2022 Draft Partial Coverage Annual Deployment Plan
for Observers and Electronic Monitoring in the Groundfish and Halibut Fisheries off Alaska

Predicted Fishing Effort and Comparison of Alternative Designs

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Craig H. Faunce¹, Phil Ganz², and Geoff Mayhew³

¹ National Marine Fisheries Service, Alaska Fisheries Science Center, Seattle
² National Marine Fisheries Service, Alaska Regional Office, Juneau
³ Pacific States Marine Fisheries Commission, Seattle
Observer and EM Monitoring

- CVs not in full coverage
- CVs fishing halibut IFQ or CDQ
- CVs fishing sablefish IFQ or fixed gear CDQ
- Few small C/Ps

- Majority of C/Ps
- MS and CVs in AFA or CDQ (unless in EFP)
- CVs in CDQ (except sablefish or pot CVs)
- CV in GOA Rockfish

- Volunteer vessels from full and partial coverage
- EM to verify no or low discard
- Sampling of random trips at processing plants
- 2020-2023 under EFP; expect regulation for 2024

Partial Coverage Observer Program

- Volunteer vessels
- 169 currently in pool
- NPFMC has approved expansion

Pelagic Pollock EM EFP

Fixed-Gear EM Program

Catch Estimation and biological samples
In response to changes in Alaska’s health advisories and widely available vaccinations, Partial Coverage was altered from the initial 2021 ADP to include the following:

- Coverage has been expanded to all ports;
  - Port-specific coverage for COVID protocols introduced bias, created data gaps, and was not cost efficient.
- Trip-specific waivers for reduced travel availability or if no observer is available; and
- Supplemental shoreside observers are no longer being deployed for GOA pollock.

The vast majority of partial coverage observers are fully vaccinated, so the need for quarantine is rare.

Temporary rule allowing increased flexibility in observer coverage will expire March 26, 2022.

This is our starting point for the Draft 2022 ADP.
How NOAA Fisheries intends to assign observer and electronic monitoring resources to vessels in the partial coverage category

The [every year] Draft ADP Analysis is focused on presenting several variants of the partial coverage fishery monitoring program for comparison
2022 DRAFT Annual Deployment Plan

Council Recommendations from the June 2021 Motion:

1. Maintain the three gear-based strata (pot, longline, trawl).
2. Evaluate trip-based vs port-based deployment. Evaluation of port-based deployment should maintain the existing 14 ports and reflect updated COVID-19 rules (e.g., vaccination status may alleviate need for 14-day quarantine and allow movement among ports).
3. Maintain the 15% baseline hurdle for each gear type and optimize such that all additional observer days above the baseline coverage level are placed on trawl gear. The Council supports evaluation of the FMAC suggestion to ensure optimization days if funding alone is not sufficient, as practicable.
4. Additional fixed gear electronic monitoring (EM) vessels should be added as possible under existing funds. A vessel’s ability to share EM systems in select ports should be considered as an additional criterion to prioritize new candidate EM vessels for the EM pool.

The Council further supports the May 2021 FMAC recommendations including completion of the comprehensive partial coverage cost efficiencies analysis in 2022 for implementation in the 2024 ADP and in time to inform and affect the next Federal observer contract. The Council priorities for cost efficiency in partial coverage remain: 1) completing a regulated program for use of EM for pelagic trawl in the GOA and BSAI; 2) integration of electronic monitoring into the overall monitoring of fixed gear; and 3) evaluation of different criteria to define the ‘zero selection’ pool for fixed gear. The Council recommends ongoing communication with the Council’s PCFMAC during this process.
Analytical problems

1. What will fishing effort be in 2022?
2. How to allocate afforded samples?
3. What vessels will be participating in fixed gear EM (2022)*?
4. What vessels will be participating in Trawl EM EFP*?
5. Account for variance in ODDS selection rates
6. Don’t go over budget

* Unknown until Final ADP.
Budgets:

Set at a level for 2022 that if maintained, would result in a fiscally solvent partial coverage program for the next three years.

Unlike 2021, assume observers require minimal quarantining between trips, negligible added costs.
Fishing Effort

Methods following the 2021 ADP [Ganz and Faunce (2019; NOAA/AFSC-TM 395) with modification for COVID19]:

• Fishing trends per sector (Target + Gear + FMP) in the past are compared to current fishing trends to date.

