



**UNITED STATES DEPARTMENT OF COMMERCE**  
**National Oceanic and Atmospheric Administration**  
NATIONAL MARINE FISHERIES SERVICE

AGENDA ITEM B-4  
SEPT./OCT. 94

**NATIONAL MARINE FISHERIES SERVICE ENFORCEMENT REPORT  
FOR THE PERIOD JUNE 01, 1994 THROUGH SEPT. 30, 1994**

During the reporting period NMFS and the Coast Guard opened a total of 158 investigations. NMFS initiated 131 investigations.

Further actions were also taken on 235 pending case during the reporting period. 118 investigations were closed as unfounded. One investigation was closed due to lack of enforcement resources. Four cases were handled with written warnings. Four cases were suspended and 3 cases were referred to other regions or agencies. Twenty nine cases were handled with written warnings and 28 cases were settled with summary settlement penalties totalling \$34,675. Sixty four cases were settled through voluntary abandonment with forfeited proceeds and property valued at \$72,000. Thirty six cases were referred to NOAA General Counsel. General Counsel issued 14 Notices of Violation assessing a total of \$69,983 in penalties. An additional 10 Notices of Violation were settled by General Counsel with penalties totalling \$28,000.

IFQ PROGRAM PREPARATIONS

All 5 Special Agent fraud investigators have been hired for the IFQ program. Application fraud cases continue to be investigated.

The 19 Enforcement Officer positions have been announced and filled. Initial basic training will begin October 03 at the Federal Law Enforcement Training Center, in Glynco, GA. NMFS specific training will begin on January 03 at Kodiak, AK. with completion scheduled for early spring.

Special Agents from the Alaska Enforcement Division have traveled to several national and international locations to meet with our law enforcement counterparts to review existing IFQ programs and discuss successful and unsuccessful strategies. This has proven very enlightening as we develop our own plans and has given us valuable insights into a broad spectrum of potential problem areas and possible solutions.

SEPTEMBER HALIBUT OPENING

During the recent halibut opening NMFS AED personnel encountered numerous violations. The vast majority were very minor halibut overage cases ranging in the one to one hundred pound category. These cases were handled by voluntary abandonment of the overage when reported by the vessel/processor prior to government boarding. Major cases were made in the following categories and numbers:

Use/possession of crucifiers - 6	Early fishing for halibut - 1
Non continuous transit - 2	Fraudulent logbook - 1



Substantive DCPL recordkeeping violation - 1

Closed Area/Major overage with full load seizure - 1

Follow up investigation continues on some of these cases as well as others not listed.



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NORTH PACIFIC FISHERIES MANAGEMENT COUNCIL

U. S. COAST GUARD ENFORCEMENT REPORT  
6/1/94 - 8/31/94

1. ENFORCEMENT/SAFETY SUMMARY.

A. INTERNATIONAL FISHERIES.

1. US-RUSSIAN CONVENTION LINE. Approximately 35 trawlers from Japan(JA), Republic of Korea(KS), and Russia have been operating this summer in the Russian Zone along the US/RS Convention Line north of the "donut." The threat of illegal encroachment in our zone has increased this year over last. An increased Coast Guard air and cutter presence, reinvested from a reduced threat in high sea driftnet area, has been used to monitor activity along the line. There have been no incidents with either JA or KS trawlers; there have, however, been 2 cases involving Russian trawlers. On 7 July the trawler/processor MARIYA was boarded and issued citations by CGC MELLON for improper gear stowage and conducting fish processing operations 3 miles inside the U.S. EEZ. Then on 30 July the trawler/processor IOLANTA was observed, at night by a helicopter from MELLON, trawling 3 miles inside the U.S. EEZ. The helicopter was able to videotape IOLANTA cutting its gear. IOLANTA then fled, ignoring orders to stop from MELLON, which was in "hot pursuit." After 6 hours MELLON was forced to break off hot pursuit at the Russian territorial sea near Cape Navarin. Coast Guard evidence case packages and videotape from these incidents have been provided, via Department of State, to Russian officials for further flag state review and action. There were no further incidents in August, during which the Coast Guard maintained both overt and covert air and cutter patrols.

