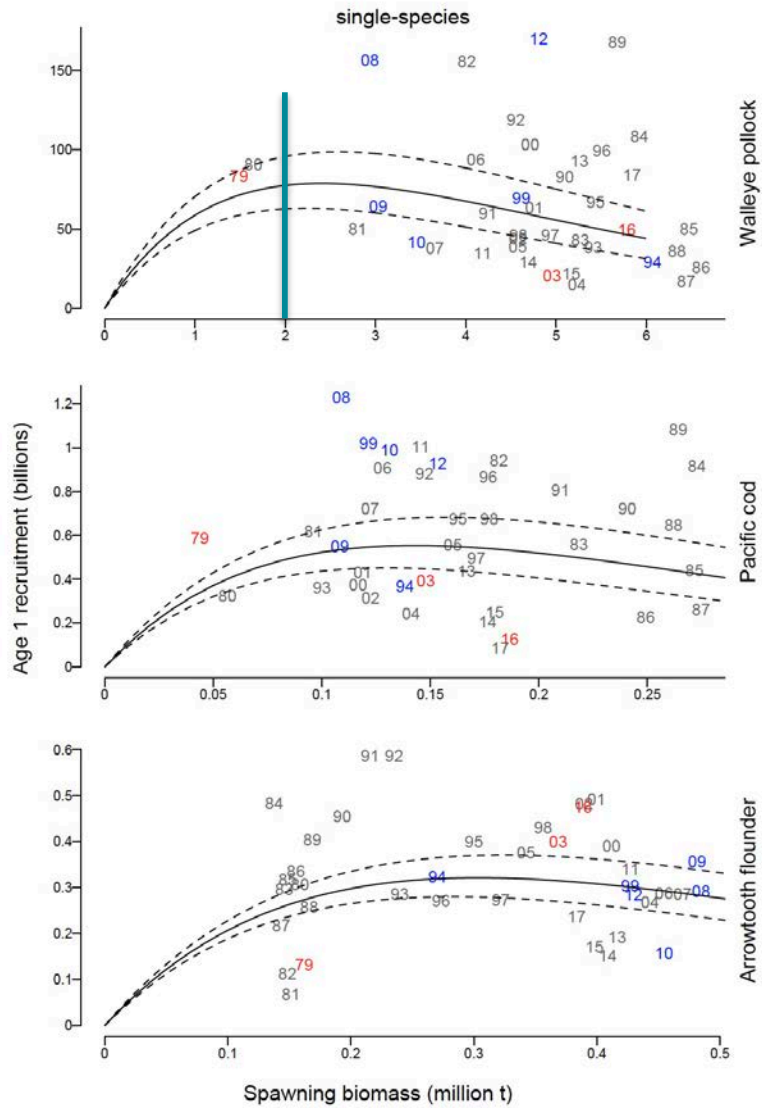


# Predation Index

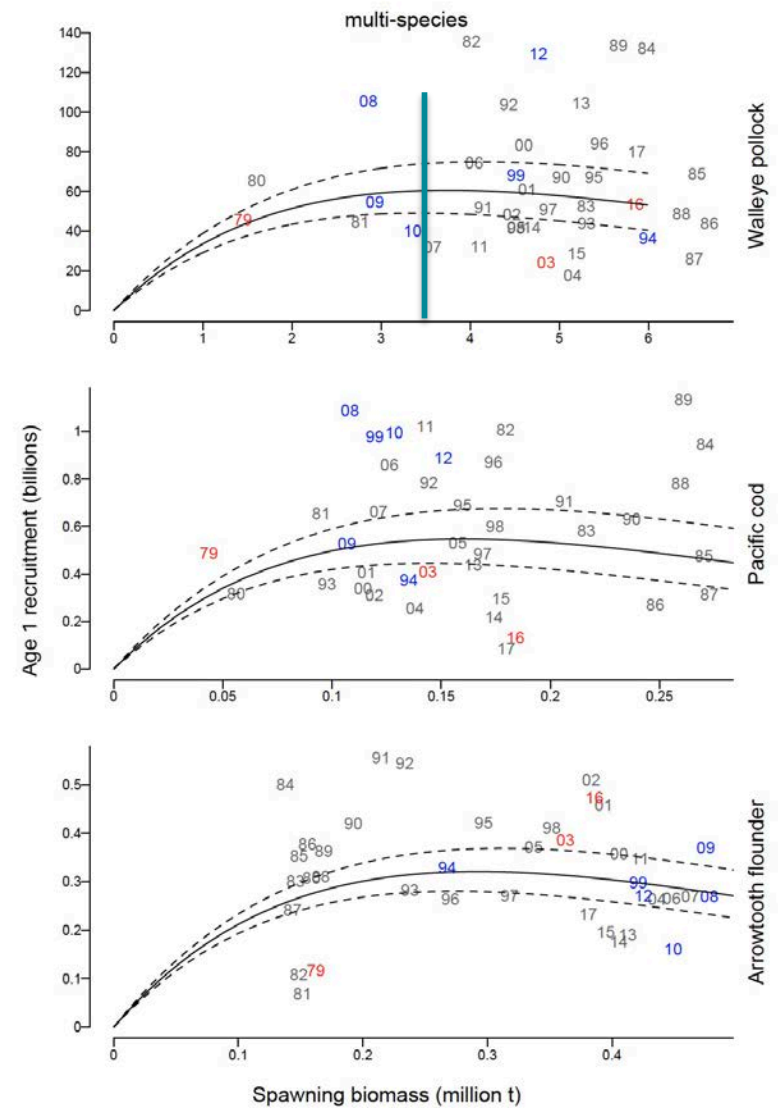




# CEATTLE Recruitment: Arrowtooth



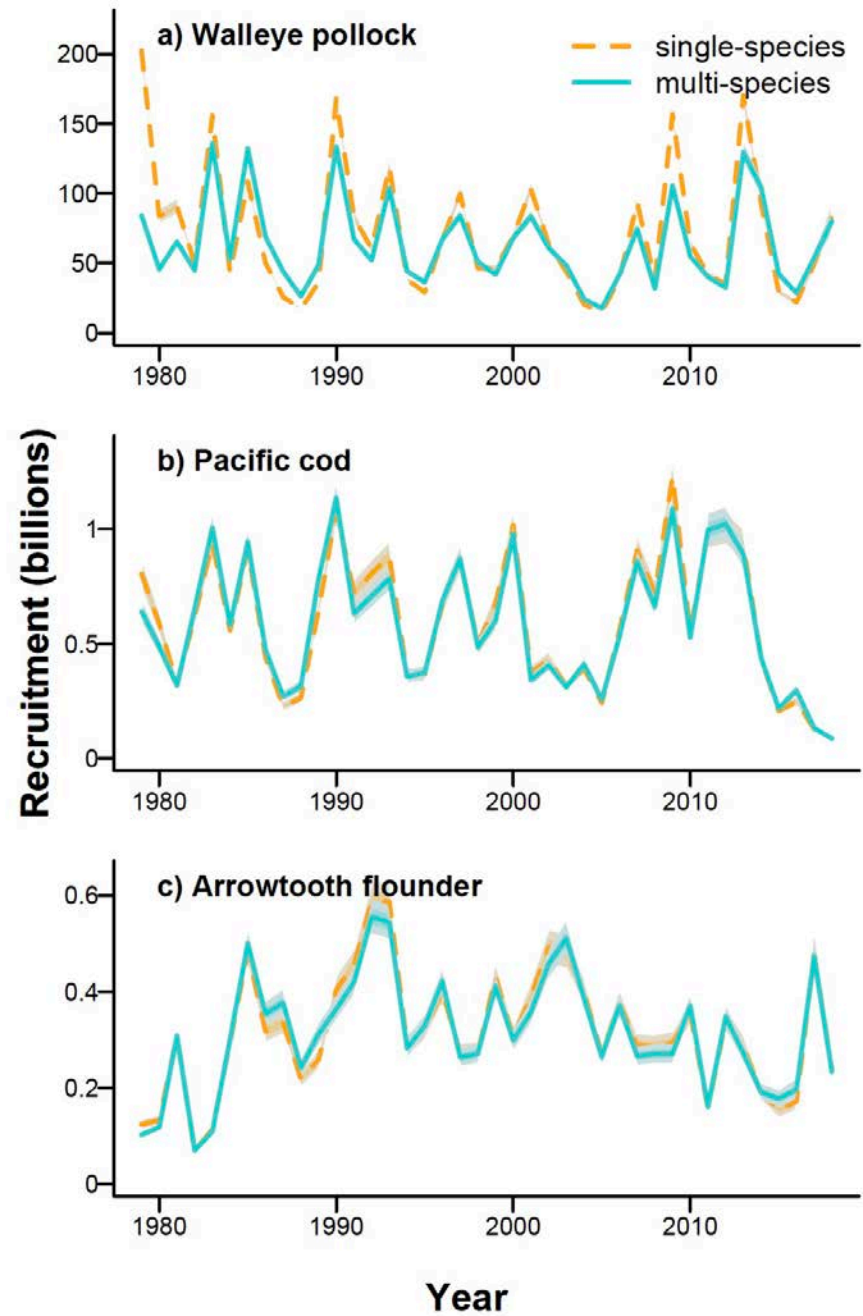
18: Stock-recruit curves for the single-species model. Red and blue text indicates years where both recruitment and spawning biomass were  $\pm 1$  standard deviation from the mean (respectively).



