



# U.S. COAST GUARD ENFORCEMENT REPORT

10/01/98 – 11/30/98

## TOTAL ENFORCEMENT EFFORT

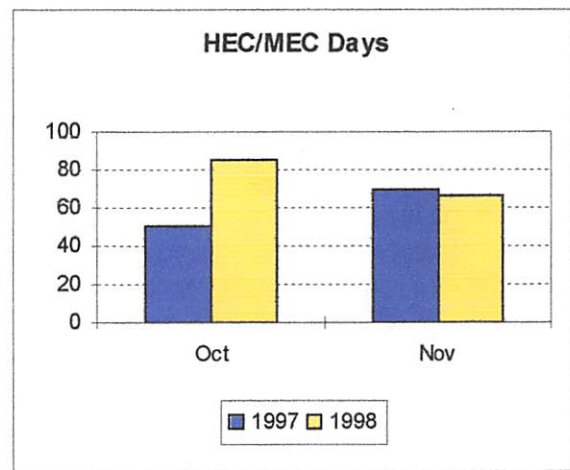
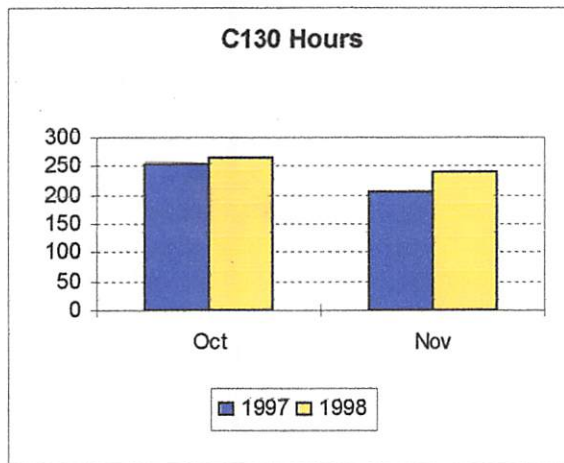
The following resources were employed during the reporting period. The resource data for the same reporting period in 1997 has been provided for comparison. The number of cutter patrol days and aircraft hours is comparable to last year during the same time frame.

### OCT - NOV 1997

3 HEC's patrolled for 89 days  
 1 MEC patrolled for 31 days  
 4 WLB's patrolled for 29 days  
 5 WPB's patrolled for 50 days  
**Total Cutter days -- 199**  
 C130's flew 68 sorties for 461 hours  
 HH60/65's flew 150 hours

### OCT - NOV 1998

4 HEC's patrolled for 108 days  
 2 MEC's patrolled for 43 days  
 4 WLB's patrolled for 32 days  
 5 WPB's patrolled for 66 days  
**Total Cutter days -- 249**  
 C130's flew 70 sorties for 506 hours  
 HH60/65's flew 108 hours



List of common abbreviations used in this report:

- |                                    |                               |
|------------------------------------|-------------------------------|
| AI – Aleutian Islands              | HEC – High Endurance Cutter   |
| GOA – Gulf of Alaska               | MEC – Medium Endurance Cutter |
| BS – Bering Sea                    | WLB – 180' Buoy Tender        |
| M/B – US-Russian Maritime Boundary | WPB – 110' Patrol Boat        |
| HSDN – High Seas Drift Net         |                               |

# U.S. COAST GUARD HAZARDOUS MATERIAL REPORT

100118 - 113018

TOTAL TONNAGE REPORTED

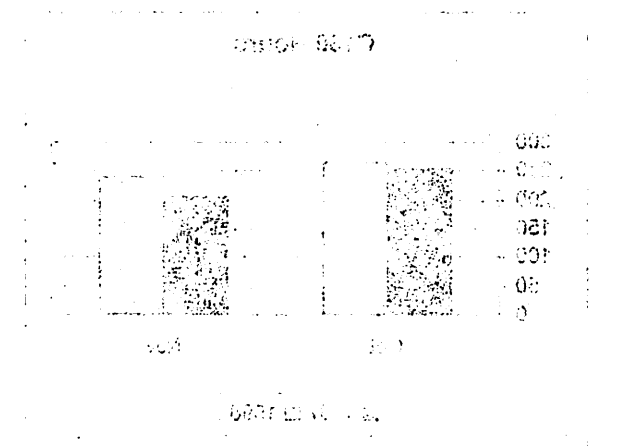
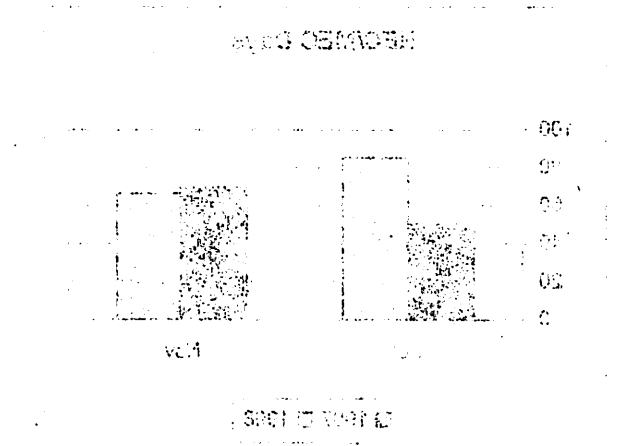
The following information was obtained during the reporting period. The number of cases and tonnage reported in this report are based on information provided by the reporting party. The number of cases and tonnage reported in this report are based on information provided by the reporting party.

NOV 1988

NOV 1988

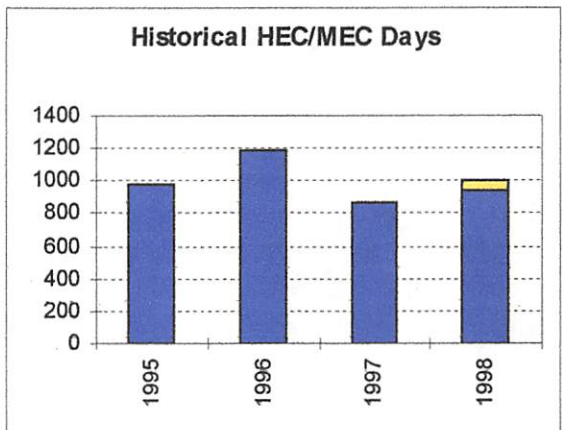
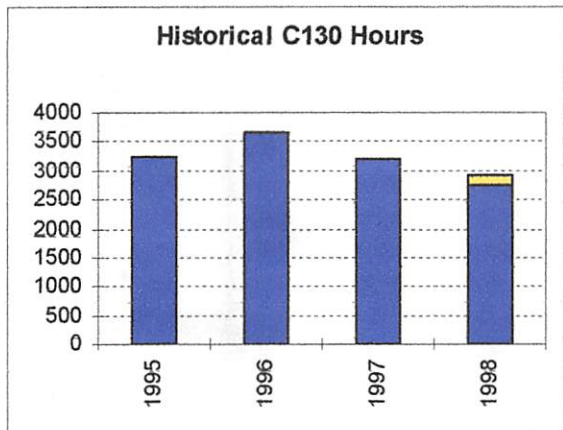
1 HAZARDOUS MATERIAL REPORTED FOR 108 DAYS  
 1 HAZARDOUS MATERIAL REPORTED FOR 45 DAYS  
 1 HAZARDOUS MATERIAL REPORTED FOR 35 DAYS  
 1 HAZARDOUS MATERIAL REPORTED FOR 60 DAYS  
 Total Cases - 342  
 Total Tonnage - 1130.18 tons  
 Hazardous Material Report

1 HAZARDOUS MATERIAL REPORTED FOR 45 DAYS  
 1 HAZARDOUS MATERIAL REPORTED FOR 35 DAYS  
 1 HAZARDOUS MATERIAL REPORTED FOR 60 DAYS  
 Total Cases - 134  
 Total Tonnage - 1130.18 tons  
 Hazardous Material Report

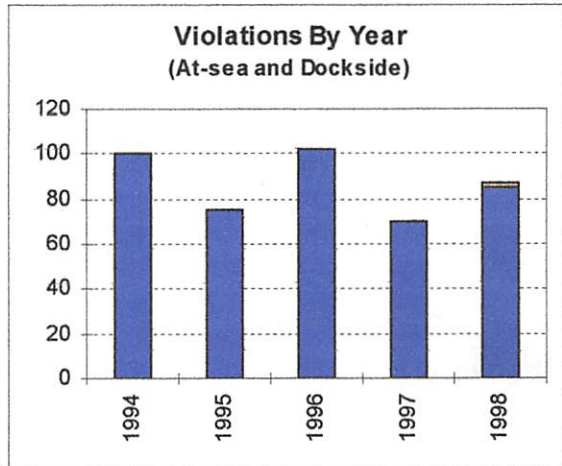
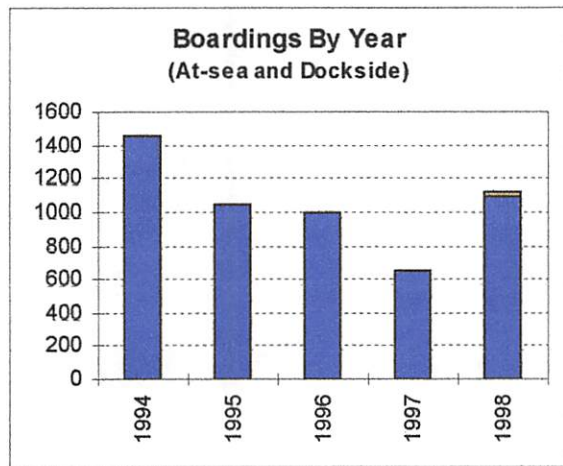


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 HAZARDOUS MATERIAL REPORTED FOR 35 DAYS  
 HAZARDOUS MATERIAL REPORTED FOR 60 DAYS  
 Total Cases - 342  
 Total Tonnage - 1130.18 tons  
 Hazardous Material Report

The following charts are a historical overview of the total resource hours employed in D17 over the last four years. The actual year to date totals are displayed for 1998, with projected year-end totals also shown.



The following charts show boarding and fishery violation trends over the last five years. The actual year-to-date totals are displayed for 1998, with projected year-end totals also shown. Enclosure 1 is a complete list of boardings and violations for the reporting period.



OCT – NOV 1997

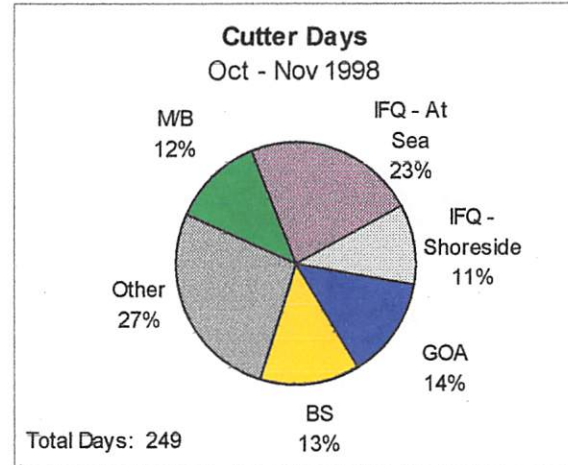
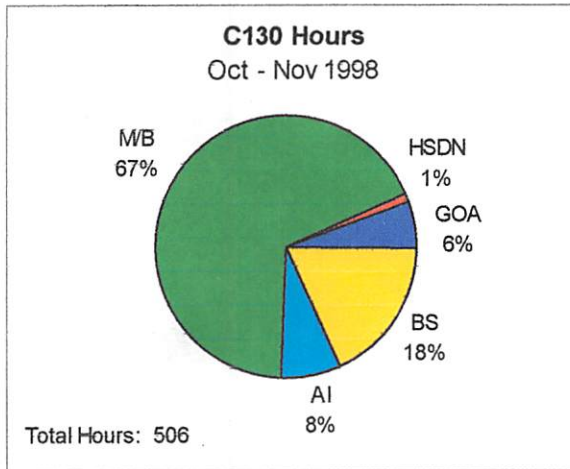
F/V Boardings (at sea):	43
IFQ Monitors (dockside):	50
Boarding/monitor w/fisheries vio's:	2
Violation Rate:	2.2%

OCT - NOV 1998

F/V Boardings (at sea):	160
IFQ Monitors (dockside):	74
Boarding/monitor w/fisheries vio's:	10
Violation Rate:	4.3%

## FISHERIES

The following charts show the distribution of resource hours/days between foreign and domestic fisheries for the reporting period. The "other" category in "Cutter Days" chart includes transit, training, etc.

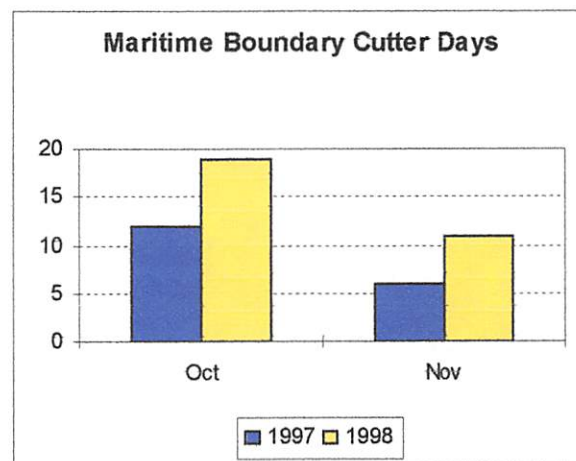
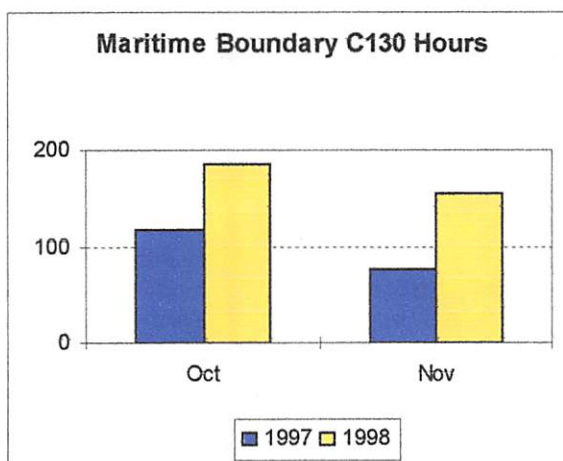


## FOREIGN FISHERIES

### US/RU MARITIME BOUNDARY:

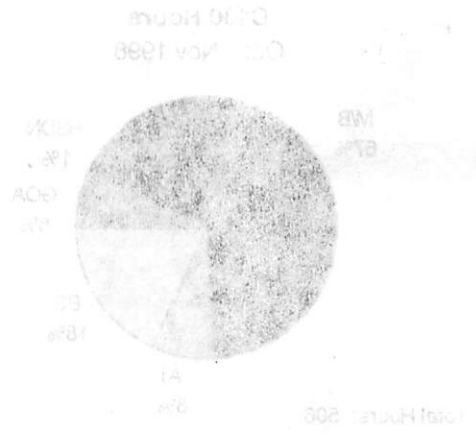
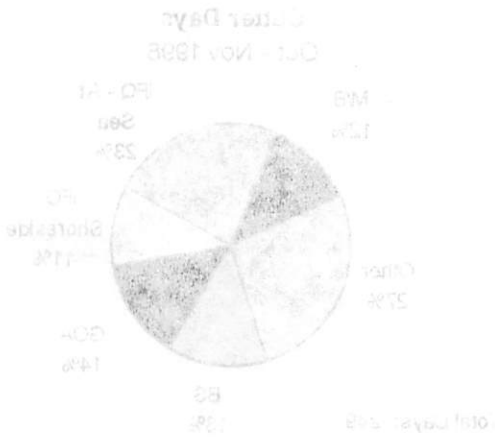
Foreign fishing activity on the US/Russian Maritime Boundary (M/B) decreased during the reporting period. Peak FFV activity consisted of 46 FFV's operating within 50NM of the boundary. For the reporting period, Coast Guard C130's flew 46 sorties totaling 341 hours and 2 cutters patrolled the Maritime Boundary for 30 days.

The following charts compare Coast Guard Maritime Boundary enforcement effort for the reporting period to the same period in 1997. The increased CG effort is due to a higher number of FFV operating along the Maritime Boundary as compared to last year at this time.



**FISHERIES**

The following chart show the distribution of reported hoursdays between foreign and domestic fisheries for the reporting period. The "Other" category in "Cutler Days" chart includes miscellaneous



**FOREIGN FISHERIES**

Foreign fishing activity on the 28 Russian Maritime Boundary (MB) increased during the reporting period. The FEV activity consisted of 46 FEV's operating within 20NM of the boundary. For the reporting period, 1st (lead) C130's flew 46 sorties totaling 341 hours and 3 cutters patrolled the Maritime Boundary for 50 days.

The following chart compares Coast Guard's Maritime Boundary enforcement effort for the reporting period to the same period in 1997. The increased CG effort is due to a higher number of FEV operating along the Maritime Boundary as compared to last year at this time.

Maritime Boundary Cutler Days



in 1997 in 1998

Maritime B3 Activity C130 Hours



in 1997 in 1998

HIGH SEAS DRIFTNET:

For the reporting period, Coast Guard C130's flew 1 sortie totaling 5.6 hours, no activity detected. Coast Guard intelligence and other surface resources in transit continue to monitor the HSDN threat area.

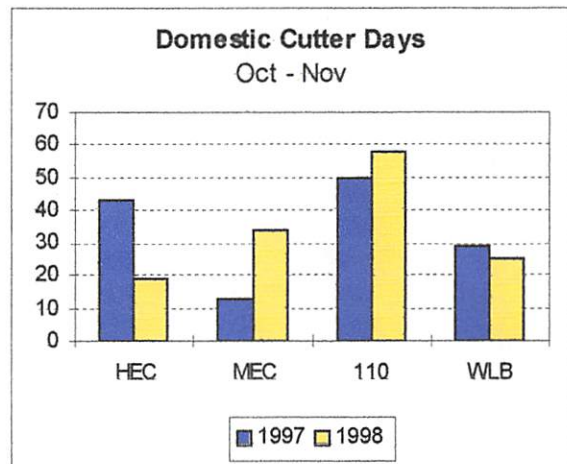
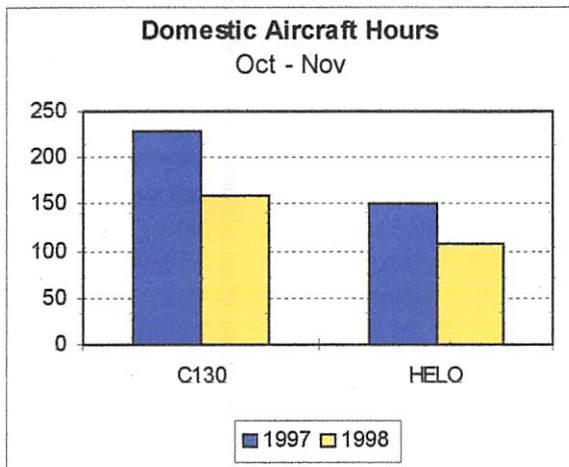
DONUT HOLE:

No foreign fishing vessel activity was detected in the area during the reporting period. Currently there are no vessels scheduled to conduct trial fishing in the area.

**DOMESTIC FISHERIES**

GROUND FISH:

For the reporting period, Coast Guard patrol efforts focused on providing search and rescue coverage of the Bristol Bay Red King Crab fishery, monitoring the closure of the IFQ fishery and monitoring Southeast Dive fisheries for safety compliance. The following charts show the total D17 domestic fisheries enforcement effort in aircraft hours and patrol days for the reporting period and for the same period last year.



DOMESTIC DAYTIME

For the reporting period, Coast Guard Unit 0130 & 0131 were not active. Coast Guard intelligence and other sources reported a human continues to monitor the HSDN threat area.

