

Council funding will be by grant. Guidelines are in the Council operating manual (II-18-20) and in Annex D. The Council chairman should serve as grant administrative officer. The initial grant application for the first six month period of \$182,800.00 was not signed at the Council's first meeting, instead a revised grant application was submitted by the Council at that time for the sum of \$666,000.00. Tab 1 contains that grant application with the budget breakdown and letter of transmittal.



U.S. DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service  
P. O. Box 1668, Juneau, Alaska 99802

NOV 21 1976

Mr. Elmer Rasmuson, Chairman  
North Pacific Fishery Management Council  
P.O. Box 600  
Anchorage, Alaska 99501

Dear Elmer:

We need Council input into a set of critical decisions which may involve selective reprogramming of part of the \$22 million in FY 1977 Extended Jurisdiction (EJ) funding approved by Congress (see Attachment I) to carry out the Fisheries Conservation and Management Act of 1976 (P.L. 94-265). Three major areas of unmet needs which need to be considered and acted upon have been identified: Observer Program expansion, additional funds to support Council activities and increased State involvement, all of which were discussed briefly at the National Conference last September.

A basic constraint to meeting these needs is that any reprogramming exercise will be confined to within the \$22 million appropriated for Extended Jurisdiction. The three major unmet needs are discussed below.

1. Extended Jurisdiction Observer Program

A decision is required relative to the establishment of an Observer Program for onboard monitoring of authorized foreign fishing within the 200-mile fisheries zone. This action is necessary to respond to the concern expressed by various constituent groups, the NMFS Regional and Center Directors and Dave Wallace over the need for an Observer Program and to meet international commitments.

The following guidelines are proposed by NMFS for development of EJ Observer Programs:

- o Primary function is data collection - Observers will have an active role in data collection, including determination of foreign vessel total catch, catch composition, fishing effort, gear utilized, disposition of catch, vessel efficiency, data recording and reporting accuracy, marine mammal catch and any violations of regulations and the terms of vessel permits. The observer's role in enforcement will be passive, i.e., his presence will serve as a deterrent, although he will record violations that can be acted upon by enforcement agents or considered in subsequent negotiations or allocations.

Data records can be used in assisting area enforcement activities and as prosecution evidence, if appropriate. Additionally the observer, based on his knowledge of violations, may be called upon to act as a witness in the prosecution of such cases. This approach facilitates use of other than full-time permanent (OTFTP) observers and reduces precruise training requirements, thereby reducing program/ceiling costs. Additionally, it does not involve observers actively in prosecuting violations.

- o EJ Observer Program to be single region-wide program - Each region-wide program should be developed with RD-CD concurrence and be structured and coordinated to meet the needs of the area involved.
- o Program administration - Program administration should be assigned to the Center Director serving each region. The Marine Mammal Observers Program should remain a separate activity for budgetary and accounting purposes, and will continue to be administered in the regional office.
- o EJ Observer Programs will be funded through the data analysis line item - FY 1977 base or FY 1978 increase funds for EJ Observers presently identified in other line items will be shifted as appropriate in the future.

## 2. Regional Council Operations

As indicated in Attachment I, the amount funded for Regional Council operations is \$3,111K. (This amount included \$46K in NOAA overhead not directly available to the Councils.) At the National Conference last September, the Councils voiced the opinion that they were underfunded and that they might need up to twice the amount of funding currently proposed. In this regard you are now being asked to submit a revised budget proposal for the second half of FY 1977, as well as for FY 1978 and FY 1979. Specific instructions and formats are contained in Attachment II.

We hope to receive your recommendations, and obtain a NOAA decision on the budget requests by the end of December or early January. It is anticipated that the total combined additional Council requests will not total more than \$1 - \$3 million in additional annual funding. A meeting with the Chairmen of the Councils to discuss national priorities may be required if the combined total requests greatly exceed funding available.

## 3. Needs of States

### A. Additional Support for State Directors

Concern has been expressed by some Councils as well as State Directors over the funding and personnel needs of the State Directors to fulfill their role vis-a-vis the Councils and to accommodate their expanded responsibilities in a host of related activities. For example, consider the concept of a State Director requiring a small staff in order to ensure effective participation in Council deliberations. Is it logical for the State Director to have contract funds, separate travel money, etc.? We are requesting Council comments on the concept of support for State Directors, the kinds and amounts of such support, and whether or not the support should be funded through the NMFS regional office or through the Council.

B. Increased Contracting with States to Maximize Utilization of State Expertise

The State has program expertise which could be made selectively available to the Councils or to NMFS through contracts on reimbursable agreements. In this regard our Regional staff will be talking directly with the State to ascertain exactly where and at what level the State feels they can increase their participation in extended jurisdiction activities. Their increased support may be in such operations as resource surveys, data collection or analysis, enforcement, etc. Given the desirability of increasing State participation we would like to have the Council's opinion on what programs you feel we could look to for additional participation.

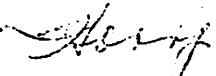
Your input regarding the observer programs, State needs, and budget proposals for FY 1977-79 (using the formats provided) were requested by December 3, 1976, but it is obvious that Council scheduling will not allow us to meet that deadline. We should submit your proposals as soon as possible, however, so they can be considered by NOAA when they make their decision in late December or early January.

4. FY '77 Extended Jurisdiction funding

Another subject the council should consider in relation to its activities is the State-Federal Fisheries Management Program. Initiated in 1972 this program was designed to Federally fund projects undertaken by various groups of states to develop coordinated management plans for specific fisheries which transcended state boundaries, or other management functions which could be expected to affect more than one state. In Alaska two contracts have been let to the Commercial Fisheries Entry Commission. The first in 1974 to develop techniques and methodology to implement the Alaska Limited Entry Plan. This contract was terminated in August, 1975. A second contract was initiated in October, 1976 for the Commercial Fisheries Entry Commission to do a bio-economic study related to Alaskan shellfish fisheries, aimed at developing a data base to furnish the necessary social and economic considerations to determine optimum yield.

With the advent of P.L. 94-265 and the creation of the North Pacific Fishery Management Council we should consider the value of this program. Should the program be retained to look at inter-state fishery problems other than those under the purview of the Council, or would the Council see merit in using this program as a vehicle to develop fishery management plans or assemble needed data for plans? We would appreciate the views of the Council.

Sincerely,

  
Harry L. Rietze  
Director, Alaska Region

FY1977 EXTENDED JURISDICTION FUNDING

Attachment 1

	<u>POS.</u>	<u>\$000'S</u>
<u>NATIONAL MARINE FISHERIES SERVICE</u>	<u>176</u>	<u>9,991</u>
DATA ANALYSIS	36	1,994
COASTAL ZONE MGMT. SUPPORT	8	280
INTERNATIONAL FISHERIES MGMT.	7	275
STATE/FEDERAL FISHERIES MGMT.		
REGIONAL FISHERIES MGMT. STAFF	25	515
NATIONAL REVIEW OF OPERATIONS	15	403
PERMIT SYSTEM	6	52
REGIONAL COUNCIL OPERATIONS	--	3,111
ENFORCEMENT/SURVEILLANCE	31	1,107
CON./COMM. FISHERIES STATISTICS		
REGIONAL DATA MGMT. SYSTEMS	18	715
BIO-ECONOMIC DATA BASES	28	1,024
RECREATIONAL FISHERIES	2	515
<u>NATIONAL OCEAN SURVEY</u>	<u>37</u>	<u>11,012</u>
BOUNDARY SURVEYS	6	1,500
VESSEL CONSTRUCTION/SUPPORT	31	9,512
<u>SEA GRANT</u>	<u>--</u>	<u>500</u>
<u>EXECUTIVE ADMINISTRATION</u>	<u>33</u>	<u>497</u>
 TOTAL	 <u>246</u>	 <u>22,000</u>

Call for Regional Council Grant Proposals

We are requesting, via this memorandum, your grant amendments for the 2nd half of FY 77, grant proposals for FY 1978, and work plans for both years. In addition, you are asked to estimate your funding needs for FY 1979. Information on FY 1979 requirements will be your initial input into the FY 1979 budget cycle.

Appendices A through C Provide formats and instructions for submission of Regional Council proposals. These should be submitted to the Director, NMFS by December 10, 1976. Specific documentation requirements are as follows:

- (1) Two SF-424s - Application for Federal Grant - (Appendix A). One's an amendment form for the second half of FY 77, and the other is an application form for FY 78.
- (2) An "Estimated Regional Council Budget" form - (Appendix B). The first column of this form has been completed, and represents your grant for FY 77 - 1st half. The budget in column 2 (Appendix B) (2nd half of FY 77) should match item #13A on SF-424 Grant Amendment for 2nd half of FY 77. The funds currently allocated in the FY 78 budget for your Council's operation are \$367.2 K. This should be used as an initial basis for completing the FY 78 column (Column 3) in Appendix B. Any additional funds for either FY 77 or FY 78 will necessitate either specific Congressional action, or redirection of existing program funds. Your budget request for FY 79 should allow for the FY 78 proposed levels, plus any additional requirement which you foresee.
- (3) Work Plan (FY 77, 78, 79) (Appendix C).
- (4) Descriptive List of Contracts (FY 77, 78, 79) (Appendix C).

Council budget requests for FY 79 will be incorporated with those of NMFS and together they will be presented to the NOAA Administrator in early February 1977.

Because the NMFS FY 79 budget presentation to NOAA will combine Council and NMFS needs, I have directed our regional staffs to:

1. solicit your general recommendations for FY 79 program needs during November and
2. coordinate their FY 79 increase requests with those of the Councils in order to encourage complementary proposals.



<b>FEDERAL ASSISTANCE</b>		<b>2. APPLICANTS</b> APPLI- CANTS APPLI- CATION	<b>3. NUMBER</b>  <b>4. DATE</b> Year month day 19	<b>5. STATE AGENCY IDENTIFIER</b>	<b>6. NUMBER</b>  <b>7. DATE ASSIGNED</b> 19																														
<b>1. TYPE OF ACTION</b> <input type="checkbox"/> PREAPPLICATION <input type="checkbox"/> APPLICATION <i>(Mark appropriate box)</i> <input type="checkbox"/> INDICATION OF INTENT (IPI) <input type="checkbox"/> REPORT OF FEDERAL ACTION		Leave blank																																	
<b>4. LEGAL APPLICANT/RECIPIENT</b> a. Applicant Name : b. Organization Unit : c. Street/P.O. Box : d. City : e. County : f. State : g. ZIP Code: h. Contact Person (Name) : i. Telephone No. :			<b>5. FEDERAL EMPLOYER IDENTIFICATION NO.</b>  <b>6. FEDERAL GRANT (From Federal Catalog)</b> <b>a. NUMBER</b> :                     <b>b. TITLE</b> :																																
<b>7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT</b>			<b>8. TYPE OF APPLICANT/RECIPIENT</b> A-State B-Individual C-Individual District D-County E-City F-School District G-Special Purpose District H-Community Action Agency I-Northern Educational Institution J-Indian Tribe K-Other (Specify): Enter appropriate letter <input type="checkbox"/>																																
<b>10. AREA OF PROJECT IMPACT</b> (Names of cities, counties, States, etc.)		<b>11. ESTIMATED NUMBER OF PERSONS BENEFITING</b>	<b>12. TYPE OF APPLICATION</b> A-New B-Renewal C-Revision D-Continuation E-Augmentation Enter appropriate letter <input type="checkbox"/>																																
<b>13. PROPOSED FUNDING</b>		<b>14. CONGRESSIONAL DISTRICTS OF:</b> a. APPLICANT b. PROJECT		<b>15. TYPE OF CHANGE</b> (For 20c or 12a) A-Project Change B-Project Extension C-Project Continuation D-Project Revision E-Project Addition F-Other (Specify): Enter appropriate letter(s) <input type="checkbox"/>																															
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">a. FEDERAL</td> <td style="width:15%;">\$</td> <td style="width:15%;">.00</td> <td style="width:15%;">c. APPLICANT</td> <td style="width:15%;">b. PROJECT</td> </tr> <tr> <td>b. APPLICANT</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> <tr> <td>c. STATE</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> <tr> <td>d. LOCAL</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> <tr> <td>e. OTHER</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> <tr> <td>f. TOTAL</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> </table>		a. FEDERAL	\$	.00	c. APPLICANT	b. PROJECT	b. APPLICANT	\$	.00			c. STATE	\$	.00			d. LOCAL	\$	.00			e. OTHER	\$	.00			f. TOTAL	\$	.00			<b>16. PROJECT START DATE</b> Year month day 19		<b>17. PROJECT DURATION</b> Months	
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b. APPLICANT	\$	.00																																	
c. STATE	\$	.00																																	
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f. TOTAL	\$	.00																																	
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<b>20. FEDERAL AGENCY TO RECEIVE REQUEST</b> (Name, City, State, ZIP code)					<b>21. REMARKS ADDED</b> <input type="checkbox"/> Yes <input type="checkbox"/> No																														
SECTION II - CERTIFICATION	<b>22. THE APPLICANT CERTIFIES THAT</b>	a. To the best of my knowledge and belief, data in this preapplication/application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached enclosures if the assistance is approved.	b. If required by OMB Circular A-95 this application was submitted, pursuant to instructions therein, to appropriate State/county and all responses are attached.	No response <input type="checkbox"/> Response attached <input type="checkbox"/>	(1) <input type="checkbox"/> (2) <input type="checkbox"/> (3) <input type="checkbox"/>																														
SECTION III - FEDERAL AGENCY ACTION	<b>23. CERTIFYING REPRESENTATIVE</b>	a. TYPED NAME AND TITLE	b. SIGNATURE	c. DATE SIGNED Year month day 19																															
	<b>24. AGENCY NAME</b>			<b>25. APPLICATION RECEIVED</b> Year month day 19																															
	<b>26. ORGANIZATIONAL UNIT</b>		<b>27. ADMINISTRATIVE OFFICE</b>	<b>28. FEDERAL APPLICATION IDENTIFICATION</b>																															
	<b>29. ADDRESS</b>			<b>30. FEDERAL GRANT IDENTIFICATION</b>																															
<b>31. ACTION TAKEN</b> <input type="checkbox"/> a. AWARDED <input type="checkbox"/> b. REJECTED <input type="checkbox"/> c. RETURNED FOR AMENDMENT <input type="checkbox"/> d. DEFERRED <input type="checkbox"/> e. WITHDRAWN	<b>32. FUNDING</b>		<b>33. ACTION DATED</b> Year month day 19	<b>34. STARTING DATE</b> Year month day 19																															
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">a. FEDERAL</td> <td style="width:15%;">\$</td> <td style="width:15%;">.00</td> <td style="width:15%;">b. APPLICANT</td> <td style="width:15%;">c. STATE</td> </tr> <tr> <td>d. LOCAL</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> <tr> <td>e. OTHER</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> <tr> <td>f. TOTAL</td> <td>\$</td> <td>.00</td> <td colspan="2"></td> </tr> </table>		a. FEDERAL	\$	.00	b. APPLICANT	c. STATE	d. LOCAL	\$	.00			e. OTHER	\$	.00			f. TOTAL	\$	.00			<b>35. CONTACT FOR ADDITIONAL INFORMATION</b> (Name and telephone number)	<b>36. ENDING DATE</b> Year month day 19											
a. FEDERAL	\$	.00	b. APPLICANT	c. STATE																															
d. LOCAL	\$	.00																																	
e. OTHER	\$	.00																																	
f. TOTAL	\$	.00																																	
				<b>37. REMARKS ADDED</b> <input type="checkbox"/> Yes <input type="checkbox"/> No																															
<b>38. FEDERAL AGENCY A-95 ACTION</b>	a. In taking above action, any comments received from clearing agency were considered. If agency response is due under provisions of Part 1, OMB Circular A-95, it has been or is being made.	b. FEDERAL AGENCY A-95 OFFICIAL (Name and telephone no.)																																	