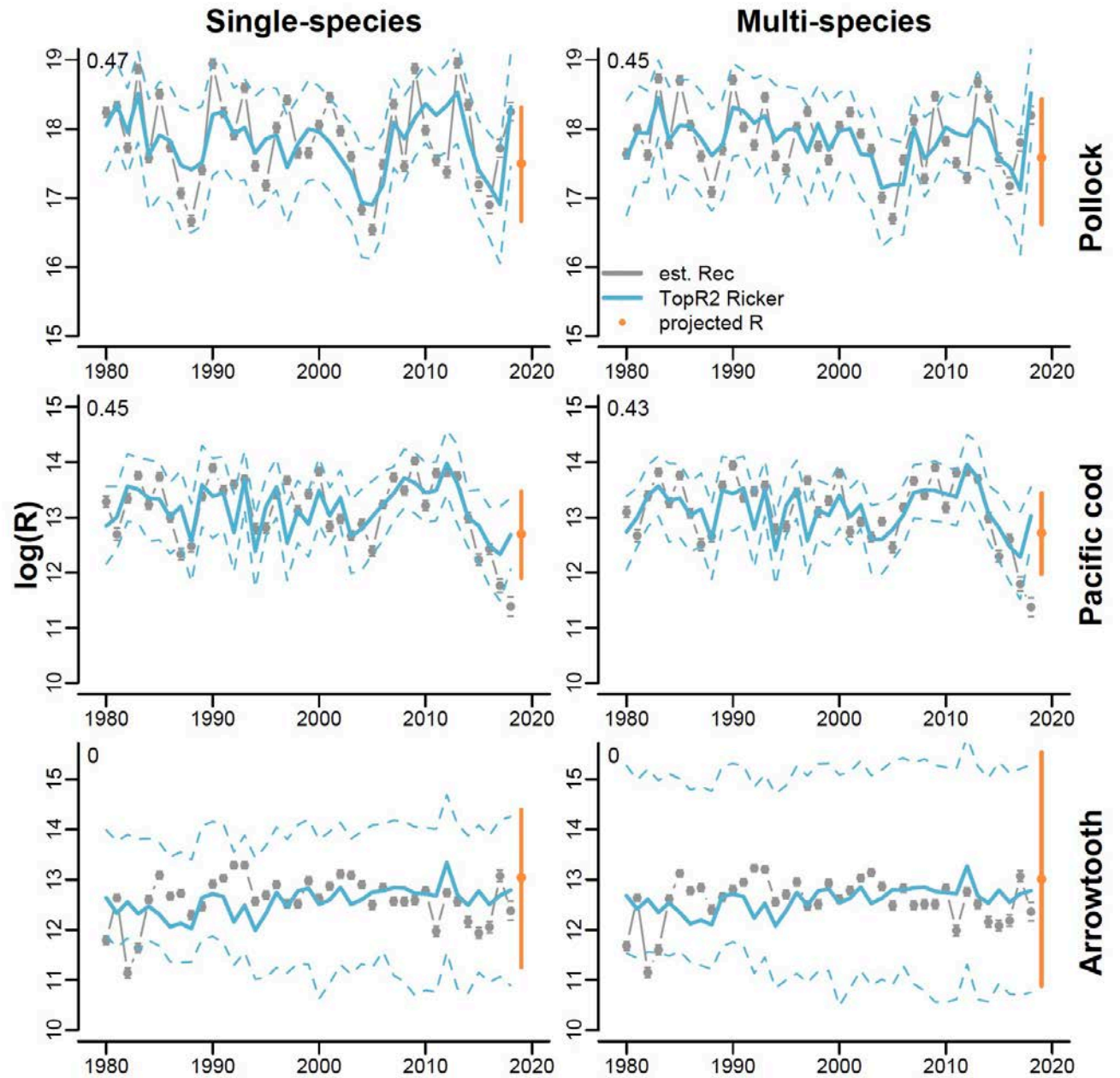
9: Stock-recruit curves for the multi-species model. Red and blue text indicates years where both recruitment and spawning biomass were  $\pm 1$  standard deviation from the mean (respectively).