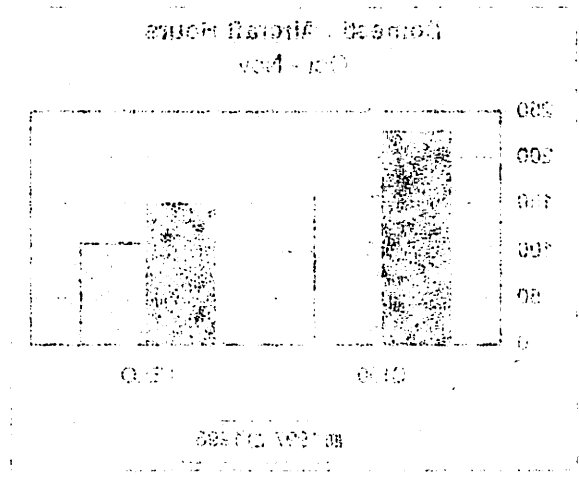
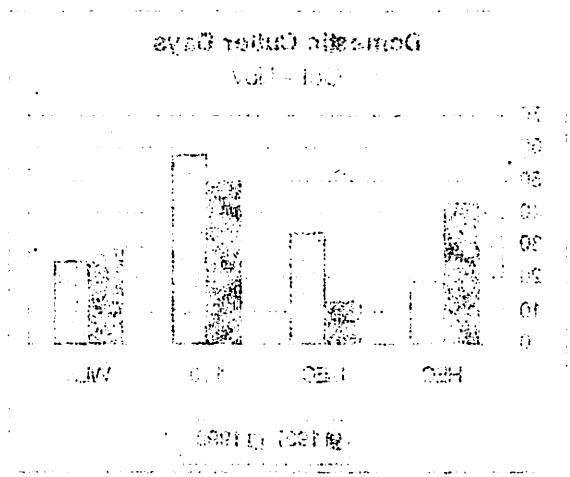
DOMESTIC NIGHT

No foreign fishing vessel activity was detected in the area during the reporting period. Currently there are no vessels scheduled to conduct fishery in the area.

DOMESTIC OVERVIEW

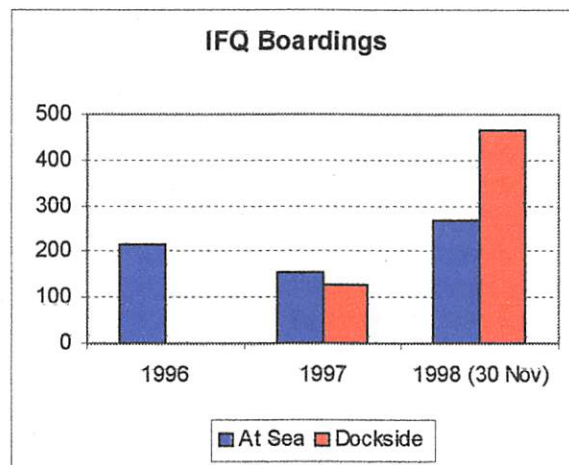
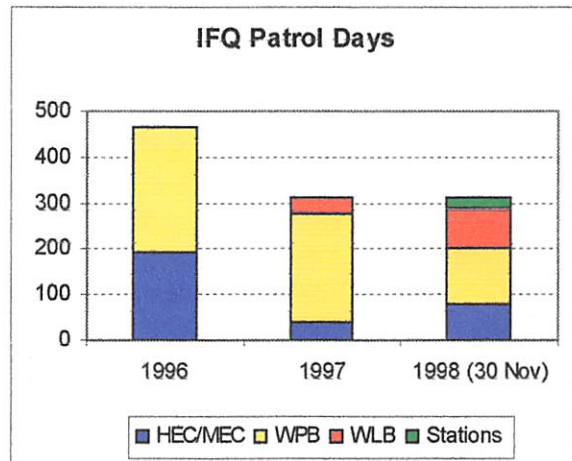
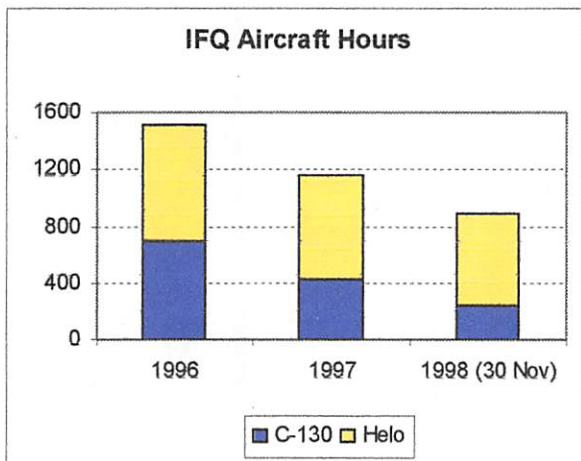
GRAND TOTAL

For the reporting period, Coast Guard patrol vessels were not active. The following chart shows the coverage of the HSDN threat area during the reporting period. The following chart shows the total of domestic vessel effort in normal hours and patrol days for the reporting period and for the same period last year.



IFQ ENFORCEMENT:

Coast Guard HH60's and C130's combined to provide 124 flight hours (104 and 20 respectively) in the enforcement of IFQ. Patrol Boats, Buoy Tenders, and Stations added 57 cutter days to the enforcement effort. During the final weeks of the IFQ season, units modified their IFQ enforcement operations in homeport by focusing on random dock surveillance between 1800 – 0600. For 1998, CG Boarding Teams monitored a total of 463 offloads in 18 ports and detected 10 violations.





STELLER SEA LION PROTECTION:

Coast Guard enforcement efforts in support of Steller Sea Lion protection for the reporting period included surveillance of rookeries by both C-130 and cutters. Three violations were detected by CG cutters and are detailed below. Additionally, the CG offered to NMFS assistance in transporting personnel to the rookeries.

*Reporting interference with Steller Sea Lions:*

Report illegal Steller Sea Lion shootings to the NMFS hotline at 1-800-853-1964.

Report no-transit or no-trawl zone violations around Steller sea lion rookeries to the CG Command Center at 1-800-478-5555 (AK only).

Shooting/Rookery Incursion Complaints Received: 0/0  
Complaints Investigated: 0  
Violations Issued: 0

*Rookery incursions detected during reporting period:*

- F/V SEA WARRIOR: detected 1nm inside Akun Island no transit zone, issued Summary Settlement.
- F/V ALDEBARAN: detected 1000yds inside Akun Island no transit zone, issued Summary Settlement.
- F/V ARCTURUS: detected 1000yds inside Akun Island no transit zone, issued Summary Settlement.

SEABIRD AVOIDANCE:

During the reporting period, CG cutters queried 73 longline vessels on their use of Seabird Avoidance devices. No violations were issued. Results are listed below.

Towed device	Tori line/streamers	Lining tube	Night set
49	17	1	6

## SAFETY STATISTICS

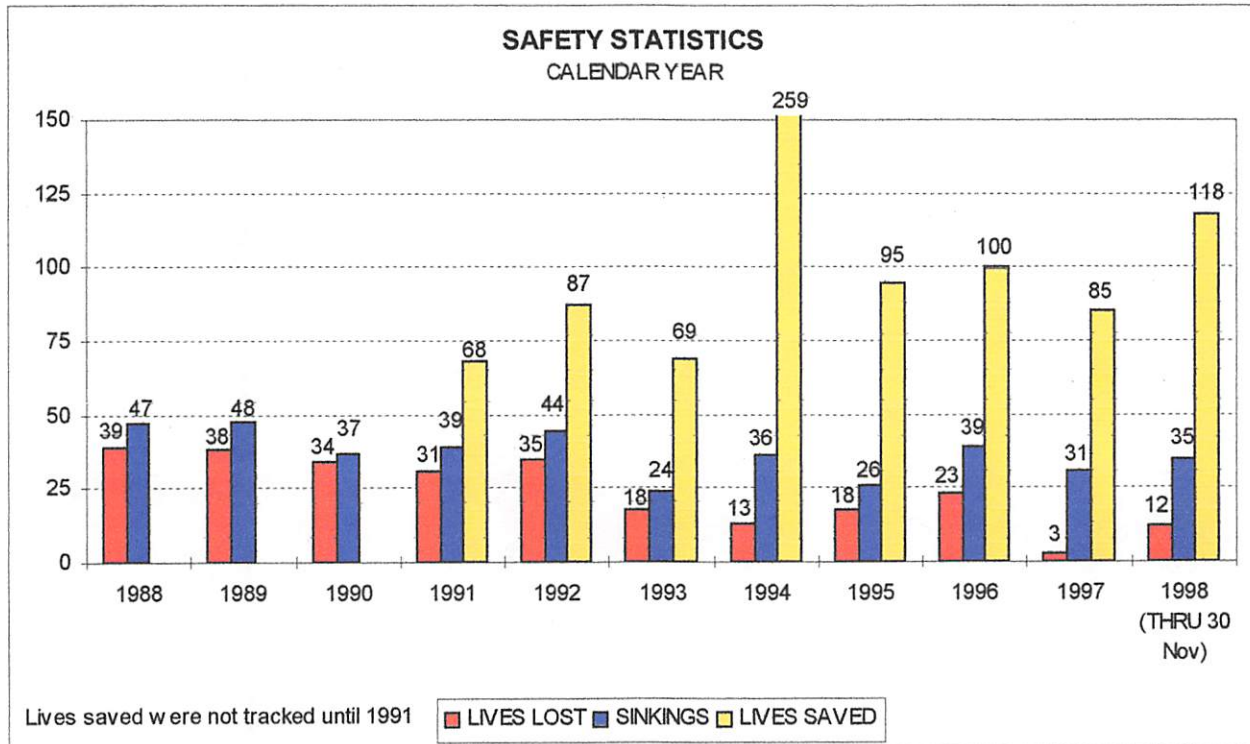
01 Oct - 30 Nov 1998

Lives saved: 7

Lives lost: 2

Vessels lost: 9

The following chart is a historical overview of fishing vessel safety statistics in D17.



### Case Summaries:

- 11 Oct 98, F/V SOUTHERN SEAS, 85 NM S Kodiak: 23-year-old male fell overboard while stowing anchor on top house. 3 CG helo sorties and 1 C-130 sortie failed to locate man overboard, presumed dead. Man was lightly dressed and did not have on a survival suit or flotation device.
- 19 Oct 98, F/V FRANCES W, Ketchikan: Station Ketchikan responded and stood by as the Ketchikan Fire Dept extinguished a fire aboard an unmanned moored troller in Ketchikan. The fire gutted the interior of the vessel.
- 28 Oct 98, F/V CHERYL ANN, Saxman: Vessel with 2 POB hard aground, hole below the waterline. Vessel broke loose from anchor after wx picked up. MSD Ketchikan monitored the lightering of all oil from the vessel.

- 29 Oct 98, F/V OCEAN HOPE 1, 82 NM SW of Kodiak: 92 ft vessel broadcast Mayday call before capsizing outside Halibut Bay in Shelikof Strait. A tank lost water while a second tank was being filled with fish --no bilge or high water alarms sounded. Several other boats in the area responded and a CG helo was launched. F/V GOLD RUSH arrived on scene first and recovered 4 POB in good condition.
- 03 Nov 98, F/V POPEYE, 01 NM N of Ketchikan: 36 ft vessel w/01 POB ran aground on Lewis Reef in Tongass Narrows. Station Ketchikan arrived on scene to find the stern awash and vessel listing 45 degrees. A local salvage company was contacted, plugged the fuel vents, and will attempt to salvage the vessel.
- 05 Nov 98, F/V PROVIDENCE, 90 NM N Cold Bay: CommSta Kodiak received call from vessel reporting 44YOM overboard. CGC CHASE and CG helo conducted searches. MOB not found, presumed dead.

#### ADMINISTRATIVE NEWS:

The Coast Guard led the U.S. Enforcement Delegation at the North Pacific Anadromous Fish Commission's Annual Meeting in Moscow, Russia. The Coast Guard briefed the Commission parties on this past summer's High Seas Driftnet Enforcement cases. The Commission approved a U.S. proposal to hold an international High Seas Driftnet Enforcement Symposium in 1999. The Coast Guard will host the event at the North Pacific Regional Fisheries Training Center in Kodiak. In addition to member nations U.S., Russia, Japan, and Canada, the Commission agreed to invite officials from South Korea and China to participate in the symposium.

The Coast Guard was a member of the U.S. Delegation to the Third Annual Meeting in Tokyo, Japan, of the Parties signatory to the Treaty for the Conservation and Management of Pollock Resources of the Central Bering Sea. The parties agreed to no directed fishing for pollock in the Donut Hole again for 1999. Of significance to the Coast Guard, they also agreed to terms and conditions for trial fishing which will authorize CG boardings of trial fishing vessels and will require such vessels to be equipped with Vessel Monitoring Systems. Poland and China plan to conduct trial fishing in the Donut Hole in 1999.

# Oct-Nov98 Boardings with No violations

12/5/98

<i>Date</i>	<i>Vessel Name</i>	<i>Vessel Type</i>	<i>Species</i>	<i>Area</i>
11/7/98	ADGEE	Longliner	Halibut	3B
11/3/98	ALASKA CHALLENGE	Longliner	No Product/No Fishery	517
11/17/98	ALASKA PIONEER	Longliner	Pacific cod	521
11/8/98	ALASKAN BEAUTY	Pot Boat	Red king crab	519
11/11/98	ALASKAN ENTERPRISE	Pot Boat	Red king crab	519
11/8/98	ALEUTIAN BALLAD	Pot Boat	Red king crab	519
10/28/98	ALEUTIAN DREAM	Longliner	Halibut	2C
11/9/98	ALSEA	Trawl, Catcher Vessel	Yellowfin sole	519
11/9/98	AMATULI	Pot Boat	Red king crab	519
11/10/98	AMERICAN EAGLE	Pot Boat	Red king crab	519
10/9/98	AMERICAN EMPRESS	Trawl, Catcher Processor	Pollock	517
11/12/98	AMUR	Longliner	Halibut	3A
11/9/98	ANDRONICA	Pot Boat	Red king crab	519
11/13/98	ANGELETTE	Longliner	Halibut	3A
10/9/98	ANNE MARIE	Longliner	Halibut	3A
10/14/98	ANTARES	Longliner	Sablefish	SE
11/14/98	ARCHANGEL	Longliner	Halibut	2C
11/5/98	AURORA	Longliner	Halibut	2C
11/8/98	BEAUTY BAY	Pot Boat	Red king crab	519
11/9/98	BILLIKIN	Pot Boat	Red king crab	519
10/15/98	BORN AGAIN	Longliner	Halibut	2C
10/2/98	C-WARD	Shrimp Boat	No Product/No Fishery	659
11/9/98	CAPE CAUTION	Pot Boat	Red king crab	519
11/13/98	CAPE FALCON	Longliner	Halibut	3A
10/7/98	CAPE HORN	Longliner	Halibut	3A
10/8/98	CAPE RACE	Longliner	No Product/No Fishery	659
10/28/98	CARROL II	Longliner	Halibut	2C
11/13/98	CARROL II	Longliner	Halibut	2C
11/8/98	CASCADE	Pot Boat	Red king crab	519
10/11/98	CHELSEA	Longliner	Halibut	2C
11/13/98	CHILKAT	Longliner	Halibut	2C
11/2/98	CHINOOK	Dive Boat	Cucumber	659
10/27/98	COASTAL NOMAD	Support/Mothership	Pollock	610
11/8/98	CONFIDENCE	Pot Boat	Red king crab	519
11/8/98	CORNELIA MARIE	Pot Boat	Red king crab	519
11/12/98	CYCLONE	Longliner	Halibut	3A
11/2/98	DEEP SEA	Longliner	Halibut	2C
10/13/98	DESTINY	Troller	Salmon, Chinook	659
11/11/98	DETERMINED	Pot Boat	Red king crab	519
11/13/98	DOLPHIN	Longliner	Halibut	3A
10/26/98	DONNA ANN	Longliner	Halibut	3A
10/13/98	DOS NINAS	Troller	Salmon, Chinook	659

<i>Date</i>	<i>Vessel Name</i>	<i>Vessel Type</i>	<i>Species</i>	<i>Area</i>
10/11/98	DUNDAS	Longliner	Halibut	2C
10/15/98	DUNDAS	Longliner	Halibut	2C
10/3/98	EH	Longliner	Sablefish	SE
11/8/98	ENDURANCE	Pot Boat	Red king crab	519
11/9/98	ERLA-N	Pot Boat	Red king crab	519
11/10/98	EXITO	Pot Boat	Red king crab	519
10/14/98	FORTUNE	Longliner	Sablefish	659
10/9/98	FRONTIER SPIRIT	Longliner	Pacific cod	517
11/14/98	GARNET C	Longliner	Halibut	2C
11/11/98	GOLDEN GIRLS	Longliner	Halibut	3A
11/8/98	GRAND DUCHESS	Pot Boat	Red king crab	519
10/27/98	HALF MOON BAY	Trawl, Catcher Vessel	Pollock	610
11/9/98	HANDLER	Pot Boat	Red king crab	519
11/12/98	ICEBERG	Longliner	Halibut	3A
10/5/98	INDIGO	Longliner	Halibut	2C
11/2/98	ISABEL	Dive Boat	Cucumber	659
11/2/98	ISLAND PRIDE	Longliner	Halibut	2C
10/13/98	JAIME	Troller	Salmon, Chinook	659
11/9/98	JENNIFER A	Pot Boat	Red king crab	519
10/12/98	JESSIE L	Troller	Salmon, Chinook	659
10/11/98	JON-K	Longliner	Halibut/Sablefish	3A
11/3/98	JUSTINA DEANNA	Dive Boat	Cucumber	659
10/12/98	KARI ANN	Troller	Salmon, Chinook	659
11/9/98	KATRINA EM	Pot Boat	Red king crab	519
10/3/98	KELLY MARIE	Longliner	Halibut/Sablefish	2C/SE
11/8/98	KRISTEN GAIL	Pot Boat	Red king crab	519
11/10/98	LABRADOR	Pot Boat	Red king crab	519
11/9/98	LADY JESSE	Pot Boat	Red king crab	519
11/3/98	LADY MAE	Shrimp Boat	Shrimp	659
10/24/98	MARATHON	Longliner	Halibut	2C
11/11/98	MAXEL	Pot Boat	Pacific cod	630
10/24/98	MELINDA RAE	Longliner	Halibut	3B
10/24/98	MISS CONCEPTION	Longliner	Halibut/Sablefish	3A/WY
10/1/98	MORNING STAR	Trawl, Catcher Vessel	Pollock	620
11/8/98	MYSTERY BAY S	Pot Boat	Red king crab	519
11/8/98	NORTH PACIFIC	Pot Boat	Red king crab	519
11/11/98	NORTH POINT	Pot Boat	Red king crab	512
11/9/98	OBSESSION	Pot Boat	Red king crab	519
11/10/98	OCEAN CAPE	Pot Boat	Red king crab	519
11/13/98	ORBIT	Longliner	Halibut	3A
11/2/98	OSTRICH	Dive Boat	Cucumber	659
11/9/98	PACIFIC MARINER	Pot Boat	Red king crab	519
11/8/98	PACIFIC MIST	Pot Boat	Red king crab	519
10/2/98	PAT-CII	Multi-rigged	No Product/No Fishery	659
11/11/98	RAVEN	Pot Boat	Red king crab	519

<i>Date</i>	<i>Vessel Name</i>	<i>Vessel Type</i>	<i>Species</i>	<i>Area</i>
11/8/98	REBECCA IRENE	Longliner	Pacific cod	519
10/28/98	ROCINANTE	Longliner	Halibut	3C
11/10/98	ROLLO	Pot Boat	Red king crab	519
10/11/98	ROSALYN	Longliner	Halibut	2C
11/3/98	RUNNING REBEL	Dive Boat	Cucumber	659
10/13/98	SACHEM	Troller	Salmon, Chinook	659
10/28/98	SANDPIPER	Longliner	Halibut	2C
11/7/98	SANDRA SUE	Longliner	Halibut	3A
11/9/98	SCULPIN	Support/Mothership	Red king crab	519
10/4/98	SEA BARB	Longliner	Halibut	3A
11/2/98	SEA FIRE	Dive Boat	Cucumber	659
10/24/98	SEANNA	Longliner	Halibut	2C
11/3/98	SENTINEL	Dive Boat	Cucumber	659
11/8/98	SHELLFISH	Pot Boat	Red king crab	519
10/12/98	SILVER SEA	Dive Boat	Cucumber	659
11/8/98	SILVER SPRAY	Pot Boat	Red king crab	519
11/8/98	SOJOURN	Longliner	Pacific cod	519
10/14/98	SPECTRE	Longliner	Halibut/Sablefish	3B/WG
11/9/98	STARFISH	Pot Boat	Red king crab	519
11/9/98	STARRIGAVAN	Longliner	Pacific cod	519
10/24/98	STILBEE	Longliner	Halibut	2C
11/11/98	SUBRIKA	Longliner	Halibut	3A
11/8/98	SUNSET BAY	Pot Boat	Red king crab	519
10/5/98	TANKA	Shrimp Boat	Shrimp	SE
10/14/98	TAVA	Longliner	Sablefish	2C
10/8/98	TENACIOUS	Longliner	Sablefish	CG
10/14/98	TIFFANY LEE	Longliner	Sablefish	2C
11/12/98	TITANIC II	Longliner	Halibut	3A
10/9/98	TOMMY L II	Longliner	Halibut	3A
11/8/98	VAERDAL	Trawl, Catcher Vessel	Yellowfin sole	519
11/9/98	VESTERAALEN	Pot Boat	Red king crab	519
10/27/98	VICTOR	Trawl, Catcher Vessel	Pollock	610
10/5/98	VIDER II	Longliner	Halibut	2C
11/8/98	WESTWARD WIND	Pot Boat	Red king crab	519
11/30/98	ZENITH	Longliner	Pacific cod	513
11/10/98	ZOLOTOI	Pot Boat	Red king crab	519