2. DIXON ENTRANCE. Coast Guard Group Ketchikan used 110 foot Coast Guard patrol boats from Ketchikan, Petersburg, Juneau, and Seward to deter Canadian vessels from fishing in undisputed U.S. waters. A continuous patrol presence was maintained from mid-June to mid-August in the high threat area between Ketchikan and Dixon Entrance. Two patrol boats were underway in the area during peak periods of activity. Air Station Sitka provided helicopter surveillance. The Coast Guard seized three Canadian vessels for illegal fishing in U.S. waters during the season. On 29 June the 30' CA gillnetter SHELLY ELAINE was seized by CGC MUSTANG for fishing a half mile inside U.S. waters on the east

side of Dixon Entrance; the vessel was escorted to Ketchikan, forfeited its catch (537 lb salmon), and was released following \$7K settlement. On 5 July the 34' CA gillnetter STORMY MONDAY was seized by CGC NAUSHON for fishing over 4 miles inside U.S. waters near Cape Fox; the vessel was escorted to Ketchikan, forfeited its catch (784 lb salmon), and was released following \$11.5K settlement. On 12 July, the 29' CA gillnetter ROLLING THUNDER was seized by NAUSHON for fishing over one mile inside U.S. waters near Garnet Point; the vessel was escorted to Ketchikan, forfeited its catch (868 lb salmon), and was released following \$9.5K settlement. Reports from U.S. fishing vessels greatly assisted the Coast Guard in monitoring CA fishing activity. The increase in seizures this year was NOT a result of heightened tensions over the Pacific Salmon Treaty. It is more appropriately attributed to increased activity in Dixon Entrance by Vietnamese-Canadian crews, who have had a history of disregard for navigation and fisheries regulations in British Columbia. Two of the three seizures involved Vietnamese-Canadian crew. Good historical working relationships established over the past few years between the U.S. Coast Guard and CA DFO officials in Prince Rupert prevented heightened Salmon Treaty tensions from erupting into operational incidents on the grounds.

3. DONUT. The Coast Guard continues to monitor the Central Bering Sea to ensure compliance with the current moratorium on fishing agreed to by the coastal states (U.S and Russia) and the four historical fishing nations (Japan, Republic of Korea, Poland, and People's Republic of China). The Coast Guard has observed no fishing activity by either signatory or other flag states in 1994. Recommended boarding and reporting procedures supporting the ad referendum agreement on fishing in the Central Bering Sea were developed by the U.S. and distributed earlier this month in Moscow at a meeting attended by all parties. These will be further reviewed at a meeting of Law Enforcement representatives expected to be schedule in early 1995.

4. HIGH SEAS DRIFTNETS. 1994 has been quiet; the 1993 incidents involving People's Republic of China (CH) flagged vessels have not been repeated. The Coast Guard has used 134 cutter days and 223 C-130 aircraft hours patrolling the high threat areas of the North Pacific. We have observed no indications of violation of the U.N. Moratorium on large scale pelagic driftnetting on the high seas. The Coast Guard has also maintained coordination with NMFS and the enforcement representatives of the North Pacific Anadromous Fisheries Commission (NPAFC) to ensure effective use of our combined patrol assets. Three CH shipriders also rode USCG cutters this year in accordance with the "1994 Memorandum of Understanding between the U.S. and CH on Effective Cooperation and Implementation of the UN Moratorium." The Coast Guard expects to continue patrols in 1995, but at resource levels consistent with an updated threat assessment. Initiatives to extend the CH shiprider agreement are also being discussed.

## B. DOMESTIC FISHERIES.

1. HALIBUT OPENERS. Coast Guard cutters and aircraft provided a visible presence for the 6 Jun halibut opener in the Gulf of Alaska. Over 270 boardings were conducted; the compliance rate for this opener was noticeably higher than in previous years. In response to projected increased fishing activity, the Coast Guard used 2 cutters and air surveillance to also patrol the mid August openers in Areas 4A, 4B, and 4D. A preliminary review of the September halibut/sablefish opener indicates high compliance in close to 200 CG boardings conducted. Coast Guard resources were kept busy with Search and Rescue activity; there were 7 vessel sinkings and 1 life lost during the opener. An Astoria HU25 falcon jet was deployed to Sitka for both the June and September openers.