# Recruitment



# Recruitment

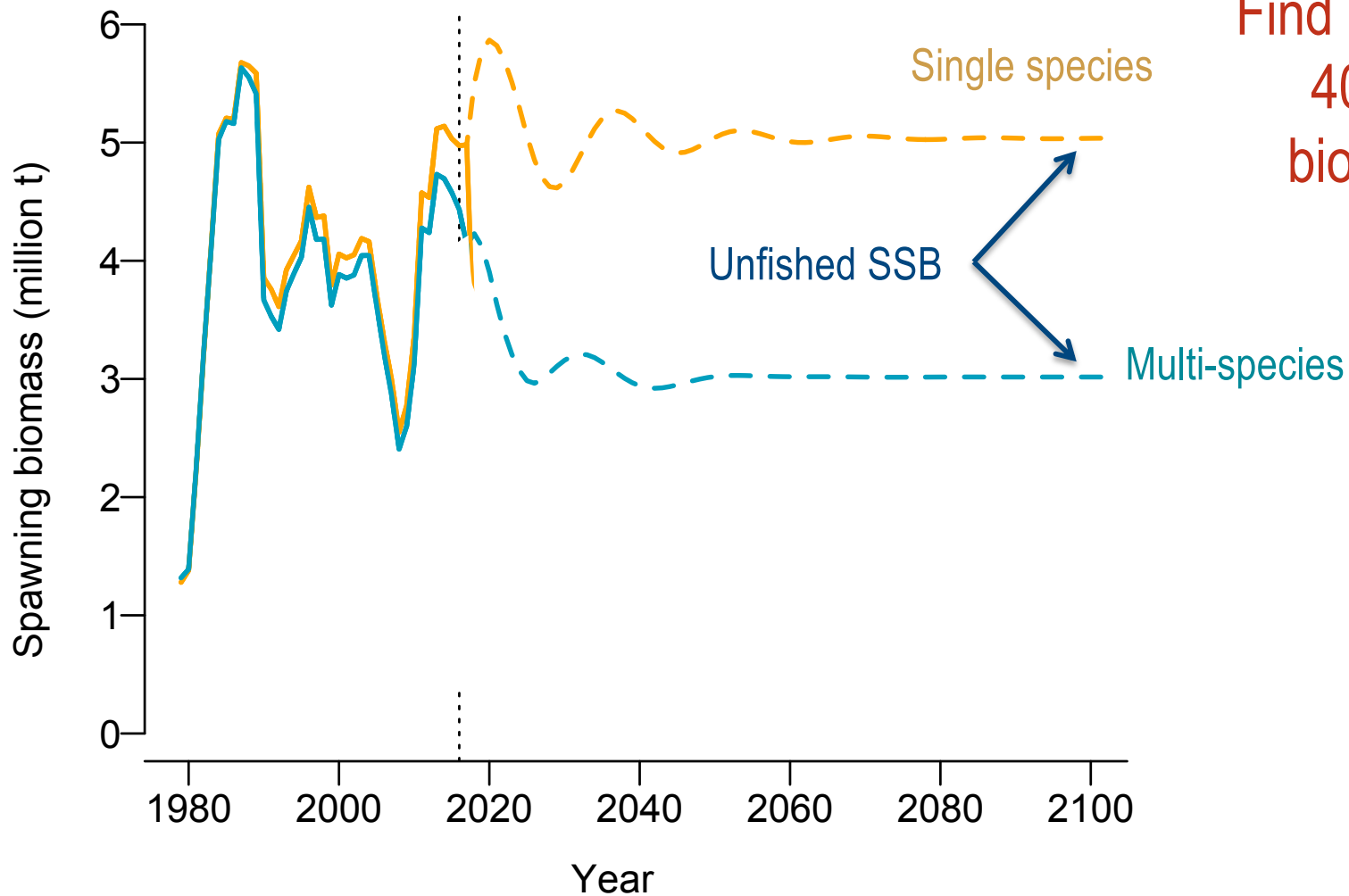


# Projections for BRPs



Photo: Mark Holsman

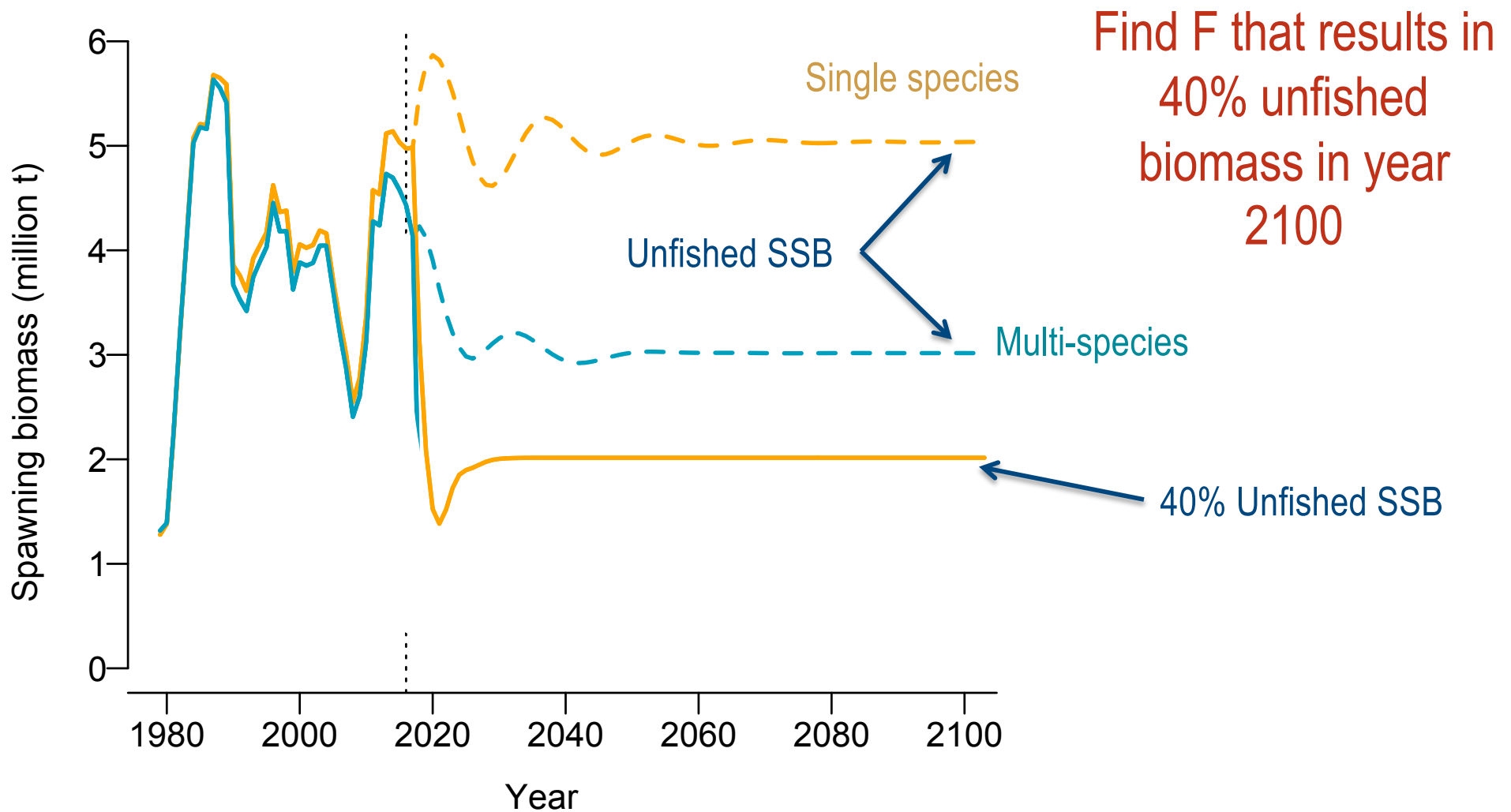
# ABC multi-species proxy approach (Moffitt et al. 2016)



Find F that results in  
40% unfished  
biomass in year  
2100

Eq. 4 
$$ABC_{x,i,y} = \sum_j^{A_i} ((F_{ABC,x,i}^* s_{ij}^f / Z_{x,ij,y}) (1 - e^{-Z_{x,ij,y}}) N_{x,ij,y} W_{ij,y})$$