<b>FEDERAL ASSISTANCE</b>		2. APPLI- CANT'S APPLI- CATION	a. NUMBER	3. STATE APPLI- CATION IDENTI- FIER	a. NUMBER
TYPE OF ACTION <input type="checkbox"/> PREAPPLICATION <input checked="" type="checkbox"/> APPLICATION (Mark appropriate box) <input type="checkbox"/> NOTIFICATION OF INTENT (Opt.) <input type="checkbox"/> REPORT OF FEDERAL ACTION		b. DATE Year month day 19 76/10/5		b. DATE Year month day ASSIGNED 19	
4. LEGAL APPLICANT/RECIPIENT		Leave Blank		5. FEDERAL EMPLOYER IDENTIFICATION NO.	
a. Applicant Name : North Pacific Regional Fishery Management Council		FA0000/88F3NPEJ		6. FEDERAL EMPLOYER IDENTIFICATION NO.	
b. Organization Unit : c/o Alaska Regional Office, NMFS				7. TYPE OF APPLICANT/RECIPIENT	
c. Street/P.O. Box : P.O. Box 1668				A-State H-Community Action Agency	
d. City : Juneau, a. County :				B-Interstate I-Higher Educational Institution	
f. State : Alaska z. ZIP Code: 99802				C-Substate J-Indian Tribe	
h. Contact Person (Name & telephone No.) : James Branson, (907) 586-7225				K-Other (Specify):	
7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT				Quasi-Public Non-Profit Organization	
Implementation of fishery Conservation and Management Act of 1976 - Alaska Region				Enter appropriate letter <input checked="" type="checkbox"/> K	
10. AREA OF PROJECT IMPACT (Names of cities, counties, States, etc.)		11. ESTIMATED NUMBER OF PERSONS BENEFITING		12. TYPE OF ASSISTANCE	
Alaska, Washington and Oregon		30,700		A-Basic Grant D-Insurance	
13. PROPOSED FUNDING		14. CONGRESSIONAL DISTRICTS OF:		B-Supplemental Grant E-Other Enter appropriate letter(s) <input type="checkbox"/> A	
a. FEDERAL \$ 666,000 .00	2. APPLICANT	b. PROJECT		15. TYPE OF CHANGE (For 12a or 12b)	
b. APPLICANT .00		Region-wide		A-Increase Dollars F-Other (Specify):	
STATE .00	16. PROJECT START DATE Year month day	17. PROJECT DURATION		C-Increase Duration	
LOCAL .00	19 76/10/5	6 Months		D-Decrease Duration	
o. OTHER .00	18. ESTIMATED DATE TO BE SUBMITTED TO FEDERAL AGENCY >	19. EXISTING FEDERAL IDENTIFICATION NUMBER		E-Cancellation	
i. TOTAL \$ .00	19 76/10/7	NA		Enter appropriate letter(s) <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
20. FEDERAL AGENCY TO RECEIVE REQUEST (Name, City, State, ZIP code)		20235		21. REMARKS ADDED	
National Marine Fisheries Service, Washington D.C.				<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
22. THE APPLICANT CERTIFIES THAT >		a. To the best of my knowledge and belief, data in this preapplication/application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is approved.		b. If required by OMB Circular A-95 this application was submitted, pursuant to instructions therein, to appropriate clearinghouses and all responses are attached:	
		(1)		No response attached <input type="checkbox"/>	
		(2)		<input type="checkbox"/>	
		(3)		<input type="checkbox"/>	
23. CERTIFYING REPRESENTATIVE		b. SIGNATURE		c. DATE SIGNED	
Chairman of Council		<i>James Branson</i>		Year month day 19	
24. AGENCY NAME		25. ORGANIZATIONAL UNIT		26. FEDERAL APPLICATION IDENTIFICATION	
U.S. Department of Commerce		National Oceanic & Atmospheric Administration		National Marine Fisheries Service	
27. ADDRESS		28. FEDERAL GRANT IDENTIFICATION		29. FEDERAL AGENCY A-95 ACTION	
6010 Executive Blvd., Rockville, Maryland 20852				a. In taking above action, any comments received from clearinghouses were considered. If agency response is due under provisions of Part 1, OMB Circular A-95, it has been or is being made.	
31. ACTION TAKEN		32. FUNDING		b. FEDERAL AGENCY A-95 OFFICIAL (Name and telephone no.)	
<input type="checkbox"/> a. AWARDED	a. FEDERAL \$ .00	33. ACTION DATE > 19			
<input type="checkbox"/> b. REJECTED	b. APPLICANT .00	34. STARTING DATE 19			
<input type="checkbox"/> c. RETURNED FOR AMENDMENT	c. STATE .00	35. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)		36. ENDING DATE 19	
<input type="checkbox"/> d. DEFERRED	d. LOCAL .00			37. REMARKS ADDED	
<input type="checkbox"/> e. WITHDRAWN	e. OTHER .00			<input type="checkbox"/> Yes <input type="checkbox"/> No	
	f. TOTAL \$ .00				

SECTION I - APPLICANT/RECIPIENT DATA

SECTION II - CERTIFICATION

SECTION III - FEDERAL AGENCY ACTION

SECTION IV-REMARKS (Please reference the proper item number from Sections I, II or III, if applicable)

## GENERAL INSTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facesheet for pre-applications and applications submitted in accordance with Federal Management Circular 74-7. Second, it will be used by Federal agencies to report to Clearinghouses on major actions taken on applications reviewed by clearinghouses in accordance with CMB Circular A-95. Third, it will be used by Federal agencies to notify States of grants-in-aid awarded in accordance with Treasury Circular 1082. Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

### APPLICANT PROCEDURES FOR SECTION I

Applicant will complete all items in Section I. If an item is not applicable, write "N/A". If additional space is needed, insert an asterisk "\*", and use the remarks section on the back of the form. An explanation follows for each item:

- | Item  | Item   |
|---|--|
| <p>1. Mark appropriate box. Pre-application and application guidance is in FMC 74-7 and Federal agency program instructions. <u>Notification of intent guidance is in Circular A-95 procedures from clearinghouse. Applicant will not use "Report of Federal Action" box.</u></p> <p>2a. Applicant's own control number, if desired.</p> <p>2b. Date Section I is prepared.</p> <p>3a. Number assigned by State clearinghouse, or if delegated by State, by areawide clearinghouse. All requests to Federal agencies must contain this identifier if the program is covered by Circular A-95 and required by applicable State/areawide clearinghouse procedures. If in doubt, consult your clearinghouse.</p> <p>3b. Date applicant notified of clearinghouse identifier.</p> <p>4a-4h. Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of person who can provide further information about this request.</p> <p>5. Employer identification number of applicant as assigned by Internal Revenue Service.</p> <p>6a. Use Catalog of Federal Domestic Assistance number assigned to program under which assistance is requested. If more than one program (e.g., joint-funding) write "multiple" and explain in remarks. If unknown, cite Public Law or U.S. Code.</p> <p>6b. Program title from Federal Catalog. Abbreviate if necessary.</p> <p>7. Brief title and appropriate description of project. For notification of intent, continue in remarks section if necessary to convey proper description.</p> <p>8. Mostly self-explanatory. "City" includes town, township or other municipality.</p> <p>9. Check the type(s) of assistance requested. The definitions of the terms are:</p> <p style="margin-left: 20px;">A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant.</p> <p style="margin-left: 20px;">B. Supplemental Grant. A request to increase a basic grant in certain cases where the eligible applicant cannot supply the required matching share of the basic Federal program (e.g., grants awarded by the Appalachian Regional Commission to provide the applicant a matching share).</p> <p style="margin-left: 20px;">C. Loan. Self explanatory.</p> | <p>D. Insurance. Self explanatory.</p> <p>E. Other. Explain on remarks page.</p> <p>10. Governmental unit where significant and meaningful impact could be observed. List only largest unit or units affected, such as State, county, or city. If entire unit affected, list it rather than subunits.</p> <p>11. Estimated number of persons directly benefiting from project.</p> <p>12. Use appropriate code letter. Definitions are:</p> <p style="margin-left: 20px;">A. New. A submittal for the first time for a new project.</p> <p style="margin-left: 20px;">B. Renewal. An extension for an additional funding/budget period for a project having no projected completion date, but for which Federal support must be renewed each year.</p> <p style="margin-left: 20px;">C. Revision. A modification to project nature or scope which may result in funding change (increase or decrease).</p> <p style="margin-left: 20px;">D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of years.</p> <p style="margin-left: 20px;">E. Augmentation. A requirement for additional funds for a project previously awarded funds in the same funding/budget period. Project nature and scope unchanged.</p> <p>13. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of the change. For decreases enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 13a, amount requested from Federal Government; 13b, amount applicant will contribute; 13c, amount from State, if applicant is not a State; 13d, amount from local government, if applicant is not a local government; 13e, amount from any other sources, explain in remarks.</p> <p>14a. Self explanatory.</p> <p>14b. The district(s) where most of actual work will be accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "State-wide."</p> <p>15. Complete only for revisions (item 12c), or augmentations (item 12e).</p> |

NOTICES

- |  |  |
|--|--|
| <p>Item</p> <p>5. Approximate date project expected to begin (usually associated with estimated date of availability of funding).</p> <p>17. Estimated number of months to complete project after Federal funds are available.</p> <p>18. Estimated date preapplication/application will be submitted to Federal agency if this project requires clearinghouse review. If review not required, this date would usually be same as date in Item 2b.</p> | <p>Item</p> <p>19. Existing Federal identification number if this is not a new request and directly relates to a previous Federal action. Otherwise write "NA".</p> <p>20. Indicate Federal agency to which this request is addressed. Street address not required, but do use ZIP.</p> <p>21. Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks are attached.</p> |
|--|--|

**APPLICANT PROCEDURES FOR SECTION II**

Applicants will always complete items 23a, 23b, and 23c. If clearinghouse review is required, item 22b must be fully completed. An explanation follows for each item:

- |   |  |
|---|--|
| <p>Item</p> <p>22b. List clearinghouses to which submitted and show in appropriate blocks the status of their responses. For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached.</p> <p>23a. Name and title of authorized representative of legal applicant.</p> | <p>Item</p> <p>23b. Self explanatory.</p> <p>23c. Self explanatory.</p> <p>Note: Applicant completes only Sections I and II. Section III is completed by Federal agencies.</p> |
|---|--|

**FEDERAL AGENCY PROCEDURES FOR SECTION III**

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Federal action, the Federal agency will complete Section III only. An explanation for each item follows:

- |   |  |
|---|--|
| <p>Item</p> <p>Executive department or independent agency having program administration responsibility.</p> <p>25. Self explanatory.</p> <p>26. Primary organizational unit below department level having direct program management responsibility.</p> <p>27. Office directly monitoring the program.</p> <p>28. Use to identify non-award actions where Federal grant identifier in item 30 is not applicable or will not suffice.</p> <p>29. Complete address of administering office shown in item 26.</p> <p>30. Use to identify award actions where different from Federal application identifier in item 24.</p> <p>31. Self explanatory. Use remarks section to amplify where appropriate.</p> <p>32. Amount to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 32a, amount awarded by Federal Government; 32b, amount applicant will contribute; 32c, amount from State, if applicant is not a State; 32d, amount from local government if applicant is not a local government; 32e, amount from any other sources, explain in remarks.</p> <p>33. Date action was taken on this request.</p> <p>34. Date funds will become available.</p> | <p>Item</p> <p>35. Name and telephone no. of agency person who can provide more information regarding this assistance.</p> <p>36. Date after which funds will no longer be available.</p> <p>37. Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment of additional remarks.</p> <p>38. For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as person shown in item 35, write "same". If not applicable, write "NA".</p> |
|---|--|

**Federal Agency Procedures—special considerations**

- A. **Treasury Circular 1032 compliance.** Federal agency will assure proper completion of Sections I and III. If Section I is being completed by Federal agency, all applicable items must be filled in. Addresses of State Information Reception Agencies (SCIRA's) are provided by Treasury Department to each agency. This form replaces SF 240, which will no longer be used.
- B. **OMB Circular A-95 compliance.** Federal agency will assure proper completion of Sections I, II, and III. This form is required for notifying all reviewing clearinghouses of major actions on all programs reviewed under A-95. Addresses of State and award clearinghouses are provided by OMB to each agency. Substantive differences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded will be explained in A-95 notifications to clearinghouses.
- C. **Special note.** In most, but not all States, the A-95 State clearinghouse and the (TC 1032) SCIRA are the same office. In such cases, the A-95 award notice to the State clearinghouse will fulfill the TC 1032 award notice requirement to the State SCIRA. Duplicate notification should be avoided.

<b>FEDERAL ASSISTANCE</b>		2. APPLICANT'S APPLICATION	a. NUMBER	3. STATE ABBREVIATION IDENTIFIER	4. NUMBER
1. TYPE OF ACTION <input type="checkbox"/> PREAPPLICATION <input type="checkbox"/> APPLICATION <small>(Mark up projects for)</small> <input type="checkbox"/> NOTIFICATION OF INTENT (OIA) <input type="checkbox"/> REPORT OF FEDERAL ACTION		b. DATE Year month day 19			5. DATE ASSIGNED Year month day 19
4. LEGAL APPLICANT/RECIPIENT a. Applicant Name : b. Organization Unit : c. Street/P.O. Box : d. City : e. State : f. Contact Person (Name & telephone No.) : g. County : h. ZIP Code:				5. FEDERAL EMPLOYER IDENTIFICATION NO.	
7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT				6. TYPE OF APPLICANT/RECIPIENT A-State B-Independent C-Local D-County E-City F-School District G-Special Purpose District H-Community Action Agency I-Higher Educational Institution J-Indian Tribe K-Other (Specify):	
				9. TYPE OF ASSISTANCE A-Direct Grant B-Supplemental Grant C-Loan D-Insurance E-Other Enter appropriate letter(s)	
10. AREA OF PROJECT IMPACT (Name of cities, counties, States, etc.)			11. ESTIMATED NUMBER OF PERSONS BENEFITING		12. TYPE OF APPLICATION A-New B-Renewal C-Revision D-Continuation E-Augmentation Enter appropriate letter
13. PROPOSED FUNDING		14. CONGRESSIONAL DISTRICTS OF:		15. TYPE OF CHANGE (For Use or Use)	
a. FEDERAL \$	.00	a. APPLICANT	b. PROJECT	A-Increase Dollars B-Increase Goals C-Increase Duration D-Increase Duration E-Continuation	
b. APPLICANT	.00	16. PROJECT START DATE Year month day 19		17. PROJECT DURATION Months	
c. STATE	.00	18. ESTIMATED DATE TO BE SUBMITTED TO FEDERAL AGENCY		19. EXISTING FEDERAL IDENTIFICATION NUMBER	
d. LOCAL	.00	Year month day 19			
e. OTHER	.00	20. FEDERAL AGENCY TO RECEIVE REQUEST (Name, City, State, ZIP code)			
f. TOTAL \$	.00	21. REMARKS ADDED <input type="checkbox"/> Yes <input type="checkbox"/> No			
22. THE APPLICANT CERTIFIES THAT >		a. TYPED NAME AND TITLE		b. SIGNATURE	
a. To the best of my knowledge and belief, data in this preapplication/transaction are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached enclosures if the assistance is approved.		b. If required by OMB Circular A-95 this application was submitted, pursuant to instructions therein, to appropriate organizations and all responses are attached: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Response attached		c. DATE SIGNED Year month day 19	
23. CERTIFYING REPRESENTATIVE		24. AGENCY NAME		25. APPLICATION RECEIVED	
24. AGENCY NAME		25. ORGANIZATIONAL UNIT		26. FEDERAL APPLICATION IDENTIFICATION	
25. ORGANIZATIONAL UNIT		26. ADDRESS		27. FEDERAL GRANT IDENTIFICATION	
26. ADDRESS		27. ADMINISTRATIVE OFFICE		28. ACTION TAKEN	
27. ADMINISTRATIVE OFFICE		28. ACTION DATE Year month day 19		29. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)	
28. ACTION TAKEN <input type="checkbox"/> a. AWARDED <input type="checkbox"/> b. DENIED <input type="checkbox"/> c. RETURNED FOR AMENDMENT <input type="checkbox"/> d. DEFERRED <input type="checkbox"/> e. WITHDRAWN		29. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)		30. STARTING DATE Year month day 19	
30. FEDERAL AGENCY A-95 ACTION		31. FUNDING		32. ENDING DATE Year month day 19	
a. In taking above action, any comments received from clearinghouses were considered. If agency response is due under provisions of Part 1, OMB Circular A-95, it has been or is being made.		a. FEDERAL \$ .00		33. REMARKS ADDED <input type="checkbox"/> Yes <input type="checkbox"/> No	
		b. APPLICANT .00			
		c. STATE .00			
		d. LOCAL .00			
		e. OTHER .00			
		f. TOTAL \$ .00			

