

## **NOAA FISHERIES**



# Office of Law Enforcement Alaska Enforcement Division

June Report To
North Pacific Fisheries Management Council



October 2023 to March 2024
Report fisheries or marine mammal violations,
call our National Hotline at 1-800-853-1964
https://www.fisheries.noaa.gov/topic/enforcement

# NOAA Fisheries, Office of Law Enforcement, Alaska Division Report to the North Pacific Fishery Management Council

June 2024

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## 1. Enforcement Operational Highlights

From October 1, 2023, to March 31, 2024, the Office of Law Enforcement (OLE), Alaska Division (AKD) conducted extensive patrols for the purposes of enforcement and education. In addition to daily dockside and vessel patrols, AKD conducted several multi-day patrols. Patrols were often coordinated with partners including U.S. Customs and Border Protection (CBP), U.S. Fish and Wildlife Service (USFWS), U.S. Coast Guard (USCG), Alaska Wildlife Troopers (AWT), and National Park Service (NPS). Partnering with multiple agencies broadens enforcement and outreach opportunities and allows for force multiplication, and cross pollination, between agencies.

The 2024 annual observer operation in Dutch Harbor with Special Agents was conducted in February in collaboration with the Workplace Violence Prevention and Response (WVPR) Regional Coordinator. The focus of this operation was on open and ongoing high-priority investigations. Investigative priorities were: 1) sexual assault and sexual harassment (SASH) of observers; 2) hostile work environment; 3) incidents that impact the general health and safety of observers; 4) interference/sample biasing of observer data; and 5) failure to abide by catcher processor operational requirements that may result in a negative impact to the fishery resource. OLE and WVPR collaborated to proactively provide outreach and education to the fishing industry to ensure a safe work environment for observers that is free from sexual assault, sexual harassment, and hostile work environments. Additionally, OLE proactively provided outreach and education to the fishing industry on management program operational requirements and violations that impact observer duties. There were 10 SASH investigations that Special Agents furthered during the operations - this consisted of conducting multiple interviews, reviewing video footage, and measuring and photographing areas within the vessels. Non-SASH investigations were also furthered, by conducting interviews and examining documents. A Notice of Violation and Assessment for a SASH case was also served to a subject during the operation.

In March, two Enforcement Officers conducted a 19-day patrol aboard the Alaska Wildlife Trooper large patrol vessel P/V Stimson. The joint patrol covered over 1,570 nautical miles in the Western Gulf of Alaska and Bering Sea. 26 commercial fishing vessels were boarded, three processing plants were inspected, and contact was made with multiple deployed observers. The patrol resulted in 57 investigations, 13 summary settlement offers, and three incidents referred to GCES for civil prosecution.

### 2. Outreach and Education

NOAA OLE outreach and education efforts facilitate and encourage responsible and sustainable uses of marine resources. NOAA agents and officers visit communities throughout Alaska and utilize multiple online and in-person venues to deliver a strong message of resource protection. The table below provides highlights of formal outreach efforts from October 1, 2023, to March 31, 2024.



Table 2-1: Outreach, Education and Compliance Assistance

Date	Description
10/02/2023	Trawl Performance Standard Workshop facilitated by the Enforcement Committee.
	Over 70 in-person and online participants attended.
10/13/2024	An Enforcement Officer (EO) joined U.S. Coast Guard Air Station Sitka, Sitka Fire
	Department, Sitka Police Department, Alaska State Troopers, U.S. Forest Service,
	Sitka Mountain Rescue, and Seattle Fire Fighters Pipes and Drums for 2023 First
	Responder's Open House hosted by the Air Station. The EO provided information on
	MMPA viewing/registration of marine mammal parts and assisted individuals in
	applying for subsistence halibut certificates.
11/3-	A Supervisory Enforcement Officer (SEO) and EO presented MMPA information to
11/5/2024	attendees at Sitka WhaleFest 2023 in Sitka, AK. NOAA OLE's role in marine mammal
	protection was highlighted. Several individuals were assisted with registering for
	subsistence halibut certificates.
10/26/2023,	OLE, with Sustainable Fisheries (SF) and Fisheries Monitoring and Analysis (FMA),
12/13/2023	participated in quarterly Halibut Deck Sort meetings with Amendment 80 Cooperative
	and Alaska Seafood Cooperative. Observer Liaison Contractor and Compliance Analyst
	Liaison (CAL) presented noncompliance trends.
12/06 and	AD, DSAC, and CAL, with SF and Council staff, convened pelagic trawl gear definition
12/07/2023	meetings with Gulf of Alaska and Bering Sea Aleutian Islands industry representatives.
12/19/2023	CAL and a Special Agent (SA), in collaboration with Aleutians East Borough, facilitated
	and presented at the Aleutians East Borough Annual Fishermen's meeting. NMFS
	Divisions presenting were OLE, SF, and FMA. Other Agency presenters were USCG,
	IPHC, and ADF&G. Observer provider companies presenting were AIS and SWI.