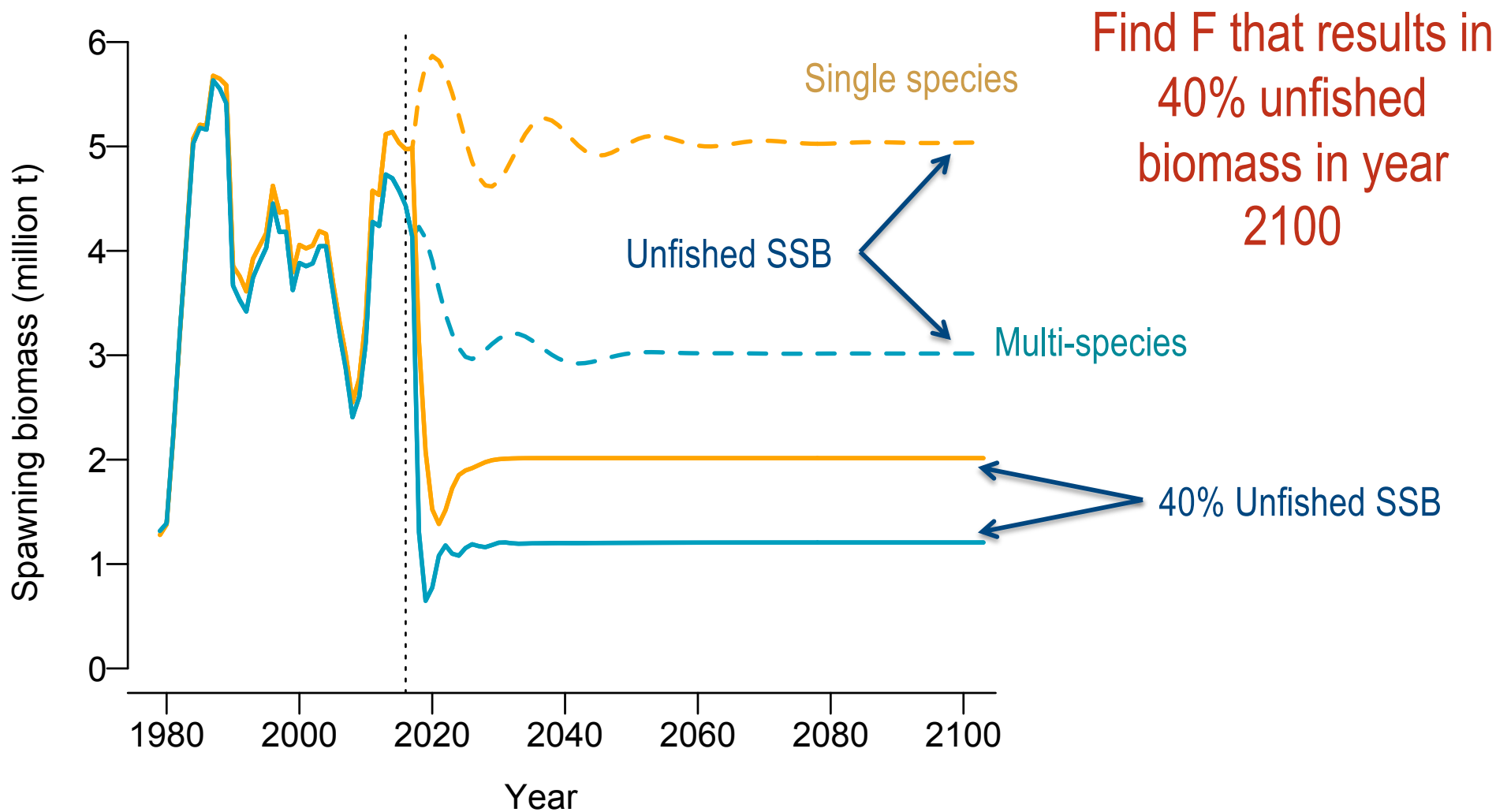
# ABC multi-species proxy approach (Moffitt et al. in press)



Eq. 4 
$$ABC_{x,i,y} = \sum_j^{A_i} ((F_{ABC,x,i}^* s_{ij}^f / Z_{x,ij,y}) (1 - e^{-Z_{x,ij,y}}) N_{x,ij,y} W_{ij,y})$$



# ABC multi-species proxy approach (Moffitt et al. in press)



$$\text{Eq. 4} \quad \text{ABC}_{x,i,y} = \sum_j^{A_i} \left( (F_{\text{ABC},x,i}^* s_{ij}^f / Z_{x,ij,y}) (1 - e^{-Z_{x,ij,y}}) N_{x,ij,y} W_{ij,y} \right)$$





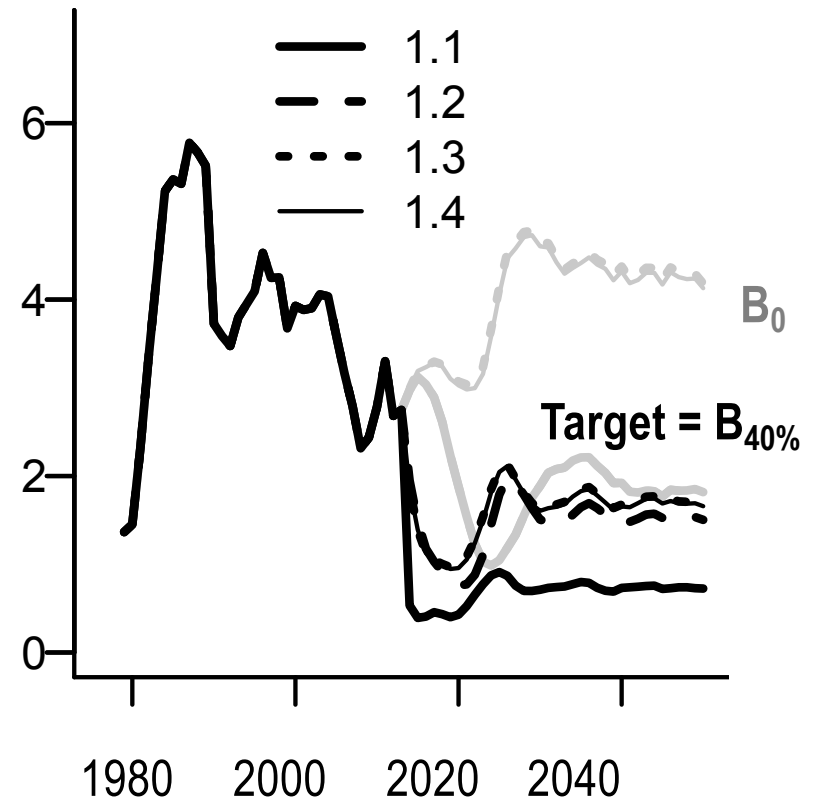
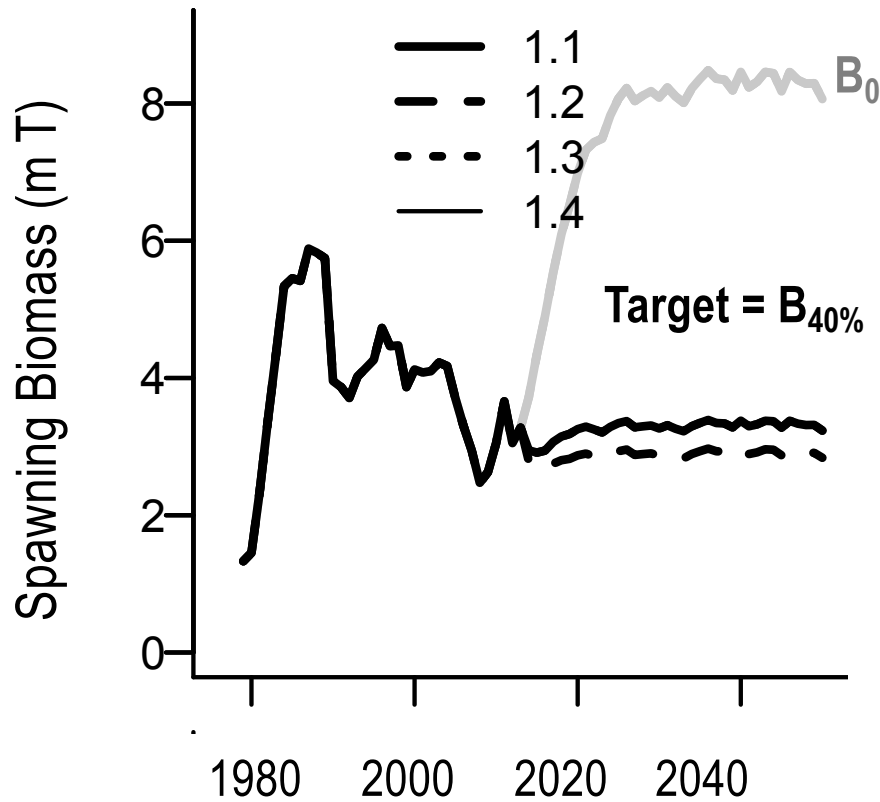
Single-species