# Oct-Nov98 Boardings With Violations

12/5/98

<i>Date</i>	<i>Vessel Name</i>	<i>Vessel Type</i>	<i>Species</i>	<i>Area</i>	<i>Violation</i>	<i>Violation Notes</i>
11/8/98	AIREDALE	Pot Boat	Red king crab	519	CFVSA Violation	EXPIRED DOCUMENT, PFD/RAFT DISCREPANCY, NO INJURY/POLLUTION PLACARDS
11/9/98	AIREDALE	Pot Boat	Red king crab	519	CFVSA Violation	SAFETY ISSUES: OIL/FUEL IN BILGES, EXPOSED HAZARD/LEAKS
11/13/98	ALDEBARAN	Trawl, Catcher Vessel	No Product/No Fishery	519	Summary Settlement	XSITING W/IN AKUN ISL 3NM NO XSIT ZONE
10/11/98	ARCHANGEL	Longliner	Halibut/Sablefish	2C	Fix-It Ticket	FAILURE TO MAINTAIN IPHC LOG
10/6/98	ARCTIC VI	Trawl, Catcher Vessel	Pollock	509	Fix-It Ticket	MINOR LOG DISCREPANCY; MULTIPLE DAYS OF FISH CATCH ON 1 LOG SHEET.
11/13/98	ARCTURUS	Trawl, Catcher Vessel	No Product/No Fishery	519	Summary Settlement	XSITING W/IN AKUN ISL 3NM NO XSIT ZONE
10/8/98	ARICA	Trawl, Catcher Vessel	Yellowfin sole	509	Fix-It Ticket	MINOR LOG DISCREPANCIES
10/25/98	ARLICE	Longliner	Halibut/Sablefish	2C	CFVSA Violation	INSUFFICIENT FLARES.
10/17/98	BLUE FOX	Longliner	Halibut	3A	CFVSA Violation	NO DAY DISTRESS SIGNALS ON BOARD
11/18/98	BLUE NORTH	Longliner	Pacific cod	521	Fix-It Ticket	UNDER REPORTED SKATES ON ONE WEEKLY PRODUCTION REPORT
11/13/98	CHERYL	Seiner	No Product/No Fishery	659	CFVSA Warning	EXPIRED DOCUMENT
11/17/98	CLIPPER EPIC	Longliner	Pacific cod	521	Fisheries Regs Violation	FALSIFYING LOGS, IR/IO DISCREPANCIES
10/7/98	CONSTELLATION	Trawl, Catcher Process	Yellowfin sole	517	Fisheries Regs Violation	FAILURE TO COMPLY WITH IR/IO REGS
11/12/98	CRYSTAL	Longliner	Halibut	3A	CFVSA Violation	NO PML ATTACHED TO IMMERSION SUIT
11/8/98	DR K	Pot Boat	Red king crab	519	CFVSA Warning	EXPIRED HYDRO RELEASE EPIRB AND EXPIRED INSPCTECTION ON RAFT
11/3/98	EMILY BROOKE	Dive Boat	Cucumber	659	CFVSA Violation	NO REGISTRATION O/B, EXPIRED VDS, NO LIFE RING BUOY O/B
11/8/98	GUN MAR	Pot Boat	Red king crab	519	CFVSA Warning	EXPIRED VDS W/N 6 MONTHS
11/2/98	JACKPOT	Dive Boat	Cucumber	659	CFVSA Violation	EXPIRED REG
11/2/98	KRD	Dive Boat	Cucumber	659	CFVSA Warning	LACK OF SAFETY EQUIPMENT

ENCLOSURE(1)

<i>Date</i>	<i>Vessel Name</i>	<i>Vessel Type</i>	<i>Species</i>	<i>Area</i>	<i>Violation</i>	<i>Violation Notes</i>
10/5/98	LADY LINDA	Shrimp Boat	Shrimp	SE	CFVSA Warning	EXPIRED FLARES
10/6/98	LOVELY JOANN	Support/Mothership	Cucumber	659	CFVSA Warning	EXPIRED VDS. LIFE RING HAD NO RETRO TAPE, SUPPLIED MASTER W/TAPE.
10/14/98	MIDDLE PASS	Longliner	Sablefish	659	CFVSA Violation	EXPIRED VDS & FIRE EXTINGUISHER NOT CHARGED
10/12/98	MOLLY T	Dive Boat	Cucumber	659	CFVSA Violation	LACK OF SAFETY EQUIPMENT
11/2/98	MORIAH	Dive Boat	Cucumber	659	CFVSA Violation	NO PROOF OF CURRENT DOCUMENTATION
10/12/98	NORTH FORK	Troller	Salmon, Chinook	659	CFVSA Violation	LACK OF SAFETY EQUIPMENT
11/13/98	PEREGRIN	Longliner	Halibut	3A	CFVSA Warning	VSL REG NO VISIBLE
10/3/98	PIERCE	Longliner	Halibut	2C	CFVSA Violation	EXPIRED FLARES
10/12/98	REWARD	Dive Boat	Cucumber	659	CFVSA Violation	LACK OF SAFETY EQUIPMENT
10/2/98	ROSELL	Longliner	Halibut	2C	CFVSA Violation	EXPIRED FLARES
10/12/98	ROULETTE	Troller	Salmon, Chinook	659	CFVSA Violation	EXPIRED VDS
10/27/98	ROYAL AMERICAN	Trawl, Catcher Vessel	Pollock	610	Fix-it Ticket	NO VAR
11/2/98	ROYAL FLUSH	Dive Boat	Cucumber	659	CFVSA Violation	EXPIRED REG, NO BUOYANT APPARATUS, INSUFFICIENT # OF IMMERSION SUITS
11/13/98	SEA WARRIOR	Pot Boat	No Product/No Fishery	519	Summary Settlement	XSITING W/N AKUN ISL 3NM NO XSIT ZONE (1NM)
10/26/98	STORM PETROL	Trawl, Catcher Vessel	Pollock	610	Fix-it Ticket	NO VAR
11/11/98	TANYA ROSE	Pot Boat	Red king crab	519	CFVSA Warning	WARNED FOR CFVSA DISCREP
11/12/98	TRACKER	Longliner	Halibut	3A	CFVSA Violation	NO PML ATTACHED TO IMMERSION SUIT
10/12/98	VOLUNTEER	Dive Boat	Cucumber	659	CFVSA Violation	LACK OF SAFETY EQUIPMENT
10/2/98	WOODSTOCK	Longliner	Halibut	2C	CFVSA Violation	NO MARKS ON LIFE RING, RAFT INSP EXPIRED, NO DATE BATTERY INSTALLED/INSPECTED ON EPIRB

ENCLOSURE(1)



## **ENFORCEMENT REPORT FOR THE PERIOD 10/1/98 THROUGH 12/7/98**

National Marine Fisheries Service  
Alaska Enforcement Division

During the reporting period, NMFS and the Coast Guard opened a total of 127 cases. NMFS initiated 118 of these investigations.

Further action was also taken on 104 pending cases during the reporting period. Thirteen investigations were closed as unfounded, 2 investigations were closed due to lack of evidence, 11 investigations were closed due to lack of Enforcement resources, and 2 investigations were dismissed for other reasons. Nine cases were referred to other Regions or other agencies. Twelve cases were handled with written warnings. Seven cases were handled with summary settlement payments totaling \$16,819 and forfeited proceeds of \$4,029. Thirty-six cases were settled through voluntary abandonment of forfeited proceeds and property valued at \$26,168. One case was referred to NOAA General Counsel. General Counsel issued seven NOVAs for a total of \$19,100 in penalties and settled four cases for \$7,500 in penalties.

### **1998 IFQ SEASON COMPETED**

The 1998 IFQ season was completed on November 15. Effort and landings were about the average of the previous three seasons results. There has been an increase in serious civil and criminal violations while the average overage cases have decreased. Attached is the final IFQ law enforcement summary. Dockside inspections were down due to a manpower shortage but Coast Guard dockside inspections increased to help make up the difference from previous seasons efforts.

### **AED ENFORCEMENT BEGINS VIDEO PROJECT**

In 1999 the NMFS Protected Resources Management Division will be promulgating regulations regarding whale watching. In particular, NMFS plans to develop approach (distance) regulations for Alaskan waters and viewing endangered Humpback whales. Public meetings will be scheduled and the AED plans to document all phases of the regulatory process. The plan is to make a short video that will help explain the need for the approach regulations, show how to maintain a safe distance from Humpback whales, and what to do if the whales approach a persons vessel. This project is part of the ongoing Community Oriented Policing and Problem Solving (COPPS) program the AED has adopted. For more information please contact Steve Meyer or Ron Antaya at the AED Divisional office in Juneau, AK. (907)-586-7225.

## **AED PERSONNEL REPORT POSITIVE RESULTS FROM FISH EXPO**

EO Jim Wisher reports that EO Les Cockreham did an excellent job of organizing and planning for the NMFS law enforcement booth at the 1998 Fish Expo in Seattle Wa. The Expo was at the Seattle Convention Center and ran from 1000 to 1800 hours, November 20, 21 and 22.

On November 19, EO's Wisher and Cockreham set up the NMFS Enf. Display, as well as dividing space between NMFS Food Inspectors, Washington Fish and Wildlife and Oregon Fish and Wildlife. Alaska Wildlife enforcement was unable to attend this year. NMFS Enf. was at one end with the Restricted Access Management booth at the opposite end.

All three days the NMFS Enf. booth was very busy answering questions about Federal Fisheries from Alaska to California. The majority of the fishermen (Alaskans) stopped by just to say hello and the rest divided between asking questions and debating present laws. The officers only had to deal with one upset fisherman.

## **PERSONNEL HIRING CONTINUES**

Replacement personnel are still being interviewed and in the selection process for backfilling existing vacancies in the AED staff. Eleven office positions were vacant at the end of the IFQ season and one more has accepted a position with NMFS in Florida. Three trainees are in classes in the Federal Law Enforcement Training Center in Brunswick, Georgia and three more are preparing for classes scheduled to begin in January. We anticipate being to full staff by summer 1999.

**DATE:** November 25, 1998

**MEMORANDUM FOR:** Stephen A. Meyer, SAC

**THROUGH:** Jeff Passer, DSAC

**FROM:** John C. Kingeter, ASAC

**SUBJECT:** 1998 IFQ Season Enforcement Report

**ATTACHMENTS:**

- 1) Enforcement Summary.
- 2) 1998 Inspections & Boardings.
- 3) IFQ Cases Opened (1998 date of violation only) by FPO/SA.
- 4) IFQ Cases Opened (By all dates of violation) by FPO/SA.
- 5) Registered Buyer Ports Report.

This is the IFQ Enforcement Report for 1998 fishing season.

# 1998 IFQ Enforcement Summary

bold print = 1998 data , regular print = past data

- |    |   |
|----|---|
| 1) | <b>a. IFQ Season Complete (245 days):</b> <span style="float: right;"><b>100%</b></span><br><b>b. Percent of IFQ Harvested:</b> <b>Halibut: 92%,</b> <b>Sablefish: 93%</b><br><b>c. Percent of CDQ Harvested:</b> <b>Halibut: 94%</b> <b>Sablefish: 56%</b>   |
| 2) | <b>a. 1998 Number of IFQ/CDQ Offloads:</b> <span style="float: right;"><b>9,238</b></span><br><b>b. 1998 Offloads as a percent of 97 Totals (9,238÷10,895):</b> <span style="float: right;"><b>85%</b></span><br><b>c. 1998 Offloads as a percent of 96 Totals (9,238÷9,009):</b> <span style="float: right;"><b>103%</b></span><br><b>d. 1998 Offloads as a percent of 95 Totals (9,238÷9,652):</b> <span style="float: right;"><b>96%</b></span>  |
| 3) | <b>a. 1998 Number of IFQ Processor Inspections:</b> <span style="float: right;"><b>16</b></span><br><b>b. 1997 Total Number of Processor Inspections:</b> <span style="float: right;"><b>39</b></span><br><b>c. 1996 Total Number of Processor Inspections:</b> <span style="float: right;"><b>39</b></span>  |
| 4) | <b>a. 1998 Number of IFQ/CDQ Offload Inspections (codes AA &amp; AB):</b> <b>** 413</b><br><i>**The method for counting inspections changed significantly in 5/98. Multiply past year's rates (See d-i below) by 65% (in parens) in order to compare to 1998.</i><br><b>b. 1998 Offload Inspection Rate (413÷ 9,238):</b> <span style="float: right;"><b>4.5%</b></span><br><b>c. 1997 Total IFQ/CDQ Vessel Inspections:</b> <span style="float: right;"><b>1,561</b></span><br><b>d. 1997 Total IFQ/CDQ Vessel Inspection Rate (1,540÷10,895):</b> <span style="float: right;"><b>14% (9%)</b></span><br><b>e. 1996 Total IFQ/CDQ Vessel Inspections:</b> <span style="float: right;"><b>1,540</b></span><br><b>f. 1996 Total IFQ/CDQ Vessel Inspection Rate (1,540÷9,009):</b> <span style="float: right;"><b>17% (11%)</b></span><br><b>g. 1995 Total IFQ/CDQ Vessel Inspection:</b> <span style="float: right;"><b>2,262</b></span><br><b>h. 1995 Total IFQ/CDQ Vessel Inspection Rate (2,262÷9,652):</b> <span style="float: right;"><b>23% (15%)</b></span> |
| 5) | <b>a. 1998 Number of IFQ/CDQ Cases Initiated:</b> <span style="float: right;"><b>186</b></span><br><b>b. 1997 Total Number of IFQ Cases Initiated:</b> <span style="float: right;"><b>294</b></span><br><b>c. 1996 Total Number of IFQ Cases Initiated:</b> <span style="float: right;"><b>376</b></span><br><b>d. 1995 Total Number of IFQ Cases Initiated:</b> <span style="float: right;"><b>601</b></span>  |
| 6) | <b>a. 1998 Number of Confiscations:</b> <span style="float: right;"><b>83</b></span><br><b>b. 1998 Confiscation Rate (83÷9,238):</b> <span style="float: right;"><b>0.9%</b></span><br><b>c. 1997 Season Confiscation Rate (179÷10,895)</b> <span style="float: right;"><b>2%</b></span><br><b>d. 1996 Season Confiscation Rate (612÷9,009):</b> <span style="float: right;"><b>7%</b></span><br><b>e. 1995 Season Confiscation Rate (436÷9,652):</b> <span style="float: right;"><b>5%</b></span>  |
| 7) | <b>a. 1998 Canada Offloads:</b> <span style="float: right;"><b>24</b></span><br><b>b. 1997 Canada Offloads:</b> <span style="float: right;"><b>11</b></span><br><b>c. 1996 Canada Offloads:</b> <span style="float: right;"><b>51</b></span>  |
| 8) | <b>a. 1998 Lower 48 Landings:</b> <span style="float: right;"><b>156</b></span><br><b>b. 1997 Lower 48 Landings:</b> <span style="float: right;"><b>136</b></span>  |

Thru 11/24/98

# North Pacific Fishery Management Council

Richard B. Lauber, Chairman  
Clarence G. Pautzke, Executive Director

Telephone: (907) 271-2809



605 West 4th Avenue, Suite 306  
Anchorage, AK 99501-2252

Fax: (907) 271-2817

## MEMORANDUM

**TO:** Steve Meyer  
Vince O'Shea

**FROM:** Jane DiCosimo *JDC*

**DATE:** November 12, 1998

**SUBJECT:** IFQ enforcement reports

In preparation for the Joint Enforcement and IFQ Implementation Committee meeting on December 9, I am requesting detailed IFQ enforcement reports from NMFS Enforcement and the U.S. Coast Guard for committee review of IFQ enforcement issues related to the proposed weighmaster program. Recognizing that some of these reports are more appropriate to one agency than the other, I am specifically requesting a report from each agency on the following IFQ-specific enforcement efforts for 1996, 1997, and 1998, where appropriate:

1. location (distribution), number and percent, and pounds by species of IFQ off-loads monitored by port
2. number of at-sea boardings for IFQ enforcement
3. number and type of IFQ violations detected by off-load monitors
4. number and type of IFQ violations detected by boardings
5. categories of IFQ dockside enforcement and brief descriptions of enforcement activities by category and location: describe enforcement categories (what is checked) and number of checks per category
6. brief descriptions of IFQ enforcement items checked during at-sea boardings (in relation to above reports)
7. brief descriptions of IFQ enforcement items checked during shoreside monitors (in relation to above reports)
8. distribution of enforcement personnel by port and number and percent of IFQ enforcement activity
9. types and numbers of violations by other than dockside enforcement or boardings
10. report of adjudications by category:
  - a. by date of violation
  - b. date of settlement
  - c. terms of settlement

cc: Dave Hanson  
Jeff Stephan

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UNITED STATES DEPARTMENT OF COMMERCE  
NOAA / National Marine Fisheries Service  
Alaska Enforcement Division  
P.O. Box 21767  
Juneau, Alaska 99802-1767

DATE: December 4, 1998

MEMORANDUM FOR: Stephan A. Meyer, SAC

FROM: John Kingeter, ASAC *John Kingeter*

SUBJECT: IFQ Enforcement Reports  
Response to Jane DiCosimo Request

ATTACHMENTS:

- 1) 1998 NMFS Monitored Offload Report.
- 2) Summary of Violations (96, 97, & 98).
- 3) 1998 IFQ Boardings & Inspections by CODE.
- 4) 1998 IFQ Boardings & Inspections by PORT.
- 5) NMFS Domestic Boarding Report form.
- 6) IFQ Dockside Monitor Checklist.
- 7) 1999 Staffing.
- 8) NMFS Effort (%IFQ) Report.
- 9) Adjudications (96, 97, & 98).

This memo and the 12 attachments are a response to Jane DiCosimo's data request of 11/12/98:

- 1) See Attachment # 1. This data is unavailable for 1996 & 1997.
- 2) NMFS Enforcement does not keep boarding data broken down by at-sea versus shoreside. However, due to problems with understaffing since the inception of the IFQ program, we have performed very few at-sea boardings from 1996 to 1998. The majority of NMFS at-sea boardings would have been performed in conjunction with a USCG boarding. Such data would be provided by the USCG.
- 3) See Attachment #'s 2A, 2B, & 2C. We not keep in a data base violations detected during offload monitoring versus by other than during an offload monitoring. Nevertheless, we were able assemble data for 1998 by personally interviewing each FPO/SA that performed offload monitors this year. We were not able to provide violations for 96 & 97 broken down by monitored or not. Many of the FPO's from that long ago are gone and the memory of those that remain in Alaska is too sketchy to interview them on a case by case basis.



- 4) Refer to answer in #2. This question needs to be answered by the USCG.
- 5) See Attachment #'s 3 & 4.
- 6) See answer to # 7 below.
- 7) See Attachment #'s 5 & 6. NMFS Enforcement personnel check for compliance with all pertinent regulations of 50 CFR parts 300, 600, & 679 as well as the statutes of Stevens-Magnuson Act, Lacey Act, & Halibut Act.
- 8) See Attachment # 7 & 8.
- 9) See Attachment # 2.
- 10) See Attachment #'s 9A, 9B, 9C, & 9D.