2. SALMON SAVINGS AREA. During Pollock-B Coast Guard C-130's and cutters with deployed HH65 helos have been monitoring compliance with the new salmon savings area north of Unimak Pass. Compliance has been excellent with no observed closed area violations through the end of August.

C: FISHERIES TRAINING TEAM. Subject team is being "stood up" at Kodiak. LT Mike Cerne, previously assigned as Operations Officer CGC YOCONA, is the unit Commanding Officer. Personnel have started arriving to fill the fourteen billets. Course material is being developed and spaces have been rehabed at one of the former barracks buildings. An initial class to "train the trainers" has been scheduled for 10-21 October; instructors from CG, NMFS Enforcement, NMFS Management, NMFS Observer Program, NOAA General Counsel, NPFMC Staff, ADF&G, and Industry are expected to participate.

D. FISHING VESSEL SAFETY. Though any loss of life is tragic, 1994 statistics continue to show encouraging industry trends. From 1987 to 1992 the commercial fishing industry in Alaska averaged 36 deaths; in 1993 the number dropped to 18. Through 23 September 94 the number is 12 (included 1 death in the September halibut opener). Of particular note is lives saved from vessel losses. Thirty three vessel losses (including 7 in the September halibut opener) have resulted in 3 deaths and 119 lives saved.

2. PATROL STATISTICS (1 JUN - 31 AUG)

- a. 3 High Endurance Cutter (HEC) patrolled for 69 days.
- b. 2 Medium Endurance cutters (MEC) patrolled for 32 days.
- c. 5 Patrol boats patrolled for 136 days.
- d. 5 Bouy Tenders patrolled for 25 days.
- e. C-130 aircraft conducted 137 long-range surveillance flights for 994.5 hours.

f. Foreign vessels:

57 = Sightings  
5 = Boardings  
5 = Violations

g. Domestic vessels:

2953 = Sightings  
397 = Boardings  
22 = Violations (Fishery)  
84 = Violations (Safety)

- Encl: (1) List of fishing vessels boarded with no fishery violations.  
(2) List of fishing vessels boarded with fishery violations.

VESSELS BOARDED WITH NO FISHERY VIOLATIONS

BRUSO 07/23/94  
BUCK & ANN 06/08/94  
BUD 06/07/94  
C BIS KIT 06/05/94  
C'EST LA VIE 06/07/94  
C-RAINE 07/06/94  
CAPE BLANCO 06/04/94  
CAPE CROSS 06/04/94  
CAPE OMMANEY 06/05/94  
CAPT'N ART 06/08/94  
CARAVELLE 07/11/94  
CAREFREE 06/07/94  
CARLYNN 06/07/94  
CAROL ANNE 06/07/94  
CAROLE B 06/08/94  
CAROLINA BOY 07/12/94  
CEST LA VIE 08/04/94  
CHARLES T 06/16/94  
CHEYENNE 06/05/94  
CHEYENNE 06/09/94  
CHINOOK 06/05/94  
CHRISDEE LYNN 06/07/94  
CHRISTINA T 06/05/94  
CINNABAR 06/08/94  
CLARENA 06/04/94  
CLIPPER ENDEAVOR 06/08/94  
COLUMBIA 06/25/94  
COMPETITION 06/07/94  
CONSTELLATION 07/18/94  
CORNELIA MARIE 08/27/94  
CORNELIA MARIE 06/08/94  
COUGAR 06/08/94  
CRANE 06/08/94  
CUB POINT 06/05/94  
DAY SPRING 06/07/94  
DAYBREAK 06/08/94  
DEFIANCE/932099 07/18/94  
DEFIANCE/609670 06/08/94  
DEFIANT 06/04/94  
DELTA 06/08/94  
DESIRE 06/07/94  
DESTINY 06/05/94  
DIAMOND HEAD 06/05/94  
DIRTY SALLY 06/06/94  
DOLPHIN 06/05/94  
DREAM CHASER 06/07/94  
DREAM MAID 06/05/94  
DRU DROP 06/10/94  
EARLY DAWN 08/27/94  
ECHO BELLE 06/08/94  
ECLIPSE 06/06/94  
ERIK MEGAN 06/08/94  
EVENING STAR 06/07/94  
FAIRLIGHT 06/08/94