Harvest

Multi-species

Harvest scenario 1

Harvest scenario 1



Holsman et al. 2016

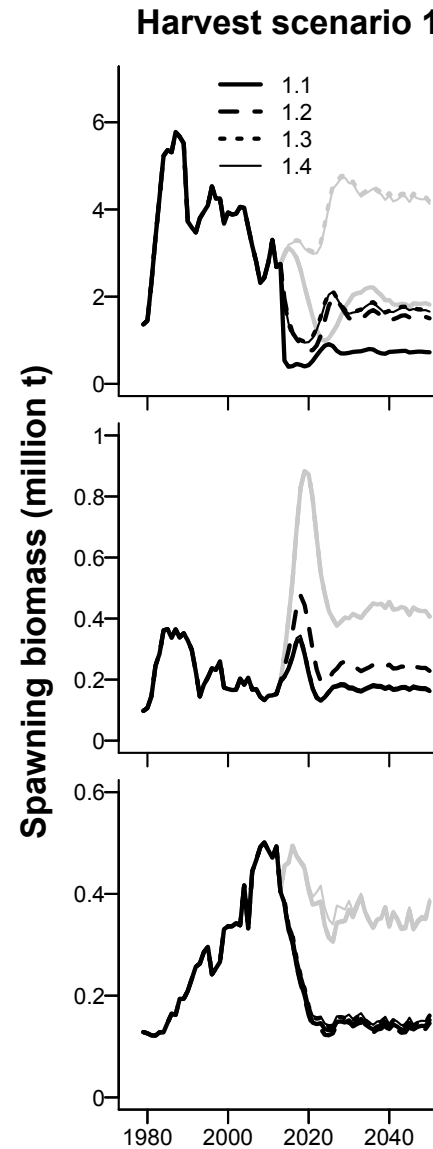
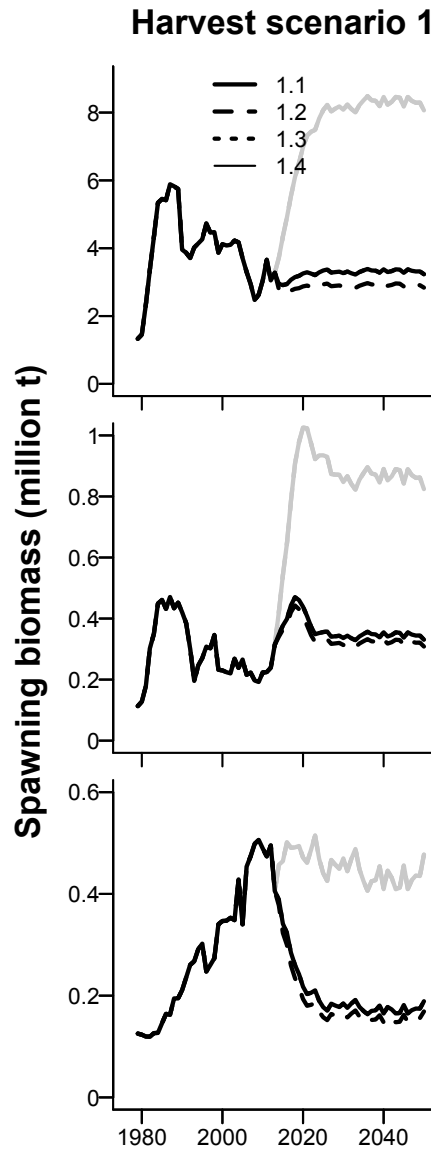


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# Single-species

# Multi-species



Differences between Harvest Scenarios Is greatest for prey spp

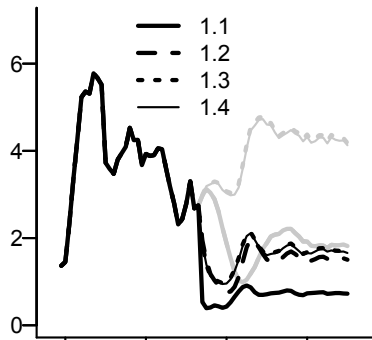
Holsman et al. 2016



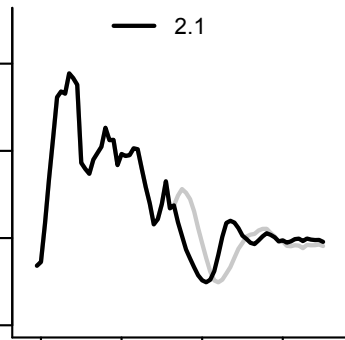
# Multispecies control rules



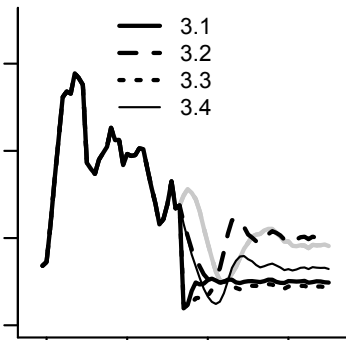
Harvest scenario 1



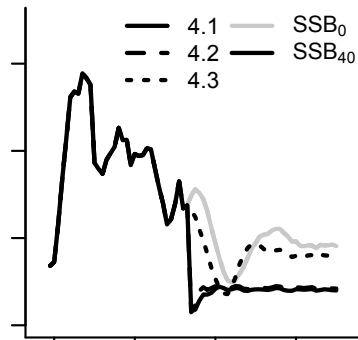
Harvest scenario 2



Harvest scenario 3



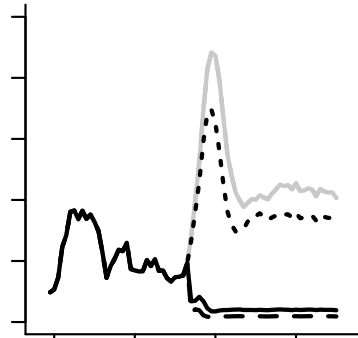
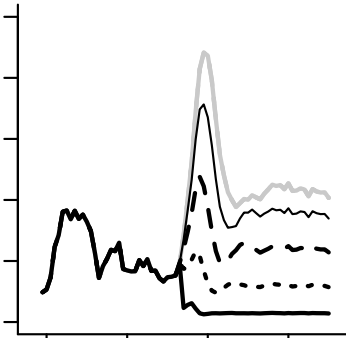
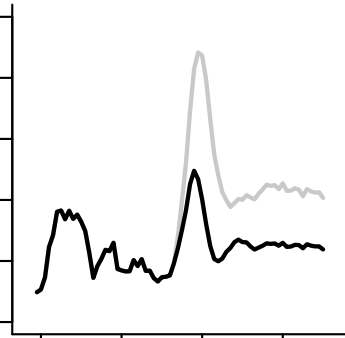
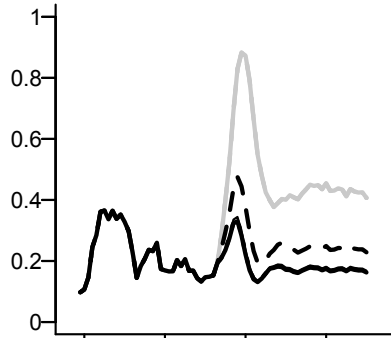
Harvest scenario 4