**1998 NMFS MONITORED IFQ OFFLOADS  
NUMBERS & WEIGHTS  
BY SPECIES & PORT  
(Report date 12/2/98)**

IFQ Species	Port	Number Offloads Monitored	Total # IFQ Offloads	Percent Monitored	Total IFQ Weight Monitored	Total Weight	Percent Monitored
200	BELLINGHAM	13	127	10%	365787	3442284	11%
200	CRAIG	4	206	2%	7135	481215	1%
200	HOMER	145	946	15%	1392896	10398589	13%
200	KENAI	4	52	8%	15000	262914	6%
200	KETCHIKAN	58	149	39%	255458	581107	44%
200	KODIAK	34	793	4%	508593	8952078	6%
200	METLAKATLA	1	8	13%	3017	18217	17%
200	NINILCHIK	7	43	16%	35642	311756	11%
200	PETERSBURG	77	588	13%	437015	2694636	16%
200	SEATTLE	1	27	4%	48553	590527	8%
200	SITKA	38	1044	4%	215219	3504850	6%
200	WHITTIER	1	78	1%	15560	304527	5%
200	WRANGELL	2	231	1%	7936	851451	1%
	<b>HAL TOTALS</b>	<b>385</b>	<b>4292</b>	<b>9%</b>	<b>3,307,811</b>	<b>32,394,151</b>	<b>10%</b>
710	BELLINGHAM	2	46	4%	6841	1117948	1%
710	HOMER	23	175	13%	119721	1294561	9%
710	KETCHIKAN	14	26	54%	155729	253322	61%
710	KODIAK	5	219	2%	68189	3051840	2%
710	PETERSBURG	10	58	17%	129250	911999	14%
710	SITKA	21	448	5%	236809	4198602	6%
	<b>SAB TOTALS</b>	<b>75</b>	<b>972</b>	<b>7.7%</b>	<b>716,539</b>	<b>10,828,272</b>	<b>6.6%</b>
	Subtract out combined offloads	47	-549	n/a	n/a	n/a	n/a
	<b>MONITORED PORT TOTALS</b>	<b>413</b>	<b>4,715</b>	<b>8.6%</b>	<b>4,024,350</b>	<b>43,222,423</b>	<b>9.3%</b>

200 *	Halibut Offloads Unmonitored Ports	0%	4338	0%	0	51,481,528	0%
710 *	Sablefish Offloads Unmonitored Ports	0%	963	0%	0	27,645,561	0%
	Subtract out combined offloads	n/a	-778	n/a	n/a	n/a	n/a
	<b>IFQ TOTALS</b>	<b>413</b>	<b>9,238</b>	<b>4.5%</b>	<b>4,024,350</b>	<b>79,127,089</b>	<b>5.1%</b>

\*To see which ports were entirely unmonitored by NMFS in 1998, compare the port list on this report with RAM's "Harvest by Port of Landing" report available on the internet.



**1998 SUMMARY OF VIOLATIONS  
DETECTED BY NMFS DURING  
OFFLOAD MONITORING vs. OTHERWISE**

(Report date 12/2/98)

**1) SUMMARY**

\*196: TOTAL IFQ & IPHC VIOLATIONS 1998.

- 61: TOTAL VIOLATIONS DISCOVERED DURING OFFLOAD MONITORING.
- 135: TOTAL VIOLATIONS DISCOVERED OTHERWISE.

\* Note: The total of 196 on this report is different than the total of 186 reported on the final 1998 Enforcement Summary Report. The creation of this report on 12/2/98 contained additional cases initiated after the creation of the Summary which was created on 11/25/98.

**2) TYPES & NUMBERS OF VIOLATIONS DETECTED DURING OFFLOAD  
MONITORING (total: 61)**

OVERAGES:

- 8: Halibut confiscation overages.
- 5: Sablefish confiscation overages.

Permits:

- 8: No IFQ permit on board.
- 2: Unlawful retention of sablefish without valid IFQ permit.
- 1: Unlawful retention of halibut without valid IFQ permit.

Landings:

- 2: Submit inaccurate information on IFQ Landing Report.
- 1: Intentionally submit false information on IFQ landing report.
- 1: Submit inaccurate information on IFQ Prior Notice of Landing.
- 1: Unlawful use of IFQ card / IFQ Cardholder not on board.

Other IFQ:

- 6: Unlawful discard of rockfish and Pacific cod bycatch with IFQ species on board.
- 1: Unlawful retention of IFQ sablefish from 2 areas w/o an observer on board.

IPHC:

- 13: Failure to maintain halibut logbook.
- 5: Unlawful possession of undersized halibut.
- 3: Failure to comply with gear marking requirements.
- 2: Failure to separate halibut from 2 IPHC areas.
- 1: False entries in IPHC logbook.
- 1: Offload halibut with gills and entrails intact.

3) **TYPES & NUMBERS OF VIOLATIONS DETECTED BY OTHER THAN OFFLOAD MONITORING (total 135)**

OVERAGES:

- 51: Halibut confiscation overages.
- 32: Sablefish confiscation overages.

Permits:

- 16 : No IFQ permit on board.
- 2: Unlawful retention of sablefish without valid IFQ permit.
- 2: Unlawful retention of halibut without valid IFQ permit.
- 4: Unlawful vessel length for IFQ permit category.
- 2: Submission of false information on an IFQ application.
- 1: Submission of false information on an IFQ transfer application.

Landings:

- 8: Failure to provide IFQ Prior Notice of Landing.
- 2: Submit inaccurate information on IFQ Prior Notice of Landing.
- 1: Early landing within 6 hours after time stated on Prior Notice of Landing.
- 2: Unlawful use of IFQ card / IFQ Cardholder not on board.
- 13: Unlawfully using a Manual Landing Report without a waiver.
- 2: Failure to submit IFQ Landing Report.
- 5: Submit inaccurate information on and IFQ Landing Report.
- 2: Intentionally submit false information on IFQ landing report.
- 6: Late Submission of IFQ Landing Report.

Other IFQ:

- 11; Unlawful discard of rockfish and Pacific cod bycatch with IFQ species on board.
- 1: Unlawful retention of IFQ sablefish from 2 areas w/o an observer on board.
- 3: Unlawful possession and transportation of IFQ Halibut.
- 1: Unlawful possession of processed IFQ fish aboard a vessel.
- 2: Exceeding vessel caps.

IPHC:

- 16: Failure to maintain halibut logbook.
- 1: Unlawful possession of halibut filets.
- 2: Unlawful possession of undersized halibut.
- 3: Failure to comply with gear marking requirements.
- 1: Failure to separate halibut from 2 IPHC areas.
- 1: False entries in IPHC logbook.
- 1: Offload halibut with gills and entrails intact.
- 1: Careful release.
- 1: Unlawful possession, transport, & retail of sport caught halibut.

1997

## CY97 IFQ Cases By Type

	Alaska	Northwest
Overage	189	2
Inaccurate IFQ report	13	3
Failure to submit pending landing notice	11	2
Failure to submit IFQ report on time	11	0
No IFQ permit onboard	10	2
Failure to submit landing report	8	0
Fishing without quota shares	8	0
No IFQ permit	7	0
Failure to submit shipment report	6	0
Fishing wrong class of IFQ shares	5	0
Unlawful possession and transportation of IFQ fish	5	0
Failure to land to registered buyer	4	0
False information on IFQ report	4	0
Landing before specified time	4	0
No registered buyer permit	2	0
Unlawful discard of other species during IFQ fishing	2	0
Exceeding area quota or vessel cap	2	2
Submitting manual landing report without waiver	2	2
Other/unknown	6	0
Total	299	13

## CY97 IPHC Cases By Type

	Alaska	Northwest*
Undersize halibut	14	0
Failure to maintain IPHC logbook	10	1
Improperly marked gear	7	1
Logging errors	3	0
Possession of mutilated halibut	2	0
Failure to have permit or logbook onboard	2	0
Failure to carefully release halibut	1	0
Failure to complete fish ticket	1	0
Failure to separate halibut harvested in two areas	1	0
Failure to remove gills/entrails before offloading	1	0
Failure to separate sport-caught and commercial halibut	0	1
Recreational fishing during closed season	0	4
Total	42	7

\* All Northwest violations occurred in the Northwest area.

## 1996 IFQ & IPHC CASES

### IFQ

Overages	302
No registered buyer's permit	3
No IFQ permit OR no quota card	20
Inaccurate IFQ permit	3
Failure to submit prior notice of landing	7
Failure to submit landing or shipment report	5
Failure to submit landing report within 6 hours	7
Inaccurate report	4
Other	2
Failure to retain rockfish or cod during IFQ fishing	2
Fishing in wrong area or incorrect vessel category	4
Failure to offload entire IFQ catch	1
Failure to issue receipts for dockside sales	1
False statements on IFQ report or application	7
Unlawful possession or sale of IFQ fish	5
Failure to offload during required time frame	2
Hiring master to fish IFQ shares	2
Failure to land to registered buyer	2
Exceeding vessel IFQ cap	1
Unknown	+ 6
TOTAL 1996 IFQ Violations	386

### IPHC

No IPHC license	27
Inaccurate information on IPHC license application	
Failure to maintain halibut log	6
Logging errors	2
False information on fish ticket	1
Failure to record IPHC number on fish ticket	1
Undersize halibut	3
Possession of filleted or mutilated halibut	3
Possession of halibut with unlawful gear on vessel	2
Unlawful sale of sport-caught halibut	2
Receiving halibut from unlicensed vessel	4
Failure to carefully release halibut	1
Failure to remove halibut entrails prior to offloading	1
Failure to offload entire load of IFQ halibut	1
Unknown	+ 4
TOTAL 1996 IPHC Violations	59

**1998 IFQ  
NMFS Boardings & Inspections  
By Code with Descriptions**

<u>Code</u>	<u>Number</u>	<u>Description</u>
AA	339	Full audit with compliance check. The entire offload was monitored from beginning to end. Weights of all offloaded catch were verified by inspecting officer. Compliance inspection conducted.
AB	74	Full audit with no compliance check. The entire offload was monitored from beginning to end. Weights of all offloaded catch were verified by inspecting officer. Compliance inspection NOT conducted.
CV	28	Compliance inspection only with no offload event (Catcher Vessels only). Catch not offloaded. Catch/hold inspected. Presence or lack of catch confirmed. Amount of catch estimated and species identification confirmed.
CP	3	Compliance inspection only with no offload event (Catcher/Processors only). Catch not offloaded. Hold audit, product weight and species verified, and logbook reconciliation of catch on board was conducted.
CN	26	Compliance inspection only with no offload event. Catch/hold not inspected.
CO	149	Compliance inspection only during offload event. Catch/hold not inspected. Offload not monitored.
CT	39	Contact only with minimal or no compliance inspection and no offload event (examples: dockside visit, interview, etc.).
SP	16	Shoreside processor or buying station inspection. Compliance check of processing activities, recordkeeping, or permit inspection.

**1998 IFQ  
NMFS Boardings & Inspections  
By Port & Code**

Port	Inspection Code	Number
ANC ANCHORAGE	SP	6
ASC OTHER SOUTH CENTRAL PORTS	SP	1
BEL BELLINGHAM	AA	13
	CN	1
	CO	87
	CP	1
	CT	4
	CV	7
CRA CRAIG	AA	4
	CT	2
DUT DUTCH HARBOR	AA	1
	CN	1
HAN HAINES	CT	2
HOM HOMER	AA	92
	AB	62
	CO	14
	CT	1
	CV	1
KEN KENAI	AA	4
KET KETCHIKAN	AA	55
	AB	7
	CN	18
	CO	3
	CT	12
	CV	9
KOD KODIAK	AA	36
	CN	2
	CO	12
	CP	1
	CV	2
	SP	2
MET METLAKATLA	AA	1
NIN NINILCHIK	AA	3
	AB	4

Port	Inspection Code	Number
PET PETERSBURG	AA	80
	CN	1
	CO	8
	CT	5
	CV	4
SEA SEATTLE	AA	1
	CT	7
	CV	1
SEW SEWARD	CO	1
	SP	3
SIT SITKA	AA	48
	AB	1
	CN	1
	CO	23
	CP	1
	CT	5
	CV	3
WHI WHITTIER	AA	1
WRA WRANGELL	CN	1
	SP	1
WRD WARDS COVE	CN	1
	CV	1
YAK YAKUTAT	CO	1
	CT	1
	SP	3

**DOMESTIC BOARDING REPORT  
NATIONAL MARINE FISHERIES SERVICE  
ALASKA REGION**

1. DATE: \_\_\_\_\_ 2. PORT: \_\_\_\_\_  
 3. TIME: \_\_\_\_\_ 4. LOCATION: \_\_\_\_\_  
 (Dealer with Lat./Long.)  
 5. VESSEL: \_\_\_\_\_ 6. HOMEPORT: \_\_\_\_\_  
 7. VESSEL: GROSS TONS \_\_\_\_\_ LOA \_\_\_\_\_ COLOR: \_\_\_\_\_ CONSTRUCTION: \_\_\_\_\_  
 8. OFFICIAL NUMBER: \_\_\_\_\_  
 9. MASTER'S NAME: \_\_\_\_\_ 10. OWNER'S NAME: \_\_\_\_\_  
 ADDRESS: \_\_\_\_\_ ADDRESS: \_\_\_\_\_  
 \_\_\_\_\_  
 H \_\_\_\_\_ W \_\_\_\_\_ H \_\_\_\_\_ W \_\_\_\_\_

11. MASTER'S PERSONAL INFO.

RACE	SEX	HEIGHT	WEIGHT	EYES	HAIR	DOB	SSN / DRIVERS LICENCE

12. PERMITS:  
 GROUND FISH: \_\_\_\_\_ MORATORIUM: \_\_\_\_\_  
 IFQ: H \_\_\_\_\_ S \_\_\_\_\_ IFQ REG. BUYER: \_\_\_\_\_ ADF&G \_\_\_\_\_

13. DATES OF LAST TRIP: BEGIN: \_\_\_\_\_ END: \_\_\_\_\_

14. LOCATION OF LAST TRIP GPS/LORAN/OTHER \_\_\_\_\_ BEGIN POSITION \_\_\_\_\_ END POSITION \_\_\_\_\_  
 FIRST SET: \_\_\_\_\_  
 LAST SET: \_\_\_\_\_

15. LOGBOOK: YES NO \_\_\_\_\_ 16. AREA FISHED: EEZ STATE \_\_\_\_\_ 17. GEAR TYPE: \_\_\_\_\_

18. VSL TYPE: \_\_\_\_\_ 19. FISHERY PLAN: \_\_\_\_\_ 20. VSL ACTIVITY: \_\_\_\_\_

21. MASTER'S CATCH ESTIMATE: \_\_\_\_\_ lbs. / kg. / mt.

21. MASTER'S CATCH ESTIMATE: \_\_\_\_\_ lbs. / kg. / mt. 22. VIOLATION: YES / NO

\_\_\_\_\_ lbs. / kg. / mt.

23. VIOLATION DESCRIPTION: \_\_\_\_\_

24. CREW LIST

NAME	DOB	SSN / DRIVER'S LICENSE

AGENT'S NAME: \_\_\_\_\_ AGENT NUMBER: \_\_\_\_\_ SOURCE CODE: \_\_\_\_\_



**ITQ SPECIFIC INFORMATION**  
**IFQ PERMIT HOLDERS**

NAME	PERMIT	CLASS	AREA	SPECIES	REMAINING IFQ

**QUESTIONS**

- At least six hour notice given?    YES            NO            WAIVER            (Circle one)  
Confirmation #: \_\_\_\_\_
- Did offload begin between 6 AM & 6 PM?    YES            NO            WAIVER            (Circle one)
- Is there enough IFQ poundage available on board for each area claimed?    YES            NO            (Circle one)

**MONITORING OFFLOAD**

- Determine actual weight of IFQ species.  
Sablefish: (RWE) \_\_\_\_\_                      Halibut (Head Off / Gutted) \_\_\_\_\_
- Determine actual weight of other species.  
Species: \_\_\_\_\_ (RWE) \_\_\_\_\_  
Species: \_\_\_\_\_ (RWE) \_\_\_\_\_  
Species: \_\_\_\_\_ (RWE) \_\_\_\_\_
- Is there an overage problem? (IFQ)            YES            NO            (Circle one)
- Is there a bycatch problem? (Other species)            YES            NO            (Circle one)
- Was the vessel:    -1/4 full            1/4 full            1/2 full            3/4 full            Full            (Circle one)
- Condition of fish:            Iced            RSW            Frozen            (Circle one)
- What product type?  
Sablefish            H&G Eastern            H&G Western            Whole            (Circle one)  
Halibut            Whole            Head on /Gutted            H&G            (Circle one)

**NOTES:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

# CHECK LIST FOR DOCKSIDE IFQ ENFORCEMENT

{Permits, Certifications, Records, & Reports}

*This is not a legal guide to any fishing regulations. Those seeking legal guidance should consult the federal register, Alaska state regulations, & the Canadian Government.*

NATIONAL MARINE FISHERIES SERVICE {found in 50 CFR}

- 1) \_\_\_\_\_ IFQ PERMIT {see 679.4(d)(1)(i)}.
- 2) \_\_\_\_\_ IFQ CARD and P.I.N. Number (see CFR 679.4(d)(1)(ii)).
- 3) \_\_\_\_\_ REGISTERED BUYER PERMIT needed only if a,b,c, or d below;
  - a) \_\_\_\_\_ To receive IFQ fish from the person who harvested the fish {see 679.4(d)(2)}, or
  - b) \_\_\_\_\_ DOCKSIDE SALES: A receipt that includes the date of sale or transfer, the registered buyer permit number, and the fish product weight of the sablefish or halibut transferred must be issued to all individuals receiving IFQ halibut or IFQ sablefish through a dockside sale {see 679.5(l)(2)(iv)}, or ...
  - c) \_\_\_\_\_ OUTSIDE ALASKA LANDINGS CLEARED IN ALASKA: For IFQ fish to be landed outside Alaska, need a Registered Buyer permit {see 679.4(d)(2)(ii & iii)} and a mandatory VESSEL CLEARANCE {see 679.5(l)(3)(ii)} must be obtained in either a designated primary port in Alaska {see 679.5(l)(3)(viii)}, or ...
  - d) \_\_\_\_\_ OUTSIDE ALASKA LANDING CLEARED IN BELLINGHAM: For IFQ fish landed outside Alaska, need a Registered Buyer permit {see 679.4(d)(2)(ii & iii)} and the mandatory VESSEL CLEARANCE may be obtained in Bellingham {see 679.5(l)(3)(viii)} instead of an Alaskan port. In this case, a DEPARTURE REPORT must be given by phone (1-800-304-4846) before leaving Alaskan waters {see 679.5(l)(3)(iii)}.
- 4) \_\_\_\_\_ 6-HOUR PRIOR NOTICE OF LANDING: Telephone a six hour Prior Notice of Landing to (800) 304-4846 {see 679.5(l)(1)(i)}.
- 5) \_\_\_\_\_ FEDERAL FISHERIES PERMIT {see 679.4(b)}.
- 6) \_\_\_\_\_ MORATORIUM PERMIT (when directed fishing for non-IFQ groundfish while keeping IFQ fish only as bycatch) {see 679.4(c)}.
- 7) \_\_\_\_\_ HIGH SEAS FISHERIES COMPLIANCE ACT PERMIT - if fishing outside the U.S. EEZ {see 300.13}.
- 8) \_\_\_\_\_ DAILY FISHING LOG or DAILY CUMULATIVE PRODUCTION LOG (if applicable - for EEZ groundfish, i.e., IFQ sablefish,) {679.5(a)} for vessel's 60' and larger. May be used for IPHC Halibut log (see # 11 below).
- 9) \_\_\_\_\_ VESSEL ACTIVITY REPORT - for groundfish taken out of the EEZ {see 679.5(k)}.
- 10) \_\_\_\_\_ OBSERVER SAFETY - If you carry an NMFS Observer, you must have documents to evidence you have met the safety requirements. You must have on board valid CFVS Certificate, Certificate of Compliance, or a Certificate of Inspection {see 679.52(h)}.