• Then, for each sector, we determine a suite of years - or single year - to extrapolate the current year’s fishing effort for the rest of the year.

• Normally, we would use this value as a guide for 2022 fishing. However, due to the impact of COVID on the market and fishing operations, the past two years may not be predictive of 2022. As was done in the 2021 ADP, we applied some variation in our estimates. This time the number of fishing trips varied ±11.2%, which is the average % that estimates have differed from realized effort over the past three years.
Allocation strategies (4 alternatives):

**Equal rates**
- Applies relative weightings to the size (effort) within each deployment stratum
  - Fishing trips with gear types that have more trips in the year get proportionally more monitored trips.
  - All logged trips get the same selection probability

**Minimum + Optimization (Status quo)**
- Applies equal rates algorithm up to a minimum coverage rate and then applies an optimization algorithm for additional monitored trips
  - Minimum coverage set to 15%
  - Optimization by combination of:
    - Discarded groundfish
    - Chinook Prohibited Species Catch
    - Halibut Prohibited Species Catch
  - Every logged trip in a *stratum* gets same selection probability; probabilities differ among strata.
Allocation strategies

Minimum + Trawl Optimization

• Applies equal rates algorithm up to a minimum coverage rate and then assigns all additional monitored trips to trawl vessels
  • Minimum coverage set to 15%
  • Every logged trip in a stratum gets same selection probability; probabilities differ among strata.

Adjusted Minimum + Optimization

• Applies strata-specific minimum coverage rates and then applies an optimization algorithm for additional monitored trips
  • Strata-specific minimum rates ensure a 95% probability of achieving the 15% threshold. Strata with fewer trips require a higher minimum rate.
  • Optimization by combination of:
    • Discarded groundfish
    • Chinook Prohibited Species Catch
    • Halibut Prohibited Species Catch
  • Every logged trip in a stratum gets same selection probability; probabilities differ among strata.
Methods Overview

Simulate 500 fishing effort ‘populations’ (versions of 2022 fishing)

For each population:
- Estimate afforded rates for each allocation method, simulating ODDS trip selections 1000 times (500,000 total)
- Evaluate allocation strategies (next slide)

Figure B-1

* Simulate effort for ADP year
  - Model sea days as a function of gear type and trip start/end
  - Variances of optimization metrics

* Zero-selection pool
  - Observer Pool
    - Trip duration
    - Average cost per trip
    - Cost per observer day
    - Available sea-day budget
  - Observer budget
  - Travel cost model

* EM Pool
  - Number of trips afforded
  - Travel cost model

* Effort Prediction (Ganz and Faunce 2019)
  - Partial coverage fishing data
    - Current year to date
    - Prior 3 full years
    - Calculate ratio of fishing date to end of year for each target + Gear + FMP (TPG)
    - ADP Estimated trip data (N-ADP-TPG)
    - Select similar past years

* Repeat i iterations
  - Apply Guess of Variation (GVF) to predicted number of trips
    - Sample trips with replacement

* Repeat j iterations
  - Trip selection simulation
    - Adjustment for tolerable risk
    - Observer monitoring rates
    - Programmed rates in ODDS

* Equal rates
  - Budget surplus for 15%
  - Budget surplus for 15% at 95% confidence

* 15% + Opt TRW
  - Weights for each stratum

* 0% trip selection
  - Model sea days as a function of gear type and trip start/end
  - Variances of optimization metrics
Methods – Evaluation

The allocation strategies were evaluated by comparing similarity indices (as in prior draft ADPs), which evaluate the expected proximity of monitored trips to similar unmonitored trips in both time and space.

Higher coverage rates lead to higher indices, but the concentration of fishing effort in time and space influence whether differences in indices are meaningful.

Similarity indices were compared for major domains, defined by gear type, FMP, and target species.

Allocation strategies do not affect EM, so there were no EM-EM comparisons.