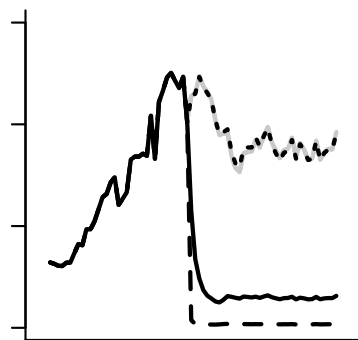
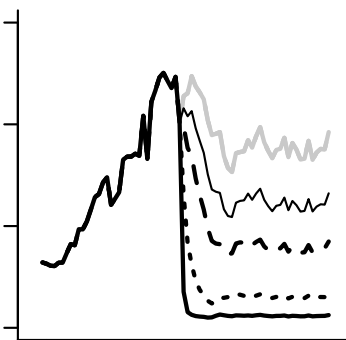
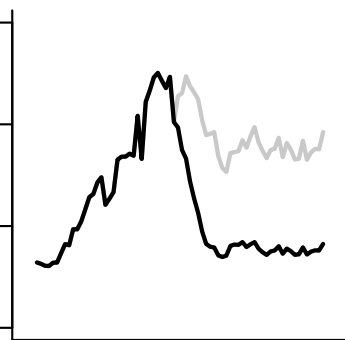
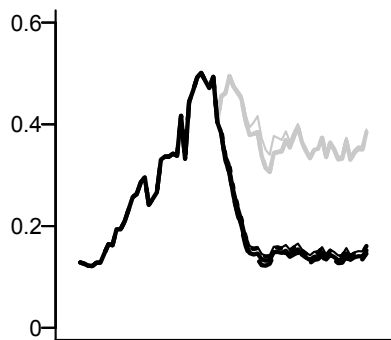
Walleye pollock



Spawning biomass (million t)



Pacific cod



Arrowtooth flounder

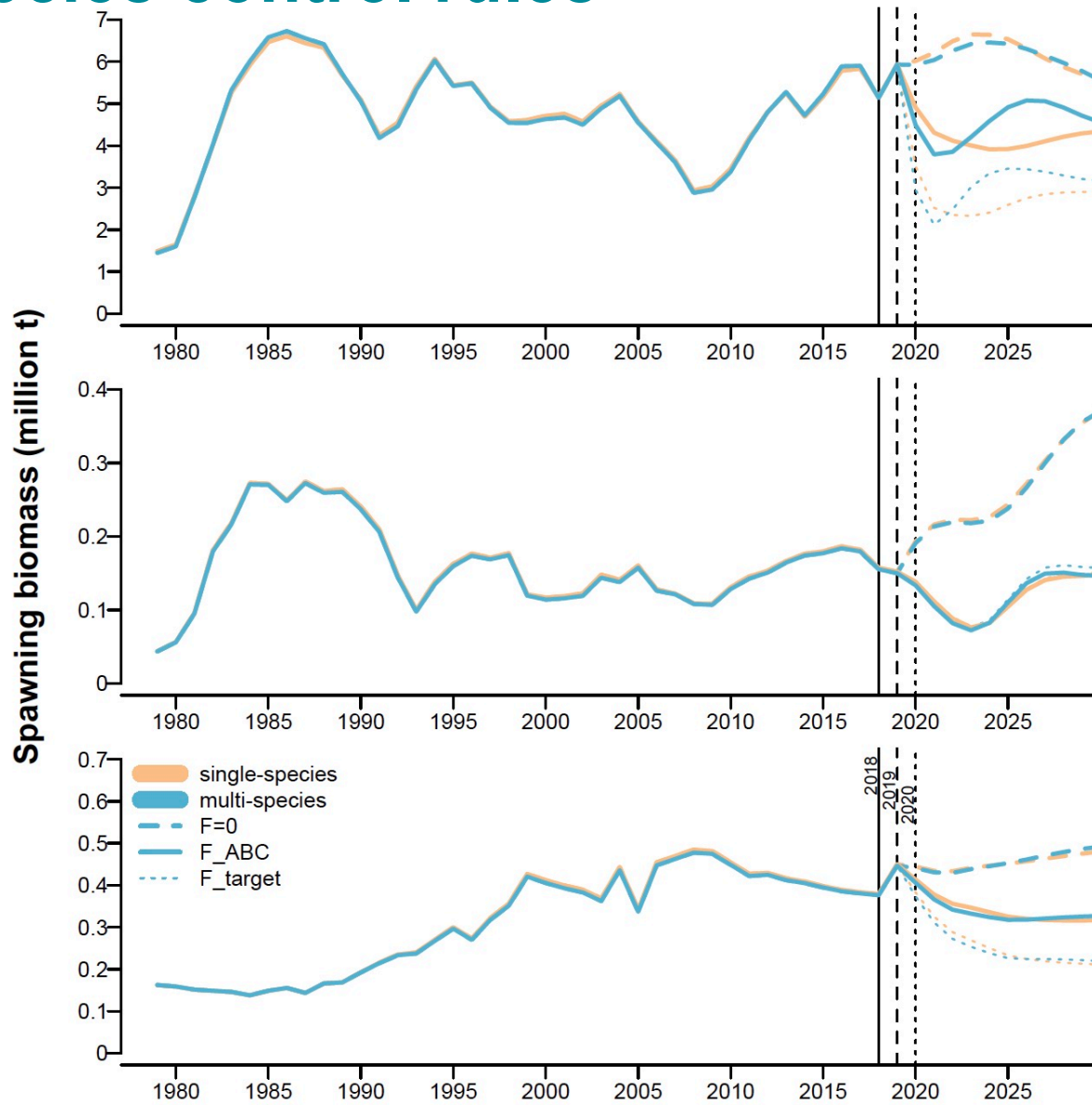
Years

Holsman et al. 2016



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# Multispecies control rules



Holsman et al. 2018



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## Deep-Sea Research II

journal homepage: [www.elsevier.com/locate/dsr2](http://www.elsevier.com/locate/dsr2)



## Multi-model inference for incorporating trophic and climate uncertainty into stock assessments

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### ARTICLE INFO

#### Keywords:

Model averaging  
Model ensemble  
Multi-species model

### ABSTRACT

Ecosystem-based fisheries management (EBFM) approaches allow a broader and more extensive consideration of objectives than is typically possible with conventional single-species approaches. Ecosystem linkages may include trophic interactions and climate change effects on productivity for the relevant species within the system. Presently, models are evolving to include a comprehensive set of fishery and ecosystem information to address these broader management considerations. The increased