11) \_\_\_\_\_ OBSERVER coverage for vessels over 60 feet LOA pursuant to regulations {679.50(c)}.

#### INTERNATIONAL PACIFIC HALIBUT COMMISSION

12) \_\_\_\_\_ HALIBUT LOG can be one of these three: 1) NMFS DFL, 2) Alaska State Hook & Line Sablefish log, or 3) IPHC issued logbook {Part 15 of IPHC regulations under 300.62}.

13) \_\_\_\_\_ IPHC VESSEL CLEARANCES for fishing in Area 4 {Part 14 of IPHC regulations under 300.62}.

#### UNITED STATES COAST GUARD

14) \_\_\_\_\_ USCG DOCUMENT or State Vessel Registration for undocumented vessels.

15) \_\_\_\_\_ USCG safety requirements (consult USCG).

#### STATE OF ALASKA

16) \_\_\_\_\_ PICTURE I.D. for interim use permit gear card holders only {see 20AAC 05.115}.

17) \_\_\_\_\_ ADF&G VESSEL LICENSE {see AS16.05.490}.

18) \_\_\_\_\_ CFEC GEAR CARD for halibut and/or sablefish {see AS16.43.140}.

19) \_\_\_\_\_ ADF&G HAILED WEIGHT TICKET REQUIREMENT - for boats taking unprocessed fish out of the state of Alaska. Contact ADF&G for specifics {see 5AAC 39.130, 4/26/95 ADF&G Policy, and 3/12/96 ADF&G News Release}.

20) \_\_\_\_\_ CATCHER/EXPORTER PERMIT {see 5AAC39.130 & 3/12/96 ADF&G News Release}.

21) \_\_\_\_\_ CREWMEMBER COMMERCIAL FISHING LICENSE (not needed by individual holding CFEC gear card) {see 5AAC 39.110}.

22) \_\_\_\_\_ LING COD & DEMERSAL SHELF ROCKFISH LOG - {see 5AAC 28.175}.

#### CANADA DEPARTMENT OF FISHERIES & OCEANS - For vessels going to or through Canada.

23) \_\_\_\_\_ RADIO NOTIFICATION to DFO on VHF 71, 11, or 22A upon entering Canadian Waters {Amendment 12.1 of the Coastal Fisheries Protection Act Regulations}.

24) \_\_\_\_\_ GEAR must be stowed below deck or otherwise removed from the place where it is normally used for fishing {Amendment 12.1 of the Coastal Fisheries Protection Act Regulations as well as U.S. regulations 50 CFR 300.142(c)}.

25) \_\_\_\_\_ IMPORT LANDING LICENSE must be issued by inspecting DFO officer in order to offload fish in Canada {Coastal Fisheries Protection Act Regulations}.

***This is not a legal guide to any fishing regulations. Those seeking legal guidance should consult the federal register, Alaska state regulations, & the Canadian Government.***

**1999 STAFF PLAN**  
**Alaska Region - NMFS Enforcement**

**Total Postions: 36**

**ALASKA ENFORCEMENT DIVISION - Juneau (12 positions)**

SAC - 1 (Special Agent-in-Charge - Primary Leader/Manager focused on external relationships).  
DSAC - 1 (Deputy Special Agent-in-Charge - Second in command - Primary Manager/Leader focused on internal relationships - operations)  
ASAC - 1 (IFQ Coordinator).  
SA's - 4 (1 Primarily focus on case quality and supplementation, intelligence gathering / 3 focused on complex civil/criminal investigations).  
FPO's - 1 (Primarily focused on community oriented policing and problem solving issues).  
Support Staff - 4 (1 Secretary, 1 Computer Specialist, 1 Program Management Specialist, and 1 Enforcement Technician).

**SOUTHEAST DISTRICT: AOR - Yakutat to the U.S.- Canadian International Border (Dixon Entrance).**

**Sitka (District Office) (4 positions)**

ASAC - 1 (District Supervisor).  
SA - 1 (Complex civil/criminal investigations).  
FPO's - 2 (Primarily patrol and inspections with limited assistance in investigations).  
**2 FPO positions currently VACANT.**

**Petersburg (2 positions)**

FPO's - 2 (Primarily patrol and inspections with limited assistance in investigations).  
**2 FPO positions currently VACANT.**

**Ketchikan (2 positions)**

SA - 1 (Complex civil and criminal investigations).  
FPO - 1 (Primarily patrol and inspections with limited assistance in investigations).

**Juneau (1 position)**

FPO - 1 (Primarily patrol and inspections with limited assistance in investigations).  
**1 FPO position currently VACANT.**

**CENTRAL DISTRICT** AOR - Central GOA from Eastern end of Kodiak eastward to Yakutat, all of interior AK, and the North Slope.

**Anchorage** (District Office) (4 positions).

ASAC - 1 (District Supervisor).

SA's - 2 (One with primary responsibility focused on Observer program issues, e.g. training, liaison, debriefing for potential violations, etc.)(One complex civil /criminal investigations).

FPO - 1 (Primarily patrol and inspections with limited assistance in investigations).

**Seward** (2 positions)

FPO's - 2 (Primarily patrol and inspections with limited assistance in investigations).

**1 FPO position currently VACANT.**

**Homer** (2 positions)

FPO's - 2 (Primarily patrol and inspections with limited assistance in investigations).

**1 FPO position currently VACANT.**

**WESTERN DISTRICT** AOR - Kodiak Island and westward in the GOA, the BSAI and coastal villages of western Alaska.

**Kodiak** (District Office) (4 positions)

ASAC - 1 - District Supervisor.

SA's - 1 - (Complex civil and criminal investigations) .

FPO's - 2 - (Primarily patrol and inspections with limited assistance in investigations).

**2 FPO positions currently VACANT.**

**Dutch Harbor** (3 positions)

SA - 1- (Complex civil and criminal investigations)

FPO's - 2 - (Primarily patrol and inspections with limited assistance in investigations).

**2 FPO positions currently VACANT.**

**1 SA position currently VACANT.**

**TOTAL VACANCIES: FPO's - 11, SA's- 1**

**Acronyms**

AOR = Area of Responsibility

SAC = Special Agent in Charge

DSAC = Deputy Special Agent-in-Charge

ASAC = Assistant Special Agent-in-Charge

SA = Special Agent

FPO = Fisheries Patrol Officer

**PERCENTAGE OF IFQ EFFORT  
NMFS ENFORCEMENT**

Percentage of staff hours dedicated to IFQ

<b>NMFS OFFICE</b>	<b>1996 IFQ%</b>	<b>1997 IFQ%</b>	<b>1998 IFQ%</b>
Anchorage	12%	36%	26%
Cordova	29%	n/a	n/a
Dutch Harbor	22%	13%	12%
Homer	46%	48%	53%
Juneau EO	n/a	61%	36%
Juneau HQ	16%	24%	26%
Ketchikan	39%	43%	50%
Kodiak	21%	19%	20%
Petersburg	24%	76%	38%
Seward	13%	17%	26%
Sitka	36%	43%	52%
Yakutat	17%	n/a	n/a
<b>AK TOTAL</b>	<b>23%</b>	<b>32%</b>	<b>30%</b>

Based upon Biweekly reported hours of Special Agents, Enforcement Officers, and Supervisors in the associated staff offices.

## 1998 IFQ AND IPHC ADJUDICATIONS

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
<b>Status Code 39: Summary Settlement paid</b>							
05-JUL-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 13-JUL-98	1,652.00		967.74
02-AUG-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 23-OCT-98	3,187.60		1,536.34
04-AUG-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 08-SEP-98	864.20		612.17
03-OCT-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 19-OCT-98	1,362.20		1,056.08
27-SEP-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 05-OCT-98	1,519.98		759.99
24-AUG-98	FM	679.7(f)(6)	FAILURE TO HAVE VALID IFQ CARD FOR IFQ SABLEFISH LANDING	39 08-OCT-98	3,750.00		
05-MAY-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 24-JUN-98	984.00		892.68
08-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 12-AUG-98	2,792.00		2,161.55
29-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 12-AUG-98	766.00		575.32
22-JUN-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 12-AUG-98	857.00		528.50
19-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 04-SEP-98	1,220.00		743.30
<b>Status Code 40: Written warning issued by Alaska Enforcement Division</b>							
14-JUL-98	FM	679.7(f)(12)	FAILURE TO SUBMIT IFQ PENDING LANDING	40 12-AUG-98			
20-MAR-98	FM	679.7	FAILURE TO REMAIN ONBOARD VESSEL DURING IFQ OFFLOAD	40 22-APR-98			
25-MAR-98	FH	300.18(3)	UNLAWFUL POSSESSION OF CRAB POTS WITH HALIBUT ONBOARD VESSEL	40 22-APR-98			
25-MAR-98	FH	300.15	FAILURE TO HAVE PROPER HALIBUT LOGBOOK	40 22-APR-98			
25-JUN-98	FM	679.5(l)(1)(ii)	FAILURE TO USE ATM FOR IFQ LANDING	40 14-JUL-98			
26-MAY-98	FM	679.7(f)(5)	NO IFQ CARD ONBOARD	40 15-JUN-98			
27-MAY-98	FM	679.7(f)(4)	RETAINED EXCESS IFQ HALIBUT FROM 2 AREAS WITHOUT AN OBSERVER	40 04-AUG-98			
16-SEP-98	FM	679.4(d)(6)(ii)	FAILURE TO HAVE COPY OF IFQ PERMIT ONBOARD	40 09-OCT-98			
14-APR-98	FM	679.4(d)(6)(ii)	FAILURE OF IFQ CARDHOLDER TO REMAIN ONBOARD DURING LANDING	40 16-APR-98			
06-APR-98	FM	679.4(6)(i)	NO IFQ PERMIT ONBOARD	40 01-MAY-98			
06-APR-98	FM	679.4(d)(1)(i)	NO IFQ PERMIT ONBOARD/NO COPY OF IFQ PERMIT	40 01-MAY-98			
06-APR-98	FM	679.7(f)(8)	UNLAWFUL DISCARD OF ROCKFISH WITH IFQ HALIBUT ONBOARD	40 01-MAY-98			
15-OCT-98	FM	679.7(f)(6)	FAILURE TO HAVE PERMIT CARD WHILE IFQ FISHING	40 05-NOV-98			
10-OCT-98	FM	679.7(f)(12)	LAND IFQ FISH BEFORE 6 HR PNOL NOTIFICATION	40 23-OCT-98			
03-OCT-98	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	40 23-OCT-98			
30-AUG-98	FM	679.7(f)(6)	UNLAWFUL IFQ LANDING (NO IFQ CARD)	40 23-OCT-98			
14-JUL-98	FM	679.7(f)(12)	FAILURE TO SUBMIT PRIOR NOTICE OF LANDING	40 12-AUG-98			
04-JUN-98	FM	679.7(f)(5)	FAILURE TO HAVE COPY OF IFQ PERMIT ONBOARD	40 24-JUN-98			
15-MAY-98	FM	679.5(l)(1)(i)	SUBMIT INACCURATE INFO ON IFQ NOTICE OF PENDING LANDING	40 12-AUG-98			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date	Sett. Amt.	Forf. Value	Forf. Proceeds
14-MAY-98	FH	300.65-17(2)	OFFLOAD HALIBUT WITH GILLS AND ENTRAILS INTACT	40 24-JUN-98			
07-MAY-98	FM	679.7(f)(8)	FAILURE TO RETAIN BYCATCH WITH IFQ FISH ONBOARD	40 24-JUN-98			
29-APR-98	FM	679.7(f)(3)	FAILURE TO HAVE IFQ PERMIT ONBOARD VESSEL	40 02-JUN-98			
13-APR-98	FM	679.5(l)(1)(i)(A)	FAILURE TO USE IFQ ATM	40 24-JUN-98			
28-JUN-98	FM	679.5(l)(1)	FAILURE TO USE ELECTRONIC REPORTING FOR IFQ LANDING	40 13-JUL-98			
19-JUN-98	FM	679.7(f)(12)	COMMENCING IFQ LANDING PRIOR TO PRIOR NOTICE OF LANDING TIME	40 13-JUL-98			
18-MAR-98	FH	301.18(2)(b)	FAILURE TO SEPARATE HALIBUT FROM TWO IPHC AREAS	40 29-JUN-98			
18-MAR-98	FM	679.7(f)(8)	UNLAWFUL DISCARD OF PACIFIC COD WITH IFQ HALIBUT ONBOARD	40 29-JUN-98			

Status Code 41: Written Warning (FIX-IT ticket) issued by Alaska Enforcement Division

13-MAY-98	FH	300.19(4)	FAILURE TO COMPLY WITH GEAR MARKING REQUIREMENTS	41 05-JUN-98			
15-AUG-98	FH	300.65-16(1)	FAILURE TO USE IPHC PRESCRIBED HALIBUT LOGBOOK	41 04-SEP-98			
10-JUN-98	FH	300.65-16(1)	FAILURE TO USE IPHC APPROVED LOGBOOK	41 24-JUN-98			
26-MAY-98	FH	300.65-16(1)	FAILURE TO USE IPHC APPROVED LOGBOOK	41 24-JUN-98			
22-MAY-98	FH	300.65-16(1)	FAILURE TO USE IPHC APPROVED LOGBOOK	41 24-JUN-98			
26-AUG-98	FH	300.16(1)	FAILURE TO MAINTAIN HALIBUT LOGBOOK	41 24-SEP-98			
15-APR-98	FH	300.16(a)	FAILURE TO USE APPROVED LOGBOOK	41 21-APR-98			
23-APR-98	FH	300.65-16(1)	FAILURE TO USE APPROVED HALIBUT LOGBOOK	41 02-JUN-98			
12-MAY-98	FH	300.65-16(1)	FAILURE TO USE APPROVED LOGBOOK	41 24-JUN-98			
07-MAY-98	FH	300.65-16(1)	FAILURE TO USE APPROVED HALIBUT LOGBOOK	41 24-JUN-98			
15-APR-98	FH	300.19(d)	FAILURE TO PROPERLY MARK BUOYS	41 01-MAY-98			
15-JUN-98	FH	300.19(4)	FAILURE TO COMPLY WITH GEAR MARKING REQUIREMENTS	41 22-JUN-98			

Status Code 44: Dismissed by Alaska Enforcement Division (no violation)

28-MAY-98	FM	679.7(f)(14)	FISHING AN IFQ D CLASS PERMIT ON C CLASS VESSEL	44 02-JUN-98			
15-MAY-98	FM	679.7(f)(12)	NO IFQ PENDING LANDING	44 18-JUN-98			
19-MAY-98	FM	679.7(f)(12)	INACCURATE IFQ PRIOR NOTICE OF LANDING	44 18-JUN-98			
31-AUG-98	FM	679.7(f)(6)	FAILURE TO OBTAIN IFQ WAIVER PRIOR TO SUBMITTING MLR	44 31-AUG-98			
10-NOV-97	FM	679.5(l)(1)(i)(A)	LATE SUBMITTAL OF IFQ LANDING REPORT	44 24-APR-98			
13-SEP-98	FM	679.7(f)(1)	MISMATCH BETWEEN PENDING LANDING AND LANDING REPORT	44 23-OCT-98			
31-AUG-98	FM	679.7(f)(6)	FAILURE TO OBTAIN WAIVER PRIOR TO SUBMITTED MANUAL LAND. RPT.	44 31-AUG-98			
30-AUG-98	FM	679.7(f)(4)	IFQ OVERAGE - NO VIOLATION	44 31-AUG-98			
24-AUG-98	FM	679.7(f)(3)	UNLAWFUL FISHING OF ANOTHER PERSON'S IFQ	44 05-OCT-98			
12-AUG-98	FM	679.7(f)(1)	FAILURE TO OBTAIN WAIVER TO SUBMIT IFQ MANUAL LANDING REPORT	44 13-AUG-98			
05-AUG-98	FM	679.7(f)(1)	SUBMITTED IFQ MANUAL LANDING REPORT WITHOUT WAIVER	44 09-AUG-98			
05-AUG-98	FM	679.7(f)(1)	FAILURE TO REQUEST IFQ WAIVER FOR MANUAL LANDING REPORT	44 06-AUG-98			



Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
23-SEP-98	FM	679.7(f)(3)	UNLAWFUL USE OF IFQ CARD TO LAND FISH	44 23-SEP-98			
09-SEP-98	FM	679.7(f)(14)/679.40(5)	UNLAWFUL VESSEL LENGTH FOR IFQ PERMIT CATEGORY	44 22-SEP-98			
16-SEP-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	44 19-SEP-98			
19-SEP-98	FM	679.7(f)(4)	IFQ OVERAGE	44 19-SEP-98			
19-SEP-98	FM	679	FAILURE TO OBTAIN WAIVER FOR IFQ MANUAL LANDING REPORT	44 19-SEP-98			
17-SEP-98	FM	679.5(l)(ii)	FAILURE TO REPORT IFQ LANDING WITHIN 6 HRS OF OFFLOAD	44 18-SEP-98			
10-NOV-97	FM	679.5(l)(1)(ii)(A)	LATE SUBMITTAL OF IFQ LANDING REPORT	44 24-APR-98			
28-MAY-98	FM	679.7(f)(14)	FISHING A D PERMIT ON A C CLASS VESSEL	44 02-JUN-98			
03-OCT-98	FM	679.7(f)(4)	IFQ OVERAGE - UNFOUNDED	44 05-NOV-98			
30-SEP-98	FM	679.7(f)(4)	IFQ OVERAGE	44 02-OCT-98			
01-OCT-98	FM	679.7(f)(12)	FAILURE TO OBTAIN IFQ PENDING LANDING NOTIFICATION	44 01-OCT-98			
02-MAY-98	FM	679.7(f)(1)	UNLAWFULLY PROVIDE INACCURATE INFO. ON IFQ PENDING LANDING	44 24-SEP-98			
15-MAY-98	FM	679.7(f)(12)	NO PENDING LANDING	44 18-JUN-98			
04-MAY-98	FM	679.7(f)(5)		44 26-MAY-98			
26-AUG-98	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ LANDING REPORT	44 05-OCT-98			
Status Code 45: Complaint - Case Closed							
08-SEP-98	FM	679.7(a)(1)	SUBMIT FALSE OR INACCURATE INFO. ON IFQ LANDING REPORT	45 19-SEP-98			
28-SEP-98	FM	679.7(f)(1)		45 28-SEP-98			
Status Code 46: Closed by Alaska Enforcement Division due to lack of evidence							
15-JUN-94	FM	676.16(b)	SUBMIT FALSE INFORMATION ON IFQ APPLICATION	46 22-SEP-98			
15-JUN-94	FM	676.16(b)	SUBMIT FALSE INFORMATION ON IFQ APPLICATION	46 22-SEP-98			
04-MAR-97	FM	679.7(a)(13)(iii)	PUNCTURE IFQ HALIBUT WITH A GAFF OR OTHER DEVICE	46 24-JUN-98			
15-JUN-94	FM	676.16(b)	SUBMIT FALSE INFORMATION ON IFQ APPLICATION	46 22-SEP-98			
Status Code 48: Closed by Alaska Enforcement Division due to lack of Enforcement resources							
02-NOV-98	FH	301.16(6)	UNLAWFUL HALIBUT FILLETS	48 17-NOV-98			
Status Code 51: Written Warning (FIX-IT Ticket) issued by U.S. Coast Guard							
26-MAR-98	FH	300-15(2)(B)	FAILURE TO LOG HALIBUT BEFORE OFFLOAD	51 26-MAY-98			
01-APR-98	FH	300-18(d)	FAILURE TO PROPERLY MARK GEAR	51 26-MAY-98			
29-APR-98	FH	300.5	NO ACCOMODATION LADDER WITH 9 FOOT FREEBOARD	51 26-MAY-98			
28-MAY-98	FM	679.5	FAILURE TO CARRY IFQ PERMIT ONBOARD	51 29-JUL-98			
Status Code 90: Property forfeited or abandoned							
08-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 26-MAY-98		104.52	