SECTION I - APPLICANT/RECIPIENT DATA

SECTION II - CERTIFICATION

SECTION III - FEDERAL AGENCY ACTION

SECTION IV-REMARKS (Please reference the proper item number from Sections I, II or III, if applicable)

## GENERAL INSTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facesheet for pre-applications and applications submitted in accordance with Federal Management Circular 74-7. Second, it will be used by Federal agencies to report to Clearinghouses on major actions taken on applications reviewed by clearinghouses in accordance with OMB Circular A-95. Third, it will be used by Federal agencies to notify States of grants-in-aid awarded in accordance with Treasury Circular 1032. Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

### APPLICANT PROCEDURES FOR SECTION I

Applicant will complete all items in Section I. If an item is not applicable, write "N/A". If additional space is needed, insert an asterisk "\*", and use the remarks section on the back of the form. An explanation follows for each item:

- | Item  | Item  |
|---|---|
| <p>1. Mark appropriate box. Pre-application and application guidance is in FMC 74-7 and Federal agency program instructions. <u>Notification of intent guidance is in Circular A-95 procedures from clearinghouse. Applicant will not use "Report of Federal Action" box.</u></p> <p>2a. Applicant's own control number, if desired.</p> <p>2b. Date Section I is prepared.</p> <p>3a. Number assigned by State clearinghouse, or if delegated by State, by areawide clearinghouse. All requests to Federal agencies must contain this identifier <u>if the program is covered by Circular A-95 and required by applicable State/areawide clearinghouse procedures. If in doubt, consult your clearinghouse.</u></p> <p>3b. Date applicant notified of clearinghouse identifier.</p> <p>4a-4h. Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of person who can provide further information about this request.</p> <p>5. Employer identification number of applicant as assigned by Internal Revenue Service.</p> <p>6a. Use Catalog of Federal Domestic Assistance number assigned to program under which assistance is requested. If more than one program (e.g., joint-funding) write "multiple" and explain in remarks. If unknown, cite Public Law or U.S. Code.</p> <p>6b. Program title from Federal Catalog. Abbreviate if necessary.</p> <p>7. Brief title and appropriate description of project. For notification of intent, continue in remarks section if necessary to convey proper description.</p> <p>8. Mostly self-explanatory. "City" includes town, township or other municipality.</p> <p>9. Check the type(s) of assistance requested. The definitions of the terms are:<br/>                     A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant.<br/>                     B. Supplemental Grant. A request to increase a basic grant in certain cases where the eligible applicant cannot supply the required matching share of the basic Federal program (e.g., grants awarded by the Appalachian Regional Commission to provide the applicant a matching share).<br/>                     C. Loan. Self explanatory.</p> | <p>D. Insurance. Self explanatory.</p> <p>E. Other. Explain on remarks page.</p> <p>10. Governmental unit where significant and meaningful impact could be observed. List only largest unit or units affected, such as State, county, or city. If entire unit affected, list it rather than subunits.</p> <p>11. Estimated number of persons directly benefiting from project.</p> <p>12. Use appropriate code letter. Definitions are:<br/>                     A. New. A submittal for the first time for a new project.<br/>                     B. Renewal. An extension for an additional funding/budget period for a project having no projected completion date, but for which Federal support must be renewed each year.<br/>                     C. Revision. A modification to project nature or scope which may result in funding change (increase or decrease).<br/>                     D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of years.<br/>                     E. Augmentation. A requirement for additional funds for a project previously awarded funds in the same funding/budget period. Project nature and scope unchanged.</p> <p>13. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of the change. For decreases enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 13a, amount requested from Federal Government; 13b, amount applicant will contribute; 13c, amount from State, if applicant is not a State; 13d, amount from local government, if applicant is not a local government; 13e, amount from any other sources, explain in remarks.</p> <p>14a. Self explanatory.</p> <p>14b. The district(s) where most of actual work will be accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "State-wide."</p> <p>15. Complete only for revisions (item 12c), or augmentations (item 12e).</p> |



- | Item |  | Item |   |
|------|--|------|---|
| 16.  | Approximate date project expected to be completed (usually associated with estimated date of availability of funding).   | 19.  | Existing Federal Identification number if this is not a new request and directly relates to a previous Federal action. Otherwise write "N/A". |
| 17.  | Estimated number of months to complete project after Federal funds are available.  | 20.  | Indicate Federal agency to which this request is addressed. Street address not required, but do use ZIP.                                      |
| 18.  | Estimated date preapplication/application will be submitted to Federal agency if this project requires clearinghouse review. If review not required, this date would usually be same as date in Item 2b. | 21.  | Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks are attached.                               |

### APPLICANT PROCEDURES FOR SECTION II

Applicants will always complete Items 23a, 23b, and 23c. If clearinghouse review is required, Item 22b must be fully completed. An explanation follows for each item:

- | Item |   | Item  |   |
|------|---|-------|---|
| 22b. | List clearinghouses to which submitted and show in appropriate blocks the status of their responses. For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached. | 23b.  | Self explanatory.   |
| 23a. | Name and title of authorized representative of legal applicant.   | 23c.  | Self explanatory.   |
|      |   | Note: | Applicant completes only Sections I and II. Section III is completed by Federal agencies. |

### FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Federal action, the Federal agency will complete Section III only. An explanation for each item follows:

- | Item |  | Item |  |
|------|--|------|--|
| 24.  | Executive department or independent agency having program administration responsibility.   | 35.  | Name and telephone no. of agency person who can provide more information regarding this assistance.  |
| 25.  | Self explanatory.  | 36.  | Date after which funds will no longer be available.  |
| 26.  | Primary organizational unit below department level having direct program management responsibility.  | 37.  | Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment of additional remarks.   |
| 27.  | Office directly monitoring the program.  | 38.  | For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as person shown in Item 35, write "same". If not applicable, write "N/A". |
| 28.  | Use to identify non-award actions where Federal grant identifier in Item 30 is not applicable or will not suffice.   |      |  |
| 29.  | Complete address of administering office shown in Item 26.   |      |  |
| 30.  | Use to identify award actions where different from Federal application identifier in Item 28.  |      |  |
| 31.  | Self explanatory. Use remarks section to amplify where appropriate.  |      |  |
| 32.  | Amount to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 32a, amount awarded by Federal Government; 32b, amount applicant will contribute; 32c, amount from State, if applicant is not a State; 32d, amount from local government if applicant is not a local government; 32e, amount from any other sources, explain in remarks. |      |  |
| 33.  | Date action was taken on this request.   |      |  |
| 34.  | Date funds will become available.  |      |  |

### Federal Agency Procedures—special considerations

- Treasury Circular 1032 compliance.** Federal agency will assure proper completion of Sections I and III. If Section I is being completed by Federal agency, all applicant's items must be filled in. Addresses of State Information Reception Agencies (SIRAs) are provided by Treasury Department to each agency. This form replaces SF 255, which will no longer be used.
- OMB Circular A-95 compliance.** Federal agency will assure proper completion of Sections I, II, and III. This form is required for notifying all reviewing clearinghouses of major actions on all programs reviewed under A-95. Addresses of State and area-wide clearinghouses are provided by OMB to each agency. Substantive differences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded will be explained in A-95 notifications to clearinghouses.
- Special note.** In most, but not all States, the A-95 State clearinghouse and the (FC 1032) SIRAs are the same office. In such cases, the A-95 award notice to the State clearinghouse will fulfill the FC 1032 award notice requirement to the State SIRAs. Duplicate notification should be avoided.

## APPENDIX B

### ESTIMATED REGIONAL COUNCIL BUDGET

The following format summarizes the elements of cost to be considered in budget planning. In addition to a listing of staff positions to support the labor portion of the budget, the derivation of the other budget elements must be given. The "Current Year" estimate will reflect the most recent plans concerning the distribution of funds within the grant that will have been awarded at the beginning of the current fiscal year. Grants will be issued on the basis of the amounts estimated in the "Budget Year" column. The amounts estimated for "Budget Year + 1" will constitute the Council's input to the budget cycle and will be the basis for granting funds in the following year.

Amendments to grants already awarded or to the estimates for the "Budget Year" or "Budget Year + 1" must be kept separate from the grant proposal itself. Amendments should be in the same format, with only the relevant columns completed and accompanied by an explanation/justification. Requests for increases will be granted subject to the availability of funds and the urgency of the need.

ESTIMATED REGIONAL COUNCIL BUDGET

BUDGET CATEGORIES	(2)	(3)	(4)
	FY 77 1st Half (Oct 1-Mar 31)	FY 77 2nd Half (Apr 1- Sep 30)	FY 78 Budget Year

H. PACIFIC

Salaries				
Non-Govt Voting Members	\$	21.4		
Staff <sup>1/</sup>		37.9		
Sub-Total	\$	59.3		
Benefits (9.6%)		5.7		
COLA (Staff Only)		9.5		
Total Salaries & Benefits	\$	74.5		
Travel		50.0		
Trans. of Things		15.0		
Rents		10.0		
Communications		5.0		
Utilities		1.3		
Printing		6.0		
Non-Fed Contracts		5.0		
Supplies		4.0		
Capital Equipment		6.0		
Non-Capital Equipment		5.0		
Tax and Insurance		1.0		

TOTAL 182.8

SOURCES OF FUNDING

Federal Funds Required  
 Estimated Funding from  
 Other Sources (Specify)

Total (Same Amount as Total  
 Planned Budget Line)

WORKPLAN

The work plan must show what work will be done for the funds requested. It relates dollars and people to the decisions of the Councils on priorities among fishery management units and defines the pace for development of management plans. Submissions of management plans to the Secretary will be the major milestones of Council activity. Planning this activity two-plus years ahead is of benefit to the Councils by helping to structure their work, and to NMFS by helping it synchronize its scientific and technical support to the needs of the Councils. No format is specified for the workplan, but as a minimum it should contain a schedule of management plan development and have each fiscal year's activity separately identified. The work plan should also identify, specifically, what additional program outputs are anticipated or a result of any new funds in FY 78, and FY 79, above the prior year's level. Progress in meeting the management plan schedule and an updating of it must be reported within 60 days after the end of each fiscal year.

Descriptive List of Contracts

This part of the grant proposal will represent the effort of the Councils to foresee their needs for contract expertise in view of their workplan. Each contract anticipated should be briefly described and located on the time frame of the workplan. The description shall include the estimated cost of the contract. Contracts must be in accordance with Attachment 0 of OMB Circular No. A-110

## NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

Section F  
Tab 1.

October 6, 1976

Mr. Robert W. Schoning, Director  
National Marine Fisheries Service  
Washington, D.C. 20235

Dear Mr. Schoning:

On behalf of the North Pacific Fishery Management Council, I am submitting a grant application for the amount \$666,000 which our Council estimates will permit us to carry out our obligations under the law during the first six months of operation from October 1, 1976 through March 31, 1977. An examination of our application will indicate that we are requesting a substantial increase over the initial estimate made by your Washington Office. You will remember that the North Pacific Council members present at the Breakout meeting in Arlington, Virginia, in September, indicated that they believed the original estimate was low. Careful study of our workload, and consideration of the deadlines we must meet in supplying the Secretary of Commerce with contingent fishery management plans for the 12 or more major fisheries located within the North Pacific Council region, has convinced us that additional funds are necessary if we are to accomplish our task. You will find a breakdown of this budget attached.

The differences in our budget request from the initial Washington estimate lies in salaries, travel, and non-Federal contracts. With respect to salaries and travel, after considering the number of fisheries plans which must be prepared, the large number of fishing communities stretching over thousands of miles of coastline in Alaska requires substantially more public hearings and travel for Council members and staff than was anticipated in the preliminary budget. The details of our estimates are supplied in the backup material for the budget estimate. With the tremendous area to cover and with fishing communities stretching literally from the Arctic Circle to Seattle, it will be necessary for this Council to have a relatively large advisory committee, both public and scientific. The Council's plans and recommended regulations will vitally affect the coastal communities of Alaska and the Pacific Northwest and we believe it is essential that adequate public hearings be held, particularly during this first year to acquaint fishermen and the public with the purposes and intent of the new law. This is particularly important because it is likely that significant foreign fishing will continue for some time in waters off Alaska and in regions where the coastal communities are almost solely dependent upon fishing and fisheries for their existence.

The second large increase in our grant obligation involves \$200,000 for non-Federal contracts. We understand that the Service has set aside \$660,000 for such contracts which would be allocated as contract applications are made. From the standpoint of the North Pacific Management Council's point of view, this is not a satisfactory procedure. We see the need for contracting in a short time frame with the State of Alaska Fish and Game Department, the Universities of Alaska and Washington, and perhaps in certain instances with individual scientists or specialists who will be able to respond rapidly to our needs of preparing contingent management plans before March 1, 1977.

Very specifically we anticipate contracting on an urgent basis with the Fisheries Research Institute of the University of Washington and the State of Alaska Fish and Game Department for studies of improving estimates of optimum escapement of Bristol Bay salmon runs and improving our methods of prediction for the same red salmon runs that are fished extensively on the high seas by Japan. We believe that the current negotiations for the GIFA and the negotiations between our government and the government of Japan relating to high seas fishing for salmon in the future may well depend upon our ability to convince Japan that our estimates for optimum escapement levels and our predictions of the size of the returning runs of red salmon are realistic and reasonably accurate. In the Council's view, these two critical problems may well mean success or failure in our efforts to rationally manage this widely migrating stock of salmon which has been harvested heavily by Japan on the high seas since 1952. We believe that during this first year, contracts of about \$100,000, will be necessary. We anticipate that these contracts may be initiated very shortly. Review by your agency is provided since your Regional Director is a voting member of the Council.

The second area requiring immediate study in the North Pacific is the study required to satisfy the technical requirements of the law for setting optimum yield for those fisheries under our purview. That is to say, the Service and the State of Alaska have provided our Council with excellent background material relating to the physical biological yield from the fisheries, but the law requires that consideration be given to "any relevant economic, social, or ecological factor." In our view, we believe that economic and social studies must be made or at least must be underway almost immediately so that the Council can satisfy this requirement of law in setting the optimum yield for those fisheries in the area requiring fishery management plans. This will ultimately require such information for over a dozen fisheries. Here we would anticipate the use of resource economists and social science specialists from the Universities of Alaska and Washington. We know that some studies are underway, but we also realize that they are quite insufficient so far and there is need for immediate attention to these legal requirements of the law.