12/20-	The Observer Liaison Special Agent and CAL conducted compliance meetings with
01/04/2023	Bristol Wave, Fishermen's Finest, O'Hara, Ocean Peace, Alaska Groundfish Data Bank,
	American Seafoods, and several Bering North vessels. The Observer Liaison SA also
	conducted "Ensuring a Safe Working Environment for Observers" training for all
	interested industry representatives.
1/12/2024	An EO provided a PowerPoint slide show, assorted handouts, and marine mammal
_,,	parts at "The Rock" elementary school to 22 pre-K and kindergarteners for an
	interactive hands-on approach regarding whales and sea lions: i.e. how to safely view
	and report injured and or dead marine mammals typically found on Kodiak beaches.
	The EO "deputized" 22 knowledgeable junior NOAA officers.
1/15/2024	An EO conducted training with Amak Towing individuals, approximately 20-30
	individuals in the Southeast AOR. They covered interaction between commercial
	vessels (tugs, their clients, work areas) and various MM in Southeast Alaska. The EO
	discussed Endangered Species Act Section 7 consultations, migration to help reduce
	whale strikes, harassment of marine mammals, and what to do if a strike occurs (how
	to report).
1/17/2023	An EO attended the 'A' Season meeting of the Kodiak Trawl Industry hosted by the
	Alaska Groundfish Databank. They addressed issues related to closed areas, gear
	restrictions, and management program codes in ODDS and on landing reports.
02/02/2023	The CAL became a board member of the Cook Inlet Beluga Recovery Implementation
	Taskforce and attended the annual meeting and conducted strategic planning with
	the Committee.
02/16-	An SEO and EO worked the 2024 Anchorage Boat Show, providing outreach and
02/18/2023	education to stakeholders from a variety of fisheries.
02/29/2023	CAL presented NOAA OLE's mission execution to pre-K and kindergarten classes and
	teaching staff at a Childhood Development Center in Anchorage, AK. The Marine
	Mammal Stranding Network, marine mammal artifacts, and marine mammal
	necropsies were shared. After pledging to help us fulfill our mission, the children
	were "deputized" with NOAA Junior Officer badges.
03/19/2023	(A)DSAC, SEO, and Compliance Analyst Liaison conducted a meeting with the Cook
	Inlet Beluga Photo ID team regarding reporting of potential marine mammal takes
	and harassment.
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#### 3. Case Updates

#### **Notices of Violation and Assessment**

The NOAA Office of General Counsel, Enforcement Section (GCES) issued and served Notices of Violation and Assessment (NOVA) in the following civil administrative cases. A NOVA is not evidence of liability; it is only an allegation. A respondent is entitled to a fair hearing before an administrative law judge at which the government must prove liability by a preponderance of the evidence. Settled cases are noted.

- 1. AK2207083; F/V St. Nicholas As previously reported in the December 2023 Report Operator Michael Walter Lasiter was charged under the Northern Pacific Halibut Act with fishing for halibut in violation of the annual management measures by possessing on board a vessel Pacific halibut that had been filleted, mutilated, or otherwise disfigured. An \$8,000 NOVA was issued and became a final administrative decision due to default. A Notice of Intent to Deny Permits was issued for non-payment.
- 2. AK1800358; Rocky Point Resort/Alaska, Inc., Rocky Point Resort/Alaska, Inc. and Guided Angler Fish (GAF) Permit Holders, Charter Vessel Guides, and Business Owners Walter J. Payne and Mikel J. Payne were charged jointly and severally under the Northern Pacific Halibut Act with failing to report and mark GAF and submitting inaccurate information to the National Marine Fisheries Service (NMFS). A \$28,046 NOVA was issued and the case settled for \$25,241.40.
- 3. AK2105968; Big Blue Charters Charter Vessel Guide Michael R. Keating was charged under the Northern Pacific Halibut Act with failing to retain GAF records, failing to mark and report GAF harvests, and allowing a crewman to fish during a charter vessel trip. A \$21,600 NOVA was issued and the case settled for \$17,420.
- 4. AK2003678; F/V American Dynasty As previously reported in the December 2023 Report, Medic Daniel Craig Azcarate was charged under the Magnuson-Stevens Act with sexually harassing a female observer by conduct that had sexual connotations or otherwise creating an intimidating, hostile, or offensive environment. A \$36,000 NOVA was issued and the case settled for \$3,000.
- 5. AK2106551; C/P Cape Horn As previously reported in the December 2023 Report, crewman Ata Ioapo was charged under the Magnuson-Stevens Act with sexually harassing a female observer. A \$24,000 NOVA was issued and the case settled for \$6,000.
- 6. AK2205725; C/P Cape Horn As previously reported in the December 2023 Report, Owner Cape Horn Vessel, LLC and Operator Peter Pack were charged jointly and severally under the Magnuson-Stevens Act with fishing in a closed area. A \$26,801 NOVA was issued, and the case settled for \$26,226.
- 7. AK2104985; F/V Sedna Co-owner/Operator/User for Registered Buyer Satcher K. Gorton, Co-owner Riley K. Wilson, and Registered Buyer Sedna Fish Co. LLC were charged jointly and severally under the Northern Pacific Halibut Act with making an Individual Fishing Quota (IFQ) halibut landing other than directly to a registered buyer; possessing, selling, and transporting IFQ halibut landed in

violation of 50 C.F.R. part 679; and failing to submit a report required under 50 C.F.R. part 679. A \$3,250 NOVA was issued and the case settled for \$2,925.