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
25-JUL-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 23-OCT-98			266.51
07-AUG-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 23-OCT-98			27.35
29-JUL-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-98			211.14
18-AUG-98	FH	300.62-13(1)(a)	UNLAWFUL POSSESSION OF UNDERSIZE HALIBUT	90 01-OCT-98		1.00	
13-AUG-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 04-SEP-98			19.80
05-AUG-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 04-SEP-98			132.02
12-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-98			130.71
22-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 12-AUG-98			324.16
22-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 12-AUG-98			312.60
21-JUN-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 12-AUG-98			34.10
07-JUL-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 26-AUG-98			3.12
29-JUL-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 14-SEP-98			194.05
16-APR-98	FH	300.12(a)	UNDERSIZE HALIBUT	90 01-SEP-98		1.00	
12-SEP-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 09-OCT-98			23.12
17-AUG-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 04-SEP-98			10.00
18-AUG-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 04-SEP-98			49.35
30-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 26-AUG-98			162.09
09-MAY-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 12-AUG-98			48.38
16-JUN-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 12-AUG-98			92.73
12-JUN-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 26-AUG-98			178.28
08-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 12-AUG-98			370.75
01-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 12-AUG-98			465.75
16-MAY-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 12-AUG-98			7.65
14-MAY-98	FH	300.65-13(a)	POSSESSION OF UNDERSIZED HALIBUT	90 24-JUN-98		1.00	
08-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 02-JUN-98			240.10
08-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 02-JUN-98			83.89
08-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 02-JUN-98			204.84
05-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 12-AUG-98			5.40
23-APR-98	FM	300.65(a)	UNLAWFUL POSSESSION OF UNDERSIZE HALIBUT	90 02-JUN-98		1.00	
11-OCT-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 05-NOV-98			27.44
08-OCT-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 05-NOV-98			18.28
27-SEP-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 05-NOV-98			101.57
19-AUG-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 04-SEP-98			216.05
11-JUL-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 14-AUG-98			73.90
13-MAY-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 20-MAY-98			27.13
29-MAY-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 18-JUN-98			51.53
27-JUN-98	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 28-SEP-98			18.31
11-JUN-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 08-SEP-98			341.12
27-MAY-98	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 03-JUN-98			204.63

## 1997 IFQ AND IPHC ADJUDICATIONS

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status	Sett. Amt.	Forf. Value	Forf. Proceeds
Status Code 39: Summary Settlement paid							
07-APR-97	FH	301.15(a)	FAILURE TO MAINTAIN IPHC LOGBOOK	39 06-MAY-97	375.00		
31-MAR-97	FM	679.5(l)(1)(i)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	39 06-JAN-98	1,500.00		
10-APR-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 12-AUG-97	1,564.00		1,819.59
10-APR-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 12-AUG-97	1,562.00		1,819.59
15-APR-97	FM	679.7(f)(12)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	39 01-AUG-97	1,500.00		
30-APR-97	FH	301.15(a)	FAILURE TO MAINTAIN HALIBUT LOG IN TIMELY MANNER	39 15-JUL-97	750.00		
06-MAY-97	FM	679.7(f)(12)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	39 12-SEP-97	1,500.00		
15-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 15-JUL-97	824.00		945.12
25-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 16-OCT-97	756.00		916.63
18-MAY-97	FH	301.12(a)	UNDERSIZED HALIBUT	39 23-JUN-97	150.00	1.00	
25-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 18-JUL-97	1,166.00		1,377.30
06-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 18-JUN-97	684.00		821.25
06-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 18-JUN-97	684.00		810.64
03-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 07-AUG-97	6,181.00		6,216.25
05-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 14-SEP-98	3,142.00		3,712.50
29-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 07-OCT-97	1,722.00		1,950.16
31-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 21-JUL-97	754.00		875.47
04-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 15-JUL-97	922.00		1,065.58
28-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 30-SEP-97	392.00		462.31
28-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 08-JUL-97	2,184.00		2,576.25
09-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 25-AUG-97	820.00		810.41
27-JUL-97	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ LANDING REPORT	39 12-NOV-97	2,000.00		
27-JUL-97	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ LANDING REPORT	39 30-DEC-97	2,000.00		
23-JUL-97	FM	679.7(f)(1)	INACCURATE INFORMATION ON IFQ PENDING LANDING NOTICE	39 07-OCT-97	3,750.00		
15-JUL-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 07-AUG-97	1,074.00		886.15
12-JUL-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 07-AUG-97	1,020.00		1,146.28
15-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 12-NOV-97	5,105.40		5,442.90
05-OCT-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 12-NOV-97	739.60		920.02
30-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 12-NOV-97	1,660.00		1,580.81
28-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 12-NOV-97	628.00		527.57
20-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 10-SEP-97	1,534.00		1,535.41
17-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 10-SEP-97	3,334.00		3,879.43
18-AUG-97	FH	301.12(b)	POSSESSING HALIBUT OF INDETERMINABLE LENGTH	39 21-AUG-98	1,406.25	1.00	
01-AUG-97	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	39 15-SEP-98	1,180.00		1,174.10
26-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 07-JUL-97	806.00		970.35
23-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 30-DEC-97	1,928.00		1,950.30
06-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 27-OCT-97	1,190.00		1,139.22
14-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 08-JAN-98	1,154.00		1,185.85

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
01-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 02-OCT-97	608.00		649.20
30-AUG-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 26-SEP-97	656.00		893.80
24-AUG-97	FM	301.22	MUTILATED HALIBUT	39 30-DEC-97	300.00	1.00	
09-JUL-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 21-JUL-97	1,024.00		1,206.90
10-JUL-97	FM	679.7(f)(12)	LANDING BEFORE TIME GIVEN ON IFQ PENDING LANDING NOTICE	39 12-NOV-97	1,000.00		
10-JUL-97	FM	679.7(f)(12)	UNLAWFUL IFQ LANDING PRIOR TO SPECIFIED LANDING TIME	39 12-NOV-97	1,000.00		
26-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 03-OCT-97	2,426.00		2,865.81
23-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 07-AUG-97	2,056.00		1,932.74
18-JUN-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 11-AUG-97	156.76		
09-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 17-NOV-97	1,334.00		1,414.04
05-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 20-OCT-97	638.00		785.33
11-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 01-SEP-98	1,618.00		1,987.90
16-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 14-AUG-97	762.00	1.00	936.95
17-MAY-97	FM	301.12(a)	UNDERSIZE HALIBUT	39 23-JUN-97	1,500.00		
06-MAY-97	FM	301.12(a)	POSSESSION OF UNDERSIZE HALIBUT	39 30-JUN-97	300.00	1.00	
24-APR-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 07-MAY-97	896.00		945.19
Status Code 40: Written Warning issued by Alaska Enforcement Division							
01-OCT-97	FM	679.7(f)(12)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	40 30-DEC-97			
13-JUN-97	FM	679.5(1)(2)(iv)(c)	FAILURE TO PROVIDE RECEIPTS FOR IFQ DOCKSIDE SALES	40 05-JUN-98			
18-MAR-97	FM	679.5(1)(1)(i)(b)	FAILURE TO OFFLOAD IFQ HALIBUT DURING ALLOWED OFFLOAD HOURS	40 27-MAR-97			
22-MAR-97	FM	679.7(a)(10)	FAILURE TO SUBMIT IFQ LANDING REPORT WITHIN TIME LIMIT	40 04-APR-97			
28-MAR-97	FM	679.7(f)	FAILURE TO HAVE VALID IFQ PERMIT ON BOARD	40 14-APR-97			
22-MAR-97	FM	679.7(a)(10)	FAILURE TO SUBMIT IFQ LANDING REPORT WITHIN 6 HOURS	40 04-APR-97			
15-SEP-97	FM	679.7(f)(5)	IFQ HALIBUT LANDING VIOLATIONS	40 12-NOV-97			
30-AUG-97	FM	679.5(1)(11)(a)	FAILURE TO SUBMIT IFQ SHIPMENT REPORT	40 14-SEP-98			
29-JUN-97	FM	679.5(1)(11)(a)	SUBMIT IFQ MANUAL LANDING REPORT WITHOUT WAIVER	40 14-SEP-98			
29-JUN-97	FM	679.5(1)(11)(a)	SUBMIT IFQ MANUAL LANDING REPORT WITHOUT WAIVER	40 14-SEP-98			
01-OCT-97	FM	679.7(f)(12)	FAILURE TO SUBMIT PRIOR NOTICE OF LANDING	40 30-DEC-97			
09-AUG-97	FM	679.4(d)(1)(i)	FAILURE TO HAVE IFQ PERMIT ONBOARD	40 30-SEP-97			
03-JUL-97	FM	679.7(f)(1)	INACCURATE INFORMATION ON IFQ LANDING REPORT	40 01-AUG-97			
24-JUN-97	FM	679.5(1)(11)(i)	FAILURE OF REGISTERED BUYER TO REPORT IFQ LANDINGS	40 26-JAN-98			
24-JUN-97	FM	679.7(f)(11)(12)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	40 26-JAN-98			
24-JUN-97	FM	679.5(1)(11)(i)	FAILURE OF REGISTERED BUYER TO REPORT IFQ LANDINGS	40 26-JAN-98			
24-JUN-97	FM	679.7(f)(11)(12)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	40 26-JAN-98			
01-AUG-97	FM	301.17	FAILURE TO SEPARATE HALIBUT HARVESTED IN TWO DIFFERENT AREAS	40 11-AUG-97			
21-JUL-97	FM	679.5(1)(11)(i)	FAILURE TO SUBMIT ELECTRONIC IFQ LANDING REPORT	40 07-OCT-97			
23-JUL-97	FM	679.7(f)(11)	INACCURATE INFORMATION ON IFQ PENDING LANDING NOTICE	40 07-OCT-97			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status	Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
28-SEP-97	FM	679.5(l)(1)(ii)	LATE SUBMITTAL OF IFQ LANDING REPORT	40	05-JAN-98			
28-SEP-97	FM	679.7(f)(5)/679.4(d)(2)	PURCHASED IFQ HALIBUT WITHOUT A REGISTERED BUYER PERMIT	40	05-JAN-98			
03-AUG-97	FM	679.7(f)(1)	SUBMIT FALSE INFORMATION ON MANUAL IFQ LANDING REPORT	40	30-DEC-97			
12-JUN-97	FM	679.7(f)(8)	UNLAWFUL DISCARD OF ROCKFISH WHILE IFQ FISHING	40	22-OCT-97			
09-SEP-97	FM	679.4(d)(1)	NO IFQ PERMIT ONBOARD	40	26-SEP-97			
03-SEP-97	FM	679.4(d)(1)	NO IFQ PERMIT ONBOARD	40	30-OCT-97			
07-JUL-97	FM	679.7(f)(5)/679.4(d)(1)	NO IFQ CARD ONBOARD WHILE FISHING FOR HALIBUT	40	07-AUG-97			
01-JAN-97	FM	679.7(f)(1)	SUBMITTING INACCURATE INFORMATION ON IFQ REPORTS	40	02-MAR-98			
31-DEC-97	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ SHIPMENT REPORT	40	29-JAN-98			
31-DEC-97	FM	679.7(f)(1)	FAILURE TO SUBMIT ACCURATE INFO. ON IFQ PRIOR NOTICE	40	29-JAN-98			
08-AUG-97	FM	679.7(f)(5)	UNLAWFUL TRANSFER OF IFQ HALIBUT	40	08-JAN-98			
24-SEP-97	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ REPORTS IN A TIMELY MANNER	40	18-JUN-98			
13-JUN-97	FM	679.5(l)(2)(iv)(B)	CONDUCTED DOCKSIDE SALES PRIOR TO SUBMITTING IFQ LANDING	40	05-JUN-98			
13-JUN-97	FM	679.5(l)(1)(ii)	LATE REPORTING OF IFQ LANDING	40	05-JUN-98			
23-MAR-97	FM	679.4(d)(1)(i)	FAILURE TO HAVE IFQ PERMIT ONBOARD VESSEL	40	12-JUN-97			
07-JUN-97	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING FOR HALIBUT	40	15-SEP-98			
20-MAY-97	FM	679.7(f)(8)	DISCARDING PACIFIC COD WITH IFQ HALIBUT ON-BOARD	40	09-JUN-97			
09-MAY-97	FM	679.7(f)(12)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	40	20-JUN-97			
13-MAY-97	FM	679.7(a)(10)	INCOMPLETE IFQ PENDING LANDING NOTICE	40	20-JUN-97			
02-MAY-97	FM	679.7(a)(10)	FAILURE TO SUBMIT IFQ REPORT WITH TRANSACTION TERMINAL	40	12-MAY-97			
28-APR-97	FM	679.16(m)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	40	14-SEP-98			

Status Code 41: Written Warning (FIX-IT Ticket) issued by Alaska Enforcement Division

18-APR-97	FH	301.15	FAILURE TO MAKE COMPLETE ENTRIES IN IPHC LOG	41	21-APR-97			
13-MAY-97	FH	300.62(15)	FAILURE TO MAINTAIN IPHC LOGBOOK	41	18-JUN-97			
09-MAY-97	FH	301.15	FAILURE TO MAINTAIN IPHC LOGBOOK	41	20-JUN-97			
08-SEP-97	FH	301.17(d)	IMPROPERLY MARKED GEAR	41	30-SEP-97			
24-AUG-97	FH	300.62-15(1)	FAILURE TO MAINTAIN IPHC LOG	41	02-SEP-97			
10-OCT-97	FH	300.62-15(1)	FAILURE TO MAINTAIN HALIBUT LOGBOOK	41	08-JAN-98			
11-SEP-97	FH	301.17(d)	FAILURE TO PROPERLY MARK GEAR	41	26-SEP-97			
11-JUL-97	FH	301.15	FAILURE TO MAINTAIN IPHC LOGBOOK	41	15-SEP-98			
05-SEP-97	FH	301.17(d)	FISHING WITH UNMARKED BUOYS	41	26-SEP-97			
06-JUN-97	FH	301.15	NO IPHC LOGBOOK	41	15-SEP-98			
20-MAY-97	FH	301.17(d)	IMPROPERLY MARKED GEAR	41	09-JUN-97			
08-MAY-97	FH	301.15	FAILURE TO MAINTAIN IPHC LOGBOOK	41	02-JUN-97			

Status Code 44: Dismissed by Alaska Enforcement Division

13-JAN-95	FM	679.7(f)(2)	NO VIOLATION	44	19-JUL-97			
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Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date	Sett. Amt.	Forf. Value	Forf. Proceeds
19-JUL-97	FH	679.7(f)(5)/679.4(d)(1)	NO VIOLATION	44 30-OCT-97			
06-JUN-97	FH	679.7(f)(2)	NO VIOLATION	44 07-OCT-97			
26-MAY-97	FH	679.7(f)(4)	NO VIOLATION	44 02-JUN-97			
28-APR-97	FH	676.16(m)	NO VIOLATION	44 13-AUG-97			
10-MAY-97	FH	679.7(f)(1)	FALSE REPORTING ON IFQ PENDING LANDING REPORT	44 09-JUN-98			
19-MAY-97	FH	679.7(f)(9)	NO VIOLATION	44 07-OCT-97			
30-APR-97	FH	679.7(f)(4)	NO VIOLATION	44 23-JUL-97			
20-JAN-94	FH	1001	FALSE STATEMENTS ON IFQ APPLICATION	44 05-JUN-98			
04-MAY-97	FH	679.7(f)(5)	IFQ FISHING WITHOUT IFQ QUOTA SHARES	44 23-APR-98			
04-MAY-97	FH	679.7(f)(5)	IFQ FISHING WITHOUT IFQ QUOTA SHARES	44 23-APR-98			
04-MAY-97	FH	679.7(f)(5)	IFQ FISHING WITHOUT IFQ QUOTA SHARES	44 23-APR-98			
12-SEP-97	FH	679.7(f)(4)	NO VIOLATION	44 04-DEC-97			
27-OCT-97	FH	679.7(f)(4)	NO VIOLATION	44 30-DEC-97			
08-AUG-97	FH	679.7(f)(5)	NO VIOLATION	44 03-DEC-97			
18-SEP-97	FH	679.7(f)(4)	NO VIOLATION	44 26-SEP-97			
11-SEP-97	FH	301.13	FAILURE TO RELEASE HALIBUT WITH MINIMAL INJURY	44 15-APR-98			
01-SEP-97	FH	679.7(f)(2)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	44 24-JUN-98			
01-AUG-97	FH	679.7(f)(4)	NO VIOLATION	44 20-NOV-97			
24-JUL-97	FH	679.7(f)(1)	INACCURATE INFORMATION ON IFQ DOCUMENT	44 29-JUN-98			
03-AUG-97	FH	679.7(f)(1)	NO VIOLATION	44 13-JAN-98			
26-OCT-96	FH	679.7(f)(2)	NO VIOLATION	44 10-DEC-97			
26-OCT-96	FH	679.7(f)(4)	NO VIOLATION	44 10-DEC-97			

Status Code 46: Closed by Alaska Enforcement Division due to lack of evidence

07-JUN-97	FH	676.16(m)	FAILURE TO USE ATM FOR IFQ LANDING	46 15-SEP-98			
26-JUL-97	FH	679.7(f)(5)	IFQ FISHING WITHOUT IFQ QUOTA SHARES	46 26-AUG-98			
25-JUN-97	FH	679.5(1)(i)(a)	SUBMIT IFQ MANUAL LANDING REPORT WITHOUT WAIVER	46 14-SEP-98			
29-JUN-97	FH	679.5(1)(i)(a)	SUBMIT IFQ MANUAL LANDING REPORT WITHOUT WAIVER	46 14-SEP-98			
18-MAY-97	FH	679.7(f)(5)	IFQ FISHING WITHOUT IFQ QUOTA SHARES	46 12-AUG-98			
01-JUN-97	FH	679.7(f)(5)	IFQ FISHING WITHOUT IFQ QUOTA SHARES	46 12-AUG-98			
26-JUN-97	FH	679.5(1)(i)(a)	SUBMIT IFQ MANUAL LANDING REPORT WITHOUT WAIVER	46 14-SEP-98			
18-AUG-97	FH	301.15	FAILURE TO PRESENT LOGBOOK FOR INSPECTION UPON DEMAND	46 12-AUG-98			

Status Code 48: Closed by Alaska Enforcement Division due to lack of Enforcement resources

01-APR-97	FH	679.7(f)(1)	FALSIFYING IFQ REPORT	48 03-DEC-97			
17-AUG-97	FH	301.12	UNDERSIZE HALIBUT	48 15-APR-98			
16-AUG-97	FH	301.18	UNMARKED HALIBUT LONGLINE GEAR	48 15-APR-98			
16-AUG-97	FH	301.18	UNMARKED GEAR OVERLAPPING FOR A.I.R. WATERS INTO STATE WATER	48 29-JAN-98			

Status Code 51: Written Warning (FIX-1T) issued by U.S. Coast Guard

30-APR-97	FH	301.15	FAILURE TO MAINTAIN ACCURATE IPHC LOGBOOK	51 05-JUN-97			
16-APR-97	FH	301.3(f)	FAILURE TO CARRY IPHC LICENSE ON-BOARD	51 05-JUN-97			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
16-JUN-97	FH	301.3	FAILURE TO OBTAIN IPHC LICENSE	51 15-SEP-97			
Status Code 74: Written Warning affirmed after review by General Counsel							
09-SEP-97	FM	679.4(d)(1)	NO IFQ PERMIT ONBOARD	74 24-AUG-98			
Status Code 78: Prosecution declined by General Counsel							
18-SEP-97	FM	679.7(f)(1)	FAILURE TO PROPERLY DEBIT IFQ HALIBUT WEIGHT	78 25-JUN-98			
Status Code 90: Property forfeited or abandoned							
14-MAR-97	FM	676	RETURNED RESEARCH PLAN TAX REFUNDS	90 14-MAR-97			284.43
05-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 15-MAY-97			10.26
01-MAY-97	FM	679.7(f)(4)	ROCKFISH BYCATCH OVERAGE	90 15-MAY-97			31.75
09-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 23-SEP-97			636.00
07-SEP-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 15-SEP-97			444.64
03-AUG-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 08-AUG-97			92.99
04-MAY-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 17-JUN-97			90.40
25-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 30-JUN-97			19.10
22-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 25-JUN-97			881.25
15-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 08-AUG-97			11.10
14-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 19-JUN-97			45.00
10-JUN-97	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90 18-JUN-97			57.00
09-JUN-97	FH	301.12(a)(1)	POSSESSION OF UNDERSIZED HALIBUT	90 15-JUL-97		1.00	
04-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 01-SEP-98			472.48
03-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 09-JUN-97			738.12
31-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 06-JUN-97			125.82
02-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 10-JUN-97			283.64
02-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 10-JUN-97			285.43
29-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 16-OCT-97			322.59
25-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 16-OCT-97			725.16
28-MAY-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 09-JUN-97			154.06
28-MAY-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 29-MAY-97			271.06
02-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 07-AUG-97			243.43
02-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 07-AUG-97			239.53
25-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 07-AUG-97			52.16
05-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-APR-98			347.39
20-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 11-DEC-97			577.61
10-NOV-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 02-JAN-98			24.67
26-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 08-OCT-97			185.67
22-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 16-OCT-97			310.20
21-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 16-OCT-97			9.00
15-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 21-AUG-98			404.43
26-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 16-OCT-97			6.86
13-JUL-97	FM	679.7(f)	IFQ SABLEFISH OVERAGE	90 21-AUG-98			697.50