We have again reviewed the Extended Jurisdiction budget of \$22,000,000. Our opinion has not changed since we expressed it at the recent general

meeting in Arlington, Va. We strongly recommend that at least one of the vessels planned for construction in the FY 1977 budget be deferred-- about 3.5 million--and that money be reallocated to the operations of the Regional Councils. In reviewing this, we have had the advantage of consultation with scientists from the various agencies advising us. Our recommendation in no way implies that we do not appreciate the need for additional research vessels; it does indicate our views of the priorities which should be set during this first critical year of operation under the new Fishery Conservation and Management Act.

In summary, our Council has begun its enormous task with serious intent and with determination. We intend to submit management plans to the Secretary of Commerce very soon. We are very much impressed by the data that have been compiled by your staff and by the cooperation at all levels from State and Federal fisheries authorities. We want to thank you for your cooperation and we look forward to early approval of our budget proposal. Please be assured that we have thoroughly reviewed our requirements, and we believe that our estimates are modest and absolutely necessary for us to expeditiously and efficiently carry out our obligations.

Sincerely,



Elmer E. Rasmuson  
Chairman  
North Pacific Fishery  
Management Council

Attachment

cc. Senator Magnuson  
Senator Stevens



AD111

Subject:

Gentlemen:

Pursuant to Department of Commerce Regulations (15 CFR Part 8) implementing Title VI of the Civil Rights Act of 1964, an applicant for Federal financial assistance under a program administered by the Department of Commerce shall provide assurance that the program will be conducted in compliance with all requirements of the non-discrimination clause appropriate to the specific financial assistance program. Accordingly, enclosed are two copies of the required assurance and thereto appended nondiscrimination clause. Please have one copy signed by an authorized official and returned to the U. S. Department of Commerce, National Oceanic and Atmospheric Administration, Procurement and Grants Management Section, AD111, Attention: Mrs. Barbara F. McLaughlin, Rockville, Maryland 20852.

Sincerely,

Barbara F. McLaughlin  
Grants Officer



<b>FEDERAL ASSISTANCE</b>		2. APPLICANT'S APPLICATION	4. NUMBER	5. STATE APPLICATION IDENTIFIER	6. NUMBER
1. TYPE OF ACTION <input type="checkbox"/> PREAPPLICATION <input checked="" type="checkbox"/> APPLICATION <input type="checkbox"/> NOTIFICATION OF INTENT (opt) <input type="checkbox"/> REPORT OF FEDERAL ACTION		b. DATE Year month day 19 76/10/5			7. DATE ASSIGNED Year month day 19
4. LEGAL APPLICANT/RECIPIENT a. Applicant Name : North Pacific Regional Fishery b. Organization Unit : Management Council c/o Alaska Regional Office, P.O. Box 1668 d. City : Juneau, Alaska e. State : Alaska f. Contact Person (Name & Telephone No.) : James Branson, (907) 586-7225		5. FEDERAL EMPLOYER IDENTIFICATION NO. NMFS PRO-GRAM (From Federal Catalog) a. NUMBER b. TITLE		7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT Implementation of fishery Conservation and Management Act of 1976 - Alaska Region	
10. AREA OF PROJECT IMPACT (Names of cities, counties, States, etc.) Alaska, Washington and Oregon		11. ESTIMATED NUMBER OF PERSONS BENEFITING 30,700		12. TYPE OF APPLICANT/RECIPIENT A-State B-Local C-Suburban D-City E-School District F-Special Purpose District G-Community Action Agency H-Higher Educational Institution I-Indian Tribe J-Other (Specify): Quasi-Public Non-Profit Organization Enter appropriate letter <input checked="" type="checkbox"/> K	
13. PROPOSED FUNDING a. FEDERAL \$ 666,000 .00 b. APPLICANT .00 c. STATE .00 d. LOCAL .00 e. OTHER .00 f. TOTAL \$ .00		14. CONGRESSIONAL DISTRICTS OF: Region-wide		15. TYPE OF ASSISTANCE A-Basic Grant B-Insurance C-Supplemental Grant D-Loan E-Other Enter appropriate letter(s) <input type="checkbox"/> A <input type="checkbox"/>	
16. PROJECT START DATE Year month day 19 76/10/5		17. PROJECT DURATION 6 Months		18. ESTIMATED DATE TO BE SUBMITTED TO FEDERAL AGENCY > 19 76/10/7	
20. FEDERAL AGENCY TO RECEIVE REQUEST (Name, City, State, ZIP code) National Marine Fisheries Service, Washington D.C.		21. REMARKS ADDED 20235 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		22. THE APPLICANT CERTIFIES THAT >	
23. CERTIFYING REPRESENTATIVE Chairman of Council		24. SIGNATURE <i>James Branson</i>		25. DATE SIGNED Year month day 19	
26. AGENCY NAME U.S. Department of Commerce		27. ORGANIZATIONAL UNIT National Oceanic & Atmospheric Administration		28. ADDRESS 6010 Executive Blvd., Rockville, Maryland 20852	
29. ACTION TAKEN <input type="checkbox"/> a. AWARDED <input type="checkbox"/> b. REJECTED <input type="checkbox"/> c. RETURNED FOR AMENDMENT <input type="checkbox"/> d. DEFERRED <input type="checkbox"/> e. WITHDRAWN		30. FUNDING a. FEDERAL \$ .00 b. APPLICANT .00 c. STATE .00 d. LOCAL .00 e. OTHER .00 f. TOTAL \$ .00		31. ACTION DATED > 19	
32. FEDERAL AGENCY ACTION		33. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)		34. FEDERAL AGENCY A-55 OFFICIAL (Name and telephone no.)	

SECTION I - APPLICANT/RECIPIENT DATA

SECTION II - CERTIFICATION

SECTION III - FEDERAL AGENCY ACTION

## Grant Proposal

### Authority

The Fishery Conservation and Management Act of 1976 directs the establishment of eight Regional Fishery Management Councils. Each Council is directed to:

- (1) prepare and submit to the Secretary a fishery management plan with respect to each fishery within its geographical area of authority and, from time to time, such amendments to each plan as are necessary;
- (2) prepare comments on any application for foreign fishing transmitted to it under the Act, and any fishery management plan or amendment transmitted to it by the Secretary as provided in the Act;
- (3) conduct public hearings, at appropriate times and in appropriate locations in the geographical area concerned, so as to allow all interested persons an opportunity to be heard in the development of fishery management plans and amendments to such plans, and with respect to the administration and implementation of the provisions of the Act;
- (4) submit to the Secretary -
  - (A) a report, before February 1 of each year, on the Council's activities during the immediately preceding calendar year,
  - (B) such periodic reports as the Council deems appropriate, and
  - (C) any other relevant report which may be requested by the Secretary;
- (5) review on a continuing basis, and revise as appropriate the assessments and specifications made with respect to the optimum yield from, and the total allowable level of foreign fishing in each fishery within its geographical area of authority; and
- (6) conduct any other activities which are required by, or provided for in, the Act or which are necessary and appropriate to the foregoing functions.

In addition, the Secretary is directed to provide to each Council such administrative and technical support services as are necessary for the effective functioning of such Council and to pay:

- (A) the compensation and expenses of specified members of the Councils;

(B) appropriate compensation to employees appointed by the Councils and deemed necessary by the Secretary;

(C) the amounts required for reimbursement of other Federal agencies for details of personnel and for the services authorized to be provided by GSA;

(D) the actual expenses of members of the committees and panels provided for in the Act; and

(E) such other costs as the Secretary determines are necessary to the performance of the functions of the Councils.

These responsibilities of the Secretary, among others, have been delegated to the Director, National Marine Fisheries Service.

### Scope

No duration is specified by the Act for the functions of the Councils. In the absence of Congressional action and on the basis of the functions assigned, they must be assumed to continue indefinitely. The Director, NMFS, intends to fund Council operations through annual grants. This proposal will cover Council operations from October 1, 1976, until March 31, 1977. It is meant to be a preliminary grant to permit the Councils to organize themselves and define their tasks preparatory to their submission of grant proposals for the remainder of FY 77 and for FY 78. Estimated amounts to cover Council needs in these two fiscal years are included in the NOAA budgets for those years and the FY 77 NOAA appropriation.

### Statement of Work

The principal function of the Council is to develop Fishery Management Plans for all fisheries within its geographical area of authority. The first six months of operation will be devoted to laying the ground work for this activity. It is also likely that actual work will begin on the development of selected Fishery Management Plans. The Council will perform its tasks during periodic plenary meetings, through public hearings and in working groups, with coordination and other services provided by a permanent administrative staff.

### Administrative Activities

Since the period of this grant proposal includes the first meeting of the Council, much of the early work will consist of developing internal procedures, and of staffing and planning the program for the substantive activities of the Council for the first two years.

Included in the administrative activities are:

- the selection of a chairman and other officers of the Council as needed,
- the determination of the location of the permanent Council office,
- the determination of a staffing plan and the recruitment and hiring for Council staff positions,
- the installation of a financial management system,
- the establishment of , and selection of members for, a Scientific and Statistical Committee and such other advisory panels as the Council deems necessary.
- the preparation of grant proposals for the balance of FY 77 and FY 78, and of budget estimates for FY 79, including a work plan through FY 79,
- the preparation of an annual report due February 1, 1977,
- the preparation and publication of a Statement of Organization, Practices and Procedures by March 1, 1977.

As of March 1, each Council should have a functioning staff, an approved accounting system and a settled mode of operation to routinely service the Council's administrative needs.

#### Program Planning Activities

The Council's initial program activities will center primarily on assessing the scope of the region's fisheries problems, the resources available to deal with them and the pace of management plan development.

The planning for the substantive work of the Council will involve:

- a comprehensive review of the fisheries under Council jurisdiction,
- a comprehensive review of fisheries information resources,
- a review of negotiation of governing international fisheries agreements, the status of existing international agreements, reciprocity, and boundary negotiations,
- a review of preliminary fishery management plans and regulations prepared by the Secretary,
- investigations of the concept and measurement of optimum yield and of the U.S. capacity to harvest the optimum yield of fisheries that are to be subjects of Fishery Management Plans.

The goal of such planning is the designation of fishery management units and the assignment of priorities among them for Fishery Management Plan development. The output of this process will be a work plan for the current and two succeeding fiscal years,

along with a specification of contracts required in the course of the work plan.

### Operational Activities

Following the program planning process will be work on the Fishery Management Plan themselves. Beyond the internal organization of the Council itself, the Council will institute an operational structure to assist it in assembling the necessary information on a given fishery management unit and in defining the management options available to solve the particular problems of that fishery management unit. The operational structure devised for this purpose will be described in the Statement of Organization, Practices and Procedures mentioned above. Decisions on the nature of the Fishery Management Plan are made by the Council based on the input from the operational structure, the advice of the Council's advisory groups, and input from public hearings.

The Council will also begin the routine review of foreign fishing applications routed to it by the Secretary of State and the preparation of comments on such applications.

U. S. DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC & ATMOSPHERIC ADMINISTRATION

ASSURANCE OF COMPLIANCE WITH THE NONDISCRIMINATION CLAUSE applicable to:


Implementation of Fishery Conservation and Management Act of 1976 -  
Alaska Region October 7, 1976

(insert in the space above the title, date, and/or other identification of  
the application/proposal to which this assurance is applicable)

NORTH PACIFIC FISHERY MANAGEMENT COUNCIL - BOX 1668 - JUNEAU, AK 99802  
Name and address of organization submitting application/proposal

- (1) hereby warrants, covenants, agrees, and assures that it will conduct the program/project described by the above identified application/proposal, or as it may be revised or modified prior to any grant award or subsequent to any grant award, in compliance with all requirements of the "recipient" imposed by or pursuant to the Nondiscrimination clause appended thereto, which clause shall also be incorporated into any grant awarded on the basis of such proposal, and
- (2) agrees and acknowledges that this assurance of compliance is a prerequisite condition to approval of the proposal or any grant or grant modification or amendment extending any Federal financial assistance pursuant thereto, that any such Federal financial assistance which may be extended to it by the U.S. Department of Commerce will be in reliance on the representation made by this assurance and that the United States shall have the right to seek Judicial enforcement thereof, and that this assurance of compliance shall be binding upon it, its successors, assignees, and transferees.

October 7, 1976  
Date

  
Signature of official authorized to  
sign this assurance on behalf of the  
above identified organization

Elmer Rasmuson  
Typed Name  
Chairman,  
North Pacific Fishery Management Council  
Title

## NONDISCRIMINATION

This clause incorporates the assurances that the particular program will be conducted in compliance with appropriate requirements imposed by or pursuant to Part 8 of Subtitle A of Title 15 of the Code of Federal Regulations, which sets forth the Department of Commerce regulations which purpose is to effectuate the provisions of Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d.4). It is in the form and incorporates the contents specified by the responsible Department official for the Federal financial assistance program under which Federal financial assistance is provided.

### 2. Definitions for the purposes of this clause:

(a) "Department" means the Department of Commerce, and includes each of its primary organization and equivalent other units.

(b) "Secretary" means the Secretary of Commerce.

(c) "United States" means the States of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Wake Island, the Canal Zone, and the territories and possessions of the United States, and the term "State" means any one of the foregoing.

(d) "Person" means an individual in the United States who is or is to be a participant in or a beneficiary of the program which receives Federal financial assistance, and includes an individual who is an owner or member of a firm, corporation or other business or organization which is or is eligible to be a participant in or a beneficiary of such program.

(e) "Responsible Department official" with respect to the program receiving Federal financial assistance means the Secretary or other official of the Department who by law or by delegation has the principal authority within the Department for the administration of a law extending such assistance. It also means any officials so designated by due delegation of authority within the Department to act in such capacity with regard to the program under this clause.

(f) "Federal financial assistance" includes (1) grants of Federal funds, (2) the grant or donation of Federal property or interests in property, (3) the sale or lease of, or the permission to use (on other than a casual or transient basis), Federal property or any interest in such property in which the Federal Government has an interest, without consideration, or at a nominal consideration, or at a consideration which is reduced, for the purpose of assisting the recipient, or in the recognition of the public interest to be served by such sale or lease to or use by the recipient.

(g) "Program" includes any program, project, or activity for the provision of services, financial aid, property or other benefits to persons, or for the provision of facilities for furnishing services, financial aid, property, or other benefits to persons, whether provided by the recipient of Federal financial assistance or with the aid of any non-Federal funds, property, facilities or other resources provided to meet the conditions under which Federal financial assistance will be received. It includes programs supported by grants to

recipients which make further grants to, or contracts with, participants in the program or to persons who are the ultimate beneficiaries.

1) "Recipient" means any State, political subdivision of any State, or instrumentality of any State or political subdivision, or any public or private business or other agency, institution, organization, or other entity, or any individual, in any State, who applies for Federal financial assistance, or to whom Federal financial assistance is extended directly or through another recipient for or in connection with the program. Recipient further includes any successors, assigns, or transferees of any kind of the recipient, but does not include any ultimate beneficiary under the program.

3.-1. The recipient:

(a) Will not participate directly or indirectly (through contractual or other arrangements)

(1) in excluding any person in the United States, on the ground of race, color or national origin, from participating in, in denying the benefits of, or otherwise subjecting to discrimination under, the program to which this clause applies.