- 8. AK2206703; F/V Lea IFQ Permit Holder and Owner/Operator Robert J. Bateman and Owner Laura S. Coleman were charged jointly and severally under the Magnuson-Stevens Act with possessing, selling, and transporting IFQ sablefish harvested in violation of § 679.4. A \$3,000 NOVA was issued and the case settled for \$2,700.
- 9. AK2300315; F/V Nordic Fox Owner Nordic Fox, LLC and Operator Jacob P. Jansen were charged under the Magnuson-Stevens Act with failing to have a functioning vessel monitoring system (VMS) on board during two federal Pacific cod fishing trips using pot gear. A \$10,000 NOVA was issued and the case settled for \$2,500.
- 10. AK2301231; F/V Ocean Peace Owner Ocean Peace, Inc. was charged under the Magnuson-Stevens Act with fishing in the Nearshore Bristol Bay Trawl Area after it had closed. A NOVA for \$111,031 was issued and the case settled for \$101,031.
- 11. AK2301796; F/V Vanguard Operator Per Hesberg and Owner Vanguard Fisheries, LLC were charged jointly and severally under the Magnuson-Stevens Act with fishing with, and having on board, non-pelagic trawl gear in areas where only pelagic trawl gear could be used and possessed; not complying with VMS requirements; and inaccurate reporting. A \$59,784 NOVA was issued.
- 12. AK2104002; F/V Jean C As previously reported in the December 2023 Report, Owners Moderation Enterprises, Inc., G & W Fish Co., Strom Enterprises, LLC, and Operators and IFQ Permit Holders Harley Ethelbah and John Young were charged jointly and severally under the Magnuson-Stevens Act with illegally discarding IFQ sablefish caught with fixed gear when an IFQ permit holder onboard held unused sablefish IFQ, and with failing to record groundfish discard information and submitting inaccurate information in the Daily Fishing Log. A \$26,500 NOVA was issued and the case settled for \$21,200.
- 13. AK2206974; F/V Resurrection Owner/Operator Severean Ivan Reutov was charged under the Magnuson-Stevens Act with operating a vessel without carrying an operable NMFS-approved VMS and for not complying with VMS requirements. A \$16,000 NOVA was issued and the case settled for \$2,000.
- 14. AK2207152; F/V Kasatka Owner Christopher Artemon Basargin and Operator Artemon A. Basargin Jr. were charged jointly and severally under the Magnuson-Stevens Act with operating a vessel without carrying an operable NMFS-approved VMS and for not complying with VMS requirements. A \$16,000 NOVA was issued.
- 15. AK2303146; C/P North Star Factory Forman Juvy Bongcawil was charged under the Magnuson-Stevens Act with harassing a fisheries observer by conduct that had sexual connotations or otherwise creating an intimidating, hostile, or offensive environment. An \$18,000 NOVA was issued and the case settled for \$16,200.

- 16. AK2305774; F/V Barbara B Owner/Operator Joseph F. Veitenhans was charged under the Northern Pacific Halibut Act with failing to completely offload at a single offload site all halibut onboard the vessel. A \$2,200 NOVA was issued and the case settled for \$1,980.
- 17. AK2302665; Fabian Estrada Castaneda was charged under the Marine Mammal Protection Act with taking a Steller sea lion by feeding or attempting to feed the marine mammal. A \$500 NOVA was issued.
- 18. AK2201367; C/P Arica Male Assistant Cook Jordan St. Martin-Reyes was charged under the Magnuson-Stevens Act with harassing and sexually harassing two female observers on board a vessel. A \$19,000 NOVA was issued.

#### **Written Warnings**

GCES issued and served Written Warnings under the Magnuson-Stevens Act in the following cases:

- 1. AK2300845; F/V Tempo Sea Crab IFQ Permit Holder Inter-Cooperative Exchange and Operator Joseph A. Wright were charged jointly and severally under the Magnuson-Stevens Act with making an IFQ crab landing without a crab IFQ hired master permit. A Written Warning was issued.
- 2. AK2300846; F/V Farwest Leader Crab IFQ Permit Holder Trident Affiliated Crab Harvesting Cooperative and Operator Seth W. McCallum were charged jointly and severally under the Magnuson-Stevens Act with making an IFQ crab landing without a crab IFQ hired master permit. A Written Warning was issued