AK1863M 06/05/94  
20 GRAND 06/07/94  
ALASKA GIRL 06/05/94  
ALASKA VICTORY 07/07/94  
ALASKA WARRIOR 07/07/94  
ALASKAN LADY 06/08/94  
ALASKAN SHORES 06/09/94  
ALASKAN SHORES 06/06/94  
ALASKAN DREAM 06/05/94  
ALEUTIAN SPEEDWELL 08/23/94  
ALEXANDRIA C 06/09/94  
ALKI 06/08/94  
ALLIANCE 06/09/94  
ALLISON MARIE 06/04/94  
ALYESKA 08/27/94  
AMERICAN ENTERPRISE 07/07/94  
AMERICAN NO. 1 07/08/94  
AMERICAN TRIUMPH 08/03/94  
AMERICAN TRIUMPH 08/03/94  
AMERICANS 06/07/94  
ANAPILAR 07/07/94  
ANITA J 08/17/94  
ANNA B 06/05/94  
ANNA MARIE 06/08/94  
FLOUISE 06/05/94  
ANTARCTIC 06/04/94  
ANTIONETTE RENAE 07/06/94  
ARCTIC III 08/15/94  
ARMINTA 06/04/94  
ARROW 06/05/94  
ARVILLA-ANN 06/08/94  
ASHLEY 06/08/94  
ASSIDUOUS 06/05/94  
AURORA 08/26/94  
BADGER 06/05/94  
BARANOF 08/03/94  
BERING ENTERPRISE 07/26/94  
BERYL-E 06/08/94  
BETSY 06/04/94  
BIG MAC 06/07/94  
BILLY MARIE 06/15/94  
BLAZER 07/06/94  
BLUE FIN 08/27/94  
BLUE MIST 07/11/94  
BOLUB-EF 06/05/94  
BONNY JEAN 06/08/94  
BOUNTIFUL/571838 06/05/94  
BOUNTIFUL/593404 08/03/94  
BOUNTIFUL/596139 07/08/94  
KERS EDGE 06/04/94  
BROWN'S POINT 08/05/94







06/07/94	SUNRISE
06/09/94	SUNRISE
08/17/94	SUNRUNNER
08/26/94	SUNSET BAY
06/08/94	SUPERIOR
06/08/94	SUPERSONIC
06/05/94	SUPERSONIC
06/07/94	SUZI Q
07/26/94	SWEET LISA
06/08/94	SWEET P
06/05/94	SWEET SEA
06/08/94	SYMPHONY
06/08/94	SYWASH
06/05/94	T-MIKE
06/08/94	TALON
06/08/94	TALON
06/08/94	TANA C
06/09/94	TARKA
06/05/94	TEMPATION
06/05/94	TEREK
06/07/94	TERN
07/06/94	THALASSA
06/07/94	THE EDGE
07/08/94	THE HUNGREY RAVEN
06/07/94	TIFFY
06/06/94	TINA
06/07/94	TONKA II
06/08/94	TOPAZ
07/28/94	TRACY ANNE
06/06/94	TROPIC
06/08/94	TUMAN
06/08/94	TUNA II
07/20/94	VAERDAL
06/08/94	VALKRYE
06/08/94	VALLE LEE
06/08/94	VIEW POINT
06/08/94	VIKI RAE
06/07/94	VIKING
06/06/94	VIKING MAID
06/04/94	VINDICATOR
06/05/94	VIXEN
06/07/94	WAT-EVER
06/04/94	WESTERN QUEEN
07/13/94	WESTERN STAR
06/08/94	WILLIE LEE II
06/07/94	WIND DANCER
07/08/94	WINONA J
06/09/94	WOOLY BEAR
06/02/94	YAQUINA
06/05/94	YENDUCER
06/08/94	ZAREMBO
07/09/94	ZOLOTOI