(2) in any act or course of conduct which on the ground of race, color or national origin:

(i) Denies to a person any service, financial aid, or other benefit provided under the program;

(ii) Provides any service, financial aid, or other benefit, to a person which is different, or is provided in a different manner, from that provided to others under the program;

(iii) Subjects a person to segregation or separate treatment in any matter related to his receipt of any such service, financial aid, property, or other benefit under the program;

(iv) Restricts the person in any way in the enjoyment of services, facilities, or any other advantage, privilege, property, or benefit provided to others under the program;

(v) Treats a person differently from others in determining whether he satisfies any admission, enrollment, quota, eligibility, membership, or other requirement or condition which persons must meet in order to be provided any service, financial aid, or other benefit provided under the program;



- (vi) Denies a person an opportunity to participate in the program through the provision of property or services or otherwise, or affords him an opportunity to do so which is different from that afforded others under the program; and
  - (vii) Denies to the person the same opportunity or consideration given others to be selected or retained or otherwise to participate as a contractor or subcontractor when a program is applicable thereto.
- (3) in utilizing criteria or methods of administration in determining the types of services, financial aid, or other benefits, or facilities which will be provided or the class of persons to whom, or the situations in which, such services financial aid, other benefits, or facilities will be provided, or the class of persons to be afforded an opportunity to participate in, the program to which this clause applies, which have the effect of subjecting persons to discrimination because of their race, color, or national origin, or have the effect of defeating or substantially impairing accomplishment of the objectives of the program as respect any persons of a particular race, color, or national origin.

Para 3.-1(a) Notes: 1. As used herein, the services, financial aid, or other benefits provided under a program receiving Federal financial assistance shall be deemed to include any service, financial aid, or other benefit provided in or through a facility provided or connected with the aid of Federal financial assistance.

2. the enumeration of specific forms of prohibited discrimination in subparagraphs (a)(2) and (a)(3) does not limit the generality of the prohibition in subparagraph (a)(1).

- (b) agrees to secure the compliance or to cooperate actively with the Department to secure the compliance by others with this nondiscrimination clause. For instance, the recipient may be requested by the responsible Department official to undertake and agree (i) to obtain or enforce, or to assist and cooperate actively with the responsible Department official in obtaining or enforcing, the compliance of contractors, subcontractors, lessees, and other parties subject to this part with the non-discrimination required by this part and their respective contracts; (ii) to insert appropriate nondiscrimination clauses in the respective contracts with such parties; (iii) to obtain and to furnish to the responsible Department official such information as he may require for the supervision or securing of such compliance; (iv) to carry out sanctions for non-compliance with the obligations imposed upon contractors and other parties subject to this part; and (v) to comply with such additional provisions as the responsible Department official deems appropriate to establish and protect the interests of the United States in the enforcement of these obligations. In the event that the cooperating recipient becomes involved in

litigations with a non-complying party as a result of such Departmental direction, the cooperating recipient may request the Department to enter into such litigation to protect the interests of the United States.

- (c) shall not take action that is calculated to bring about indirectly what this clause forbids it to accomplish directly.
- (d) gives the United States a right to seek Judicial enforcement of the provisions under this clause.
- (e) if an institution of higher education or any other institution, insofar as this clause relates to the institution's practices with respect to admission of students or other treatment of persons participating in the program or its services or other benefits, agrees this clause shall be applicable to the entire institution unless the recipient establishes, to the satisfaction of the responsible Department official, that the institution's practices in designated parts or programs of the institution will in no way affect its practices in the program of the institution for which Federal financial assistance is or is sought to be provided, or the beneficiaries of or participants in such program.

3.-2

Illustrations of the applicability of the discrimination prohibitions set forth in 3.-1 above to Federal financial assistance programs administered by the Department to which this clause may be made applicable by receipt of an assurance of compliance therewith and/or incorporation into the document of Federal financial assistance (e.g. the accepted grant award) are set forth on the Exhibit hereto.

- 4. Where the Federal financial assistance is to provide: (i) personal property, this clause shall be in effect for as long as the recipient retains ownership or possession of the property; and (ii) any other type or form of assistance, this clause shall be in effect for the duration of the period during which Federal financial assistance is extended to the program.
- 5. Provisions concerning cooperation, compliance reports and reviews, access to records, information, investigations, procedures for effecting compliance, hearings, decisions and notices, judicial review, and supplemental instructions are set forth under Subpart B-General Compliance, sections 8.7 through 8.15\*, of the aforementioned 15 CFR Subtitle A, Part 8, incorporated herein by attachment, and to the extent they concern the rights, obligations and responsibilities of the recipient, the recipient assures compliance or otherwise agrees therewith.
- 6. The recipient will include the provisions of paragraphs 1 through 5 in every subgrant, contract, subcontract or lease so that such provisions will be binding upon such subgrantee, contractor, subcontractor or lessee, when such subgrantee, contractor, subcontractor or lessee directly or indirectly receives reimbursement or payment from the Federal financial assistance provided under this specific program or non-Federal funds, property, facilities, or other resources provided to meet the conditions under which such Federal

financial assistance will be received, but this requirement excludes contracts, subcontracts, or leases (including purchase orders) for commercial equipment, supplies or services (including utilities), the price for which is based on established catalog or market prices for such items or services sold in substantial quantities to the general public or is set by law or regulation, and such items or services sold in substantial quantities to the general public or is set by law or regulation, and such price is the sole consideration to be received by the contractor, sub-contractor or lessee.

7. In addition to the explicit and implicit statements in this section affecting discrimination for reasons of race, creed or national origin, discrimination against persons for reasons of sex are prohibited

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\*Except that the following shall represent equivalent content to section 8.11(b), Noncompliance with section 8.5, for the purpose of this clause:

"if an applicant fails or refuses, as a condition to approval of and to the extension of Federal financial assistance, to furnish an assurance of compliance with this clause or otherwise fails or refuses to comply with a requirement imposed by or pursuant to this clause, Federal financial assistance may be refused in accordance with the procedures of paragraph (c) of this section. The Department shall not be required to provide assistance in such a case during the pendency of the administrative proceedings under said para.

FY77 BUDGET ESTIMATES (FIRST 6 MONTHS)  
 NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

<u>OBJECT CLASS</u>	Initial Grant Application <u>8/20/76</u>	Proposed Revision <u>10/6/76</u>
Salaries		
Non-Govt Voting Members	\$ 21.4	\$ 55.0
Reimb. to other Federal Agencies	-0-	10.0
Staff	<u>37.9</u> <sup>1/</sup>	<u>45.0</u> <sup>2/</sup>
Sub-Total	\$ 59.3	\$ 110.0
Benefits (9.6%)	5.7	11.0
COLA (Staff & Alaska Members of Council)	<u>9.5</u>	<u>23.0</u>
Total Salaries & Benefits	\$ 74.5	\$ 144.0
Travel	50.0	233.0
Trans. of Things	15.0	21.0
Rents	10.0	15.0
Communications	5.0	5.0
Utilities	1.3	1.0
Printing	6.0	10.0
Non-Fed Contracts		
Operational	5.0	15.0
Social Studies	-0-	200.0
Supplies	4.0	5.0
Capital Equipment	6.0	10.0
Non-Capital Equipment	5.0	5.0
Tax and Insurance	<u>1.0</u>	<u>2.0</u>
TOTAL	\$ 182.8	\$ 666.0

<sup>1/</sup> (1 - GS15, 1 - GS13, 2 - GS11, 1 - GS6, 2 - GS5 equivalent)  
 Salaries for Council Staffs planned at 81.25%  
 1st Quarter Lapse of 50%, 2nd Quarter Lapse of 25%.

<sup>2/</sup> Lapse for first 6 months = 35%;

BACKUP FOR FY77 BUDGET ESTIMATES  
NORTH PACIFIC FISHERY MANAGEMENT COUNCIL  
(FIRST 6 MONTHS)

<u>SALARIES</u> <u>Staff</u>	<u>ANNUAL</u> <u>Rate</u>
GS15-8	\$ 37,800
GS13-5	26,000
GS11-6	19,000
GS11-4	17,900
GS6-5	11,300
GS5-4	9,800
GS5-4	<u>9,800</u>

$\$131,600 \times 1.05 \text{ (pay raise)} = \frac{\$138,000}{2} = \$ 69,000$

Less salary lapse (35%) -24,150

\$ 44,850

(rounded to \$45,000)

Non-Gov't. Voting Members (GS18) (\$37,800 annual or \$18.17/hr.)

6 Council Meetings - 6 days each = 36 days x 8 hrs. = 288 hours each  
 $288 \times \$18.17 = \$ 5,232.96$

4 Public Hearings - 3 days each = 12 days x 8 hrs. = 96 hours each  
 $96 \times \$18.17 = 1,744.32$

\$ 6,977.28

There will be 6 public hearings - it is estimated each Council member will attend 4 (3 days each including travel time)

- Douglas B. Eaton - Kodiak, AK
- Prof. Donald L. McKernan - Seattle, WA
- Elmer Rasmuson - Anchorage, AK
- Harold E. Lokken - Seattle, WA
- Henry L. Eaton - Kodiak, AK
- Clement Tillion - Halibut Cove, AK --  $6 \times \$6,977.28 = \$41,863.68$   
 (rounded to \$42,000.)

Working time of Council members - other than attendance at meetings - 15 days each = 120 hrs.  
 $120 \times 18.17 = 2,180.00$   
 $2,180.00 \times 6 = 13,080.00$   
 (rounded to \$13,000.00 \$13,000.)

Total Salaries for Non-Gov't Voting Members = \$55,000

Reimb. to other Federal Agencies: Estimate \$10,000.00  
(Ref. Section 302(f)(7)(c)-- P.L.94-265)

BENEFITS = 9.6% x \$110,000 = \$10,560.00 (rounded to 11,000.00)

COLA

\$110,000

- 18,000 (Salaries of Mr. Lokken and Mr. McKernan)

\$ 92,000 x 25% = \$23,000

BACKUP FOR FY77 BUDGET ESTIMATES  
 NORTH PACIFIC FISHERY MANAGEMENT COUNCIL  
 (FIRST 6 MONTHS)

Revised 10/6/76

TRAVEL

Council Members (12 members - all except Mr. Riffe, Mr. Nakatsu & Adm. Hayes)

	Travel		Per Diem	
	Council Meetings	Public Hearings	Council Meetings	Public Hearings
There will be 6 Council meetings and 6 public hearings-it is estimated that each Council member will attend 6 meetings (6 days each including travel time) and 4 public hearings(3 days each including travel time)	6 round trips	4 round trips	6 trips- 6 days each	4 each- 3 days each
			Average	Per Diem
				Rate = \$70.00
Average air fare:	\$200.00	\$200.00		
	x 6	x 4	\$70.00	\$70.00
	1,200.00	800.00	x 36	x 12
12 Council members:	x 12	x 12	\$2,520	\$840
	\$14,400.00	\$9,600.00	x 12	x 12
			\$30,240	\$10,080
			\$24,000.00	\$40,320.00
Special travel of Council members not related to meetings or hearings	2,000.00		3,000.00	
<b>Total</b>	\$26,000.00		\$43,320.00	

<u>Advisory Committee</u> (25 members)	4 round trips	4 round trips	4 trips 6 days each	4 each 3 days each
Average air fare:	\$200.00	\$200.00	\$70.00	\$70.00
	x 4	x 4	x 24	x 12
	\$800.00	\$800.00	\$1,680	\$840
25 Committee members:	x 25	x 25	x 25	x 25
	\$20,000	\$20,000	\$42,000	\$21,000
It is estimated that each Committee member will attend 4 Council meetings and 4 Public Hearings	Total	\$40,000.00	\$63,000	

Scientific & Statistical Committee (10 members)

	4 round trips	4 round trips	4 trips 6 days each	4 trips 3 days each
Average air fare:	\$200.00	\$200.00	\$70.00	\$70.00
	x 4	x 4	x 24	x 12
	800.00	800.00	\$1,680	\$ 840
	x 10	x 10	x 10	x 10
	\$8,000	\$8,000	\$16,800	\$8,400
<b>Total</b>	\$16,000.00		\$25,200.00	

BACKUP FOR FY77 BUDGET ESTIMATES  
 NORTH PACIFIC FISHERY MANAGEMENT COUNCIL  
 (FIRST 6 MONTHS)

Revised 10/6/76

TRAVEL - Continued

<u>Council Staff</u>	<u>Travel</u>	<u>Per Diem</u>
4 new appointees - (non-local)	\$500.00 each (Average air fare) x 4	
	<u>\$ 2,000</u>	

Actual subsistence while occupying temporary quarters

\$1,500 each x 4 = \$6,000

Operational travel: (includes job interviews)	<u>\$ 6,000</u>	<u>6,000</u>
Total:	<u>\$ 8,000</u>	<u>\$12,000</u>

SUMMARY OF TRAVEL COSTS:

(rounded to nearest thousand)

Council Members

<u>Travel</u>	<u>Per Diem</u>	<u>Total</u>
\$26,000	\$43,000	\$69,000

Advisory Committee

40,000	63,000	103,000
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Scientific & Statistical  
Committee

16,000	25,000	41,000
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Council Staff

<u>8,000</u>	<u>12,000</u>	<u>20,000</u>
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Total

<u>\$90,000</u>	<u>\$143,000</u>	<u>\$233,000</u>
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BACKUP FOR FY77 BUDGET ESTIMATES  
NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

(FIRST 6 MONTHS)

TRANSPORTATION OF THINGS

Household Goods for 4 new appointees at \$5,000.00 each	= \$ 20,000.00
Operational Costs	<u>1,000.00</u>
Total	<u>\$ 21,000.00</u>

RENTS

Office Space - 1,250 square feet (Est. of \$20.00 per sq. ft. per year)	
\$20.00	
x 1,250	
Annual rent	<u>\$25,000</u>
(6 months) =	\$12,500.00
Equipment Rental (IBM Typewriters, etc.)	2,000.00
Miscellaneous	<u>500.00</u>
Total	<u>\$15,000.00</u>

COMMUNICATIONS

7 telephones @ \$15.00/month = \$105.00/month	
Toll Charges: 500.00/month	
\$605.00/month x 5 months =	\$3,025.00
Postage, etc.	<u>1,975.00</u>
Total	<u>\$5,000.00</u>

UTILITIES

Nominal	<u>\$1,000.00</u>
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PRINTING

Printing of Fishery Management Plans, etc. (500 copies)	<u>\$10,000.00</u>
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NON-FEDERAL CONTRACTS

Secretarial Help at Public Hearings	\$2,000.00
Recording and transcribing minutes of public hearings 7 Council Meetings	8,000.00
Janitorial Service	<u>5,000.00</u>
Total Operational	<u>\$15,000.00</u>

Special Studies

Optimum escapement and forecasting in Bristol Bay	\$100,000
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Economic - Social Studies <u>100.000</u>	<u>\$200,000.00</u>
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BACKUP FOR FY77 BUDGET ESTIMATES  
NORTH PACIFIC FISHERY MANAGEMENT COUNCIL

(FIRST 6 MONTHS)

SUPPLIES

Office furniture, stationery, etc. \$ 5,000.00

CAPITAL EQUIPMENT (Depreciable items - generally over \$200.00)

Typewriters, calculators, vehicle, etc. \$10,000.00

NON-CAPITAL EQUIPMENT(Non-depreciable items under \$200.00)

Estimated Cost \$ 5,000.00

TAX AND INSURANCEEstimated costs are included since the Councils are  
non-Federal entities and are subject to taxation  
and are not self-insured.

\$ 2,000.00



- Oct. 29 CEQ DEIS listing (notice of availability) appears in Federal Register (FR). (The 45-day review process begins. PFMP cannot be adopted for at least 90 days.)
- Oct. 29 Notice of Public Meetings published in FR (15 days must elapse between CEQ notice of availability and date of meeting).
- Nov. 5 CEQ DEIS listing (notice of availability) appears in Federal Register. (The 45-day review process begins. PFMP cannot be adopted for at least 90 days.) (For NE and SE.)
- Nov. 5 Notice of Public Meetings published in FR (15 days must elapse between CEQ notice of availability and date of meeting). (For SE and NE.)
- Nov. 15-19 Public Meetings conducted in Regions (except NE and SE--to be scheduled).
- Nov. 20 thru Dec. 14 Regions compile and assess public comments; consultation with Coast Guard, State Department, and Councils. (Regions must accomplish this task in accordance with the Preliminary Guidelines for Preparation of EIS's--memo from F to CD's and RD's of July 8, 1976.)