<table>
<thead>
<tr>
<th>Analyses</th>
</tr>
</thead>
<tbody>
<tr>
<td>Observer/Observer pool discards (OB-OB)</td>
</tr>
<tr>
<td>Observer/Zero pool discards (OB-ZE)</td>
</tr>
<tr>
<td>Average weight (OB-EM)</td>
</tr>
<tr>
<td>EM pool discards (EM-EM)</td>
</tr>
</tbody>
</table>
Results
Results - Fishing Effort

Figure B-2

![Graph showing fishing effort estimates from this analysis. The graph displays a downward trend in partial coverage trips from 2018 to 2020, with a notable decrease around the year 2021. The graph highlights estimates from this analysis.]
The **preliminary** observer budget affords more sea days with less money than was planned for the 2021 Final ADP (2,419 days with $4.484 M).

The lower cost per observer day in this ADP is possible because of cheaper deployment (no more quarantine protocols) and the expectation that more option days (which are less expensive) will be afforded.

<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td>$5.119 M</td>
<td>$1 M</td>
<td>$4.119 M</td>
<td>2,693</td>
<td>$1,529.48</td>
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</table>

**Table B-2**
## Results – Selection Rates

<table>
<thead>
<tr>
<th>Allocation scheme</th>
<th>Strata</th>
<th>N</th>
<th>$W_{h, opt}$</th>
<th>Confidence</th>
<th>Days</th>
<th>Rate</th>
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</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Level</td>
<td>Rate Req'd</td>
<td>Hurdle</td>
</tr>
<tr>
<td>Equal Rates</td>
<td>HAL</td>
<td>1,178</td>
<td>0.2410</td>
<td>0.50</td>
<td>15.00</td>
<td>922</td>
</tr>
<tr>
<td></td>
<td>POT</td>
<td>990</td>
<td>0.0747</td>
<td>0.50</td>
<td>15.00</td>
<td>851</td>
</tr>
<tr>
<td></td>
<td>TRW</td>
<td>681</td>
<td>0.6842</td>
<td>0.50</td>
<td>15.00</td>
<td>330</td>
</tr>
<tr>
<td>15% + Opt</td>
<td>HAL</td>
<td>1,178</td>
<td>0.2421</td>
<td>0.95</td>
<td>16.86</td>
<td>1,036</td>
</tr>
<tr>
<td></td>
<td>POT</td>
<td>990</td>
<td>0.0749</td>
<td>0.95</td>
<td>17.04</td>
<td>967</td>
</tr>
<tr>
<td></td>
<td>TRW</td>
<td>681</td>
<td>0.6831</td>
<td>0.95</td>
<td>17.52</td>
<td>386</td>
</tr>
<tr>
<td>15% Opt TRW</td>
<td>HAL</td>
<td>1,178</td>
<td>0.2431</td>
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</table>
Results – Similarity Analyses

Similarity indices are scaled between 0 and 1 and describe the average proximity of monitored trips to unmonitored trips.

The following figures show the distribution of the average similarity indices from each of the 500 simulated populations.

Error bars represent then 0.025 and 0.975 quantiles (middle 95% of outcomes).

<table>
<thead>
<tr>
<th>Score</th>
<th>Category</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.00</td>
<td>C</td>
<td>All trips monitored (&quot;Covered&quot;)</td>
</tr>
<tr>
<td>0.75</td>
<td>A</td>
<td>Within 15 days of monitored trip in same NMFS Area</td>
</tr>
<tr>
<td>0.25</td>
<td>F</td>
<td>Within 45 days of monitored trip in same FMP</td>
</tr>
<tr>
<td>0.00</td>
<td>Y</td>
<td>‘Year-to-Date’, i.e. &gt; 45 days and/or FMP</td>
</tr>
</tbody>
</table>
Results – Summary

For each domain, scale the allocation schemes relative to the allocation strategy with the highest average similarity score. **Darker** = lower score (want high scores)

**Equal Rates**

highest scores for the fixed-gear strata,

**15% + Opt TRW**

highest scores for the Trawl stratum.

**15% + Opt** is similar to **15% + Opt TRW**

**15% + Opt 0.95** is intermediate to **Equal Rates** and **15% + Opt TRW**, with the added benefit of a high probability of meeting the 15% minimum rate.
NMFS Recommendations - EM

Fixed Gear EM trip-selection pool:

• Requests to opt in (or out) of the EM selection pool for 2022 must be received by November 1, 2021.

• NMFS will inform operators as to adherence to approved VMP; vessels which do not adhere to their VMP may not be eligible to participate in the following year.