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Status	Date Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
13-JUL-97	FH	301.12	UNDERSIZE HALIBUT	90	16-OCT-97		1.00	
02-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	10-JUL-97			281.20
25-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-JUL-97			493.10
25-JUN-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	12-AUG-97			132.68
27-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	03-DEC-97			361.98
24-OCT-97	FM	600.725	IFQ HALIBUT OVERAGE	90	14-SEP-98			310.50
25-SEP-97	FM	679.7	IFQ HALIBUT OVERAGE	90	03-DEC-97			142.00
10-SEP-97	FM	679.7	IFQ HALIBUT OVERAGE	90	11-SEP-98			508.96
14-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	16-OCT-97			15.60
06-SEP-97	FH	301.12(a)	UNDERSIZED HALIBUT	90	16-OCT-97		1.00	
30-AUG-97	FM	679.7(f)(3)	UNLAWFUL RETENTION OF IFQ SABLEFISH	90	14-SEP-98			83.60
27-AUG-97	FH	301.12(a)(1)	UNDERSIZED HALIBUT	90	16-OCT-97		1.00	
02-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	16-OCT-97			109.74
29-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	03-DEC-97			482.77
16-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-DEC-97			90.95
14-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-DEC-97			563.21
15-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-DEC-97			1,002.00
16-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-DEC-97			155.60
17-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-DEC-97			7.20
17-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	03-DEC-97			446.60
17-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	03-DEC-97			541.20
13-NOV-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	30-DEC-97			67.50
07-NOV-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	30-DEC-97			142.50
13-NOV-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	23-FEB-98			49.99
15-NOV-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	23-FEB-98			87.30
05-OCT-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	12-NOV-97			113.70
03-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	12-NOV-97			353.39
28-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	07-OCT-97			564.97
28-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	07-OCT-97			565.40
14-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	07-OCT-97			455.00
24-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	10-SEP-97			310.95
08-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	03-DEC-97			38.75
05-SEP-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	03-DEC-97			212.51
31-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	03-DEC-97			28.55
05-SEP-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	17-NOV-97			686.49
05-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	25-SEP-97			91.57
04-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	26-SEP-97			597.19
29-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	26-SEP-97			80.59
01-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	25-SEP-97			15.92
05-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	17-NOV-97			239.36
04-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	04-DEC-97			122.85
04-OCT-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	05-DEC-97			92.45
26-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	08-JAN-98			33.75
29-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-DEC-97			22.42
12-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	08-JAN-98			56.97
10-SEP-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-SEP-97			87.45



Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status	Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
07-AUG-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	18-AUG-97			112.50
20-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	22-AUG-97			218.55
19-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	08-AUG-97			117.55
17-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	18-AUG-97			126.91
30-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	21-JUL-97			348.75
13-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	01-AUG-97			88.90
11-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	08-AUG-97			464.60
03-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-SEP-97			42.68
02-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	27-AUG-97			470.54
12-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-SEP-97			176.00
25-JUN-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	30-JUN-97			197.80
07-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	25-AUG-97			12.91
05-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	15-SEP-97			222.37
03-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	25-AUG-97			144.27
01-AUG-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	11-AUG-97			134.27
24-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	07-AUG-97			51.60
22-JUL-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	12-NOV-97			59.52
18-JUL-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-97			32.94
22-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	28-MAY-97			217.50
16-MAY-97	FH	301.12(a)(1)	UNDERSIZE HALIBUT	90	12-AUG-97			1.00
23-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	12-AUG-97			67.63
15-MAY-97	FM	679.7(f)(4)	ROCKFISH BYCATCH OVERAGE	90	02-JUN-97			183.50
21-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	29-MAY-97			273.75
19-MAY-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	12-AUG-97			278.32
17-MAY-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	20-MAY-97			558.00
19-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	21-MAY-97			138.88
16-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	20-MAY-97			21.76
10-MAY-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	29-MAY-97			313.90
08-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	20-MAY-97			491.21
30-APR-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	09-JUN-97			165.25
06-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	09-JUN-97			16.78
03-MAY-97	FM	679.7(f)(3)	RETAINING IFQ HALIBUT WITHOUT IFQ PERMIT	90	04-SEP-98			1,137.10
06-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	09-JUN-97			28.15
30-APR-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	15-MAY-97			243.93
04-MAY-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	15-MAY-97			93.60
29-APR-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	01-MAY-97			46.20
14-APR-97	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	15-MAY-97			397.89
07-APR-97	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	21-APR-97			196.24

Status Code 133: Case/count merged

09-OCT-97	FM	679.7(f)(10)	UNLAWFULLY CONDUCT IFQ LANDING WITHOUT A REGISTERED BUYER	133	13-OCT-98			
09-OCT-97	FM	679.5(l)(1)(ii)(B)	LANDED IFQ HALIBUT OUTSIDE REGULATORY HOURS WITHOUT WAIVER	133	13-OCT-98			
09-OCT-97	FM	679.7(l)(1)(i)	CONDUCTED IFQ LANDING 18 HRS AFTER NOTIFICATION	133	13-OCT-98			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
09-OCT-97	FH	679.7(f)(4)	IFQ HALIBUT OVERAGE	133 13-OCT-98			
Status Code 167: Dismissed by ALJ							
09-SEP-97	FH	679.4(d)(1)	NO IFQ PERMIT ONBOARD	167 02-JUL-98			
Status Code 240: All Settlement Agreement conditions satisfied							
06-OCT-92	FH	301.11(a)	EXCEEDING HALIBUT FISHING PERIOD LIMITS	240 08-JAN-98	1,000.00		
03-AUG-97	FH	679.5(l)(1)(ii)(A)	SUBMITTING IFQ MANUAL LANDING REPORT WITHOUT WAIVER	240 03-MAR-98	750.00		
27-MAY-97	FH	679.7(f)(1)	INACCURATE INFORMATION ON IFQ DOCUMENT	240 20-JUL-98	1,200.00		
03-AUG-97	FH	679.5(l)(1)(ii)(A)	FAILURE TO SUBMIT IFQ LANDING REPORT IN TIMELY MANNER	240 03-MAR-98	750.00		
28-APR-97	FH	679.7(f)(1)	FAILURE TO INCLUDE ALL HALIBUT IN IFQ LANDING REPORT	240 10-JUL-98	1,000.00	1.00	
09-SEP-97	FH	679.4(d)(1)	NO IFQ PERMIT ONBOARD	240 26-MAR-98	700.00		
03-AUG-97	FH	679.5(l)(1)(ii)(A)	FAILURE TO SUBMIT IFQ LANDING REPORT IN TIMELY MANNER	240 03-MAR-98	1,000.00		
14-SEP-97	FH	679.7(f)(14)	IFQ HALIBUT VESSEL CAP OVERAGE	240 18-JUL-98	1,000.00		
09-MAY-97	FH	679.7(f)(3)	NO IFQ PERMIT IN POSSESSION DURING HALIBUT LANDING	240 18-DEC-97	2,000.00		

## 1996 IFQ AND IPHC ADJUDICATIONS

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
Status Code 39: Summary Settlement paid							
27-MAR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	522.00		480.00
20-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	674.00		621.16
29-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 28-JUN-96	772.00		887.49
19-APR-96	FH	301.12(b)	UNDERSIZE HALIBUT	39 28-JUN-96	900.00	1.00	
28-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 03-SEP-97	3,366.00		3,414.74
07-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	696.00		719.40
03-MAY-96	FH	301.3(a)	HALIBUT FISHING WITHOUT AN IPHC LICENSE	39 29-NOV-96	750.00		
14-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	268.00		259.77
15-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 30-OCT-96	1,080.00		1,061.43
15-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 30-JUN-96	442.00		486.00
04-JUN-96	FH	301.3(e)	NO IPHC LICENSE	39 01-JUL-96	750.00		
19-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 17-JUN-96	1,134.00		1,031.94
17-MAY-96	FM	676.16(m)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTIFICATION	39 03-FEB-97	1,500.00		
26-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 12-JUL-96	794.00		875.11
15-MAY-96	FH	301.3(a)	FAILURE TO OBTAIN IPHC LICENSE	39 25-JUN-96	750.00		
24-MAY-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	39 16-JUL-96	750.00		
23-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 30-JUN-96	766.00		746.06
20-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	258.00		268.96
11-MAY-96	FH	301.16(a)	RECEIPT OF HALIBUT FROM UNLICENSED VESSEL	39 27-JAN-97	1,500.00		
20-JUN-96	FH	301.15(b)(2)	FAILURE TO MAINTAIN HALIBUT LOGBOOK	39 16-DEC-96	5,000.00		
19-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 12-JUL-96	264.00		255.66
15-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 01-JUL-96	1,518.00		1,681.72
10-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 13-FEB-97	1,826.00		1,856.91
09-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 30-JUL-97	1,928.00		1,929.52
09-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	434.00		443.63
05-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	630.00		622.95
27-AUG-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	39 05-SEP-96	750.00		
16-AUG-96	FH	301.3(a)	FAILURE TO OBTAIN IPHC LICENSE	39 29-NOV-96	750.00		
12-AUG-96	FH	301.3(a)	FAILURE TO OBTAIN IPHC LICENSE	39 29-NOV-96	750.00		
08-AUG-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	39 29-NOV-96	750.00		
07-AUG-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 09-SEP-96	1,286.00		1,382.34
03-AUG-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	39 16-SEP-96	750.00		
04-AUG-96	FH	301.3(a)	FAILURE TO OBTAIN IPHC LICENSE	39 18-NOV-96	750.00		
25-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 13-AUG-96	526.00		894.20
08-NOV-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 18-DEC-96	978.00		1,162.31
12-NOV-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 03-APR-97	428.00		523.63
15-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 25-FEB-97	1,486.00		1,696.96
11-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 22-OCT-97	1,166.00		1,429.09
11-OCT-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 22-NOV-96	214.00		252.32

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date	Sett. Amt.	Forf. Value	Forf. Proceeds
10-OCT-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 25-NOV-96	264.00		332.51
01-OCT-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 12-NOV-96	936.00		1,138.76
24-SEP-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 29-NOV-96	552.00		577.64
15-NOV-96	FM	301.16(a)	RECEIPT OF HALIBUT FROM UNLICENSED VESSEL	39 29-DEC-97	750.00		
18-NOV-96	FM	301.16(a)	RECEIPT OF IFQ HALIBUT FROM UNLICENSED VESSEL	39 18-FEB-97	750.00		
09-NOV-96	FM	679.7	IFQ HALIBUT OVERAGE	39 18-DEC-96	686.00		823.05
28-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 25-NOV-96	1,962.00		3,117.40
27-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 15-OCT-96	1,817.80		2,250.08
15-AUG-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	39 24-SEP-96	750.00		
17-SEP-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 08-OCT-96	4,132.00		4,590.66
16-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 31-JAN-97	1,426.00		1,634.25
20-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	39 05-DEC-96	676.00		804.44
07-SEP-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 22-NOV-96	1,474.00		1,839.51
30-AUG-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 11-SEP-98	866.00		929.06
16-JUL-96	FM	301.16(a)	RECEIPT OF HALIBUT FROM AN UNLICENSED VESSEL	39 07-NOV-96	750.00		
27-JUL-96	FM	679.7	IFQ HALIBUT OVERAGE	39 11-SEP-96	1,062.00		1,171.25
24-JUL-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	39 24-SEP-96	750.00		
15-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 05-SEP-96	320.00		327.26
09-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	39 24-SEP-96	1,722.00		1,620.58
30-JUL-96	FM	301.12(b)	UNLAWFUL POSSESSION OF FILLETED HALIBUT	39 01-AUG-96	150.00	1.00	
17-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 24-SEP-96	748.00		699.38
24-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 01-AUG-96	1,446.00		1,558.22
16-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 16-JUL-96	1,090.00		1,124.15
13-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 09-SEP-96	210.00		204.48
10-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	39 28-JUN-96	806.00		807.57
30-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	39 01-NOV-96	1,134.80		1,219.91

Status Code 40: Written Warning issued by Alaska Enforcement Division

10-SEP-96	FM	679.7(f)(5)	IFQ PERMIT CLASS MISMATCH WITH IFQ VESSEL CATEGORY	40 25-NOV-96			
10-SEP-96	FM	679.7(f)(5)	IFQ PERMIT CLASS MISMATCH WITH IFQ VESSEL CATEGORY	40 25-NOV-96			
09-OCT-96	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTIFICATION	40 30-DEC-96			
26-SEP-96	FM	679.5(l)(i)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	40 21-JAN-97			
21-MAR-96	FM	676.16(f)	NO IFQ PERMIT ONBOARD VESSEL	40 16-DEC-96			
29-MAR-96	FM	676.16(a)	FAILURE TO SUBMIT IFQ LANDING/SHIPMENT REPORTS	40 10-JUL-96			
25-APR-96	FM	301.18(c)	POSSESSION OF HALIBUT WITH CRAB POT ON BOARD	40 15-SEP-96			
29-APR-96	FM	676.16(c)	RETENTION OF HALIBUT WITHOUT A VALID IFQ PERMIT ONBOARD	40 13-JUN-96			
19-APR-96	FM	676.13(a)(2)	RECEIVING IFQ HALIBUT WITHOUT REGISTERED BUYER PERMIT	40 30-JUL-97			
03-MAY-96	FM	676.16(f)	FAILURE TO MAINTAIN COPIES OF IFQ LANDING REPORTS	40 14-MAY-96			
26-APR-96	FM	676.16(f)	FAILURE TO HAVE IFQ PERMIT IN NAME OF PERSON LANDING FISH	40 21-MAR-97			
16-APR-96	FM	676.16(c)	FAILURE TO HAVE IFQ PERMIT IN NAME OF PERSON HARVESTING	40 21-MAR-97			
03-MAY-96	FM	676.16(e)	FAILURE TO HAVE IFQ PERMIT ONBOARD	40 21-JAN-97			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
03-MAY-96	FM	676.16(e)	FAILURE TO HAVE IFQ PERMIT ONBOARD	40 19-SEP-96			
27-APR-96	FM	676.16(a)	FAILURE TO SUBMIT IFQ LANDING REPORT WITHIN 6 HOURS	40 03-SEP-97			
23-APR-96	FM	676.16(h)	UNLAWFUL DISCARD OF ROCKFISH WITH IFQ HALIBUT ONBOARD	40 13-JUN-96			
19-NOV-96	FM	679.5(l)(1)(ii)	FAILURE TO SUBMIT IFQ LANDING REPORT WITHIN REQUIRED TIME	40 18-FEB-97			
19-NOV-96	FM	679.4(d)(6)(ii)	FAILURE TO REMAIN ONBOARD VESSEL DURING IFQ LANDING	40 18-DEC-96			
16-NOV-96	FM	679.7(f)(5)	VESSEL CATEGORY MISMATCH WITH IFQ PERMIT	40 30-DEC-96			
29-SEP-96	FH	301.15	HALIBUT LOGGING ERRORS	40 09-JAN-97			
29-SEP-96	FH	301.15	HALIBUT LOGGING ERRORS	40 09-JAN-97			
13-NOV-96	FM	679.7(f)(5)	VESSEL CATEGORY MISMATCH WITH IFQ PERMIT CLASS	40 30-DEC-96			
09-OCT-96	FM	679.7(f)(15)	VESSEL CATEGORY MISMATCH WITH IFQ PERMIT	40 30-DEC-96			
09-OCT-96	FM	679.7(f)(1)	FAILURE TO SUBMIT PENDING LANDING NOTIFICATION	40 30-DEC-96			
27-SEP-96	FM	679.7(f)(12)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTICE	40 07-AUG-97			
24-SEP-96	FM	679.7(f)(6)	FAILURE TO POSSESS IFQ QUOTA CARD	40 01-OCT-96			
07-SEP-96	FM	679.4(d)(6)	FAILURE TO POSSESS COPY OF IFQ PERMIT ONBOARD	40 03-OCT-96			
10-SEP-96	FM	679.7(f)(5)	PERMIT CLASS MISMATCH WITH VESSEL CATEGORY	40 25-NOV-96			
10-SEP-96	FM	679.7(f)(5)	PERMIT CLASS MISMATCH WITH VESSEL CATEGORY	40 25-NOV-96			
03-SEP-96	FM	679.7(f)(1)	FAILURE TO SUBMIT IFQ SHIPMENT REPORTS	40 01-OCT-96			
15-AUG-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	40 08-JAN-98			
13-AUG-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	40 15-SEP-96			
25-JUL-96	FM	679.5	DELIVERING IFQ HALIBUT IN CANADA W/O REGISTERED BUYER PERMIT	40 07-AUG-97			
01-JAN-96	FH	301.16(c)	FAILURE TO RECORD IPHC NUMBER ON FISH TICKETS	40 13-AUG-96			
01-JUN-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	40 13-AUG-96			
19-JUL-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	40 13-AUG-96			
10-JUL-96	FH	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	40 14-MAY-97			
29-JUN-96	FM	676.16	FISHING D CLASS IFQ ON A C CLASS VESSEL	40 29-SEP-97			
16-JUN-96	FM	679.5(l)(1)	FAILURE TO MAKE IFQ LANDING REPORT WITHIN 6 HOURS	40 23-FEB-98			
27-JUN-96	FM	676.16(r)	FAILURE TO MAKE IFQ LANDING REPORT WITHIN REQUIRED TIME	40 07-AUG-97			
21-MAY-96	FM	676.16(m)	FAILURE TO WAIT 6 HOURS BEFORE LANDING IFQ CATCH	40 28-MAY-96			
27-JUL-96	FM	679.7(f)(3)	FAILURE TO HAVE COPIES OF IFQ PERMITS ONBOARD	40 26-AUG-96			
17-MAY-96	FM	676.14(d)	FAILURE TO ISSUE RECEIPTS FOR IFQ DOCKSIDE SALES	40 06-JUN-96			
03-MAY-96	FM	676.16(m)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTIFICATION	40 19-SEP-96			
16-MAY-96	FM	676.16(r)	IFQ PERMIT DOES NOT MATCH VESSEL CATEGORY	40 06-JUN-96			
03-MAY-96	FM	676.16(e)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTIFICATION	40 15-SEP-98			
03-MAY-96	FM	676.16(a)	FAILURE TO SUBMIT IFQ LANDING REPORT WITHIN 6 HOURS	40 30-JUN-96			
03-MAY-96	FM	676.16(a)	FAILURE TO SUBMIT IFQ LANDING REPORT WITHIN 6 HOURS	40 30-JUN-96			
03-MAY-96	FM	676.16(e)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTIFICATION	40 16-DEC-96			
19-APR-96	FM	676.14(b)	FAILURE TO LAND ALL IFQ CATCH CONCURRENTLY	40 30-JUL-97			
19-JUN-96	FH	301.15(a)	FAILURE TO MAINTAIN HALIBUT LOGBOOK	40 19-JUL-96			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date	Sett. Amt.	Forf. Value	Forf. Proceeds
22-JUN-96	FM	676.14(a)	FAILURE TO SUBMIT IFQ PENDING LANDING NOTIFICATION	40 21-JAN-97			
23-JUN-96	FM	676.14(b)	FAILURE TO PROPERLY SUBMIT IFQ PENDING LANDING NOTIFICATION	40 21-JAN-97			
29-APR-96	FM	676.16(c)	RETENTION OF HALIBUT WITHOUT A VALID IFQ PERMIT ONBOARD	40 13-JUN-96			
16-APR-96	FM	301.15(a)	FAILURE TO MAINTAIN HALIBUT LOGBOOK DURING 1995, 1996	40 21-MAR-97			
26-MAR-96	FM	676.14(c)	FAILURE TO SUBMIT IFQ SHIPMENT REPORTS	40 28-JUN-96			
23-MAR-96	FM	676.13	FAILURE TO HAVE COPY OF IFQ PERMIT ONBOARD	40 21-JUN-96			
04-APR-96	FM	676.16(c)	FAILURE TO OFFLOAD IFQ CATCH DURING REGULATION TIMEFRAME	40 16-DEC-96			