Any DEIS/DPFMP not received in the Central Office by Friday (October 22) morning will be delayed at least an additional week. Any questions regarding this schedule should be directed to Bob Scott on 634-7516.

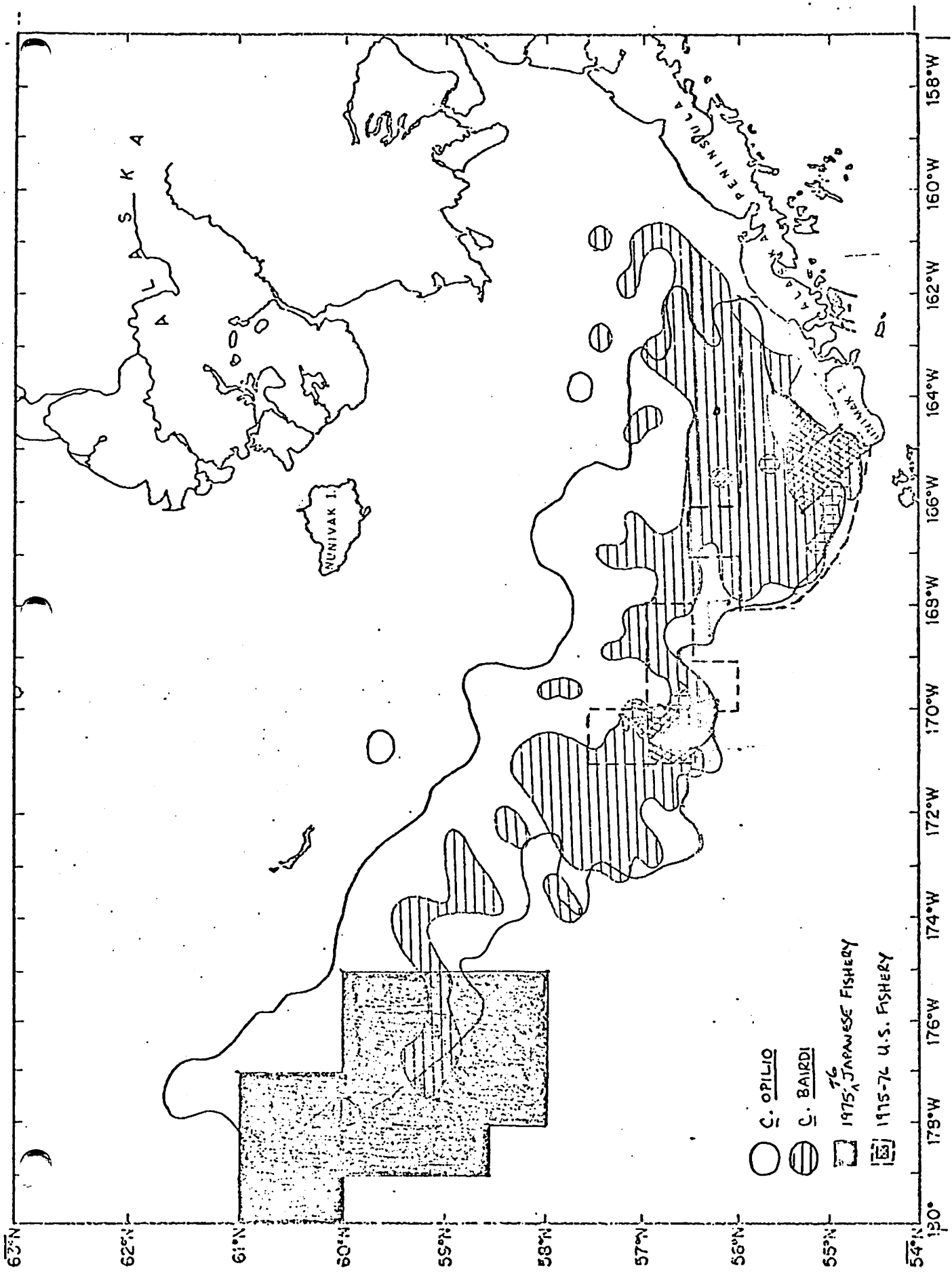
**\*Distribution:**

Directors: FNE, FNW, FSW, FSE, FAK

SUMMARY OF TAC'S AND PERCENTAGE ALLOCATIONS

	Washington-California				Gulf of Alaska				Bering Sea and Aleutians				Total		
	HSY	TAC	US	Foreign	HSY	TAC	US	Foreign	HSY	TAC	US	Foreign	TAC	US	Foreign
Sackfishes	?	18,000	15,000	(3,000)*	1/	50,000	3,000	47,000	110,000	21,500	0	21,500	89,500	18,000	71,500
Sabl-fish	7,000	7,000	6,300	(700)+	2/	22,000	4,500	17,500	7,500	7,500	0	7,500	36,500	10,800	25,700
Pickleders	35,000	31,500	30,000	(1,500)*		37,500	7,000	30,500	331,000+	211,000	6,000	205,000	280,000	43,000	237,000
Hallibut	-	-	-	-		20,000	~10,000	0	?	Trace	Trace	0	10,000	10,000	0
Coed	-	-	-	-		?	6,300	5,000	1,300	58,000	58,000	1,000	64,300	6,000	58,300
Pollock	-	-	-	-		168,000+	126,000	1,000	125,000	1,100,000+	850,000	8,000	842,000	976,000	9,000
Alia rackrel	-	-	-	-		?	22,000	0	22,000	-	-	-	22,000	0	22,000
Note	150,000	150,000	6,800	143,200		-	-	-	-	-	-	-	150,000	6,800	143,200
Jack rackrel	210,000+	55,000	20,000	35,000		-	-	-	-	-	-	-	55,000	20,000	35,000
Herring	?	?	>TAC	0		?	?	?	?	50,000	21,000	1,000	20,000	21,000	1,000
Others	?	6,500	3,500	(3,000)*		16,200	1,300	14,900	?	93,600	0	93,600	116,300	4,800	111,500
Total, finfish	?	268,000	81,600	186,400		290,000	31,800	258,200	?	1,262,600	16,000	1,246,600	1,820,000	129,400	1,691,200
% of TAC	-	-	30	70		11	89	-	-	1	99	-	7	93	-
Shrimp	~18,000	~18,000	~18,000	0		~52,000	~52,000	0	?	0	0	0	~70,000	~70,000	0
King crab	-	-	-	-		~13,600	~13,600	0	~41,300	~41,300	~41,300	0	~54,900	~54,900	0
Tanner crab	-	-	-	-		~39,000	~39,000	0	~101,200+	~101,200	~91,000	~10,200	~140,200	~130,000	10,200
Smalls	-	-	-	-		-	-	-	?	3,000	0	3,000	3,000	0	3,000
Total, shellfish	~18,000	~18,000	~18,000	0		~104,600	~104,600	0	?	~145,500	132,300	13,200	268,100	254,900	13,200
% of TAC	-	-	100	0		100	0	0	-	91	9	-	95	5	-

\* incidental catch only, not to exceed some percentage of target catch allocation  
 1/ Pacific ocean perch only  
 2/ All rackfishes  
 3/ About 3,500 mt of expected to be taken incidentally to trawl fishery; about 14,000 mt available for allocation to foreign setline fishery  
 4/ If Feb. 1977 larval survey has results similar to those of 1976, TAC and foreign allocation will have to be substantially reduced  
 5/ More than 1,600 mt of this may be taken by gillnet  
 6/ Edible meat; live weight about 3.7 times greater or 11,100 mt.



- *C. OPILIO*
- ◐ *C. BAIRDI*
- ▨ 1975 JAPANESE FISHERY
- ▩ 1975-76 U.S. FISHERY

Fig 1

# TRAWL FISHERY OF THE GULF OF ALASKA

Table 11.--Maximum allocations to foreign fisheries in the Gulf of Alaska trawl fishery.

Species	Base base	1974 U.S. catch	1974 foreign catch	Est. MSY	Est. E.Y.	Status	1977 TAC	U.S. capacity	Max. foreign allocation
Pollock	Fair	Tr.	61,000	168,000-338,000	--	healthy; underutilized except near Kodiak where foreign catches may be approaching low end of MSY range.	126,000	1,000	125,000
Rockfishes (primarily POP)	Good	Tr.	55,000	125,000	50,000	Overfished in mid-1960's, no recovery since.	50,000	3,000	47,000
Flounders	Fair	Tr.	11,000	50,000-70,000	--	Complex underutilized; some species or stocks may be fully or over utilized.	37,500	7,000	30,500
Sablefish	Good	800	25,000	22,000-25,000	20,000	Fully utilized in 1974; growth of ROK fishery in 1975-76 probably resulted in MSY being exceeded.	22,000	4,500	17,500--incidental catch only in trawl fishery, not to exceed 2% of total trawl catch. <sup>1/</sup>
Atka mackerel	Poor	0	18,000	?	?	Unknown; no signs of overfishing.	22,000 <sup>2/</sup>	0	22,000
Cod	Poor	Tr.	5,000	?	?	Unknown; recruitment probably quite variable due to environmental factors.	6,300 <sup>2/</sup>	5,000	1,300
Halibut	Excellent	9700	Tr.	20,000 (10,000?)		Overfished; recovery being hampered by mortality induced by incidental trawl catch.	(10,000?) <sup>3/</sup>	10,000	0--No incidental catch allowed, must be immediately discarded when taken.
Others	Poor	Tr.	18,000	?	?	Unknown.	16,200	1,300	14,900

<sup>1/</sup> See separate Preliminary Management Plan for Sablefish Setline and Trap Fishery.

<sup>2/</sup> Preemptive; 125% of 1974 catch.

<sup>3/</sup> To be set by International Pacific Halibut Commission.

**TRAWL FISHERY OF THE BERING SEA AND ALEUTIAN ISLANDS**

Table 1) --Maximum allocations to foreign fishermen in the Bering Sea and Aleutian Islands Trawl Fishery.

Species	Data Base	1974 US Catch	1974 Foreign Catch	Est. MSY	Est. E.Y.	Status	1977 TAC	U.S. Capacity	Maximum Foreign Allocation
Halibut	Good	0	1,600,000	1,100,000-1,600,000	850,000-1,000,000	Overfished from 1972-75; near-future recruitment looks poor; heavy reliance on juveniles.	850,000	8,000	842,000
Yellowfin sole	Good	0	51,000	?	226,000-314,000	Greatly overexploited in early 1960's; signs of significant recovery in 1975-76.	105,000	1,000	105,000
Other flounders	Fair	0	100,000	100,000-150,000?	105,000	Slightly underfished as a complex; some species or stocks may now be over-exploited, others may have substantially more potential.	105,000	5,000	100,000
Pacific ocean perch	Good	0	<del>69,000</del> 26,000	110,000?	21,500	Greatly overexploited in early 1960's--remain depressed.	{ 6,500 15,000	0 0	6,500 - E. Bering Sea 15,000 - Aleutians
Sablefish	Fair	0	8,000	10,000-20,000	8,000-10,000	Slight overfishing for 15 years has caused gradual decline to current low level.	{ 5,000 2,500	0 0	5,000 - E. Bering Sea 2,500 - Aleutian
Cod	Fair	0	64,000	85,000?	58,000	Fully utilized; current recruitment appears lower than in recent past.	58,000	1,000	57,000
Halibut	Excellent	300 <sup>1/</sup>	300	?	?	Commercially extinct in terms of setline fishery due to high incidental mortality of juveniles caused by trawling.	Tr. <sup>2/</sup>	>Tr.	0 - no retention
Herring	Poor	Tr.	26,000	50,000?	?	Overfished; probably subject to highly variable recruitment.	21,000	1,000	20,000 - no more than 1,000 net by gillnet
Others	Poor	0	104,000	?	?		{ 59,500 34,000	0 0	59,000 - E. Bering Sea 34,000 - Aleutian

1/ Includes Canadian catch.

2/ Limited North American setline fishery for resource assessment purposes.



OK

**North Pacific Fishery Management Council  
Summary of Actions  
Second Plenary Session  
December 2-5, 1976, Anchorage, Alaska**

The Advisory Panel, with twenty members present, organized and Mr. Jack B. Cotant was appointed Chairman, Mr. Nick Szabo Vice-Chairman. Mr. Donald Bevan was removed from the Advisory Panel, retaining his seat on the Scientific and Statistical Committee. Mr. Keith Specking, Mr. Alan D. Otness, Mr. Bob Starck and Mr. Bob Alverson were appointed to replace the three original nominees who were unable to serve, i.e., Mr. Gordon Jensen, Mr. Thomas Dunn and Mr. Jack Phillips, and to take the vacancy created by Dr. Bevan.

On the recommendation of the Scientific and Statistical Committee, appointed Dr. Dayton L. Alverson Chairman and Mr. Steve Pennoyer Vice-Chairman of that Committee.

Requested changes in the Charters of the Scientific and Statistical Committee and the Advisory Panel Committee (see inclusions).

De-emphasized development of Council management plans governing domestic fisheries now under regulation, instructed the Scientific and Statistical Committee to give greatest priority to those fisheries not now regulated by the State of Alaska with priority on the tanner crab fishery in the eastern Bering Sea, trawl fishery in the Bering Sea and Aleutian Islands and trawl fishery in the Gulf of Alaska.

Acted on Secretarial preliminary management plans as per inclusion No. 22.

Requested an extension of time to comment on interim regulations governing Council operations.

Prepared and passed grant applications and budgets for North Pacific Council operations for the second half of FY77, all of FY78 and a budget proposal for FY79.

Directed the Acting Executive Director to locate and obtain office space for the Council in Anchorage and have it in operation prior to the next meeting.

Met jointly with the Alaska Board of Fisheries to determine methods of cooperation in the management of the resources off Alaska.

Voted to send the Chairman, Vice-Chairman and Mr. McKernan to the January 11 meeting of Councils in Houston, Texas.

Requested by letter to the Secretary an equal role in the development of the management plans for the troll salmon fishery of the Pacific.

Requested by letter to Mr. Schoning funding of a fisheries development program through NMFS in conjunction with the State of Alaska and industry.

Next Council meeting was set for January 26 through 28, 1977 in Anchorage, Alaska.

Chairman was asked by the Council to appoint a screening committee for a final screening of applicants for Executive Director prior to the next Council meeting.



U.S. DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service  
P. O. Box 1668, Juneau, Alaska 99802

NOV 2 1976

Mr. Elmer Rasmuson, Chairman  
North Pacific Fishery Management Council  
P.O. Box 600  
Anchorage, Alaska 99501

Dear Elmer:

We need Council input into a set of critical decisions which may involve selective reprogramming of part of the \$22 million in FY 1977 Extended Jurisdiction (EJ) funding approved by Congress (see Attachment I) to carry out the Fisheries Conservation and Management Act of 1976 (P.L. 94-265). Three major areas of unmet needs which need to be considered and acted upon have been identified: Observer Program expansion, additional funds to support Council activities and increased State involvement, all of which were discussed briefly at the National Conference last September.

A basic constraint to meeting these needs is that any reprogramming exercise will be confined to within the \$22 million appropriated for Extended Jurisdiction. The three major unmet needs are discussed below.

1. Extended Jurisdiction Observer Program

A decision is required relative to the establishment of an Observer Program for onboard monitoring of authorized foreign fishing within the 200-mile fisheries zone. This action is necessary to respond to the concern expressed by various constituent groups, the NMFS Regional and Center Directors and Dave Wallace over the need for an Observer Program and to meet international commitments.