VESSELS BOARDED WITH FISHERY VIOLATIONS

ALASKA DAWN	31 AUG 94	SHERMAN	1-RETENTION OF A PROHIBITED SPECIES.
ALASKA GEM	08 JUN 94	STA KETCHIKAN	1-RETENTION OF UNDERSIZE HALIBUT.
ALEUTIAN PRIBILOF III	15 AUG 94	JARVIS	1-VIOLATION OF IPHC FAIR START RULE. 2-NO IPHC LICENSE ON-BOARD.
ANGELA K	04 JUN 94	STA KETCHIKAN	1-RETENTION OF UNDERSIZE HALIBUT.
CONSTITUTION	07 JUN 94	ACTIVE	1-RETENTION OF HALIBUT WITHOUT AN IPHC PERMIT.
DONA PAULITA	31 AUG 94	SHERMAN	1-FAILURE TO MAINTAIN LOGS.
EXCELL	27 JUN 94	MELLON	1-FAILURE TO MAINTAIN LOGS.
GUIDING STAR	07 JUN 94	ACTIVE	1-RETAINING GROUND FISH WITHOUT FEDERAL GOUND FISH PERMIT. 2-FAILURE TO MAINTAIN LOGS. 3-EXCEEDING DIRECTED FISHING STANDARDS FOR PACIFIC COD.
IOLANTA (UR)	30 JUL 94	MELLON	1-UNAUTHORIZED FISHING IN THE EEZ.
LEGEND	12 AUG 93	AIRSTA KODIAK	1-TRANSITING INSIDE A SEALION ROOKERY.
LINDA	16 AUG 94	JARVIS	1-TRANSITING INSIDE A SEALION ROOKERY. 2-THE USE OF AN AUTOMATIC HOOK STRIPPER.
MAGGY J	05 JUN 94	FIREBUSH	1-RETENTION OF UNDERSIZE HALIBUT. 2-THE USE OF AN AUTOMATIC HOOK STRIPPER.
MARIYA (UR)	07 JUL 94	MELLON	1-RETENTION OF PROHIBITED SPECIES. 2-FISHING U.S. EEZ WITHOUT A PERMIT. 3-GEAR STORAGE.
MELISSA MARIE	07 JUN 94	ROANOKE ISLAND	1-NO IPHC LICENSE ON-BOARD.
PACIFIC BREEZE	16 JUL 94	MELLON	1-TRANSITING/ANCHORING INSIDE A ROOKERY.
ROLLING THUNDER (CA)	12 JUL 94	NAUSHON	1-FISHING IN U.S. WATERS WITHOUT PERMITS.
ROYAL ATLANTIC	02 JUN 94	ACTIVE	1-FAILURE TO MAINTAIN LOGS.
ROYAL BARON	02 JUL 94	ACTIVE	1-FISHING PRIOR TO A SCHEDULED OPENING.
SEA AIR	08 JUN 94	NAUSHON	1-NO IPHC LICENSE ON-BOARD.
SHELLY ELAINE (CA)	29 JUN 94	MUSTANG	1-FISHING IN U.S. WATERS WITHOUT PERMITS.
SONYA S	25 JUL 94	YOCONA	1-FAILURE TO HAVE REQUIRED NMFS OBSERVER COVERAGE.
S Y MONDAY (CA)	03 JUL 94	NAUSHON	1-FISHING IN U.S. WATERS WITHOUT

PERMITS.

TERN	07 JUN 94	ROANOKE ISLAND	1-RETENTION OF UNDERSIZED HALIBUT.
TRAVELER II	08 JUN 94	STA KETCHIKAN	1-RETENTION OF UNDERSIZE HALIBUT.
YAKUTAT EAGLE	04 JUN 94	WOODRUSH	1-NO IPHC LICENSE ON-BOARD.
VIKING	02 JUN 94	ACTIVE	1-DIRECTED FISHING FOR SPECIES IN BYCATCH STATUS. 2-RETENTION OF A PROHIBITED SPECIES.
WESTERN DAWN	03 SEP 94	YOCONA	1-FAILURE TO SUBMIT LOGS.