• Expect the EM pool size to be maintained from 2021. If funding is insufficient to accommodate all the vessels that request to participate in the EM selection pool, NMFS will prioritize placement in the EM selection pool as follows:
  • vessels that are already equipped with EM systems;
  • vessels that are cost effective for EM and unlikely to introduce large data gaps; and
  • vessels 40-57.5 ft LOA where carrying an observer is problematic due to bunk space or life raft limitations.

Trawl EM Trip-Selection Pool

• NMFS will continue to support the Trawl EM EFP.
• NMFS has offered to supplement shore-based observer coverage in the GOA, if needed.
NMFS Recommendations - EM

Support Fixed Gear Mobile EM System Testing:

- Phase 1 currently being conducted and results are pending
- Phase 2 will test the effectiveness of the mobile EM systems with 12 vessels that are either in the zero selection pool or in the Observer Trip Selection pool.
  - If a Phase 2 vessel is selected for observer coverage, NMFS could waive the observer coverage on that trip.

Support EM Projects that Receive NFWF Funding:

- NMFS will support projects that receive NFWF funding to test EM innovations in 2022. The expectation is that these projects will known in time to be incorporated in the final ADP.
NMFS Recommendations - Observer Coverage

No-selection pool
As in previous deployment plans, NMFS recommends the no-selection pool continue to be composed of fixed-gear vessels less than 40 ft LOA and vessels fishing with jig gear, which includes handline, jig, troll, and dinglebar troll gear.

Observer trip-selection pool
NMFS recommends 3 sampling strata for the deployment of observers into partial coverage for 2022:
- Hook-and-line vessels greater than or equal to 40 ft LOA,
- Pot vessels greater than or equal to 40 ft LOA, and
- Trawl vessels

Waivers
NMFS may release trips from observer coverage on a trip-by-trip basis consistent with the June 17, 2021 updated NOAA Fisheries observer waiver policy.
NMFS Recommends an observer deployment allocation strategy of an adjusted 15% plus optimization based on discarded groundfish, halibut PSC, and Chinook PSC.

As a preliminary budget for the draft ADP, NMFS estimated total expenditures in 2022 of $5.119M resulting in estimated coverage rates of:

- Hook-and-line – 18.21%
- Pot – 17.48%
- Trawl – 28.10%
- Fixed Gear EM – 30%
- Trawl EM EFP – 100% EM (plus shoreside monitoring of 30% GOA and 100% in BS)

*These coverage rates are preliminary estimates and will differ from rates determined in the final ADP.*
NMFS Recommendations

For 2023, NMFS recommends maintaining current **stratification scheme** (e.g. observer strata defined by gear) and **allocation strategy** of an adjusted 15% plus optimization based on discarded groundfish, halibut PSC, and Chinook PSC.

- This approach would allow staff time to conduct the integrated analysis for the 2024 Draft ADP.
- NMFS would not evaluate new stratification scenarios nor allocation strategies for 2023.
- NMFS **would** provide the PCFMAC and the Council with an update on the expected budget and coverage rates for 2023.
Adjusted 15% + Opt

Strata with fewer trips require a higher rate to provide the same probability of realizing the 15% hurdle.

To have a 95% probability of selecting at least 15% of trips:

1000 trips require 17%
500 trips require 18%
Results – Hook-and-Line

**OB-OB**

**GOA Halibut** and **GOA Sablefish**.
Equal rates & 15% + Opt 0.95 > 15% Opt TRW

**BSAI Halibut**
Equal rates > 15% Opt TRW

15% + Opt & 15% + Opt 0.95 were similar to 15% + Opt TRW.

**OB-EM** and **OB-ZE**
**GOA Halibut**
Equal rates > 15% + Opt TRW
Results – Pot

**OB-OB**

**BSAI P. Cod**
Equal rates & 15% + Opt 0.95 > 15% Opt TRW

**GOA Sablefish**
Equal rates & 15% + Opt 0.95 > 15% + Opt & 15% Opt TRW

**OB-EM and OB-ZE**
No major differences
Results – Trawl

OB-OB

BSAI P. Cod, GOA P. Cod and GOA Pollock

15% Opt TRW & 15% + Opt > Equal rates

15% + Opt 0.95 overlapped with all other allocation strategies