The following guidelines are proposed by NMFS for development of EJ Observer Programs:

- o Primary function is data collection - Observers will have an active role in data collection, including determination of foreign vessel total catch, catch composition, fishing effort, gear utilized, disposition of catch, vessel efficiency, data recording and reporting accuracy, marine mammal catch and any violations of regulations and the terms of vessel permits. The observer's role in enforcement will be passive, i.e., his presence will serve as a deterrent, although he will record violations that can be acted upon by enforcement agents or considered in subsequent negotiations or allocations.

Data records can be used in assisting area enforcement activities and as prosecution evidence, if appropriate. Additionally the observer, based on his knowledge of violations, may be called upon to act as a witness in the prosecution of such cases. This approach facilitates use of other than full-time permanent (OTFTP) observers and reduces precruise training requirements, thereby reducing program/ceiling costs. Additionally, it does not involve observers actively in prosecuting violations.

- o EJ Observer Program to be single region-wide program - Each region-wide program should be developed with RD-CD concurrence and be structured and coordinated to meet the needs of the area involved.
- o Program administration - Program administration should be assigned to the Center Director serving each region. The Marine Mammal Observers Program should remain a separate activity for budgetary and accounting purposes, and will continue to be administered in the regional office.
- o EJ Observer Programs will be funded through the data analysis line item - FY 1977 base or FY 1978 increase funds for EJ Observers presently identified in other line items will be shifted as appropriate in the future.

## 2. Regional Council Operations

As indicated in Attachment I, the amount funded for Regional Council operations is \$3,111K. (This amount included \$46K in NOAA overhead not directly available to the Councils.) At the National Conference last September, the Councils voiced the opinion that they were underfunded and that they might need up to twice the amount of funding currently proposed. In this regard you are now being asked to submit a revised budget proposal for the second half of FY 1977, as well as for FY 1978 and FY 1979. Specific instructions and formats are contained in Attachment II.

We hope to receive your recommendations, and obtain a NOAA decision on the budget requests by the end of December or early January. It is anticipated that the total combined additional Council requests will not total more than \$1 - \$3 million in additional annual funding. A meeting with the Chairmen of the Councils to discuss national priorities may be required if the combined total requests greatly exceed funding available.

## 3. Needs of States

### A. Additional Support for State Directors

Concern has been expressed by some Councils as well as State Directors over the funding and personnel needs of the State Directors to fulfill their role vis-a-vis the Councils and to accommodate their expanded responsibilities in a host of related activities. For example, consider the concept of a State Director requiring a small staff in order to ensure effective participation in Council deliberations. Is it logical for the State Director to have contract funds, separate travel money, etc.? We are requesting Council comments on the concept of support for State Directors, the kinds and amounts of such support, and whether or not the support should be funded through the NMFS regional office or through the Council.

B. Increased Contracting with States to Maximize Utilization of State Expertise

The State has program expertise which could be made selectively available to the Councils or to NMFS through contracts on reimbursable agreements. In this regard our Regional staff will be talking directly with the State to ascertain exactly where and at what level the State feels they can increase their participation in extended jurisdiction activities. Their increased support may be in such operations as resource surveys, data collection or analysis, enforcement, etc. Given the desirability of increasing State participation we would like to have the Council's opinion on what programs you feel we could look to for additional participation.

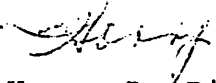
Your input regarding the observer programs, State needs, and budget proposals for FY 1977-79 (using the formats provided) were requested by December 3, 1976, but it is obvious that Council scheduling will not allow us to meet that deadline. We should submit your proposals as soon as possible, however, so they can be considered by NOAA when they make their decision in late December or early January.

4. FY '77 Extended Jurisdiction funding

Another subject the council should consider in relation to its activities is the State-Federal Fisheries Management Program. Initiated in 1972 this program was designed to Federally fund projects undertaken by various groups of states to develop coordinated management plans for specific fisheries which transcended state boundaries, or other management functions which could be expected to affect more than one state. In Alaska two contracts have been let to the Commercial Fisheries Entry Commission. The first in 1974 to develop techniques and methodology to implement the Alaska Limited Entry Plan. This contract was terminated in August, 1975. A second contract was initiated in October, 1976 for the Commercial Fisheries Entry Commission to do a bio-economic study related to Alaskan shellfish fisheries, aimed at developing a data base to furnish the necessary social and economic considerations to determine optimum yield.

With the advent of P.L. 94-265 and the creation of the North Pacific Fishery Management Council we should consider the value of this program. Should the program be retained to look at inter-state fishery problems other than those under the purview of the Council, or would the Council see merit in using this program as a vehicle to develop fishery management plans or assemble needed data for plans? We would appreciate the views of the Council.

Sincerely,

  
Harry L. Rietze  
Director, Alaska Region

REF 5:1 X 3

FY1977 EXTENDED JURISDICTION FUNDING

Attachment 1

	<u>POS.</u>	<u>\$000'S</u>
<u>NATIONAL MARINE FISHERIES SERVICE</u>	<u>176</u>	<u>9,991</u>
DATA ANALYSIS	36	1,994
COASTAL ZONE MGMT. SUPPORT	8	280
INTERNATIONAL FISHERIES MGMT.	7	275
STATE/FEDERAL FISHERIES MGMT.		
REGIONAL FISHERIES MGMT. STAFF	25	515
NATIONAL REVIEW OF OPERATIONS	15	403
PERMIT SYSTEM	6	52
REGIONAL COUNCIL OPERATIONS	--	3,111
ENFORCEMENT/SURVEILLANCE	31	1,107
ECON./COMM. FISHERIES STATISTICS		
REGIONAL DATA MGMT. SYSTEMS	18	715
BIO-ECONOMIC DATA BASES	28	1,024
RECREATIONAL FISHERIES	2	515
<u>NATIONAL OCEAN SURVEY</u>	<u>37</u>	<u>11,012</u>
BOUNDARY SURVEYS	6	1,500
VESSEL CONSTRUCTION/SUPPORT	31	9,512
<u>SEA GRANT</u>	<u>--</u>	<u>500</u>
<u>EXECUTIVE ADMINISTRATION</u>	<u>33</u>	<u>497</u>
 TOTAL	 <u>246</u>	 <u>22,000</u>



Call for Regional Council Grant Proposals

We are requesting, via this memorandum, your grant amendments for the 2nd half of FY 77, grant proposals for FY 1978, and work plans for both years. In addition, you are asked to estimate your funding needs for FY 1979. Information on FY 1979 requirements will be your initial input into the FY 1979 budget cycle.

Appendices A through C Provide formats and instructions for submission of Regional Council proposals. These should be submitted to the Director, NMFS by December 10, 1976. Specific documentation requirements are as follows:

- (1) Two SF-424s - Application for Federal Grant - (Appendix A). One's an amendment form for the second half of FY 77, and the other is an application form for FY 78.
- (2) An "Estimated Regional Council Budget" form - (Appendix B). The first column of this form has been completed, and represents your grant for FY 77 - 1st half. The budget in column 2 (Appendix B) (2nd half of FY 77) should match item #13A on SF-424 Grant Amendment for 2nd half of FY 77. The funds currently allocated in the FY 78 budget for your Council's operation are \$367.2 K. This should be used as an initial basis for completing the FY 78 column (Column 3) in Appendix B. Any additional funds for either FY 77 or FY 78 will necessitate either specific Congressional action, or redirection of existing program funds. Your budget request for FY 79 should allow for the FY 78 proposed levels, plus any additional requirement which you foresee.
- (3) Work Plan (FY 77, 78, 79) (Appendix C).
- (4) Descriptive List of Contracts (FY 77, 78, 79) (Appendix C).

Council budget requests for FY 79 will be incorporated with those of NMFS and together they will be presented to the NOAA Administrator in early February 1977.

Because the NMFS FY 79 budget presentation to NOAA will combine Council and NMFS needs, I have directed our regional staffs to:

1. solicit your general recommendations for FY 79 program needs during November and
2. coordinate their FY 79 increase requests with those of the Councils in order to encourage complementary proposals.

FEDERAL ASSISTANCE

2. APPLICANT'S APPLICATION

3. NUMBER  
b. DATE Year month day 19

4. STATE APPLICATION IDENTIFIER

5. NUMBER  
b. DATE ASSIGNED 19

1. TYPE OF ACTION (Mark appropriate box)
- PREAPPLICATION
  - APPLICATION
  - NOTIFICATION OF INTENT (N.O.I.)
  - REPORT OF FEDERAL ACTION

Leave Blank

4. LEGAL APPLICANT/RECIPIENT

a. Applicant Name :  
b. Organizational Unit :  
c. Street/P.O. Box :  
d. City :  
e. State :  
f. Contact Person (Name & Telephone No.) :  
g. County :  
h. ZIP Code :

5. FEDERAL EMPLOYER IDENTIFICATION NO.

6. PROGRAM (From Federal Catalog)  
7. NUMBER  
8. TITLE

7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT

9. TYPE OF APPLICANT/RECIPIENT

- A-State
- B-Substate
- C-County
- D-City
- E-Town
- F-School District
- G-Social Purpose District
- H-Community Action Agency
- I-Senior Educational Institution
- J-Indian Tribe
- K-Other (Specify):

Enter appropriate letter

10. TYPE OF ASSISTANCE

- A-Cash Grant
- B-Supplemental Grant
- C-Loan
- D-Insurance
- E-Other

Enter appropriate letter(s)

10. AREA OF PROJECT IMPACT (Names of cities, counties, States, etc.)

11. ESTIMATED NUMBER OF PERSONS BENEFITING

12. TYPE OF APPLICATION

- A-New
- B-Renewal
- C-Extension
- D-Continuation
- E-Amendment

Enter appropriate letter

13. PROPOSED FUNDING

14. CONGRESSIONAL DISTRICTS OF:

a. FEDERAL	\$	.00
b. APPLICANT		.00
c. STATE		.00
d. LOCAL		.00
e. OTHER		.00
f. TOTAL	\$	.00

15. PROJECT START DATE Year month day 19

16. PROJECT DURATION Months

17. ESTIMATED DATE TO BE SUBMITTED TO FEDERAL AGENCY > 19

15. TYPE OF CHANGE (For 12a or 12b)

- A-Increase Dollars
- B-Increase Units
- C-Increase Services
- D-Increase Duration
- E-Extension
- F-Other (Specify):

Enter appropriate letter(s)

20. FEDERAL AGENCY TO RECEIVE REQUEST (Name, City, State, ZIP code)

21. REMARKS ADDED

Yes  No

22. THE APPLICANT CERTIFIES THAT:

a. To the best of my knowledge and belief, data in this preapplication/application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached conditions if the assistance is approved.

b. If required by OMB Circular A-95 this application was submitted, pursuant to instructions therein, to appropriate state/local and all responses are attached.

(1)  
(2)  
(3)

No response attached   
Response attached

23. CERTIFYING REPRESENTATIVE

a. TYPED NAME AND TITLE

b. SIGNATURE

c. DATE SIGNED Year month day 19

24. AGENCY NAME

25. APPLICATION RECEIVED 19

25. ORGANIZATIONAL UNIT

27. ADMINISTRATIVE OFFICE

26. FEDERAL APPLICATION IDENTIFICATION

29. ADDRESS

30. FEDERAL GRANT IDENTIFICATION

31. ACTION TAKEN

- a. AWARDED
- b. REJECTED
- c. RETURNED FOR AMENDMENT
- d. DEFERRED
- e. WITHDRAWN

32. FUNDING

a. FEDERAL	\$	.00
b. APPLICANT		.00
c. STATE		.00
d. LOCAL		.00
e. OTHER		.00
f. TOTAL	\$	.00

33. ACTION DATE > 19

35. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)

34. STARTING DATE 19

36. ENDING DATE 19

37. REMARKS ADDED

Yes  No

33. FEDERAL AGENCY A-95 ACTION

a. In taking above action, any comments received from state/local agencies were considered. If agency response is due under provisions of Part 1, OMB Circular A-95, it has been or is being made.

b. FEDERAL AGENCY A-95 OFFICIAL (Name and telephone no.)

SECTION I - APPLICANT/RECIPIENT DATA

SECTION II - CERTIFICATION

SECTION III - FEDERAL AGENCY ACTION

SECTION IV—REMARKS (Please reference the proper item number from Sections I, II or III, if applicable)

GENERAL INSTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facsheet for pre-locations and applications submitted in accordance with Federal Management Circular 74-7. Second, it will be used by Federal agencies to report to Clearinghouses on major actions taken on applications reviewed by States of grants-in-aid awarded in accordance with Circular 1082. Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

APPLICANT PROCEDURES FOR SECTION I

Applicant will complete all items in Section I. If an item is not applicable, write "N/A". If additional space is needed, insert an asterisk "\*", and use the remarks section on the back of the form. An explanation follows for each item:

- |  |  |   |  |   |  |   |  |  |  |   |
|--|--|---|--|---|--|---|--|--|--|---|
| <p>1. Mark appropriate box. Pre-application and application guidance is in FMC 74-7 and Federal agency program instructions. Notification of intent and assistance is in Circular A-95 procedures from clearinghouse. Applicant will not use "Report of Federal Action" box.</p> | <p>2a. Applicant's own control number, if desired.</p>                   | <p>2b. Date Section I is prepared.</p>  | <p>3a. Number assigned by State clearinghouse, or if designated by State, by areawide clearinghouse. All requests to Federal agencies must contain this identification number. Complete address of applicant, and name and telephone number of person who can provide further information about this request.</p>  | <p>4a-4b. Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of person who can provide further information about this request.</p> | <p>5. Employer identification number of applicant as assigned by Internal Revenue Service.</p>   | <p>6a. Use Catalog of Federal Domestic Assistance number for program under which assistance is requested. If more than one program (e.g., joint funding) write "multiple" and explain in remarks.</p> | <p>6b. If unknown, cite Public Law or U.S. Code.</p> | <p>7. Brief title and appropriate description of project. For notification of intent, continue in remarks section if necessary to convey proper description.</p> | <p>8. Mostly self-explanatory. "City" includes town, township or other municipality.</p> | <p>9. Check the type(s) of assistance requested. The definitions of the terms are:</p> <p>A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant.</p> <p>B. Supplemental Grant. A request to increase a basic grant in certain cases where the eligible applicant cannot supply the required matching share of the basic Federal program (e.g., grants awarded by the Appalachian Regional Commission to provide the applicant a matching share).</p> <p>C. Loan. Self explanatory.</p> |
| <p>10. Governmental unit where significant and meaningful impact could be observed. List only largest unit or units affected, list it rather than subunits.</p>  | <p>11. Estimated number of persons directly benefiting from project.</p> | <p>12. Use appropriate code letter. Definitions are:</p> <p>A. New. A submittal for the first time for a new project.</p> <p>B. Renewal. An extension for an additional funding/budget period for a project having no projected completion date, but for which Federal support must be renewed each year.</p> <p>C. Revision. A modification to project nature or scope which may result in funding change (increase or decrease).</p> <p>D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of years.</p> | <p>13. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of the change. For decreases enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breaks in remarks. Item definitions: 13a, amount requested from Federal Government; 13b, amount requested from State; if applicant is not a State; 13c, amount from local government, if applicant is not a local government; 13d, amount from any other sources, explain in remarks.</p> | <p>14a. Self explanatory.</p>   | <p>14b. The district(s) where most of actual work will be accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "State-wide."</p> | <p>15. Complete only for revisions (from 12c), or augmentations (item 12e).</p>   |  |  |  |   |

NOTICES

- |   |  |
|---|--|
| <p>Item</p> <p>16. Approximate date project expected to begin (usually associated with estimated date of availability of funding).</p> <p>17. Estimated number of months to complete project after Federal funds are available.</p> <p>18. Estimated date preapplication/application will be submitted to Federal agency if this project requires clearinghouse review. If review not required, this date would usually be same as date in item 2b.</p> | <p>Item</p> <p>19. Existing Federal identification number if this is not a new request and directly relates to a previous Federal action. Otherwise write "NA".</p> <p>20. Indicate Federal agency to which this request is addressed. Street address not required, but do use ZIP.</p> <p>21. Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks are attached.</p> |
|---|--|