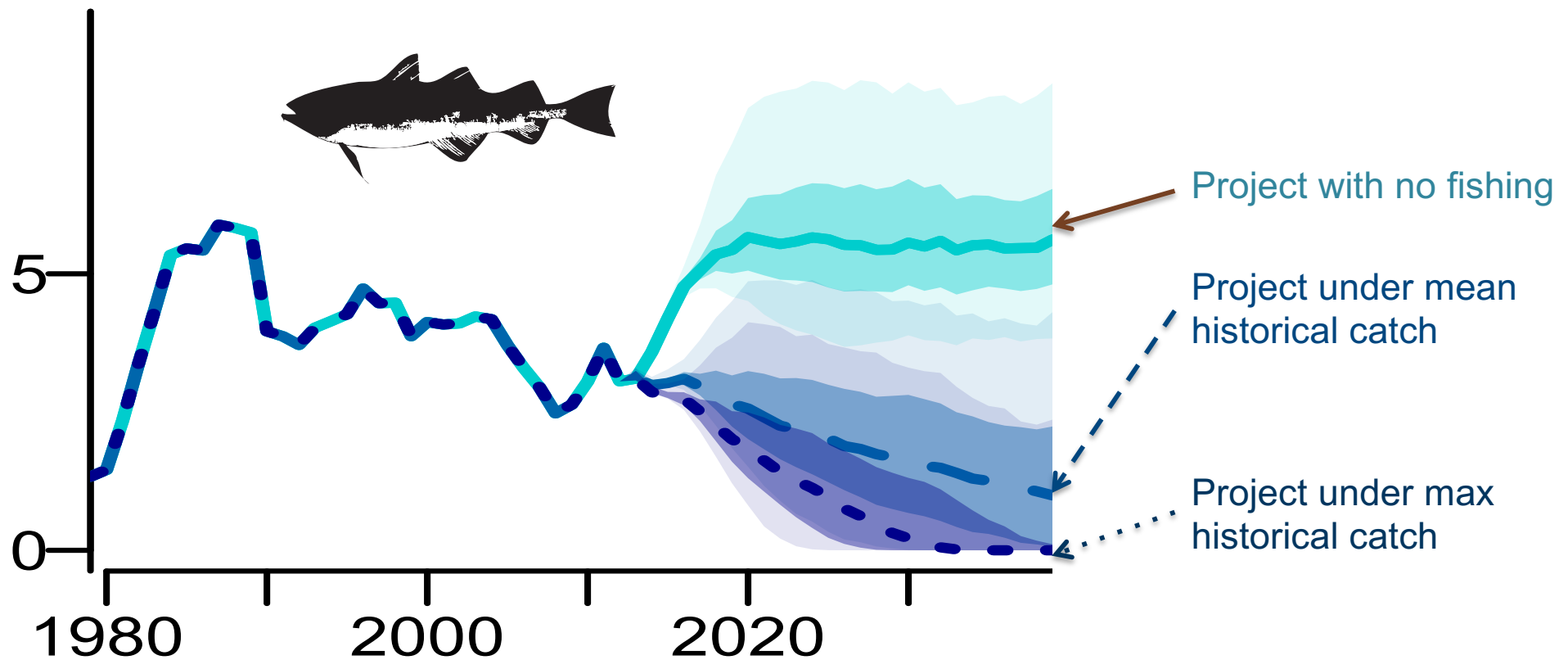
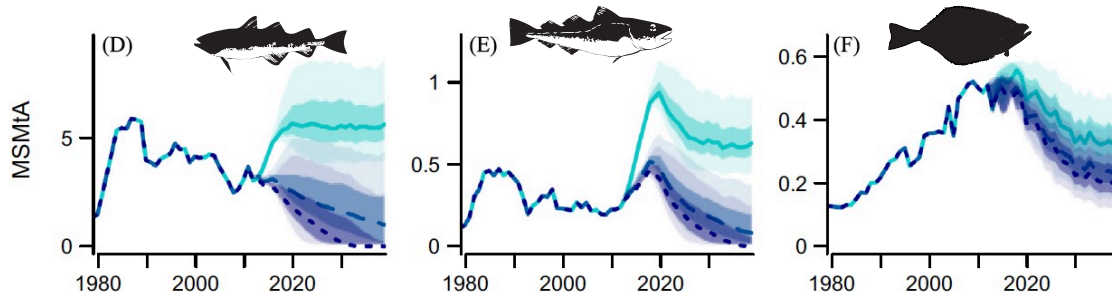
Ianelli, J KK Holsman, AE Punt, K Aydin (2015). Multi-model inference for incorporating trophic and climate uncertainty into stock assessment estimates of fishery biological reference points. Deep Sea Res II. DOI: 10.1016/j.dsr2.2015.04.002