Status Code 41: Written Warning (FIX-IT Ticket) issued by Alaska Enforcement Division

03-MAY-96	FM	301.3(a)	HALIBUT FISHING WITHOUT AN IPHC LICENSE	41 29-NOV-96			
12-SEP-96	FM	301.3(e)	NO IPHC LICENSE	41 25-NOV-96			
22-OCT-96	FM	301.3	FISHING FOR HALIBUT WITHOUT IPHC LICENSE	41 22-OCT-96			
09-AUG-96	FM	301.3(a)	FAILURE TO OBTAIN IPHC LICENSE	41 29-NOV-96			

Status Code 44: Dismissed by Alaska Enforcement Division

18-MAY-95	FM	301.15	NO VIOLATION	44 25-JAN-96			
08-APR-96	FM	676.22(a)	NO VIOLATION	44 23-OCT-96			
30-SEP-96	FM	679.7(f)(9)	NO VIOLATION	44 30-DEC-96			
06-AUG-96	FM	679.7(f)(15)	NO VIOLATION	44 30-JUL-97			
19-MAY-96	FM	679.7(f)(4)	NO VIOLATION	44 27-AUG-97			
18-JUN-96	FM	679.7(f)(4)	NO VIOLATION	44 01-OCT-96			
09-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	44 24-JUN-98			
29-AUG-96	FM	679.7(f)(4)	NO VIOLATION	44 01-APR-97			
06-AUG-96	FM	679.7(f)(15)	FISHING CORPORATE OWNED IFQ ON NON-CORPORATE OWNED VESSEL	44 03-JUN-98			
17-JUN-96	FM	676.16(d)	NO VIOLATION	44 28-JUN-96			
10-JUN-96	FM	676.22(a)	NO VIOLATION	44 28-JUN-96			
26-MAY-96	FM	676.16(d)	NO VIOLATION	44 03-OCT-96			
07-MAY-96	FM	676.16(d)	NO VIOLATION	44 28-MAY-96			
26-APR-96	FM	676.16(d)	NO VIOLATION	44 28-MAY-96			
24-APR-96	FM	676.16	NO VIOLATION	44 28-MAY-96			
27-APR-96	FM	676.16(m)	NO VIOLATION	44 22-NOV-96			
21-APR-96	FM	676.22(a)	NO VIOLATION	44 05-JUN-98			
19-MAR-96	FM	301.15	NO VIOLATION	44 28-AUG-96			
01-MAY-96	FM	676.6(a)	NO VIOLATION	44 21-AUG-97			
19-JUL-96	FM	679.7(f)(4)	NO VIOLATION	44 07-AUG-96			
09-JUL-96	FM	301.12(b)	NO VIOLATION	44 06-AUG-96			
01-JAN-96	FM	301.15	NO VIOLATION	44 06-OCT-97			
16-SEP-95	FM	676.16(f)	NO VIOLATION	44 07-AUG-97			
11-APR-96	FM	676.16(m)	NO VIOLATION	44 01-OCT-96			

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
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15-MAR-96	FM	676.6(e)	NO VIOLATION	44 31-MAY-96			
01-JAN-95	FM	676.16(a)	NO VIOLATION	44 21-AUG-97			
18-MAY-95	FM	676.13	NO VIOLATION	44 25-JAN-96			
29-JAN-96	FM	676	NO VIOLATION	44 23-FEB-98			
09-FEB-96	FM	676.16(b)	DISPUTE OVER OWNERSHIP OF VESSEL AND IFQ SHARES	44 04-AUG-98			

Status Code 46: Closed by Alaska Enforcement Division due to lack of evidence

09-JAN-96	FM	676.16(b)	FALSE STATEMENTS ON IFQ APPLICATIONS	46 12-DEC-97			
22-SEP-94	FM	676.16(b)	IFQ LEASE DISPUTE	46 01-DEC-97			
28-JUN-96	FM	301.3(c)	UNKNOWN	46 19-SEP-97			
27-MAY-96	FM	676.14(b)	IFQ SABLEFISH INVESTIGATION	46 18-JUN-96			
27-MAY-96	FM	676.16(e)	IFQ SABLEFISH INVESTIGATION	46 18-JUN-96			
27-MAY-96	FM	676.16(c)	IFQ SABLEFISH INVESTIGATION	46 18-JUN-96			
27-MAY-96	FM	676.14(b)		46 28-JUN-96			
27-MAY-96	FM	676.16(e)		46 28-JUN-96			
27-MAY-96	FM	676.16(c)		46 28-JUN-96			
08-APR-96	FM	676	NO MORATORIUM PERMIT	46 19-SEP-97			
09-SEP-95	FM	676.13	FAILURE TO PROVIDE IFQ PERMIT	46 24-JAN-96			

Status Code 48: Closed by Alaska Enforcement Division due to lack of Enforcement resources

01-MAR-96	FM	676.16(b)	FAILURE TO SUBMIT ACCURATE IFQ WEIGHTS	48 24-JUN-97			
29-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	48 25-NOV-96			
21-OCT-96	FM	679.7(l)	SUBMIT INCOMPLETE IFQ MLR WITHOUT WAIVER/INCOMP. SHIPMT RPT	48 15-SEP-98			
11-SEP-96	FM	679.7(f)	FAILURE TO SUBMIT IFQ PRIOR NOTICE OF LANDING	48 07-OCT-97			
03-JUN-96	FM	676.16(e)		48 11-JUN-96			
03-JUN-96	FM	676.14(c)		48 11-JUN-96			

Status Code 49: Declined by Alaska Enforcement Division

01-DEC-95	FM	301.13	FAILURE TO CAREFULLY RELEASE HALIBUT	49 28-MAR-96			
17-FEB-96	FM	676.6	OFFLOADING ATKA MACKEREL WITHOUT MORATORIUM PERMIT ONBOARD	49 31-JUL-96			
16-APR-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE FOR 1995, 1996	49 21-MAR-97			

Status Code 70: Written Warning issued by General Counsel

16-MAR-96	FM	676.16(r)	FAILURE TO OBTAIN IFQ REGISTERED BUYER PERMIT	70 16-JUL-97			
12-AUG-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	70 28-OCT-97			
29-AUG-96	FM	679.7(f)(4)	FISHING D CLASS IFQ SHARES ON C CLASS VESSEL	70 18-MAR-98			
18-JUL-96	FM	301.22(f)	UNLAWFUL POSSESSION OF MUTILATED HALIBUT	70 20-AUG-97			1.00
18-JUN-96	FM	301.22(f)	UNLAWFUL FILLETING OF HALIBUT TO PREVENT SIZE DETERMINATION	70 20-AUG-97			1.00

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Fort. Value	Fort. Proceeds
Status Code 71: Written Warning (FIX-IT Ticket) issued by General Counsel							
27-AUG-96	FM	679.7(f)(6)	LANDING IFQ HALIBUT WITHOUT POSSESSING IFQ CARD	71 20-FEB-98			
Status Code 76: Written Warning dismissed after review by General Counsel							
17-MAY-95	FM	676.16	FAILURE TO RETAIN ROCKFISH/COD WHILE IFQ FISHING	76 28-OCT-97			
Status Code 79: Dismissed by General Counsel							
29-JUN-96	FM	679.7(f)(10)	FAILURE TO LAND IFQ FISH TO A REGISTERED BUYER	79 01-MAY-97		1.00	76.18
26-MAY-96	FM	676.6	NO MORATORIUM PERMIT ONBOARD	79 28-OCT-97			11.12
29-JUN-96	FM	301.16(b)	FAILURE TO REMOVE HALIBUT ENTRAILS PRIOR TO OFFLOADING	79 01-MAY-97			75.96
29-JUN-96	FM	679.7(f)(12)	FAILURE TO SUBMIT PENDING LANDING NOTIFICATION	79 01-MAY-97		1.00	260.20
29-JUN-96	FM	679.7(f)(10)	FAILURE TO LAND IFQ FISH TO A REGISTERED BUYER	79 01-MAY-97		1.00	7.54
Status Code 90: Property forfeited or abandoned							
25-MAR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 04-APR-96			120.04
09-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 13-JUN-96			139.81
09-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 27-JAN-97			55.49
13-APR-96	FM	301.3(a)	FAILURE TO OBTAIN IPHC LICENSE	90 06-JUN-96			325.77
29-MAR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 15-SEP-98			585.20
10-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 15-SEP-98			521.92
04-MAY-96	FM	676.16(d)	IFQ HALIBUT/SABLEFISH OVERAGE	90 26-AUG-96			125.50
06-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 28-JUN-96			69.96
04-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 22-NOV-96			242.24
03-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 18-SEP-96			117.24
27-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 24-SEP-96			95.00
29-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 04-DEC-97			205.90
28-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 28-MAY-96			26.76
25-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 25-SEP-97			16.25
23-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 28-MAY-96			5.92
23-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 28-MAY-96			26.29
11-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 28-MAY-96			108.31
03-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 28-JUL-97			16.80
16-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 15-SEP-98			1.975.58
25-APR-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 23-SEP-96			
10-MAY-96	FM	676.16	IFQ HALIBUT OVERAGE				
09-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE				
05-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE				
14-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE				
15-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE				
30-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE				



Date of Violation	Inv. Type	Regulation	Violation Description	Closed Status	Date Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
30-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	24-SEP-96			102.60
01-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90	27-JUN-96			104.00
31-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90	26-AUG-96			112.90
31-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90	03-JUN-96			65.78
26-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	13-AUG-96			69.69
23-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	28-JUN-96			293.22
22-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	20-JUN-96			541.20
21-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	25-JUL-96			155.25
24-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	25-JUL-96			38.25
19-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	28-JUN-96			165.00
18-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	28-JUN-96			66.00
03-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	25-JUL-96			337.50
03-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	25-JUL-96			337.50
03-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	25-JUL-96			481.50
31-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90	25-JUL-96			173.70
09-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			731.75
09-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			3,815.42
08-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			4,540.82
09-JUL-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	13-AUG-96			65.10
06-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			1,219.88
04-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			62.42
03-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			11.61
03-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	07-AUG-96			2,136.52
13-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	06-SEP-96			71.30
15-AUG-96	FM	679.7	IFQ HALIBUT OVERAGE	90	24-SEP-96			126.49
16-AUG-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	26-AUG-96			80.13
13-AUG-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90	24-SEP-96			599.37
11-AUG-96	FM	679.7(a)(5)	IFQ HALIBUT OVERAGE	90	03-OCT-96			29.00
11-AUG-96	FM	679.7	IFQ HALIBUT OVERAGE	90	27-AUG-96			60.74
10-AUG-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90	16-DEC-96			580.80
12-AUG-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90	24-SEP-96			72.26
17-NOV-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	06-FEB-97			277.90
15-NOV-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	18-DEC-96			36.00
10-NOV-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90	30-DEC-96			95.47
14-NOV-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	18-DEC-96			160.02
12-NOV-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	18-FEB-97			34.14
03-NOV-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	16-DEC-96			44.00
04-NOV-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90	25-NOV-96			22.38
24-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	06-FEB-97			35.00
30-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	22-NOV-96			200.75
17-OCT-96	FM	679.7(f)	IFQ SABLEFISH OVERAGE	90	03-DEC-97			415.62
20-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	01-APR-97			737.50
12-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	25-NOV-96			172.13
12-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	25-NOV-96			266.89
21-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	22-NOV-96			327.20
18-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90	22-NOV-96			71.35

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
09-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 25-JUN-97			167.96
18-OCT-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 04-SEP-97			1,066.32
15-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 25-NOV-96			14.40
14-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 23-OCT-96			38.26
10-OCT-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 15-APR-98			334.59
08-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 18-NOV-97			1,061.05
09-OCT-96	FM	679.7(f)94)	IFQ HALIBUT OVERAGE	90 22-NOV-96			251.86
07-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 31-OCT-96			122.05
07-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 31-OCT-96			123.48
08-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 08-OCT-96			7.29
30-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT/SABLEFISH OVERAGE	90 18-OCT-96			272.10
04-OCT-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 18-OCT-96			496.80
30-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 18-NOV-96			161.58
28-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 22-NOV-96			25.75
24-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 16-DEC-96			393.60
29-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 23-OCT-96			369.75
22-SEP-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 21-NOV-96			717.80
23-SEP-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 22-NOV-96			130.41
23-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			174.84
21-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			567.07
20-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			115.32
17-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 22-NOV-96			27.00
14-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			121.72
17-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			6.63
17-SEP-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90 18-NOV-96			285.44
20-AUG-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 01-OCT-96			2.31
12-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 23-OCT-96			22.41
11-SEP-96	FM	679.7	IFQ HALIBUT OVERAGE	90 01-OCT-96			191.59
11-SEP-96	FM	679.7	IFQ HALIBUT OVERAGE	90 01-OCT-96			205.71
31-AUG-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 23-JAN-97			576.90
12-SEP-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 03-OCT-96			88.80
02-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 16-DEC-96			36.55
16-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			17.07
09-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			76.80
30-AUG-96	FM	679.7	IFQ HALIBUT OVERAGE	90 18-OCT-96			362.27
03-SEP-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 01-OCT-96			2.28
01-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			99.79
19-MAY-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			136.98
18-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			140.65
17-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			72.79
07-SEP-96	FM	679.7	IFQ HALIBUT OVERAGE	90 18-OCT-96			38.95
06-SEP-96	FM	679.7	IFQ HALIBUT OVERAGE	90 01-OCT-96			11.44
07-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 18-DEC-96			42.30
05-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			218.05
05-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 18-OCT-96			70.91
05-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			363.50

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
31-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			241.11
03-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			128.25
03-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			459.00
03-SEP-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			99.00
27-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 16-DEC-96			68.70
27-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			254.25
02-SEP-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 03-OCT-96			188.65
24-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 01-OCT-96			1,278.76
28-AUG-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 09-SEP-96			41.27
26-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 18-FEB-97			50.00
26-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 03-OCT-96			98.00
26-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 28-AUG-96			36.95
02-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			265.55
25-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 09-SEP-96			178.50
06-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			116.50
18-AUG-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	90 09-SEP-96			246.40
08-AUG-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90 24-SEP-96			29.75
12-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 23-AUG-96			108.10
17-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 30-JUL-97			310.23
18-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 30-AUG-96			6.75
16-AUG-96	FM	679.7	IFQ HALIBUT OVERAGE	90 24-SEP-96			118.50
07-AUG-96	FM	679.7	IFQ HALIBUT OVERAGE	90 24-SEP-96			665.62
07-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 12-SEP-96			35.58
05-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			338.10
05-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			235.20
31-JUL-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 24-SEP-96			9.80
29-JUL-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	90 03-OCT-96			43.50
27-JUL-96	FM	679.7	IFQ HALIBUT OVERAGE	90 08-OCT-96			668.65
08-AUG-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 07-AUG-96			67.58
26-JUL-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 12-SEP-96			24.00
19-JUL-96	FM	679.7(f)(4)	IFQ HALIBUT OVERAGE	90 27-AUG-96			28.20
20-JUL-96	FM	679.7	IFQ HALIBUT OVERAGE	90 12-SEP-96			648.00
17-JUL-96	FM	301.3(e)	FAILURE TO OBTAIN IPHC LICENSE	90 07-AUG-96			227.12
17-JUL-96	FM	679.7(f)	IFQ HALIBUT OVERAGE	90 03-OCT-96			562.61
14-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 13-AUG-96			237.60
05-JUL-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90 07-AUG-96			1,560.72
05-JUL-96	FM	679.7(f)(9)	IFQ HALIBUT OVERAGE	90 27-AUG-96			17.28
03-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 24-SEP-96			235.17
04-JUL-96	FM	679.7(f)(4)	IFQ SABLEFISH OVERAGE	90 07-AUG-96			7,571.56
04-JUL-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 13-AUG-96			135.49
01-JUL-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 12-JUL-96			248.00
16-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 19-JUL-96			55.00
28-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 27-AUG-96			164.64
24-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 21-AUG-96			9.66
20-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 19-JUL-96			8.82
				90 18-JUL-96			48.99

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
17-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 28-JUN-96			50.79
11-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 21-AUG-96			22.60
11-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 27-JUN-96			24.66
11-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 25-JUL-96			98.72
09-JUN-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 27-AUG-96			49.68
02-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 30-OCT-96			560.93
07-JUN-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 28-JAN-97			507.50
30-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 23-SEP-96			3,574.16
22-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 28-JUN-96			34.88
20-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	90 15-SEP-97			157.92
16-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 25-NOV-96			734.01
21-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 15-SEP-98			244.00
20-MAY-96	FM	676.16(d)	IFQ SABLEFISH/HALIBUT OVERAGE	90 28-JUN-96			193.63
16-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 28-JUN-96			87.40
17-MAY-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 01-JUN-96			132.06
09-APR-96	FM	676.16(d)	IFQ HALIBUT OVERAGE	90 13-JUN-96			17.79
08-APR-96	FH	301.12(a)	UNDERSIZE HALIBUT	90 28-JUN-96		1.00	

Status Code 133: Case/Count merged

01-JAN-94 FM 676.16(a) 133 02-JUN-98

Status Code 240: All Settlement Agreement conditions satisfied

19-NOV-95	FM	676.16(j)	EXCEEDING VESSEL IFQ CAP	240 22-OCT-98	500.00		
27-MAR-96	FM	676.16(e)	FAILURE TO OBTAIN IFQ REGISTERED BUYER PERMIT	240 17-AUG-98	500.00		
07-MAY-96	FM	676.16(d)	IFQ SABLEFISH OVERAGE	240 26-DEC-96	750.00		2,945.23
25-OCT-95	FM	676.16(r)	UNLAWFUL HIRING OF MASTER TO FISH IFQ SHARES	240 02-FEB-98	500.00		
31-MAY-96	FH	301.18(c)	POSSESSION OF HALIBUT WHILE CARRYING UNLAWFUL GEAR ON VESSEL	240 10-MAR-98	500.00		
18-JUN-96	FM	679.7(f)(5)	FISHING FOR SABLEFISH IN AREA WY BUT USING IFQ FOR AREA SE	240 14-MAY-98	9,325.00		

Status Code 250: Settlement Agreement conditions not met; case closed

17-MAY-96	FH	301.16(c)	FAILURE TO OFFLOAD ENTIRE LOAD OF IFQ HALIBUT	250 06-AUG-98	500.00		
17-MAY-96	FM	676.16(a)	FAILURE TO SUBMIT IFQ LANDING/SHIPMENT REPORTS	250 06-AUG-98	500.00		

Status Code 300: Transferred to another Region or Agency

22-JUN-96	FM	676.16(d)	IFQ OVERAGE	300 13-AUG-96			
01-JAN-96	FH	301.16(f)	SUBMISSION OF INACCURATE FISH TICKETS	300 18-FEB-97			

Status Code 305: Suspended

15-MAR-96 FM 676.16(e) 305 30-DEC-96

Date of Violation	Inv. Type	Regulation	Violation Description	Closed Date Status Closed	Sett. Amt.	Forf. Value	Forf. Proceeds
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Status Code 890: All Judicial conditions satisfied

21-MAR-96	FM	676.16(b)	SUBMITTING INACCURATE INFO ON IFQ LANDING REPORT	890 04-OCT-96	20,000.00		
21-MAR-96	FM	676.16(e)	UNLAWFUL SALE OF IFQ HALIBUT	890 04-OCT-96	20,000.00		
21-MAR-96	FH	301.16(4)	ENTERING FALSE INFORMATION ON FISH TICKET	890 04-OCT-96	20,000.00		