APPLICANT PROCEDURES FOR SECTION II

Applicants will always complete items 22a, 22b, and 22c. If clearinghouse review is required, item 22b must be fully completed. An explanation follows for each item:

- |   |  |
|---|--|
| <p>Item</p> <p>22b. List clearinghouses to which submitted and show in appropriate blocks the status of their responses. For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached.</p> <p>23a. Name and title of authorized representative of legal applicant.</p> | <p>Item</p> <p>23b. Self explanatory.</p> <p>23c. Self explanatory.</p> <p>Note: Applicant completes only Sections I and II. Section III is completed by Federal agencies.</p> |
|---|--|

FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Federal action, the Federal agency will complete Section III only. An explanation for each item follows:

- |   |  |
|---|--|
| <p>Item</p> <p>24. Executive department or independent agency having program administration responsibility.</p> <p>25. Self explanatory.</p> <p>26. Primary organizational unit below department level having direct program management responsibility.</p> <p>27. Office directly monitoring the program.</p> <p>28. Use to identify non-award actions where Federal grant identifier in item 30 is not applicable or will not suffice.</p> <p>29. Complete address of administering office shown in item 26.</p> <p>30. Use to identify award actions where different from Federal application identifier in item 2d.</p> <p>31. Self explanatory. Use remarks section to amplify where appropriate.</p> <p>32. Amount to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 32a, amount awarded by Federal Government; 32b, amount applicant will contribute; 32c, amount from State, if applicant is not a State; 32d, amount from local government if applicant is not a local government; 32e, amount from any other sources, explain in remarks.</p> <p>33. Date action was taken on this request.</p> <p>34. Date funds will become available.</p> | <p>Item</p> <p>35. Name and telephone no. of agency person who can provide more information regarding this assistance.</p> <p>36. Date after which funds will no longer be available.</p> <p>37. Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment of additional remarks.</p> <p>38. For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as person shown in item 35, write "same". If not applicable, write "NA".</p> |
|---|--|

Federal Agency Procedures—special considerations

- A. Treasury Circular 1002 compliance. Federal agency will assure proper completion of Sections I and III. If Section I is being completed by Federal agency, all applicable items must be filled in. Addresses of State Information Reception Agencies (SIRAs) are provided by Treasury Department to each agency. This form replaces SF 240, which will no longer be used.
- B. OMB Circular A-95 compliance. Federal agency will assure proper completion of Sections I, II, and III. This form is required for notifying all reviewing clearinghouses of major actions on all programs reviewed under A-95. Addresses of State and area-wide clearinghouses are provided by OMB to each agency. Substantive differences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded will be explained in A-95 notifications to clearinghouses.
- C. Special note. In most, but not all States, the A-95 State clearinghouse and the (TC 1002) SIRA are the same office. In such cases, the A-95 award notice to the State clearinghouse will fulfill the TC 1002 award notice requirement to the State SIRA. Duplicate notification should be avoided.



SECTION IV-REMARKS (Please reference the proper item number from Sections I, II or III, if applicable)

## GENERAL INSTRUCTIONS

This is a multi-purpose standard form. First, it will be used by applicants as a required facesheet for pre-applications and applications submitted in accordance with Federal Management Circular 74-7. Second, it will be used by Federal agencies to report to Clearinghouses on major actions taken on applications reviewed by clearinghouses in accordance with OMB Circular A-95. Third, it will be used by Federal agencies to notify States of grants-in-aid awarded in accordance with Treasury Circular 1032. Fourth, it may be used, on an optional basis, as a notification of intent from applicants to clearinghouses, as an early initial notice that Federal assistance is to be applied for (clearinghouse procedures will govern).

### APPLICANT PROCEDURES FOR SECTION I

Applicant will complete all items in Section I. If an item is not applicable, write "N/A". If additional space is needed, insert an asterisk "\*", and use the remarks section on the back of the form. An explanation follows for each item:

- | Item   | Item  |
|--|---|
| <p>1. Mark appropriate box. Pre-application and application guidance is in FMC 74-7 and Federal agency program instructions. Notification of intent guidance is in Circular A-95 procedure from clearinghouse. Applicant will not use "Report of Federal Action" box.</p> <p>2a. Applicant's own control number, if desired.</p> <p>2b. Date Section I is prepared.</p> <p>3a. Number assigned by State clearinghouse, or if delegated by State, by areawide clearinghouse. All requests to Federal agencies must contain this identifier if the program is covered by Circular A-95 and required by applicable State/areawide clearinghouse procedures. If in doubt, consult your clearinghouse.</p> <p>3b. Date applicant notified of clearinghouse identifier.</p> <p>4a-4h. Legal name of applicant/recipient, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of person who can provide further information about this request.</p> <p>5. Employer identification number of applicant as assigned by Internal Revenue Service.</p> <p>6a. Use Catalog of Federal Domestic Assistance number assigned to program under which assistance is requested. If more than one program (e.g., joint-funding) write "multiple" and explain in remarks. If unknown, cite Public Law or U.S. Code.</p> <p>6b. Program title from Federal Catalog. Abbreviate if necessary.</p> <p>7. Brief title and appropriate description of project. For notification of intent, continue in remarks section if necessary to convey proper description.</p> <p>8. Mostly self-explanatory. "City" includes town, township or other municipality.</p> <p>9. Check the type(s) of assistance requested. The definitions of the terms are:<br/>                     A. Basic Grant. An original request for Federal funds. This would not include any contribution provided under a supplemental grant.<br/>                     B. Supplemental Grant. A request to increase a basic grant in certain cases where the eligible applicant cannot supply the required matching share of the basic Federal program (e.g., grants awarded by the Appalachian Regional Commission to provide the applicant a matching share).<br/>                     C. Loan. Self explanatory.</p> | <p>D. Insurance. Self explanatory.</p> <p>E. Other. Explain on remarks page.</p> <p>10. Governmental unit where significant and meaningful impact could be observed. List only largest unit or units affected, such as State, county, or city. If entire unit affected, list it rather than subunits.</p> <p>11. Estimated number of persons directly benefiting from project.</p> <p>12. Use appropriate code letter. Definitions are:<br/>                     A. New. A submittal for the first time for a new project.<br/>                     B. Renewal. An extension for an additional funding/budget period for a project having no projected completion date, but for which Federal support must be renewed each year.<br/>                     C. Revision. A modification to project nature or scope which may result in funding change (increase or decrease).<br/>                     D. Continuation. An extension for an additional funding/budget period for a project the agency initially agreed to fund for a definite number of years.<br/>                     E. Augmentation. A requirement for additional funds for a project previously awarded funds in the same funding/budget period. Project nature and scope unchanged.</p> <p>13. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of the change. For decreases enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 13a, amount requested from Federal Government; 13b, amount applicant will contribute; 13c, amount from State, if applicant is not a State; 13d, amount from local government, if applicant is not a local government; 13e, amount from any other sources, explain in remarks.</p> <p>14a. Self explanatory.</p> <p>14b. The district(s) where most of actual work will be accomplished. If city-wide or State-wide, covering several districts, write "city-wide" or "State-wide."</p> <p>15. Complete only for revisions (item 12c), or augmentations (item 12e).</p> |



Item

16. Approximate date project expected to begin (usually associated with estimated date of availability of funding).
17. Estimated number of months to complete project after Federal funds are available.
18. Estimated date preapplication/application will be submitted to Federal agency if this project requires clearinghouse review. If review not required, this date would usually be same as date in Item 2b.

Item

19. Existing Federal identification number if this is not a new request and directly relates to a previous Federal action. Otherwise write "NA".
20. Indicate Federal agency to which this request is addressed. Street address not required, but do use ZIP.
21. Check appropriate box as to whether Section IV of form contains remarks and/or additional remarks are attached.

### APPLICANT PROCEDURES FOR SECTION II

Applicants will always complete Items 23a, 23b, and 23c. If clearinghouse review is required, item 22b must be fully completed. An explanation follows for each item:

- | Item   | Item  |
|--|---|
| 22b. List clearinghouses to which submitted and show in appropriate blocks the status of their responses. For more than three clearinghouses, continue in remarks section. All written comments submitted by or through clearinghouses must be attached. | 23b. Self explanatory.  |
| 23a. Name and title of authorized representative of legal applicant.   | 23c. Self explanatory.  |
|  | Note: Applicant completes only Sections I and II. Section III is completed by Federal agencies. |

### FEDERAL AGENCY PROCEDURES FOR SECTION III

If applicant-supplied information in Sections I and II needs no updating or adjustment to fit the final Federal action, the Federal agency will complete Section III only. An explanation for each item follows:

- | Item   | Item  |
|--|---|
| 24. Executive department or independent agency having program administration responsibility.   | 35. Name and telephone no. of agency person who can provide more information regarding this assistance.   |
| 25. Self explanatory.  | 36. Date after which funds will no longer be available.   |
| 26. Primary organizational unit below department level having direct program management responsibility.  | 37. Check appropriate box as to whether Section IV of form contains Federal remarks and/or attachment of additional remarks.  |
| 27. Office directly monitoring the program.  | 38. For use with A-95 action notices only. Name and telephone of person who can assure that appropriate A-95 action has been taken—If same as person shown in Item 35, write "same". If not applicable, write "NA". |
| 28. Use to identify non-award actions where Federal grant identifier in Item 30 is not applicable or will not suffice.   |   |
| 29. Complete address of administering office shown in Item 26.   |   |
| 30. Use to identify award actions where different from Federal application identifier in Item 23.  |   |
| 31. Self explanatory. Use remarks section to amplify where appropriate.  |   |
| 32. Amount to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions will be included. If the action is a change in dollar amount of an existing grant (a revision or augmentation), indicate only the amount of change. For decreases, enclose the amount in parentheses. If both basic and supplemental amounts are included, breakout in remarks. For multiple program funding, use totals and show program breakouts in remarks. Item definitions: 32a, amount awarded by Federal Government; 32b, amount applicant will contribute; 32c, amount from State, if applicant is not a State; 32d, amount from local government if applicant is not a local government; 32e, amount from any other sources, explain in remarks. |   |
| 33. Date action was taken on this request.   |   |
| 34. Date funds will become available.  |   |

#### Federal Agency Procedures—special considerations

- A. **Treasury Circular 1032 compliance.** Federal agency will assure proper completion of Sections I and III. If Section I is being completed by Federal agency, all applicable items must be filled in. Addresses of State Information Reception Agencies (SIRAs) are provided by Treasury Department to each agency. This form replaces SF 243, which will no longer be used.
- B. **OMB Circular A-95 compliance.** Federal agency will assure proper completion of Sections I, II, and III. This form is required for notifying all reviewing clearinghouses of major actions on all programs reviewed under A-95. Addresses of State and area-wide clearinghouses are provided by OMB to each agency. Substantive differences between applicant's request and/or clearinghouse recommendations, and the project as finally awarded will be explained in A-95 notifications to clearinghouses.
- C. **Special note.** In most, but not all States, the A-95 State clearinghouse and the (FC 1032) SICRA are the same office. In such cases, the A-95 award notice to the State clearinghouse will fulfill the FC 1032 award notice requirement to the State SICRA. Duplicate notification should be avoided.

## ESTIMATED REGIONAL COUNCIL BUDGET

The following format summarizes the elements of cost to be considered in budget planning. In addition to a listing of staff positions to support the labor portion of the budget, the derivation of the other budget elements must be given. The "Current Year" estimate will reflect the most recent plans concerning the distribution of funds within the grant that will have been awarded at the beginning of the current fiscal year. Grants will be issued on the basis of the amounts estimated in the "Budget Year" column. The amounts estimated for "Budget Year + 1" will constitute the Council's input to the budget cycle and will be the basis for granting funds in the following year.

Amendments to grants already awarded or to the estimates for the "Budget Year" or "Budget Year + 1" must be kept separate from the grant proposal itself. Amendments should be in the same format, with only the relevant columns completed and accompanied by an explanation/justification. Requests for increases will be granted subject to the availability of funds and the urgency of the need.

ESTIMATED REGIONAL COUNCIL BUDGET

BUDGET CATEGORIES	(2)		(3)	(4)
	FY 77 1st Half (Oct 1-Mar 31)	FY 77 2nd Half (Apr 1- Sep 30)	FY 78 Budget Year	FY 79 Budget Year +1
<u>H. PACIFIC</u>				
Salaries				
Non-Govt Voting Members	\$	21.4		
Staff <sup>1/</sup>		37.9		
Sub-Total	\$	59.3		
Benefits (9.6%)		5.7		
COLA (Staff Only)		9.5		
Total Salaries & Benefits	\$	74.5		
Travel		50.0		
Trans. of Things		15.0		
Rents		10.0		
Communications		5.0		
Utilities		1.3		
Printing		6.0		
Non-Fed Contracts		5.0		
Supplies		4.0		
Capital Equipment		6.0		
Non-Capital Equipment		5.0		
Tax and Insurance		1.0		
TOTAL		182.8		

SOURCES OF FUNDING

Federal Funds Required  
 Estimated Funding from  
 Other Sources (Specify)

Total (Same Amount as Total  
 Planned Budget Line)

WORKPLAN

The work plan must show what work will be done for the funds requested. It relates dollars and people to the decisions of the Councils on priorities among fishery management units and defines the pace for development of management plans. Submissions of management plans to the Secretary will be the major milestones of Council activity. Planning this activity two-plus years ahead is of benefit to the Councils by helping to structure their work, and to NMFS by helping it synchronize its scientific and technical support to the needs of the Councils. No format is specified for the workplan, but as a minimum it should contain a schedule of management plan development and have each fiscal year's activity separately identified. The work plan should also identify, specifically, what additional program outputs are anticipated or a result of any new funds in FY 78, and FY 79, above the prior year's level. Progress in meeting the management plan schedule and an updating of it must be reported within 60 days after the end of each fiscal year.

Descriptive List of Contracts

This part of the grant proposal will represent the effort of the Councils to foresee their needs for contract expertise in view of their workplan. Each contract anticipated should be briefly described and located on the time frame of the workplan. The description shall include the estimated cost of the contract. Contracts must be in accordance with Attachment 0 of OMB Circular No. A-110

PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL

November 24, 1976

Mr. Bruce A. Lentz, Chairman  
South Atlantic Regional Fishery Management Council  
Box 1910  
Hickory, NC 28601

Dear Mr. Lentz:

Your letter of November 12, 1976, suggested a January 11 meeting of Regional Council Chairmen to discuss common problems. Our Council reviewed your letter at its November 22-23 meeting and supported the need for such a meeting, if it could be held before a final decision is made by NMFS on reprogramming FY77 extended jurisdiction funds.

We have checked with Director Schoning's office and they have agreed that by January 11 they will have a tentative FY77 budget proposal we could review prior to their making a final decision.

We would propose considering the following subjects at a joint meeting on January 11.

Budgets: Review of 1977 extended jurisdiction budget and NMFS reprogramming proposal.

Optimum Yield: The various Councils are considering definitions and procedures to comply with the requirements for meeting optimum yield requirements of management plans. We see the need for consistency between Councils. Attached is a paper by Dr. Crutchfield which speaks to this matter.

Development of a National Organization of Councils: We see a need for a continuing coordination between Councils and there is merit in considering some approach to accomplish this. It could include establishing a central coordinating office in Washington, D.C.

We commend your initiative in suggesting this intercouncil meeting.

Sincerely,

*John W. McKean*

John W. McKean, Chairman

Attachment

cc: Council Members  
Regional Council Chairmen  
Mr. Schoning, F

NOV 24 1976  
FAC