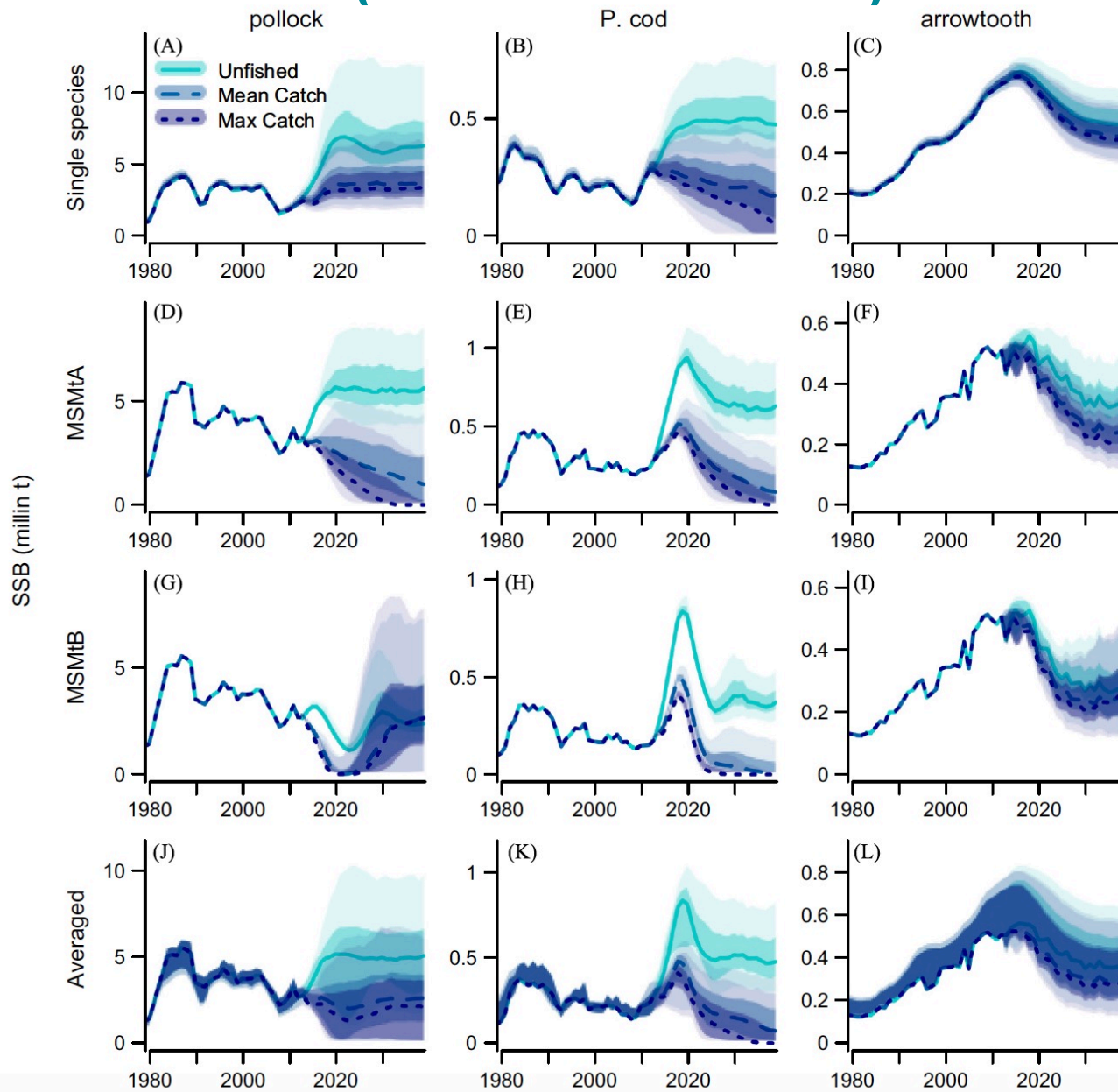
# CEATTLE: Single-species

Mean R

Temp → W@A

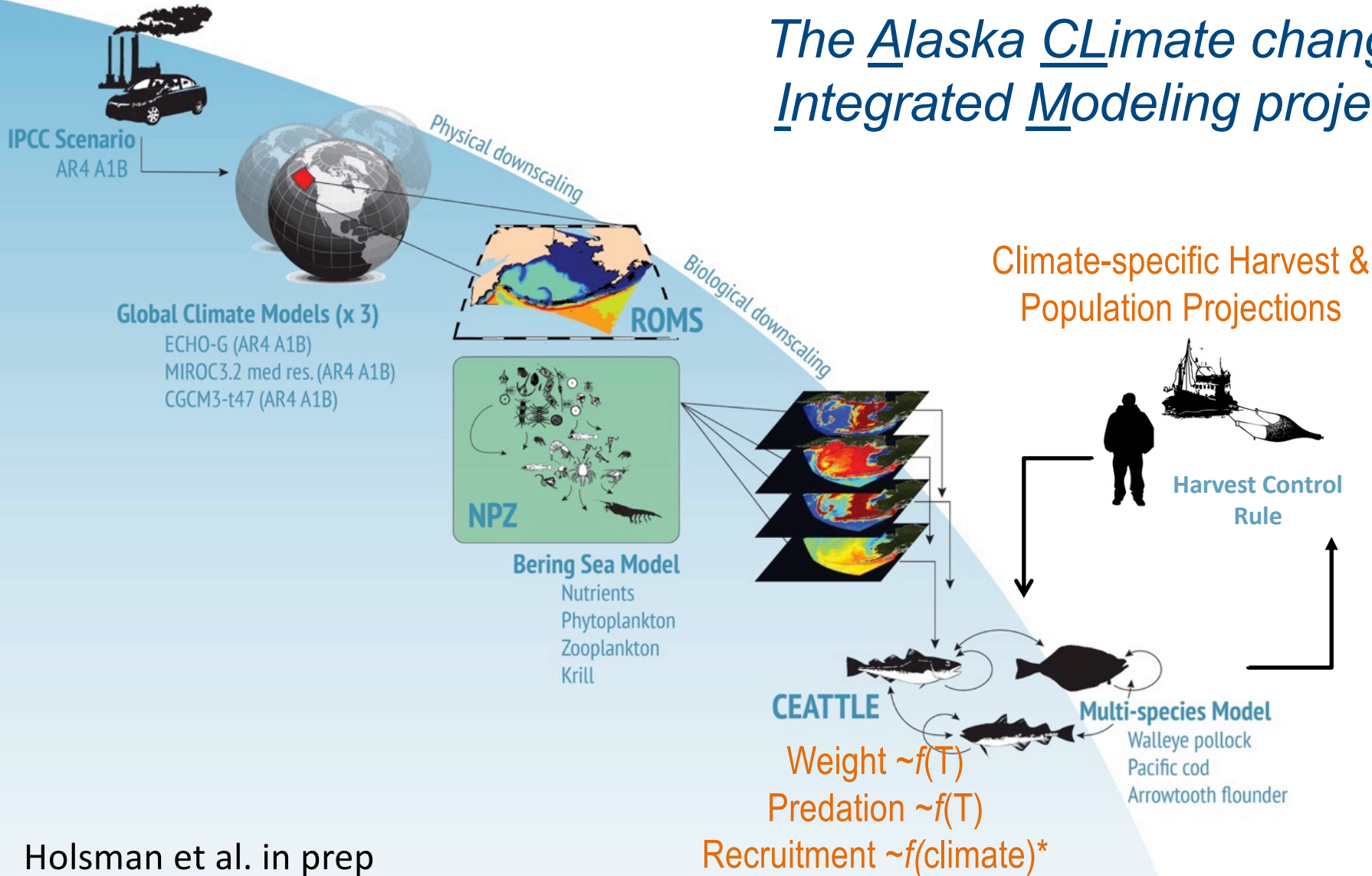


# Blended results (all three models)



# ACLIM

## The Alaska Climate change Integrated Modeling project



Holsman et al. in prep



# ACLIM: Alaska Integrated Modeling Project



## ACLIM

### Alaska Climate Integrated Modeling Project

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 Jonathan Reum (UW SAFS)  
 Amanda Faig (UW SAFS)

FATE: Fisheries & the Environment  
 SAAM: Stock Assessment Analytical Methods  
 S&T: Climate Regimes & Ecosystem Productivity

#### Global Climate Models (x 7)

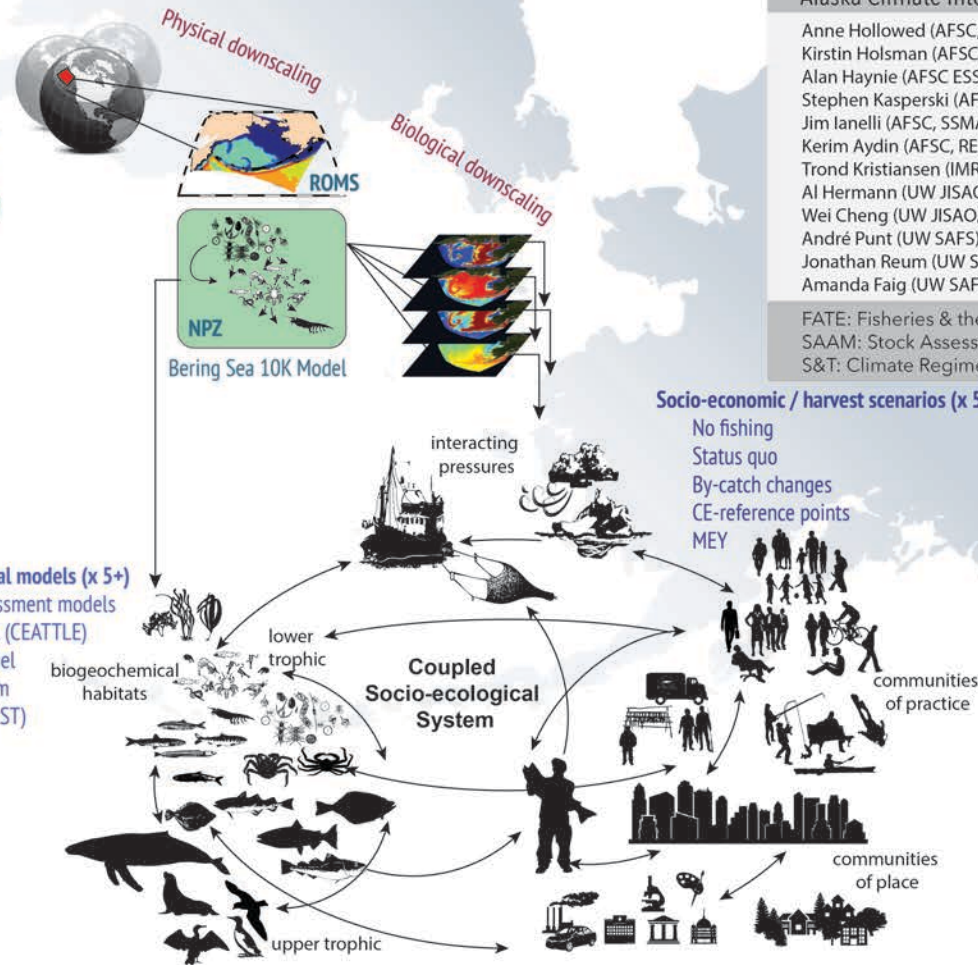
ECHO-G  
 MIROC3.2 med res.  
 CGCM3-t47  
 CCSM4-NCAR-PO  
 MIROCESM-C-PO  
 GFDL-ESM2M\*-PO  
 GFDL-ESM2M\*-PON

#### Projection Scenarios (x3)

AR4 A1B  
 AR5 RCP 4.5  
 AR5 RCP 8.5

#### Climate Enhanced Biological models (x 5+)

CE- single species assessment models  
 CE- multispecies model (CEATTLE)  
 CE - Size spectrum model  
 CE- Ecopath with Ecosim  
 End-to-End model (FEAST)



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# CEATTLE Applications

- Appendix to BSAI pollock assessment
- M2 index for ecosystem status report
- Research:
  - ACLIM – climate MSE
  - Lenfest – NFS
  - Lenfest ocean wealth
  - Overlap- G. Carroll
- FEP: projections under climate change
- Bering Seasons: forecasts under 9mo



## Grant Adams – GOA & Rceattle (TMB)



FATE 2016:

Development and application of a climate enhanced multi-species stock assessment model for the Gulf of Alaska to evaluate alternative harvest strategies under climate change.

PIs: Kirstin Holsman\*, Martin Dorn, Ingrid Spies, Jim Ianelli, André Punt

Collaborators: Kerim Aydin & Anne Hollowed



